

HSG - 410352 - FHA Financial Operations Modernization - FHAFO-P013

INITIATIVE DEFINITION BY08

Initiative Definition BY08

Template Name	IT Investment BY2008
Investment Name	HSG - 410352 - FHA Financial Operations Modernization - FHAFO-P013
Investment Revision Number	9
Is this investment a consolidated business case?	No
Point of Contact	Rodgers, Linda
Revision Comment	
Class	IT

I.A: OVERVIEW BY08

Descriptive Information BY08

Date of Submission	9/11/2006
Agency	Department of Housing and Urban Development
Bureau	Working Capital Fund
Name of this Capital Asset	HSG - 410352 - FHA Financial Operations Modernization - FHAFO-P013
Full UPI Code	025-00-01-01-01-1010-00
Four Digit UPI Code	1010
Two Digit UPI Code	00
Exhibit 53 Part	IT Investments by Mission Area
OMB Investment Type	01 - Major Investment
OMB Exhibit 53 Major Mission Area	01 - Financial Management Systems
PY Full UPI Code	025-00-01-01-01-1040-00-402-124
What kind of investment will this be in this Budget Year?	Mixed Life Cycle
If this investment supports homeland security, Indicate by corresponding number which homeland security mission area(s) this investment supports?	
OMB Short Description	The FHA Financial Operations initiative provides FHA with integrated budget management, timely funds control, and financial operations support to record, validate, process and account for payables and receivables.
Investment C&A Status	55 - All of the systems within this investment have been through a C&A Process and have been granted Full Authority to Operate

Screening Questions BY08

What was the first budget year this investment was submitted to OMB?	FY2001 or earlier
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Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

The Federal Housing Administration Financial Operations (FHAFO) initiative provides FHA with integrated budget management, timely funds control, and financial operations support to record, validate, process and account for payables and receivables. As a result of the initiative, FHA produces accurate and timely financial statements directly from FHA general ledger. The statements are produced within the required timeframes. The statements are compliant with the requirements of FFMIA and other standards. The initiative improves FHA's ability to manage financial risk.

The FHAFO effort is a consolidated initiative consisting of two major parts. The first part implemented a FED-GAAP certified ledger for FHA and is compliant with the e-GOV PMA objective for Financial Systems integration. The second part implements an integrated and modern Target Enterprise Architecture solution for the FHA Financial Operations business processes. There are 12 legacy systems currently supporting the FHAFO business processes. The FHAFO initiative is in alignment with HUD's IT roadmap, Vision 2010.

FHAFO involves the processing of all financial events for the FHA Mortgage Insurance programs. The FHA Financial Operations life cycle consists of four business functions:

1. Underwriting / Up-front Mortgage Insurance Premiums,

2. Servicing / Periodic Premiums,
3. Terminations,
4. Assets.

FHAFFO must respond to three high-level areas of business functions undergoing significant changes in the next few years. The changes affect planning for the FY 2007 and FY 2008 systems budgets. The areas of change are:

1 - FHA Financial Operations Business Systems Integration:

2 - FHA Reform: Address a set of new and revised housing programs that will result in significant improvements in the FHA business.

3 - Treasury / External Requirements: The Dept. of Treasury is moving to eliminate lock box services and require the use of Pay.Gov. Other areas of external requirements are statutory changes, changes to requirements under the Debt Collection Integrity Act (DCIA), and changes in functionality to address audit findings.

HUD's Integrated Financial Management System plans to modernize HUD's accounting and financial management functions by transitioning its core legacy systems into PeopleSoft COTS suite of financial management modules to produce an integrated turn-key accounting and financial system with hosting services/support at a shared service center.

Did the Agency's Executive/Investment Committee approve this request?	Yes
If "yes," what was the date of this approval?	2/10/2006
Did the Project Manager review this Exhibit?	Yes
Contact information of Project Manager?	

Project Manager Name

Fuentevilla, William F

Project Manager Phone Number	202-708-0614 x2344
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Project Manager E-mail	William F. Fuentevilla/HSNG/HHQ/HUD
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Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project.	No
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Will this investment include electronic assets (including computers)?	No
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Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	No
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If "yes," is an ESPC or UESC being used to help fund this investment?	
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If "yes," will this investment meet sustainable design principles?	
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If "yes," is it designed to be 30% more energy efficient than relevant code?	
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Does this investment directly support one of the PMA initiatives?	Yes
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If "yes," check all of the PMA initiatives that apply:	Budget Performance Integration, Expanded E-Government, Financial Performance, Human Capital
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Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part .)	No
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Does this investment address a weakness found during the PART Review?	No
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If "yes," what is the name of the PARTed program?	
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If "yes," what PART rating did it receive?	
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Is this investment for information technology?	Yes
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Briefly describe how this asset directly supports the identified initiative(s)?	This initiative implements FED-GAAP certified ledger for FHA and is compliant with the eGOV PMA objectives for Financial Systems integration. It also implements modern target Enterprise Architecture solution for the FHA financial operations business processes that are currently supported by 12 automated systems.
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IT Screening Questions BY08

If the answer to Question: "Is this investment for information technology?" was "Yes," complete this sub-section.

If the answer is "No," do not answer this sub-section.

What is the level of the IT Project? (per CIO Council PM Level 3 Guidance) Level 3

What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance): (1) Project manager has been validated as qualified for this investment

Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's "high risk" memo)? No

Is this a financial management system? Yes

If "yes", does this investment address a FFPIA compliance area? Yes

If "yes," which FFPIA compliance area? Accounting standards, SGL, Federal financial management systems requirements

If "no," what does it address?

If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52
A43 - SFIS; A43C - SFICS; A80B - SFPCS-P; A80D - DSRS; A80N - Notes; A80Q - PICS; SFPCS-U - A80R; F71/71A - DCAMS; F72 - TIIS; F47 - MFIS; F75 - MFIC; P013 - FHASL;

Provide the Percentage Financial Management for the budget year 100.000000

What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%) 100.000000

For budget year, what percentage of the total investment is for hardware? 4.170000

For budget year, what percentage of the total investment is for software? 4.170000

For budget year, what percentage of the total investment is for services? 81.660000

For budget year, what percentage of the total investment is for other services? 10.000000

If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities? N/A

Contact information of individual responsible for privacy related questions:

Privacy Officer Name
Smith, Jeanette

Privacy Officer Phone Number 202-708-0614 x8062

Privacy Officer Title HUD Privacy Officer

Privacy Officer E-mail Jeanette_smith@HUD.GOV

Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval? No

I.B: SUMMARY OF SPENDING BY08

Summary of Spending BY08

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

SUMMARY OF SPENDING FOR PROJECT STAGES

* Costs in thousands

	PY - 1 and Earlier	PY 2006	CY 2007	BY 2008	BY + 1 2009	BY + 2 2010	BY + 3 2011	BY + 4 and Beyond	Total
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	PY - 1 and Earlier	PY 2006	CY 2007	BY 2008	BY + 1 2009	BY + 2 2010	BY + 3 2011	BY + 4 and Beyond	Total
Planning									
Budgetary Resources	0	960.81	816	3507.13					
Outlays	0	0	0	0					
A. Project Initiation/Planning									
Budgetary Resources	0	320.27	260	932.79					
Outlays	0	0	0	0					
B. Requirements Definition									
Budgetary Resources	0	320.27	265	1213.64					
Outlays	0	0	0	0					
C. System Design									
Budgetary Resources	0	320.27	291	1360.7					
Outlays	0	0	0	0					
Acquisition									
Budgetary Resources	0	8647.29	4184	6527.88					
Outlays	0	0	0	0					
D. Software Acquisition									
Budgetary Resources	0	1729.458	0	0					
Outlays	0	0	0	0					
E. Hardware/Infrastructure Acquisition									
Budgetary Resources	0	1729.458	0	0					
Outlays	0	0	0	0					
F. New Development/Perfective Maintenance									
Budgetary Resources	0	1729.458	2161	3959.45					
Outlays	0	0	0	0					
G. Systems Integration & Testing									
Budgetary Resources	0	1729.458	402	1937.25					
Outlays	0	0	0	0					
H. Installation & Deployment									
Budgetary Resources	0	1729.458	1621	631.18					
Outlays	0	0	0	0					
Subtotal Planning & Acquisition									
Budgetary Resources	0	9608.1	5000	10035.01					
Outlays	0	0	0	0					
Operations & Maintenance									
Budgetary Resources	0	8273.874	8888.85	9582.8					
Outlays	0	0	0	0					
I. Systems Operation									
Budgetary Resources	0	4136.937	5070.925	4138					
Outlays	0	0	0	0					
J. Corrective & Adaptive Maintenance									
Budgetary Resources	0	4136.937	3817.925	5444.8					
Outlays	0	0	0	0					

	PY - 1 and Earlier	PY 2006	CY 2007	BY 2008	BY + 1 2009	BY + 2 2010	BY + 3 2011	BY + 4 and Beyond	Total
TOTAL									
Budgetary Resources	0	17881.974	13888.85	19617.81					
Outlays	0	0	0	0					
Government FTE Costs									
Budgetary Resources	2205	933	1777.387	2380.483					
Planning									
Budgetary Resources	0	0	0	0					
Acquisition									
Budgetary Resources	0	0	0	0					
Maintenance									
Budgetary Resources	2205	933	1777.387	2380.483					

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

Full Time Equivalents BY08

Use the following table to provide the number of Government Full Time Equivalents (FTE) represented by the Government FTE Costs in the Summary of Spending Table. Numbers should be entered in decimal format for each of the categories listed.

FTE Table

	PY - 6 2000	PY - 5 2001	PY - 4 2002	PY - 3 2003	PY - 2 2004	PY - 1 2005	PY 2006	CY 2007	BY 2008	BY + 1 2009	BY + 2 2010	BY + 3 2011	BY + 4 2012	BY + 5 2013	BY + 6 2014	BY + 7 2015	BY + 8 2016	Total
Financial Management	0	0	0	0	0	0	0	0	0									
Security	0	0	0	0	0	0	0	0	0									
Program Management	0	0	0	0	0	0	0	0	0									
IT	0	0	0	0	0	0	0	0	8.93									
Other	0	0	0	0	0	0	0	0	0									
Total*	0	0	0	0	0	0	0	0	8.93									

*This row represents the 'Number of FTE represented by cost' from Summary of Spending table and will be sent to OMB.

Funding Questions BY08

Will this project require the agency to hire additional FTE's? No

How many and in what year?

If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes.
 The Summary of Spending for this initiative has changed because the scope of this initiative has changed. Last year it was reported as FHA Subsidiary Ledger, but this year this initiative includes budget request for 11 additional financial operations systems.

Provide the Percent Budget Formulation (BF) for the budget year 0

Provide the Percent Budget Execution (BE) for the budget year 0

Funding Sources BY08

Funding Sources

* Costs in thousands

FS Name: MAX Code	Row Type	PY - 6 2000	PY - 5 2001	PY - 4 2002	PY - 3 2003	PY - 2 2004	PY - 1 2005	PY 2006	CY 2007	BY 2008	BY + 1 2009	BY + 2 2010	BY + 3 2011	BY + 4 2012	BY + 5 2013	BY + 6 2014	BY + 7 2015	BY + 8 2016	Total
Working Capital Fund: 025-35-4586-0 On Ex.53: Yes	DME	0	0	0	0	0	0	9608.1	5000	18900									
	SS	0	0	0	0	0	0	9207	9967	10713									
	Total	0	0	0	0	0	0	18815.1	14967	29613									
Total Yearly Budgets	DME	0	0	0	0	0	0	9608.1	5000	18900									
	SS	0	0	0	0	0	0	9207	9967	10713									
	Total	0	0	0	0	0	0	18815.1	14967	29613									

I.C: ACQUISITION/CONTRACT STRATEGY BY08

Contract/Task Order Table BY08

Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

Contract/Task Orders Table

Row Number	Contract or Task Order Number	Type of Contract/ Task Order	Has the contract been awarded?	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order	Is this an Interagency Acquisition?	Is it performance based?	Competitively awarded?	What, if any, alternative financing option is being used?	Is EVM in the contract?	Does the contract include the required security and privacy clauses?	Name of CO	CO Contact information (phone/email)	Contracting Officer Certification Level	CO assigned has the competencies and skills necessary to support this
1	C-OPC-22797/TO2 /A80R	FFP	Yes	12/2/2005	12/2/2005	9/29/2006	307357.00	No	Yes	No	NA	No	Yes	Glymph, Kelvin	202-708-7133 / Kelvin_X_Glymph@hud.gov	N/A	Yes
2	C-OPC-22797/TO3 /A80B/D/A	FFP	Yes	3/24/2006	3/24/2006	12/2/2006	2	No	Yes	No	NA	No	Yes	Glymph, Kelvin	202-708-7133 / Kelvin_X_Glymph@hud.gov	N/A	Yes
3	C-OPC-22594/BPA /A80N	FFP	Yes	5/10/2002	5/13/2002	5/13/2007	1.7	No	Yes	No	NA	Yes	Yes	Wissman, Robert	202-708-1772 / Robert_M_Wissman@hud.gov	N/A	Yes
4	C-OPC-22594/TO3 /A80N	FFP	Yes	12/1/2005	12/1/2005	11/30/2006	331429.00	No	Yes	No	NA	No	Yes	Wissman, Robert	Robert_A_Wissman@hud.gov	N/A	Yes
5	C-OPC-New Contract/A80Q	FFP	No	12/31/2007	12/31/2007	12/31/2012	2	No	Yes	Yes	NA	Yes	Yes	Glymph, Kelvin	202-708-1772 / Kelvin_Glymph@hud.gov	N/A	Yes
6	C-OPC-22454/A80Q	FFP	Yes	7/18/2003	7/18/2003	12/31/2007	2	No	Yes	No	NA	No	Yes	Glymph, Kelvin	202-708-1772 / Kelvin_Glymph@hud.gov	N/A	Yes
7	C-OPC-23021/PO13	FFP	Yes	5/25/2005	8/31/2005	7/31/2007	577831.00	No	Yes	No	NA	No	Yes	Glymph, Kelvin	202-708-1772 / Kelvin_Glymph@hud.gov	N/A	Yes
8	C-OPC--22128 TO8/PO13	FFP	Yes	2/25/2005	2/25/2005	12/29/2006	8303351.00	No	Yes	No	NA	No	Yes	Glymph, Kelvin	202-708-1772 / Kelvin_Glymph@hud.gov	N/A	Yes
9	C-OPC-22128/New TO/PO13	FFP	No	12/30/2006	12/30/2006	12/29/2007	3551736.00	No	Yes	Yes	NA	Yes	Yes	Glymph, Kelvin	202-708-1772 / Kelvin_Glymph@hud.gov	N/A	Yes
10	C-OPC-New	FFP	No	9/1/2006	9/1/2006	9/1/2007	2832624.00	No	Yes	No	NA	Yes	Yes	Glymph, Kelvin	202-708-7133 /	N/A	Yes

Row Number	Contract or Task Order Number	Type of Contract/ Task Order	Has the contract been awarded?	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order	Is this an Interagency Acquisition?	Is it performance based?	Competitively awarded?	What, if any, alternative financing option is being used?	Is EVM in the contract?	Does the contract include the required security and privacy clauses?	Name of CO	CO Contact information (phone/email)	Contracting Officer Certification Level	CO assigned has the competencies and skills necessary to support this
	Contract/PO13														Kelvin_X._Glymph@hud.gov		
11	C-OPC-New Contract/PO13	FFP	No	9/1/2006	9/1/2006	9/1/2007	2617817.00	No	Yes	No	NA	Yes	Yes	Glymph, Kelvin	202-708-7133 / Kelvin_X._Glymph@hud.gov	N/A	Yes
12	C-OPC-New Contract/PO13	FFP	No	7/1/2007	7/7/2007	7/1/2013	15	No	Yes	Yes	NA	Yes	Yes	Glymph, Kelvin	202-708-7133 / Kelvin_X._Glymph@hud.gov	N/A	Yes
13	C-OPC-22120/BPA/PO13	FFP	Yes	1/15/2002	1/15/2002	1/31/2007	30	No	Yes	Yes	NA	No	Yes	Glymph, Kelvin	202-708-7133 / Kelvin_X._Glymph@hud.gov	N/A	Yes
14	C-OPC-22120/TO9/PO13	FFP	Yes	11/26/2006	5/27/2005	11/26/2006	6.3	No	Yes	No	NA	No	Yes	Glymph, Kelvin	202-708-7133 / Kelvin_X._Glymph@hud.gov	N/A	Yes
15	C-OPC-22156/BPA/A43/A43C	FFP	Yes	5/10/2002	5/13/2002	5/13/2007	3.5	No	Yes	Yes	NA	No	Yes	Glymph, Kelvin	202-708-7133 / Kelvin_X._Glymph@hud.gov	N/A	Yes
16	C-OPC-22156/TO14/A43/A43C	FFP	Yes	12/1/2005	12/1/2005	11/30/2006	2127094.00	No	Yes	No	NA	No	Yes	Glymph, Kelvin	202-708-7133 / Kelvin_X._Glymph@hud.gov	N/A	Yes
17	C-OPC-New Contract/A43/A43C	FFP	Yes	5/13/2007	5/13/2007	5/13/2012	30	No	Yes	Yes	NA	Yes	Yes	Glymph, Kelvin	202-708-7133 / Kelvin_X._Glymph@hud.gov	N/A	Yes
18	C-OPC-22797/BPA/A80B/D/R	FFP	Yes	12/9/2004	12/9/2004	9/29/2006	10.4	No	Yes	Yes	NA	No	Yes	Glymph, Kelvin	202-708-7133 / Kelvin_X._Glymph@hud.gov	N/A	Yes
19	C-OPC-22583/BPA/F71/F12	FFP	Yes	9/29/2004	9/24/2004	9/29/2008	15	No	Yes	No	NA	No	Yes	Wissman, Robert B	202-708-1772 / robert_b._wissman@hud.gov	N/A	Yes
20	C-OPC-22583/TO4/F71	FFP	Yes	9/29/2004	9/29/2004	8/31/2007	304297.00	No	Yes	Yes	NA	No	Yes	Wissman, Robert B	202-708-1772 / robert_b._wissman@hud.gov	N/A	Yes
21	C-OPC-22583/TO6/F71	FFP	Yes	9/22/2005	9/24/2005	4/22/2007	222757	No	Yes	No	NA	No	Yes	Wissman, Robert B	202-708-1772 / robert_b._wissman@hud.gov	N/A	Yes
22	C-OPC-22583/TO7/F71/F12	FFP	Yes	11/28/2005	11/28/2005	10/27/2006	2.2	No	Yes	Yes	NA	No	Yes	Wissman, Robert B	202-708-1772 / robert_b._wissman@hud.gov	N/A	Yes
23	C-OPC-22348/BPA/F72	FFP	Yes	4/17/2003	3/31/2003	3/31/2008	4.4	No	Yes	Yes	NA	No	Yes	NEDD,MICHELLE		N/A	Yes
24	C-OPC-22348/TO8/F72	FFP	Yes	9/7/2005	9/1/2005	8/31/2006	249684.00	No	Yes	No	NA	No	Yes	NEDD,MICHELLE		N/A	Yes
25	C-OPC-22348/TO9/F72	FFP	Yes	2/22/2006	2/23/2006	2/22/2007	296758.00	No	Yes	No	NA	No	Yes	NEDD,MICHELLE		N/A	Yes
26	C-OPC-NEW CONTRACT/F72	FFP	No	3/31/2008	3/31/2008	3/31/2013	4.4	No	Yes	Yes	NA	Yes	Yes	NEDD,MICHELLE		N/A	Yes
27	C-OPC-22408/TO5/F75	Hybrid	Yes	4/15/2004	4/15/2004	9/29/2006	704942.00	No	Yes	Yes	NA	No	Yes	Wissman, Robert	202-708-1772 / Kelvin_Glymph@hud.gov	N/A	Yes
28	C-OPC-22408/F47/F75	Hybrid	Yes	2/25/2003	2/25/2003	5/25/2008	2.6	No	Yes	Yes	NA	No	Yes	Wissman, Robert B	202-708-1772 / robert_b._wissman@hud.gov	N/A	Yes
29	C-OPC-22128/BPA/PO13	FFP	Yes	1/11/2002	1/11/2002	12/31/2006	20	No	Yes	Yes	NA	No	Yes	Glymph, Kelvin	202-708-7133 / Kelvin_X._Glymph@hud.gov	N/A	Yes
30	C-OPC-22408/TO7/F47	Hybrid	Yes	9/29/2006	9/29/2006	5/2/2007	91135.00	No	Yes	Yes	NA	No	Yes	Wissman, Robert B	202-708-1772 / robert_b._wissman@hud.gov	N/A	Yes
31	C-OPC-22408/TO6/F75	Hybrid	Yes	7/14/2006	7/14/2006	3/7/2007	112505.00	No	Yes	Yes	NA	No	Yes	Wissman, Robert B	202-708-1772 / robert_b._wissman@hud.gov	N/A	Yes

Contract/Task Order Questions BY08

If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

Contracts with "NO" EVM indicated in the contract are maintenance contracts which practice "Operational Analysis".

Do the contracts ensure Section 508 compliance? Yes

Explain why (508 Compliance)? Software supports 508 compliance.

Is there an acquisition plan which has been approved in Yes

accordance with agency requirements?

What is the date of your acquisition plan? 8/15/2005

If "no," will an acquisition plan be developed?

If "no," briefly explain why:

I.D: PERFORMANCE INFORMATION BY08

Performance Goals & Measures BY08

Agencies must use the Performance Goals and Measures Table below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Performance Goals and Measures

Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
2002	EM. Embrace high standards of ethics, management, and accountability. EM 2. Improve HUD's management, internal controls and systems and resolve audit issues customer service of HUD and our partners	HUD developed this metric in 12/02; therefore no goals were established for FY 02.	2 material weaknesses: 1)FHA's ADP system environment must be enhanced to more effectively support FHA's business processes2) Controls over budget execution and funds control must be improved	Number of Material Weaknesses	2 material weaknesses
2002	EM. Embrace high standards of ethics, management, and accountability EM 2. Improve HUD's management, internal controls and systems and resolve audit issues EM 3. Improve accountability, service delivery, and customer service of HUD and our partners	HUD developed this metric in 12/02; therefore no goals were established for FY 02.	15 Financial Management Systems Not in Compliance with Federal Financial Management Guidelines (OMB Circular A-127).	Number of financial management systems not in compliance with Federal financial management guidelines	15 Financial management systems not in compliance with Federal financial management guidelines
2002	EM. Embrace high standards of ethics, management, and accountability EM 2. Improve HUD's management, internal controls and systems and resolve audit issues EM 3. Improve accountability, service delivery, and customer service of HUD and	HUD developed this metric in 12/02; therefore no goals were established for FY 02.	19 FHA Financial Management Systems in Operation	Number of FHA financial management systems in operation	19 FHA financial management systems in operation

Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
	our partners				
2003	EM. Embrace high standards of ethics, management, and accountability EM 2. Improve HUD's management, internal controls and systems and resolve audit issues	2 material weaknesses. FHA's Blueprint for Financial Management Systems dated April 2001 describes FHA's plan to strengthen funds control processes, accounting operations and financial statement reporting. FHA expects to address the cited material weaknesses with the completion of this phased plan in December 2006.	2 material weaknesses: 1)FHA's ADP system environment must be enhanced to more effectively support FHA's business processes 2) Controls over budget execution and funds control must be improved	Number of material weaknesses	2 material weaknesses
2003	EM. Embrace high standards of ethics, management, and accountability EM 2. Improve HUD's management, internal controls and systems and resolve audit issues EM 3. Improve accountability, service delivery, and customer service of HUD and our partners	3 Financial Management Systems Not in Compliance with Federal Financial Management Guidelines (7(g)-(l) of OMB Circular A-127). Note: All 15 Financial Management Systems will be compliant with 7(a)-(f) of OMB Circular A-127.	15 Financial Management Systems Not in Compliance with Federal Financial Management Guidelines (OMB Circular A-127).	Number of financial management systems not in compliance with Federal financial management guidelines	3 financial management systems not in compliance with Federal financial management guidelines
2003	EM. Embrace high standards of ethics, management, and accountability EM 2. Improve HUD's management, internal controls and systems and resolve audit issues EM 3. Improve accountability, service delivery, and customer service of HUD and our partners	19 FHA financial management systems in operation	19 FHA Financial Management Systems in Operation	Number of Financial Management Systems in Operation	The number of FHA Financial Management Systems in Operation remains at 19.Note: A56 (FHA General Ledger) was replaced in FY03 by OFFM-compliant P013. retaining the number of systems at 19.
2004	EM. Embrace high standards of ethics, management, and accountability EM	2 material weaknesses. FHA's Blueprint for Financial Management	2 material weaknesses: 1)FHA's ADP system environment must	Number of material weaknesses	1 material weakness as of 9/30/04

Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
	2. Improve HUD's management, internal controls and systems and resolve audit issues	Systems dated April 2001 describes FHA's plan to strengthen funds control processes, accounting operations and financial statement reporting. FHA expects to address the cited material weaknesses with the completion of this phased plan in December 2006.	be enhanced to more effectively support FHA's business processes 2) Controls over budget execution and funds control must be improved. Baseline was established 1/31/03		
2004	EM. Embrace high standards of ethics, management, and accountability EM 2. Improve HUD's management, internal controls and systems and resolve audit issues EM 3. Improve accountability, service delivery, and customer service of HUD and our partners	16 Financial management systems in operation	19 FHA Financial Management Systems in Operation. Baseline was created 1/31/03	Number of Financial Management Systems in Operation	16 FHA Financial Management Systems in Operation
2004	EM. Embrace high standards of ethics, management, and accountability EM 2. Improve HUD's management, internal controls and systems and resolve audit issues EM 3. Improve accountability, service delivery, and customer service of HUD and our partners	3 Financial Management Systems Not in Compliance with Federal Financial Management Guidelines (7(g)-(l) of OMB Circular A-127). Note: All 15 Financial Management Systems will be compliant with 7(a)-(f) of OMB Circular A-127.	15 Financial Management Systems Not in Compliance with Federal Financial Management Guidelines (OMB Circular A-127).	Number of financial management systems not in compliance with Federal financial management guidelines	3 Financial Management Systems Not in Compliance with Federal Financial Management Guidelines 2004

FEA Performance Reference Model (PRM) BY08

FEA PRM

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
2005	Goal E:	Customer	Timeliness and	Delivery	Delivery Time	Monthly	Monthly	Monthly

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
	Embrace High Standards of Ethics, Management and Accountability	Results	Responsiveness	Time		financial statements are closed within 10 days. FHASL incorporates the use of an OFFM-compliant, commercial off-the-shelf (COTS) software package to function as a subsidiary general ledger.	financial statements are closed within 8 days	statements are done within 10 days
2005	Goal E: Embrace High Standards of Ethics, Management and Accountability	Customer Results	Timeliness and Responsiveness	Response Time	Response Time	Yearly financial statements are closed within 74 days.	Draft yearly financial statements are closed within 15 days. Final yearly financial statements are closed within 45 days.	For FY05 financial statements were completed in 45 days.
2005	Goal E: Embrace High Standards of Ethics, Management and Accountability	Mission and Business Results	Financial Management	Accounting	Accounting	Three financial management systems not in compliance with Federal financial management guidelines (OMB Circular A-127)	Two financial management systems not in compliance with Federal financial management guidelines	Two financial management systems not in compliance with Federal Financial Management guidelines.
2005	Goal E: Embrace High Standards of Ethics, Management and Accountability	Mission and Business Results	Financial Management	Asset and Liability Management	Asset and Liability Management	2 material weaknesses	2 material weaknesses eliminated	All material weaknesses eliminated
2005	Goal E: Embrace High Standards of Ethics, Management and Accountability	Mission and Business Results	Financial Management	Reporting and Information	Reporting and Information	16% of financial systems are eliminated, integrated or reengineered (3 of 19)	42% of financial systems are eliminated, integrated or reengineered (8 of 19)	16% of financial systems have been eliminated, integrated or reengineered (3 of 19).
2005	Goal E: Embrace High Standards of Ethics,	Mission and Business Results	Information and Technology Management	Information Management	Information Management	19 financial management systems in operation	13 financial management systems in operation	16 financial management systems in operation

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
	Management and Accountability							
2005	Goal E: Embrace High Standards of Ethics, Management and Accountability	Processes and Activities	Productivity and Efficiency	Efficiency	Efficiency	0% of endorsements have funds control automated in FHASL. Automation of funds control improves accuracy, reducing the number of reconciliations that must be performed. This is a factor in decreasing the amount of time it takes to close fin. statem.	100% of endorsements have funds control automated in FHASL	100% of endorsements have funds control automated in FHASL. Credit Subsidy Control (CSC) was implemented and went live 10/04
2005	Goal E: Embrace High Standards of Ethics, Management and Accountability	Technology	Efficiency	Response Time	Response Time	0% of financial operations have automated journal entries. Automated journal entries and daily funds control give FHA better control over funds management and decrease response time for preparing financial statements.	50% of financial operations have automated journal entries	85% of financial operations have automated journal entries.
2005	Goal E: Embrace High Standards of Ethics, Management and Accountability	Technology	Information and Data	Data Reliability and Quality	Data Reliability and Quality	0% of funds control processes are performed daily.	100% of funds control processes are performed daily	100% of funds control processes are performed daily. Cash, Controls & Funds Control (CCFC) was implemented and went live 7/04
2006	Goal E: Embrace High Standards of Ethics,	Customer Results	Timeliness and Responsiveness	Delivery Time	Delivery Time in days to close monthly financial	Monthly financial statements are closed	Monthly financial statements are closed	Monthly financial statements are closed

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
	Management and Accountability				statements.	within 10 days	within 8 days	within 10 days
2006	Goal E: Embrace High Standards of Ethics, Management and Accountability	Customer Results	Timeliness and Responsiveness	Delivery Time	Delivery Time in days to close yearly financial statements.	Yearly financial statements are closed within 74 days	Draft yearly financial statements are closed within 15 days. Final yearly financial statements are closed within 45 days.	Will be reported after FY06 Year End
2006	Goal E: Embrace High Standards of Ethics, Management and Accountability	Mission and Business Results	Financial Management	Accounting	Number of financial management systems not in compliance with Federal financial management guidelines	3 financial management systems not in compliance with Federal financial management guidelines (OMB Circular A-127)	2 financial systems not in compliance with Federal financial management guidelines	All financial systems are in compliance with Federal financial management guidelines
2006	Goal E: Embrace High Standards of Ethics, Management and Accountability	Mission and Business Results	Financial Management	Asset and Liability Management	Number of material weaknesses	2 material weaknesses	2 material weaknesses (Identified material weaknesses will not be completely addressed until system is fully implemented in 12/06)	All material weaknesses eliminated
2006	Goal E: Embrace High Standards of Ethics, Management and Accountability	Mission and Business Results	Financial Management	Reporting and Information	Percentage of financial systems eliminated, integrated or reengineered	16% of financial systems are eliminated, integrated or reengineered (3 of 19)	42% of financial systems are eliminated, integrated or reengineered (8 of 19)	16% of financial systems are eliminated, integrated or reengineered (3 of 19)
2006	Goal E: Embrace High Standards of Ethics, Management and Accountability	Mission and Business Results	Information and Technology Management	Information Management	Number of financial management systems in operation	19 financial management systems in operation	14 financial management systems in operation	16 financial management systems in operation
2006	Goal E: Embrace High Standards of Ethics, Management and Accountability	Processes and Activities	Productivity and Efficiency	Efficiency	Percent of endorsements that have funds control automated in FHASL	0% of endorsements have funds control automated in FHASL	100% of endorsements have funds control automated in FHASL	100% of endorsements have funds control automated in FHASL
2006	Goal E: Embrace High	Technology	Efficiency	Response Time	Percentage of financial	0% of financial	65% of financial	85% of financial

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
	Standards of Ethics, Management and Accountability				operations that have automated journal entries	operations have automated journal entries	operations have automated journal entries	operations have automated journal entries
2006	Goal E: Embrace High Standards of Ethics, Management and Accountability	Technology	Information and Data	Data Reliability and Quality	Percentage of funds control processes that are performed daily	0% of funds control processes are performed daily	100% of funds control processes are performed daily	100% of funds control processes are performed daily
2007	Goal E: Embrace High Standards of Ethics, Management and Accountability	Customer Results	Timeliness and Responsiveness	Delivery Time	Delivery time in days to close monthly financial statements	Monthly financial statements are closed within 10 days.	Monthly financial statements are closed within 8 days	
2007	Goal E: Embrace High Standards of Ethics, Management and Accountability	Customer Results	Timeliness and Responsiveness	Delivery Time	Delivery time in days to close yearly financial statements	Yearly financial statements are closed within 74 days	Draft yearly financial statements are closed within 15 days. Final yearly financial statements are closed within 45 days.	
2007	Goal E: Embrace High Standards of Ethics, Management and Accountability	Mission and Business Results	Financial Management	Accounting	Number of financial systems not in compliance with federal financial management guidelines (OMB Circular A-127)	3 financial management systems not in compliance with federal financial management guidelines (OMB Circular A-127)	2 financial systems not in compliance with federal financial management guidelines (OMB Circular A-127)	
2007	Goal E: Embrace High Standards of Ethics, Management and Accountability	Mission and Business Results	Financial Management	Asset and Liability Management	Number of material weaknesses	2 material weaknesses: 1-FHA's ADP system environment must be enhanced to more effectively support FHA's business processes; 2- Controls over budget execution and funds control must be improved	2 material weaknesses eliminated	
2007	Goal E:	Mission and	Financial	Reporting	Percentage of	16% of	42% of	

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
	Embrace High Standards of Ethics, Management and Accountability	Business Results	Management	and Information	financial systems eliminated, integrated, or reengineered	financial systems are eliminated, integrated or reengineered (3 of 19)	financial systems are eliminated, integrated, or reengineered (8 of 19)	
2007	Goal E: Embrace High Standards of Ethics, Management and Accountability	Mission and Business Results	Information and Technology Management	Information Management	Number of financial management systems in operation	19 financial management systems in operation	11 financial management systems in operation	
2007	Goal E: Embrace High Standards of Ethics, Management and Accountability	Processes and Activities	Productivity and Efficiency	Efficiency	Percentage of endorsements that have funds control automated in FHASL	0% of endorsements have funds control automated in FHASL	100% of endorsements have funds control automated in FHASL	
2007		Processes and Activities	Productivity and Efficiency	Efficiency	Percentage of endorsements that have funds control automated in FHASL	0% of endorsements have funds control automated in FHASL	100% of endorsements have funds control automated in FHASL	
2007	Goal E: Embrace High Standards of Ethics, Management and Accountability	Technology	Efficiency	Response Time	Percentage of financial operations that have automated journal entries	0% of financial operations have automated journal entries	85% of financial operations have automated journal entries	
2007		Technology	Efficiency	Response Time	Percentage of financial operations that have automated journal entries	0% of financial operations have automated journal entries	85% of financial operations have automated journal entries	
2007	Goal E: Embrace High Standards of Ethics, Management and Accountability	Technology	Information and Data	Data Reliability and Quality	Percentage of funds control processes that are performed daily	0% of funds control processes are performed daily	100% of funds control processes are performed daily	
2007		Technology	Information and Data	Data Reliability and Quality	Percentage of funds control processes that are performed daily	0% of funds control processes are performed daily	100% of funds control processes are performed daily	
2008	Goal E: Embrace High Standards of Ethics, Management and	Customer Results	Timeliness and Responsiveness	Delivery Time	Delivery time in days to close yearly financial statements	Yearly financial statements are closed within 74 days	Draft yearly financial statements are closed within 15 days. Final	

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
	Accountability						yearly financial statements are closed within 45 days.	
2008	Goal E: Embrace High Standards of Ethics, Management and Accountability	Mission and Business Results	Financial Management	Reporting and Information	Percentage of financial systems that are eliminated, integrated, or reengineered	16% of financial systems are eliminated, integrated or reengineered (3 of 19)	42% of financial systems are eliminated, integrated, or reengineered (8 of 19)	
2008	Goal E: Embrace High Standards of Ethics, Management and Accountability	Mission and Business Results	Information and Technology Management	Information Management	Number of financial systems in operation	19 financial systems in operation	11 financial systems in operation	
2008	Goal E: Embrace High Standards of Ethics, Management and Accountability	Processes and Activities	Productivity and Efficiency	Efficiency	Percentage of endorsements that have funds control automated in FHASL	0% of endorsements have funds control automated in FHASL	100% of endorsements have funds control automated in FHASL	
2008	Goal E: Embrace High Standards of Ethics, Management and Accountability	Technology	Efficiency	Response Time	Percentage of financial operations that have automated journal entries	0% of financial operations have automated journal entries	85% of financial operations have automated journal entries	
2008	Goal E: Embrace High Standards of Ethics, Management and Accountability	Technology	Information and Data	Data Reliability and Quality	Percentage of funds control processes that are performed daily	0% of funds control processes are performed daily	100% of funds control processes are performed daily	

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.e.gov.gov.

I.E: SECURITY AND PRIVACY BY08

Costs & Risks BY08

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

All systems supporting and/or part of this investment should be included in the tables below, inclusive of both agency owned systems and contractor systems. For IT investments under development, security and privacy planning must proceed in parallel with the development of the system/s to ensure IT security and privacy

requirements and costs are identified and incorporated into the overall lifecycle of the system/s.

Please respond to the questions below and verify the system owner took the following actions:

Have the IT security costs for the system(s) been identified and integrated into the overall costs of the investment? Yes

Provide the Percentage IT Security for the budget year 8.165000

Is identifying and assessing security and privacy risks a part of the overall risk management effort for each system supporting or part of this investment. Yes

Security: Planning Systems BY08

Systems in Planning - Security

Name of System	Agency/ or Contractor Operated System?	Planned Operational Date	Planned or Actual C&A Completion Date
F47	Contractor and Government	2/28/2007	2/28/2007
PO13 FHA Subsidiary Ledger (system is operational but next Phase is scheduled to be released Q1 FY08)	Contractor and Government	2/29/2008	6/30/2006

Security: Operational Systems BY08

Operational Systems - Security

Name of System	Agency/ or Contractor Operated System?	NIST FIPS 199 Risk Impact level	Has C&A been Completed, using NIST 800-37?	Date C&A Complete	What standards were used for the Security Controls tests?	Date Complete(d): Security Control Testing	Date the contingency plan tested
00251080 A43C SF Insurance Claims Subsystem-system not incorporated into PO13 at this time.	Contractor and Government	Moderate	Yes	6/30/2005	FIPS 200 / NIST 800-53	9/1/2006	9/1/2005
00251140 A43 SF Insurance System-System not incorporated in PO13 at this time	Contractor and Government	High	Yes	5/13/2005	FIPS 200 / NIST 800-53	9/1/2006	9/1/2005
00251170 A80D Distributive Shares & Refund Subsystem - System not incorporated into PO13 at this time.	Contractor and Government	High	Yes	6/30/2005	FIPS 200 / NIST 800-53	9/7/2006	9/7/2005
00251190 A80N SF Mortgage Notes System - System not incorporated into PO13 at this time.	Contractor and Government	High	Yes	6/30/2005	FIPS 200 / NIST 800-53	9/7/2006	9/7/2005
00251200 A80Q Public Inquiry Communications	Contractor and Government	Moderate	Yes	6/30/2005	FIPS 200 / NIST 800-53	9/7/2006	9/7/2005

Name of System	Agency/ or Contractor Operated System?	NIST FIPS 199 Risk Impact level	Has C&A been Completed, using NIST 800-37?	Date C&A Complete	What standards were used for the Security Controls tests?	Date Complete(d): Security Control Testing	Date the contingency plan tested
System - System not incorporated into PO13 at this time.							
00251210 A80B SF Premiums Collection Subsystem-Periodic - System not incorporated into PO13 at this time.	Contractor and Government	Moderate	Yes	6/30/2005	FIPS 200 / NIST 800-53	11/30/2005	9/7/2005
00251220 A80R SF Premiums Collection subsystem - Upfront - System not incorporated into PO13 at this time.	Contractor and Government	Moderate	Yes	6/30/2005	FIPS 200 / NIST 800-53	9/7/2006	9/7/2005
00251300 F47 MF Insurance System - System not incorporated into PO13 at this time.	Contractor and Government	Low	Yes	6/30/2005	FIPS 200 / NIST 800-53	9/7/2006	9/7/2005
00251310 F71/71A Debt collection Asset Mgmt System - System not incorporated into PO13 at this time.	Contractor and Government	Moderate	Yes	6/30/2005	FIPS 200 / NIST 800-53	9/7/2006	9/7/2005
00251320 F72 Title I Insurance and Claims System - System not incorporated into PO13 at this time.	Contractor and Government	Moderate	Yes	6/30/2005	FIPS 200 / NIST 800-53	9/7/2006	9/7/2005
00251330 F75 MF Insurance & Claims - System not incorporated into PO13 at this time.	Contractor and Government	Low	Yes	6/30/2005	FIPS 200 / NIST 800-53	9/7/2006	9/7/2005
00410350 PO13 FHA Subsidiary Ledger	Contractor and Government	Moderate	Yes	6/30/2005	FIPS 200 / NIST 800-53	6/23/2006	6/23/2006

Security: Weaknesses & Contractor Procedures BY08

Have any weaknesses, not yet remediated, related to any of the systems part of or supporting this investment been identified by the agency or IG? Yes

If "yes," have those weaknesses been incorporated into the agency's plan of action and milestone process? Yes

Indicate whether an increase in IT security funding is No

requested to remediate IT security weaknesses?

If "yes," specify the amount, provide a general description of the weakness, and explain how the funding request will remediate the weakness.

How are contractor security procedures monitored, verified, and validated by the agency for the contractor systems above?

Contractors currently operate investment under OCIO management and control and in a government-owned facility. Contractors responsible for system operations do not have direct update access to any of the systems. In accordance with contract provisions, contractor reporting, site inspections, audits, and personnel background investigations are periodically conducted to ensure contractor compliance with all security requirements. All HUD contracts must comply with HUD IT operations and security policy. IT Data Centers must follow FISMA, A-130, A-11, and other federal requirements. Contractor procedures are monitored through biweekly status meetings based on results of automated scans and audit log reviews. Contractors who provide software development and technical operations support must provide the same evidence of background investigation. Under contract terms and in compliance with HUD policies, contractor security procedures are monitored, verified, and validated by the agency. User identification and authentication are accomplished through the user identification codes and passwords and is fully supported as an integrated part of the agency's IT infrastructure. Specifically, access is controlled through user profiles that relate to defined job functions and restrict access to needed procedures and associated data. FHASL contracts include a security clause from the HUD Acquisition Regulations (HUDAR 2452.239-70) that requires contractors and subcontractors: (1) to have a background investigation; (2) be U.S. citizens (or owe allegiance to the U.S.); (3) to notify HUD of any security breach or suspected breach or unauthorized disclosure of information contained in the specific investment; (4) to not release or divulge information developed or obtained during the contract; (5) to establish security procedures that meet the relevant requirements of HUD Handbooks 2400.24, "Information Security Program", and 732.2, "Personnel Security/ Suitability"; and (6) to comply with the contract security clause or face termination of the contract for default.

Privacy: Planning & Operational Systems BY08

Planning & Operational Systems - Privacy

Name of System	Is this a new system?	Is there a Privacy Impact Assessment (PIA) that covers this system?	Is the PIA available to the public?	Is a System of Records Notice (SORN) required for this system?	Was a new or amended SORN published in FY 06?
A43 SFIS 00251140 SF Insurance System	No	No.	No, because the PIA has not been prepared.	Yes	No, because the existing Privacy Act system of records was not substantially revised in FY 06.
A43C 00251080 SF Insurance Claims Subsystem	No	Yes.	Yes.	Yes	No, because the existing Privacy Act system of records was not substantially revised in FY 06.
A80B SFPCS 00251210 SF Premiums Collection Subsystem-Periodic	No	Yes.	Yes.	No	No, because the system is not a Privacy Act system of records.
A80D DSRS 00251170 Distributive Shares & Refund Subsystem	No	No.	No, because a PIA is not yet required to be completed at this time.	No	No, because the system is not a Privacy Act system of records.
A80N SFMNS 00251190 SF Mortgage Notes System	No	Yes.	Yes.	No	No, because the system is not a Privacy Act system of records.
A80Q PICS 00251200 Public Inquiry Communications System	No	No.	No, because a PIA is not yet required to be completed at	No	No, because the system is not a Privacy Act system of records.

Name of System	Is this a new system?	Is there a Privacy Impact Assessment (PIA) that covers this system?	Is the PIA available to the public?	Is a System of Records Notice (SORN) required for this system?	Was a new or amended SORN published in FY 06?
			this time.		
A80R SFPCS 00251220 SF Premiums Collection Subsystem-Upfront	No	Yes.	Yes.	No	No, because the system is not a Privacy Act system of records.
F47 MFIS 00251300 MF Insurance System	No	No.	No, because a PIA is not yet required to be completed at this time.	No	No, because the system is not a Privacy Act system of records.
F71/F71A DCAMS 00251310 Debt Collection Asset Mgmt System	No	Yes.	Yes.	Yes	Yes, because this is a newly established Privacy Act system of records.
F72 TIIS 00251320 Title I Insurance and Claims System	No	Yes.	Yes.	Yes	Yes, because this is a newly established Privacy Act system of records.
F75 MFIC 00251330 MF Insurance & Claims	No	No.	No, because the PIA has not been prepared.	No	No, because the system is not a Privacy Act system of records.
PO13 FHASL 00410350 FHA Subsidiary Ledger	No	Yes.	Yes.	No	No, because the system is not a Privacy Act system of records.

I.F: ENTERPRISE ARCHITECTURE (EA) BY08

General EA Questions BY08

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Is this investment included in your agency's target enterprise architecture? Yes

If "no," please explain why this investment is not included in your agency's target enterprise architecture?

Is this investment included in the agency's EA Transition Strategy? Yes

If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. Financial Management Modernization--FHASL Integrates with HUD's Core Financial System

If "no," please explain why this investment is not included in the agency's EA Transition Strategy?

FEA SRM BY08

Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Service Component Reference Model (SRM) Table

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
Asset Cataloging/Identification	Asset Cataloging/Identification defines the set of capabilities that support the listing and specification of available assets.	Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			No Reuse	0
Asset Transfer, Allocation, and Maintenance	Asset Transfer, Allocation, and Maintenance defines the set of capabilities that support the movement, assignment, and replacement of assets.	Back Office Services	Asset / Materials Management	Asset Transfer, Allocation, and Maintenance			No Reuse	0
Property/Asset Management	Property/Asset Management defines the set of capabilities that support the identification, planning and allocation of an organization's physical capital and resources.	Back Office Services	Asset / Materials Management	Property / Asset Management			No Reuse	0
Data Exchange	Data Exchange defines the set of capabilities that support the interchange of information between multiple systems or applications.	Back Office Services	Data Management	Data Exchange			No Reuse	0
Activity-Based Management	Support a defined, specific set of finance related tasks for a given objective.	Back Office Services	Financial Management	Activity-Based Management			No Reuse	0
Auditing	Support the examination and verification of records for accuracy.	Back Office Services	Financial Management	Auditing			No Reuse	0
Financial Management	Defines the set of capabilities that support the charging, collection, and reporting of an organization's accounts.	Back Office Services	Financial Management	Billing and Accounting			No Reuse	20
Financial Management	Defines the set of capabilities that support the process of accounts receivable.	Back Office Services	Financial Management	Debt Collection			No Reuse	5
Expense Management	Expense Management defines the set of capabilities that support the management and reimbursement of costs paid by employees or an organization.	Back Office Services	Financial Management	Expense Management			No Reuse	0
Internal Controls	Internal Controls defines the set of capabilities that support the methods and procedures used by the	Back Office Services	Financial Management	Internal Controls			No Reuse	0

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
	organization to safeguard its assets, produce accurate accounting data and reports, contribute to efficient operations, and encourage staff to adhere to management policies.							
Financial Management	Defines the set of capabilities that support the process of accounts payable.	Back Office Services	Financial Management	Payment / Settlement			No Reuse	10
Revenue Management	Support the allocation and re-investment of earned net credit or capital within an organization.	Back Office Services	Financial Management	Revenue Management			No Reuse	0
Standardized/Canned	Standardized/Canned defines the set of capabilities that support the use of pre-conceived or pre-written reports.	Business Analytical Services	Reporting	Standardized / Canned			No Reuse	0
Business Rule Management	Defines the set of capabilities for the management of the enterprise processes that support an organization and its policies.	Business Management Services	Management of Processes	Business Rule Management			No Reuse	0
Change Management	Change Management defines the set of capabilities that control the process for updates or modifications to the existing documents, software or business processes of an organization.	Business Management Services	Management of Processes	Change Management			No Reuse	0
Program/Project Management	Program/Project Management defines the set of capabilities for the management and control of a particular effort of an organization.	Business Management Services	Management of Processes	Program / Project Management			No Reuse	0
Alerts and Notifications	Defines the set of capabilities that allow a customer to be contacted in relation to a subscription or service of interest.	Customer Services	Customer Preferences	Alerts and Notifications	Alerts and Notifications		No Reuse	0
Contact and Profile Management	Contact and profile Management defines the set of capabilities that provide a comprehensive view of	Customer Services	Customer Relationship Management	Contact and Profile Management			No Reuse	0

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
	all customer interactions, including calls, email, correspondence and meetings; also provide for the maintenance of a customer's account, business and personal information.							
Partner Relationship Management	Partner Relationship Management defines the set of capabilities that provide a framework to promote the effective collaboration between an organization and its business partners, particularly distribution chain and other third parties that support operations and service departments.	Customer Services	Customer Relationship Management	Partner Relationship Management			No Reuse	0
Information Retrieval	Information Retrieval defines the set of capabilities that allow access to data and information for use by an organization and its stakeholders.	Digital Asset Services	Knowledge Management	Information Retrieval			No Reuse	0
Information Sharing	Information Sharing defines the set of capabilities that support the use of documents and data in a multi-user environment for use by an organization and its stakeholders.	Digital Asset Services	Knowledge Management	Information Sharing			No Reuse	0
Knowledge Capture	Knowledge Capture defines the set of capabilities that facilitate collection of data and information.	Digital Asset Services	Knowledge Management	Knowledge Capture			No Reuse	0
Knowledge Distribution and Delivery	Knowledge Distribution and Delivery defines the set of capabilities that support the transfer of knowledge to the end customer.	Digital Asset Services	Knowledge Management	Knowledge Distribution and Delivery			No Reuse	0
Document Retirement	Defines the set of capabilities that support the termination or cancellation of documents and artifacts used by an organization and its stakeholders.	Digital Asset Services	Records Management	Document Retirement			No Reuse	0
Case/Issue Management	Defines the set of capabilities for managing the life cycle	Process Automation Services	Tracking and Workflow	Case Management			No Reuse	0

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
	of a particular claim or investigation within an organization to include creating, routing, tracing assignment and closing of a case as well as collaboration among case handlers.							
Process Tracking	Process Tracking defines the set of capabilities to allow the monitoring of activities within the business cycle.	Process Automation Services	Tracking and Workflow	Process Tracking			No Reuse	0
Community Management	Defines the set of capabilities that support the administration of online groups that share common interests.	Support Services	Communication	Community Management			No Reuse	0
Forms Creation	Forms Creation defines the set of capabilities that support the design and generation of electronic or physical forms and templates for use within the business cycle by an organization and its stakeholders.	Support Services	Forms Management	Forms Creation			No Reuse	0

Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

FEA TRM BY08

To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

Technical Reference Model (TRM) Table

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
Activity-Based Management	Component Framework	Business Logic	Platform Dependent	CICS / CICS 4.1 / COBOL / OS390 v2.01.0 / COBOL II
Asset Cataloging / Identification	Component Framework	Business Logic	Platform Dependent	CICS / CICS 4.1 / COBOL / OS390 v2.01.0 / COBOL II
Asset Transfer, Allocation, and Maintenance	Component Framework	Business Logic	Platform Dependent	CICS / CICS 4.1 / COBOL / OS390 v2.01.0 / COBOL II
Business Rule Management	Component Framework	Business Logic	Platform Dependent	CICS / CICS 4.1 / COBOL / OS390 v2.01.0 / COBOL II

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
Program / Project Management	Component Framework	Business Logic	Platform Dependent	CICS / CICS 4.1 / COBOL / OS390 v2.01.0 / COBOL II
Document Retirement	Component Framework	Business Logic	Platform Dependent	CICS / CICS 4.1 / COBOL / OS390 v2.01.0 / COBOL II / IBM JCL
Expense Management	Component Framework	Business Logic	Platform Dependent	CICS / CICS 4.1 / COBOL / OS390 v2.01.0 / COBOL II / IBM JCL
Process Tracking	Component Framework	Business Logic	Platform Dependent	CICS / CICS 4.1 / COBOL / OS390 v2.01.0 / COBOL II / IBM JCL
Payment / Settlement	Component Framework	Business Logic	Platform Dependent	CICS / CICS 4.1 / COBOL / OS390 v2.01.0 / COBOL II / IBM JCL / MicroFocus Net Express COBOL Compiler /
Billing and Accounting	Component Framework	Business Logic	Platform Dependent	CICS / CICS 4.1 / COBOL / OS390 v2.01.0 / COBOL II / IBM JCL / PowerBuilder (version unspecified) / 5.0 / 6.5
Standardized / Canned	Component Framework	Business Logic	Platform Dependent	CICS / CICS 4.1, COBOL / OS390 v2.01.0 / COBOL II, IBM JCL
Auditing	Component Framework	Business Logic	Platform Dependent	COBOL / OS390 v2.01.0 / COBOL II / CICS / CICS 4.1
Change Management	Component Framework	Business Logic	Platform Dependent	COBOL / OS390 v2.01.0 / COBOL II / CICS / CICS 4.1
Property / Asset Management	Component Framework	Business Logic	Platform Dependent	COBOL / OS390 v2.01.0 / COBOL II / CICS / CICS 4.1
Knowledge Distribution and Delivery	Component Framework	Business Logic	Platform Dependent	COBOL / OS390 v2.01.0 / COBOL II / CICS / CICS 4.1
Knowledge Capture	Component Framework	Business Logic	Platform Dependent	COBOL / OS390 v2.01.0 / COBOL II / CICS / CICS 4.1
Information Sharing	Component Framework	Business Logic	Platform Dependent	COBOL / OS390 v2.01.0 / COBOL II / CICS / CICS 4.1
Information Retrieval	Component Framework	Business Logic	Platform Dependent	COBOL / OS390 v2.01.0 / COBOL II / CICS / CICS 4.1
Revenue Management	Component Framework	Business Logic	Platform Dependent	COBOL / OS390 v2.01.0 / COBOL II / CICS / CICS 4.1
Case Management	Component Framework	Business Logic	Platform Dependent	COBOL / OS390 v2.01.0 / COBOL II / CICS / CICS 4.1 / IBM JCL
Community Management	Component Framework	Business Logic	Platform Dependent	COBOL / OS390 v2.01.0 / COBOL II / CICS / CICS 4.1 / IBM JCL
Contact and Profile Management	Component Framework	Business Logic	Platform Dependent	COBOL / OS390 v2.01.0 / COBOL II / CICS / CICS 4.1 / IBM JCL
Partner Relationship Management	Component Framework	Business Logic	Platform Dependent	COBOL / OS390 v2.01.0 / COBOL II / CICS / CICS 4.1 / IBM JCL
Forms Creation	Component Framework	Business Logic	Platform Dependent	COBOL / OS390 v2.01.0 / COBOL II / CICS / CICS 4.1 / IBM JCL / Transact SQL / PowerBuilder (version unspecified) / 5.0 / 6.5
Internal Controls	Component Framework	Business Logic	Platform Dependent	COBOL / OS390 v2.01.0 / COBOL II / CICS / CICS 4.1 / PowerBuilder (version unspecified) / 5.0 / 6.5 / IBM JCL /
Data Exchange	Component Framework	Business Logic	Platform Dependent	COBOL / OS390 v2.01.0 / COBOL II / IBM JCL
Debt Collection	Component	Business Logic	Platform	MicroFocus Net Express COBOL

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
	Framework		Dependent	Compiler / CICS / CICS 4.1 / COBOL / OS390 v2.01.0 / COBOL II / IBM JCL / PowerBuilder (version unspecified) / 5.0 / 6.5
Alerts and Notifications	Component Framework	Business Logic	Platform Dependent	Transact SQL / PowerBuilder (version unspecified) / 5.0 / 6.5
Billing and Accounting	Component Framework	Business Logic	Platform Independent	Microsoft Visual C++ 6.0
Debt Collection	Component Framework	Business Logic	Platform Independent	Microsoft Visual C++ 6.0
Internal Controls	Component Framework	Business Logic	Platform Independent	Microsoft Visual C++ 6.0
Auditing	Component Framework	Data Interchange	Data Exchange	EZComm Barcode software
Activity-Based Management	Component Framework	Data Interchange	Data Exchange	EZComm Barcode software
Billing and Accounting	Component Framework	Data Interchange	Data Exchange	EZComm Barcode software
Case Management	Component Framework	Data Interchange	Data Exchange	EZComm Barcode software
Change Management	Component Framework	Data Interchange	Data Exchange	EZComm Barcode software
Debt Collection	Component Framework	Data Interchange	Data Exchange	EZComm Barcode Software
Internal Controls	Component Framework	Data Interchange	Data Exchange	EZComm Barcode software
Process Tracking	Component Framework	Data Interchange	Data Exchange	EZComm Barcode software
Revenue Management	Component Framework	Data Interchange	Data Exchange	EZComm Barcode software
Billing and Accounting	Component Framework	Data Management	Database Connectivity	Advantage Gen Comm Bridge 4.1A
Case Management	Component Framework	Data Management	Database Connectivity	Advantage Gen Comm Bridge 4.1A
Community Management	Component Framework	Data Management	Database Connectivity	Advantage Gen Comm Bridge 4.1A
Contact and Profile Management	Component Framework	Data Management	Database Connectivity	Advantage Gen Comm Bridge 4.1A
Debt Collection	Component Framework	Data Management	Database Connectivity	Advantage Gen Comm Bridge 4.1A
Internal Controls	Component Framework	Data Management	Database Connectivity	Advantage Gen Comm Bridge 4.1A
Forms Creation	Component Framework	Data Management	Database Connectivity	Advantage Gen Comm Bridge 4.1A, Nomad 6.50a, Web SQL 1.2 / Unspecified
Alerts and Notifications	Component Framework	Data Management	Database Connectivity	Nomad 6.50a / Web SQL 1.2 / Unspecified
Billing and Accounting	Component Framework	Data Management	Reporting and Analysis	DEPCON Central v5r2
Case Management	Component Framework	Data Management	Reporting and Analysis	DEPCON Central v5r2
Data Exchange	Component Framework	Data Management	Reporting and Analysis	DEPCON Central v5r2
Process Tracking	Component	Data	Reporting and	DEPCON Central v5r2

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
	Framework	Management	Analysis	
Standardized / Canned	Component Framework	Data Management	Reporting and Analysis	DEPCON Central v5r2
Internal Controls	Component Framework	Data Management	Reporting and Analysis	DEPCON Central v5r2
Debt Collection	Component Framework	Data Management	Reporting and Analysis	DEPCON Central v5r2 / Crystal Reports version unspecified / 5.0 / 6.0
Payment / Settlement	Component Framework	Data Management	Reporting and Analysis	DEPCON Central v5r2, Crystal Reports version unspecified / 5.0 / 6.0
Alerts and Notifications	Component Framework	Presentation / Interface	Content Rendering	CSS
Forms Creation	Component Framework	Presentation / Interface	Content Rendering	CSS
Forms Creation	Component Framework	Presentation / Interface	Static Display	Adobe Acrobat Reader 3.0 / 5.0
Alerts and Notifications	Component Framework	Presentation / Interface	Static Display	Adobe Acrobat Reader 3.0 / 5.0
Debt Collection	Component Framework	Presentation / Interface	Static Display	FormFlow
Payment / Settlement	Component Framework	Presentation / Interface	Static Display	FormFlow
Asset Cataloging / Identification	Component Framework	Presentation / Interface	Static Display	HTML
Asset Transfer, Allocation, and Maintenance	Component Framework	Presentation / Interface	Static Display	HTML
Information Retrieval	Component Framework	Presentation / Interface	Static Display	HTML
Information Sharing	Component Framework	Presentation / Interface	Static Display	HTML
Knowledge Capture	Component Framework	Presentation / Interface	Static Display	HTML
Knowledge Distribution and Delivery	Component Framework	Presentation / Interface	Static Display	HTML
Program / Project Management	Component Framework	Presentation / Interface	Static Display	HTML
Property / Asset Management	Component Framework	Presentation / Interface	Static Display	HTML
Standardized / Canned	Component Framework	Presentation / Interface	Static Display	HTML
Auditing	Component Framework	Security	Supporting Security Services	Open SSH Server / Client
Billing and Accounting	Component Framework	Security	Supporting Security Services	Open SSH Server / Client
Case Management	Component Framework	Security	Supporting Security Services	Open SSH Server / Client
Debt Collection	Component Framework	Security	Supporting Security Services	Open SSH Server /Client
Internal Controls	Component Framework	Security	Supporting Security Services	Open SSH Server/Client
Process Tracking	Component Framework	Security	Supporting Security Services	Open SSH Server/Client

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
Payment / Settlement	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer
Asset Cataloging / Identification	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer
Asset Transfer, Allocation, and Maintenance	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer
Auditing	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer
Business Rule Management	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer
Case Management	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer
Information Retrieval	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer
Information Sharing	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer
Knowledge Capture	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer
Knowledge Distribution and Delivery	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer
Partner Relationship Management	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer
Program / Project Management	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer
Property / Asset Management	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer
Debt Collection	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer / Microsoft Internet Explorer 4.0 or Earlier
Billing and Accounting	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer / Microsoft Internet Explorer 4.0 or Earlier
Activity-Based Management	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer 4.0 or Earlier
Change Management	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer 4.0 or Earlier
Data Exchange	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer 4.0 or Earlier
Internal Controls	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer 4.0 or Earlier
Process Tracking	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer 4.0 or Earlier
Revenue Management	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer 4.0 or Earlier
Standardized / Canned	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer 4.0 or Earlier
Debt Collection	Service Interface and Integration	Integration	Middleware	3270 Emulation on PC / CA-AutoSys
Payment / Settlement	Service Interface and Integration	Integration	Middleware	3270 Emulation on PC, CA- AutoSys
Asset Cataloging / Identification	Service Platform and	Database / Storage	Database	DB2 / Database 2 Version 4.0

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
	Infrastructure			
Asset Transfer, Allocation, and Maintenance	Service Platform and Infrastructure	Database / Storage	Database	DB2 / Database 2 Version 4.0
Auditing	Service Platform and Infrastructure	Database / Storage	Database	DB2 / Database 2 Version 4.0
Business Rule Management	Service Platform and Infrastructure	Database / Storage	Database	DB2 / Database 2 Version 4.0
Information Retrieval	Service Platform and Infrastructure	Database / Storage	Database	DB2 / Database 2 Version 4.0
Information Sharing	Service Platform and Infrastructure	Database / Storage	Database	DB2 / Database 2 Version 4.0
Property / Asset Management	Service Platform and Infrastructure	Database / Storage	Database	DB2 / Database 2 Version 4.0
Standardized / Canned	Service Platform and Infrastructure	Database / Storage	Database	DB2 / Database 2 Version 4.0
Knowledge Capture	Service Platform and Infrastructure	Database / Storage	Database	DB2 / Database 2 Version 4.0
Knowledge Distribution and Delivery	Service Platform and Infrastructure	Database / Storage	Database	DB2 / Database 2 Version 4.0
Change Management	Service Platform and Infrastructure	Database / Storage	Database	DB2 / Database 2 Version 4.0 / RAMIS
Document Retirement	Service Platform and Infrastructure	Database / Storage	Database	DB2 / Database 2 Version 4.0 / SQL
Expense Management	Service Platform and Infrastructure	Database / Storage	Database	DB2 / Database 2 Version 4.0 / SQL
Revenue Management	Service Platform and Infrastructure	Database / Storage	Database	DB2 / Database 2 Version 4.0, RAMIS
Program / Project Management	Service Platform and Infrastructure	Database / Storage	Database	DB2 / Database 2 Version 4.0
Activity-Based Management	Service Platform and Infrastructure	Database / Storage	Database	DB2 / Database 2 Version 4.0 / RAMIS
Alerts and Notifications	Service Platform and Infrastructure	Database / Storage	Database	DBASE II / III / Sybase version unspecified / 11.0 / 11.1.1 / 11.5.1 / 11.5.1.1 / 11.5.2 / 11.9 / 12 / FoxPro / SQL
Forms Creation	Service Platform and Infrastructure	Database / Storage	Database	DBASE II / III / Sybase version unspecified / 11.0 / 11.1.1 / 11.5.1 / 11.5.1.1 / 11.5.2 / 11.9 / 12 / FoxPro / SQL
Billing and Accounting	Service Platform and Infrastructure	Database / Storage	Database	IMS / DB2 / Database 2 Version 4.0 / SQL

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
Partner Relationship Management	Service Platform and Infrastructure	Database / Storage	Database	IMS, DB2
Internal Controls	Service Platform and Infrastructure	Database / Storage	Database	IMS, DB2 / Database 2 Version 4.0, SQL
Payment / Settlement	Service Platform and Infrastructure	Database / Storage	Database	IMS, DB2 / Database 2 Version 4.0, SQL, Oracle
Process Tracking	Service Platform and Infrastructure	Database / Storage	Database	IMS, DB2 / Database 2 Version 4.0
Debt Collection	Service Platform and Infrastructure	Database / Storage	Database	Oracle / IMS / DB2 / Database 2 Version 4.0 / SQL / RAMIS
Community Management	Service Platform and Infrastructure	Database / Storage	Database	SQL
Contact and Profile Management	Service Platform and Infrastructure	Database / Storage	Database	SQL
Case Management	Service Platform and Infrastructure	Database / Storage	Database	SQL / IMS / DB2 / Database 2 Version 4.0
Alerts and Notifications	Service Platform and Infrastructure	Delivery Servers	Application Servers	ColdFusion 3.0 / 3.1 / 4.0.1/ 4.5.1 / ColdFusion Enterprise
Forms Creation	Service Platform and Infrastructure	Delivery Servers	Application Servers	ColdFusion 3.0 / 3.1 / 4.0.1/ 4.5.1 / ColdFusion Enterprise
Internal Controls	Service Platform and Infrastructure	Delivery Servers	Application Servers	ColdFusion 3.0 / 3.1 / 4.0.1/ 4.5.1 / ColdFusion Enterprise, Netscape iPlanet Enterprise
Asset Cataloging / Identification	Service Platform and Infrastructure	Delivery Servers	Application Servers	ColdFusion Enterprise
Asset Transfer, Allocation, and Maintenance	Service Platform and Infrastructure	Delivery Servers	Application Servers	ColdFusion Enterprise
Auditing	Service Platform and Infrastructure	Delivery Servers	Application Servers	ColdFusion Enterprise
Case Management	Service Platform and Infrastructure	Delivery Servers	Application Servers	ColdFusion Enterprise
Information Retrieval	Service Platform and Infrastructure	Delivery Servers	Application Servers	ColdFusion Enterprise
Information Sharing	Service Platform and Infrastructure	Delivery Servers	Application Servers	ColdFusion Enterprise
Knowledge Capture	Service Platform and Infrastructure	Delivery Servers	Application Servers	ColdFusion Enterprise
Knowledge Distribution and Delivery	Service Platform and Infrastructure	Delivery Servers	Application Servers	ColdFusion Enterprise

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
Partner Relationship Management	Service Platform and Infrastructure	Delivery Servers	Application Servers	ColdFusion Enterprise
Program / Project Management	Service Platform and Infrastructure	Delivery Servers	Application Servers	ColdFusion Enterprise
Property / Asset Management	Service Platform and Infrastructure	Delivery Servers	Application Servers	ColdFusion Enterprise
Standardized / Canned	Service Platform and Infrastructure	Delivery Servers	Application Servers	ColdFusion Enterprise
Billing and Accounting	Service Platform and Infrastructure	Delivery Servers	Application Servers	ColdFusion Enterprise / Netscape iPlanet Enterprise / ColdFusion 3.0 / 3.1 / 4.0.1/ 4.5.1 / ColdFusion Enterprise
Process Tracking	Service Platform and Infrastructure	Delivery Servers	Application Servers	ColdFusion Enterprise, Netscape iPlanet Enterprise
Payment / Settlement	Service Platform and Infrastructure	Delivery Servers	Application Servers	ColdFusion Enterprise, PeopleSoft, Netscape iPlanet Enterprise
Business Rule Management	Service Platform and Infrastructure	Delivery Servers	Application Servers	Netscape iPlanet Enterprise
Debt Collection	Service Platform and Infrastructure	Delivery Servers	Application Servers	PeopleSoft / ColdFusion Enterprise / Netscape iPlanet Enterprise / ColdFusion 3.0 / 3.1 / 4.0.1/ 4.5.1
Case Management	Service Platform and Infrastructure	Delivery Servers	Web Servers	Microsoft Internet Information Server
Debt Collection	Service Platform and Infrastructure	Delivery Servers	Web Servers	Microsoft Internet Information Server
Internal Controls	Service Platform and Infrastructure	Delivery Servers	Web Servers	Microsoft Internet Information Server
Partner Relationship Management	Service Platform and Infrastructure	Delivery Servers	Web Servers	Microsoft Internet Information Server
Payment / Settlement	Service Platform and Infrastructure	Delivery Servers	Web Servers	Microsoft Internet Information Server
Process Tracking	Service Platform and Infrastructure	Delivery Servers	Web Servers	Microsoft Internet Information Server
Document Retirement	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	VS COBOL II Compiler & Library 1.4.0
Expense Management	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	VS COBOL II Compiler & Library 1.4.0
Payment / Settlement	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	VS COBOL II Compiler & Library 1.4.0
Alerts and Notifications	Service Platform and	Software Engineering	Modeling	JSP 2 / Oracle Designer 2000

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
	Infrastructure			
Forms Creation	Service Platform and Infrastructure	Software Engineering	Modeling	JSP 2, Oracle Designer 2000
Business Rule Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	CMPlus
Data Exchange	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	CMPlus
Document Retirement	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	CMPlus
Expense Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	CMPlus
Standardized / Canned	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	CMPlus
Activity-Based Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	CMPlus / Endeavor / PVCS
Change Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	CMPlus / Endeavor / PVCS
Billing and Accounting	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	CMPlus / Endeavor
Auditing	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Endeavor
Case Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Endeavor / CMPlus
Partner Relationship Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Endeavor
Debt Collection	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Endeavor / CMPlus / PVCS
Asset Cataloging / Identification	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Endeavor / PVCS
Asset Transfer, Allocation, and Maintenance	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Endeavor / PVCS
Internal Controls	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Endeavor, CMPlus
Payment / Settlement	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Endeavor, CMPlus
Process Tracking	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Endeavor, CMPlus
Revenue Management	Service Platform and	Software Engineering	Software Configuration	Endeavor, CMPlus, PVCS

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
	Infrastructure		Management	
Information Retrieval	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Endevor, PVCS
Information Sharing	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Endevor, PVCS
Knowledge Capture	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Endevor, PVCS
Knowledge Distribution and Delivery	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Endevor, PVCS
Program / Project Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Endevor, PVCS
Property / Asset Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Endevor, PVCS
Standardized / Canned	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Endevor, PVCS
Business Rule Management	Service Platform and Infrastructure	Support Platforms	Platform Dependent	AS/400 V4R2
Alerts and Notifications	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Microsoft Windows NT 3.51 / 4.0 SP5 / 4.0 SP6 and SP6a / version unspecified
Forms Creation	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Microsoft Windows NT 3.51 / 4.0 SP5 / 4.0 SP6 and SP6a / version unspecified
Activity-Based Management	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Microsoft Windows NT 3.51 / 4.0 SP5 / 4.0 SP6 and SP6a / version unspecified / OS390
Change Management	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Microsoft Windows NT 3.51 / 4.0 SP5 / 4.0 SP6 and SP6a / version unspecified / OS390
Data Exchange	Service Platform and Infrastructure	Support Platforms	Platform Dependent	OS2200 / OS390 / Unisys OS1100 SB6 6D2
Auditing	Service Platform and Infrastructure	Support Platforms	Platform Dependent	OS390
Document Retirement	Service Platform and Infrastructure	Support Platforms	Platform Dependent	OS390
Expense Management	Service Platform and Infrastructure	Support Platforms	Platform Dependent	OS390
Partner Relationship Management	Service Platform and Infrastructure	Support Platforms	Platform Dependent	OS390
Payment / Settlement	Service Platform and Infrastructure	Support Platforms	Platform Dependent	OS390 / AS/400 V4R2
Billing and Accounting	Service Platform and	Support Platforms	Platform Dependent	OS390 / AS/400 V4R2 / OS2200 / Unisys)S1100 SB6 6D2

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
	Infrastructure			
Internal Controls	Service Platform and Infrastructure	Support Platforms	Platform Dependent	OS390 / AS/400 V4R2 / OS2200 / Unisys OS1100 SB6 6D2
Process Tracking	Service Platform and Infrastructure	Support Platforms	Platform Dependent	OS390 / AS/400 V4R2 / OS2200 / Unisys OS1100 SB6 6D2
Debt Collection	Service Platform and Infrastructure	Support Platforms	Platform Dependent	OS390 / AS/400 V4R2 / OS2200 / Unisys OS1100 SB6 6D2 / Microsoft Windows NT 3.51 / 4.0 SP5 / 4.0 SP6 and SP6a / version unspecified
Revenue Management	Service Platform and Infrastructure	Support Platforms	Platform Dependent	OS390 / Microsoft Windows NT 3.51 / 4.0 SP5 / 4.0 SP6 and SP6a / version unspecified
Case Management	Service Platform and Infrastructure	Support Platforms	Platform Dependent	OS390 / OS2200 / Unisys OS1100 SB6 6D2
Asset Cataloging / Identification	Service Platform and Infrastructure	Support Platforms	Platform Dependent	OS390 / Unisys OS1100 SB6 6D2
Information Retrieval	Service Platform and Infrastructure	Support Platforms	Platform Dependent	OS390 / Unisys OS1100 SB6 6D2
Information Sharing	Service Platform and Infrastructure	Support Platforms	Platform Dependent	OS390 / Unisys OS1100 SB6 6D2
Program / Project Management	Service Platform and Infrastructure	Support Platforms	Platform Dependent	OS390 / Unisys OS1100 SB6 6D2
Property / Asset Management	Service Platform and Infrastructure	Support Platforms	Platform Dependent	OS390 / Unisys OS1100 SB6 6D2
Standardized / Canned	Service Platform and Infrastructure	Support Platforms	Platform Dependent	OS390 / Unisys OS1100 SB6 6D2 / OS 2200
Asset Transfer, Allocation, and Maintenance	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Unisys OS1100 SB6 6D2 / OS390
Knowledge Capture	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Unisys OS1100 SB6 6D2 / OS390
Knowledge Distribution and Delivery	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Unisys OS1100 SB6 6D2 / OS390

Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

Reuse & Information Sharing BY08

Will the application leverage existing components and/or No applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

If "yes," please describe how the application will leverage existing components and/or applications across the Government.

Does this investment provide the public with access to a No

government automated information system? If "yes," does customer access require specific software (e.g., a specific web browser version)?	
If "yes," provide the specific product name(s) and version number(s) of the required software and the date when the public will be able to access this investment by any software (i.e. to ensure equitable and timely access of government information and services).	

FEA Primary Mapping BY08

FEA Primary Mapping	
Reference Model:	BRM
Business Area:	Management of Government Resources
Line of Business:	Financial Management
Sub Function:	Accounting
Mapping Code:	402124

II.A: ALTERNATIVES ANALYSIS BY08

Analysis Background BY08

Part II should be completed only for investments identified as "Planning" or "Full Acquisition," or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments, and the Clinger Cohen Act of 1996 for IT investments, to determine the criteria you should use in your Benefit/Cost Analysis.

Did you conduct an alternatives analysis for this project?	Yes
If "yes," what is the date of the analysis?	8/21/2006
If "no," what is the anticipated date this analysis will be completed?	

If no analysis is planned, please briefly explain why:

Alternatives Table BY08

Use the results of your alternatives analysis to complete the following table:

Alternatives Analysis Results

Send to OMB	Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate
True	Build Financial Management System	This alternative is to develop a new accounting system to support FHA's accounting processes. This approach meets the unique reporting, including credit reform accounting, detail project level tracking, and reconciliation between the legacy FHA systems and FHA's core financial management system. The new system will have full federal accounting functionality including the ability to perform daily and/or real-time funds control, as well as conduct queries of detailed claim-level financial data.	317.4	95.5
True	Enhanced HUDCAPS	This alternative will use an enhanced, OFFM-compliant version of HUDCAPS as FHA's subsidiary ledger. This will be accomplished first by upgrading HUD Central Accounting and Program System (HUDCAPS) to the latest OFFM-compliant version of American Management Systems' (AMS) Federal Financial System (FFS). Once HUDCAPS is upgraded, it will be modified to hold FHA's subsidiary ledger information and meet all FHA's functional requirements.	304.5	95.4

Send to OMB	Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate
True	OFFM-Compliant COTS package	This alternative will continue to use an OFFM-compliant Commercial-Off-The-Shelf (COTS) software package, PeopleSoft, as FHA's subsidiary ledger. Once implemented, the COTS system will capture and report on all FHA's financial transactions in a manner consistent with federal rules and regulations. The OFFM-compliant COTS system will also enable FHA to meet HUD Departmental reporting requirements while maintaining responsibility for its own accounting and financial reporting.	265.8	89.8
False	Status Quo	Maintaining the status quo means keeping the systems as they exist today without any modifications. The Federal Housing Administration (FHA) financial system is not compliant with Office of Federal Financial Management (OFFM). Therefore, continuing with the status quo means that FHA will not meet its regulatory requirements nor will it address the issues related to overall modernization plan of Housing and Urban Development (HUD).	320.00	98.75

Selected Alternative BY08

Which alternative was selected by the Initiative Governance process and why was it chosen?

Four alternatives were researched, Status Quo, COTS Financial System, Enhanced HUDCAPS, and Build Financial Management System. Of the four, Alternative 2, Implementing a COTS Financial System, was selected based on the cost/benefit analysis. A summary of the 9-year risk adjusted cost/ benefit analysis (FY2006-FY2014) for each of alternative is provided below:

- Alternative 1 - Status Quo: Total Costs: \$320M; Total Benefits: \$98.75; ROI: 3.24 years
- Alternative 2 - Implement COTS Financial Implementation: Total Costs: \$265.8M; Total Benefits: \$89.8; ROI: 2.95 years
- Alternative 3 - Enhanced HUDCAPS: Total Costs: \$304.5M; Total Benefits: \$95.4; ROI: 3.19 Years
- Alternative 4 - Custom Development: Total Costs: \$317.4M; Total Benefits: \$95.5M; ROI 3.34 years

Implementing a COTS financial management system (Alternative 2) had the lowest costs and it had the most favorable return on investment. This alternative ensures that FHA implements an OFFM-compliant financial management system and therefore meets all Federal-reporting requirements. This decision supports FHA's choice to implement Oracle's PeopleSoft Financial Management system. PeopleSoft will meet FHA's entire financial management requirement including the ability to process accounts receivables and accounts payables, maintain funds control, support general ledger accounting, support contracts, support credit subsidy processing and support financial management reporting.

Other factors that supported this decision included:

- Alternative 1, Status Quo, does not support the financial reporting requirements of FHA. In addition, this alternative had the highest cost and the second worst return of investment.
- Alternative 3, Enhance HUDCAPS, costs were approximately \$40 Million higher than implementing a COTS solution. In addition, HUD has decided to replace HUDCAPS, therefore enhancing it at this time would not be cost effective.
- Alternative 4, Build Financial Management System, had the highest costs at \$317.4 million and worst ROI rate of 3.34. Also, developing a system would be contrary to OMB Circular A-127.

What specific qualitative benefits will be realized?

FHA Subsidiary Ledger project enables FHA to reduce costs over the next 9 years. This is achieved through:

- Retiring legacy systems
- Capability to record and track budgetary resources, and control expenditure against available resources
- Improving accuracy of transactions through integration
- Improving timeliness of transactions through the real-time update feature
- Reducing cycle time through the real-time update feature
- Improving efficiencies or productivity of program areas through elimination of duplicate data entry and real-time updates
- Increasing automation through the elimination and reengineering of manual processes
- Performing daily and real-time funds control
- Automating reconciliation of fund and cash balances
- Producing automated financial statement reports directly from general ledger
- Conducting queries of detailed case-level financial data

A Reducing the number of legacy mainframe systems by incorporating their functionality into the COTS package
 A Implementing funds control and budget execution.
 Through the execution of the COTS financial management system, FHASL has been able to eliminate all of its audit material weaknesses and its financial system related reportable conditions. Costs associated with future changes in Federal reporting requirements will be less since the COTS software vendor will deliver those changes to FHA without charge as part of the annual maintenance agreement.

II.B: RISK MANAGEMENT BY08

Risk Management Plan BY08

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan?	Yes
What is the date of the risk management plan?	2/3/2006
Has the Risk Management Plan been significantly changed since last year's submission to OMB?	No
If "yes," describe any significant changes to the Risk Management Plan:	
If there currently is no risk plan, will a plan be developed?	
If "yes," what is the planned completion date of the risk plan?	
If "no," what is the strategy for managing the risks?	

Investment Risks BY08

Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:
 The investment risks are addressed in each life cycle by expanding project management oversight of by FHA and contractor management. The project plan has been expanded to include tasks identified during risk mitigation strategy meetings. The following specific risk mitigation strategies documented in our Risk Management plan include:
 --FHASL plans to migrate existing applications to a new hosting environment.
 --FHASL project managers for the contractor teams meet on a weekly basis to review the integrated work plan, updating it to reflect progress
 --FHASL has developed an integrated project plan, tying tasks back to the specific requirements listed in the Statements of Work (SOWs) for each of the contractor groups
 --FHASL has developed a process for controlling changes to the software baseline for the project
 --FHASL will continue to work with its relevant stakeholders/users to define requirements early in the process and to conduct training and user acceptance testing
 --FHASL has included several change management tasks in the integrated project work plan to assist with obtaining end users' acceptance and understanding of the new system

II.C: COST AND SCHEDULE PERFORMANCE BY08

Earned Value BY08

Does the earned value management system meet the criteria in ANSI/EIA Standard - 748?	Yes
Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs):	
What is the Planned Value (PV)?	53.292300
What is the Earned Value (EV)?	53.205700
What is the actual cost of work performed (AC)?	53.199700
What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)?	Contractor Only
EVMS "As of" date:	6/30/2006
What is the calculated Schedule Performance Index (SPI = EV/PV)?	0.998375
What is the schedule variance (SV = EV-PV)?	-0.086600
What is the calculated Cost Performance Index (CPI = EV/AC)?	1.000113
What is the cost variance (CV = EV-AC)?	0.006000

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

Cost/Schedule Variance BY08

Is the CV% or SV% greater than 10%? (CV%= CV/EV x 100; SV%=	No
---	----

SV/PV x 100)

If "yes," was it the CV or SV or both?

If "yes," explain the variance:

If "yes," what corrective actions are being taken?

What is the most current "Estimate at Completion"? 65.867700

Performance Baseline BY08

Have any significant changes been made to the baseline during the past fiscal year? No

Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate 0 for any milestone no longer active.

If "yes," when was it approved by OMB?

Comparison of Initial Baseline and Current Approved Baseline

Milestone Number	Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
		Planned Completion Date	Total Cost (Estimated)	Completion Date		Total Cost		Schedule (# days)	Cost	
				Planned	Actual	Planned	Actual			
1	HSG 410350 FHA Subsidiary Ledger	01/28/2010	\$88.821	12/26/2008	07/03/2006	\$62.931	\$51.793	907	\$11.138	81.33%
1.1	1 FY00 and Prior Tasks	09/27/2000	\$2.296	09/27/2000	09/28/2000	\$2.296	\$2.296	-1	\$0.000	100.00%
1.2	2 FY01 Tasks: Project Planning/Initiation, Requirements Definition, Software Acquisition	09/27/2001	\$3.476	09/27/2001	09/28/2001	\$3.476	\$3.476	-1	\$0.000	100.00%
1.3	3 FY02 Tasks: Project Planning/Initiation, Requirements Definition, Software Acquisition	09/27/2002	\$7.302	09/27/2002	09/30/2002	\$7.302	\$7.302	-3	\$0.000	100.00%
1.4	4 FY2003 Tasks	09/29/2003	\$10.370	09/29/2003	09/30/2003	\$10.370	\$10.370	-1	\$0.000	100.00%
1.4.1	4.1 FY2003 Development Tasks: Project Initiation/Planning, Requirements Definition, Software Acquisition, Hardware Acquisition, New Development/Perfective Maintenance, System Integration & Testing	09/29/2003	\$7.674	09/29/2003	09/30/2003	\$7.674	\$7.674	-1	\$0.000	100.00%
1.4.2	4.2 FY2003 Maintenance Tasks: System Operations, Corrective & Adaptive Maintenance	09/29/2003	\$2.696	09/29/2003	09/30/2003	\$2.696	\$2.696	-1	\$0.000	100.00%
1.5	5 FY 2004 Tasks	09/29/2004	\$9.010	09/29/2004	09/30/2004	\$9.010	\$9.383	-1	(\$0.373)	97.23%
1.5.1	5.1 FY2004 Development Tasks	09/29/2004	\$8.246	09/29/2004	09/30/2004	\$8.246	\$8.099	-1	\$0.147	96.97%
1.5.2	5.2 FY2004 Maintenance Tasks	09/29/2004	\$0.764	09/29/2004	09/30/2004	\$0.764	\$1.284	-1	(\$0.520)	100.00%
1.6	6 FY 2005 Tasks	09/29/2005	\$9.687	10/31/2006	06/30/2006	\$18.630	\$18.330	123	\$0.300	98.23%
1.6.1	6.1 FY2005 Development Tasks	09/29/2005	\$8.017	10/31/2006	06/30/2006	\$16.490	\$16.200	123	\$0.290	98.00%
1.6.2	6.2 FY2005 Maintenance Tasks	09/29/2005	\$1.670	09/30/2005	07/29/2005	\$2.140	\$2.130	63	\$0.010	100.00%
1.7	7 FY2006 Tasks	09/28/2006	\$13.173	12/29/2006	07/03/2006	\$11.847	\$0.636	179	\$11.211	5.74%

1.7.1	7.1 FY2006 Development Tasks	09/28/2006	\$11.566	12/29/2006	07/03/2006	\$10.337	\$0.138	179	\$10.199	0%
1.7.2	7.2 FY2006 Maintenance Tasks	09/28/2006	\$1.607	12/29/2006	06/30/2006	\$1.510	\$0.498	182	\$1.012	45.00%
1.8	8 FY2007 Tasks	02/04/2009	\$13.889	12/26/2008		\$0.000	\$0.000		\$0.000	0%
1.8.1	8.1 FY2007 Development Tasks	02/04/2009	\$5.000	09/27/2007		\$0.000				0%
1.8.1.1	1.8.1.1 Treasury/External Requirements	06/03/2008	\$1.000	09/27/2007		\$0.000				0%
1.8.1.2	1.8.1.2 Near Term Pgm Improvements/Leg. Chgs	02/04/2009	\$1.500							0%
1.8.1.3	1.8.1.3 FHA Financial Operations Modernization	02/03/2009	\$2.500							0%
1.8.2	8.2 FY2007 Maintenance Tasks	09/27/2007	\$8.889	12/26/2008		\$0.000	\$0.000		\$0.000	0%
1.9	9 FY2008 Tasks	01/28/2010	\$19.618							%
1.9.1	9.1 FY2008 Development Tasks	09/28/2009	\$10.035							%
1.9.1.4	1.9.1.1 A43 - Single Family Insurance FY2008 Development	02/01/2008	\$3.020							0%
1.9.1.5	1.9.1.2 A43C Single Family insurance and Claims	09/28/2009	\$1.050							0%
1.9.1.6	1.9.1.3 F71 - Debt Collection	01/13/2009	\$0.013							0%
1.9.1.7	1.9.1.4 A80B - Periodic MIP	09/01/2009	\$1.370							0%
1.9.1.8	1.9.1.5 A80D - DSRS Financial Modernization	02/01/2008	\$0.450							0%
1.9.1.9	1.9.1.6 A80R - Upfront - FHA Financial Systems Modernization	02/01/2008	\$4.132							0%
1.9.2	9.2 FY 2008 Maintenance	01/28/2010	\$9.583							0%
Project Totals		01/28/2010	\$88.821	12/26/2008	07/03/2006	\$62.931	\$51.793	907	\$11.138	81.33

III.A: RISK MANAGEMENT BY08

Risk Management Plan BY08

Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan? Yes

What is the date of the risk management plan? 2/3/2006

Has the Risk Management Plan been significantly changed since last year's submission to OMB? No

If "yes," describe any significant changes to the Risk Management Plan:

If there currently is no risk plan, will a plan be developed?

If "yes," what is the planned completion date of the risk plan?

If "no," what is the strategy for managing the risks?

III.B: COST AND SCHEDULE PERFORMANCE BY08

Operational Analysis BY08

Was operational analysis conducted?

If "yes," provide the date the operational analysis was completed.
 Please provide a brief summary of the operational analysis results.

If "no," please explain why it was not conducted and if there are any plans to conduct operational analysis in the future:

Performance Baseline BY08

Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts.

What costs are included in the reported Cost/Schedule Performance Contractor Only information (Government Only/Contractor Only/Both)?

Comparison of Plan vs. Actual Performance Table

Milestone Number	Description of Milestone	Planned		Actual		Variance	
		Completion Date	Total Cost	Completion Date	Total Cost	Schedule (# days)	Cost

IV.A: E-GOV AND LINES OF BUSINESS OVERSIGHT BY08

Partners BY08

Part IV should be completed only for investments identified as an E-Gov initiative or a Line of Business(LOB), i.e., selected the E-Gov and LOB Oversight choice in response to Question 6 in Part I, Section A above. Investments identified as E-Gov and LOB Oversight will complete only Parts I and IV of the exhibit 300.

Multi-agency initiatives, such as E-Gov and LOB initiatives, should develop a joint exhibit 300.

As a joint exhibit 300, please identify the agency stakeholders. Provide the partner agency and partner agency approval date for this joint exhibit 300.

Stakeholder Table

Partner Agency Name	Partner Agency	Joint Exhibit Approval Date
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Partnering Strategies BY08

Provide the partnering strategies you are implementing with the participating agencies and organizations. Identify all partner agency capital assets supporting the common solution; Managing Partner capital assets should also be included in this joint exhibit 300. These capital assets should be included in the Summary of Spending table of Part I, Section B. (Partner Agency Asset UPIs should also appear on the Partner Agency's exhibit 53)

Partner Capital Assets within this Investment

Partner Agency Name	Partner Agency	Partner Agency Asset Title	Partner Agency Exhibit 53 UPI (BY2008)
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Partner Funding BY08

For jointly funded initiative activities, provide in the "Partner Funding Strategies Table": the name(s) of partner agencies; the UPI of the partner agency investments; and the partner agency contributions for CY and BY. Please indicate partner contribution amounts (in-kind contributions should also be included in this amount) and fee-for-service amounts. (Partner Agency Asset UPIs should also appear on the Partner Agency's exhibit 53. For non-IT fee-for-service amounts the Partner exhibit 53 UPI can be left blank)

Partner Funding Strategies

Partner Agency Name	Partner Agency	Partner exhibit 53 UPI (BY2008)	CY Contribution	CY Fee-for-Service	BY Contribution	BY Fee-for-Service
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Analysis Background BY08

An Alternatives Analysis for E-Gov and LOB initiatives should also be obtained. At least three viable alternatives, in addition to the current baseline (i.e., the status quo), should be included in the joint exhibit 300. Use OMB Circular A-94 for all investments, and the Clinger Cohen Act of 1996 for IT investments, to determine the criteria you should use in your Benefit/Cost Analysis.

Did you conduct an alternatives analysis for this project? Yes

If "yes," what is the date of the analysis? 8/21/2006

If "no," what is the anticipated date this analysis will be completed?

If no analysis is planned, please briefly explain why:

Alternatives Table BY08

Use the results of your alternatives analysis to complete the following table:

Alternatives Analysis Results

Send to OMB	Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate
True	Build Financial Management System	This alternative is to develop a new accounting system to support FHA's accounting processes. This approach meets the unique reporting, including credit reform accounting, detail project level tracking, and reconciliation between the legacy FHA systems and FHA's core financial management system. The new system will have full federal accounting functionality including the ability to perform daily and/or real-time funds control, as well as conduct queries of detailed claim-level financial data.	317.4	95.5
True	Enhanced HUDCAPS	This alternative will use an enhanced, OFFM-compliant version of HUDCAPS as FHA's subsidiary ledger. This will be accomplished first by upgrading HUD Central Accounting and Program System (HUDCAPS) to the latest OFFM-compliant version of American Management Systems' (AMS) Federal Financial System (FFS). Once HUDCAPS is upgraded, it will be modified to hold FHA's subsidiary ledger information and meet all FHA's functional requirements.	304.5	95.4
True	OFFM-Compliant COTS package	This alternative will continue to use an OFFM-compliant Commercial-Off-The-Shelf (COTS) software package, PeopleSoft, as FHA's subsidiary ledger. Once implemented, the COTS system will capture and report on all FHA's financial transactions in a manner consistent with federal rules and regulations. The OFFM-compliant COTS system will also enable FHA to meet HUD Departmental reporting requirements while maintaining responsibility for its own accounting and financial reporting.	265.8	89.8
False	Status Quo	Maintaining the status quo means keeping the systems as they exist today without any modifications. The Federal Housing Administration (FHA) financial system is not compliant with Office of Federal Financial Management (OFFM). Therefore, continuing with the status quo means that FHA will not meet its regulatory requirements nor will it address the issues related to overall modernization plan of Housing and Urban Development (HUD).	320.00	98.75

Selected Alternative BY08

Which alternative was selected by the Initiative Governance process and why was it chosen?

Four alternatives were researched, Status Quo, COTS Financial System, Enhanced HUDCAPS, and Build Financial Management System. Of the four, Alternative 2, Implementing a COTS Financial System, was

selected based on the cost/benefit analysis. A summary of the 9-year risk adjusted cost/ benefit analysis (FY2006-FY2014) for each of alternative is provided below:

- Alternative 1 - Status Quo: Total Costs: \$320M; Total Benefits: \$98.75; ROI: 3.24 years
- Alternative 2 - Implement COTS Financial Implementation: Total Costs: \$265.8M; Total Benefits: \$89.8; \$M; ROI: 2.95 years
- Alternative 3 - Enhanced HUDCAPS: Total Costs: \$304.5M; Total Benefits: \$95.4; ROI: 3.19 Years
- Alternative 4 - Custom Development: Total Costs: \$317.4M; Total Benefits: \$95.5M; ROI 3.34 years

Implementing a COTS financial management system (Alternative 2) had the lowest costs and it had the most favorable return on investment. This alternative ensures that FHA implements an OFFM-compliant financial management system and therefore meets all Federal-reporting requirements. This decision supports FHA's choice to implement Oracle's PeopleSoft Financial Management system. PeopleSoft will meet FHA's entire financial management requirement including the ability to process accounts receivables and accounts payables, maintain funds control, support general ledger accounting, support contracts, support credit subsidy processing and support financial management reporting.

Other factors that supported this decision included:

- Alternative 1, Status Quo, does not support the financial reporting requirements of FHA. In addition, this alternative had the highest cost and the second worst return of investment.
- Alternative 3, Enhance HUDCAPS, costs were approximately \$40 Million higher than implementing a COTS solution. In addition, HUD has decided to replace HUDCAPS, therefore enhancing it at this time would not be cost effective.
- Alternative 4, Build Financial Management System, had the highest costs at \$317.4 million and worst ROI rate of 3.34. Also, developing a system would be contrary to OMB Circular A-127.

What specific qualitative benefits will be realized?

FHA Subsidiary Ledger project enables FHA to reduce costs over the next 9 years. This is achieved through:

- Retiring legacy systems
- Capability to record and track budgetary resources, and control expenditure against available resources
- Improving accuracy of transactions through integration
- Improving timeliness of transactions through the real-time update feature
- Reducing cycle time through the real-time update feature
- Improving efficiencies or productivity of program areas through elimination of duplicate data entry and real-time updates
- Increasing automation through the elimination and reengineering of manual processes
- Performing daily and real-time funds control
- Automating reconciliation of fund and cash balances
- Producing automated financial statement reports directly from general ledger
- Conducting queries of detailed case-level financial data
- Reducing the number of legacy mainframe systems by incorporating their functionality into the COTS package
- Implementing funds control and budget execution.

Through the execution of the COTS financial management system, FHASL has been able to eliminate all of its audit material weaknesses and its financial system related reportable conditions. Costs associated with future changes in Federal reporting requirements will be less since the COTS software vendor will deliver those changes to FHA without charge as part of the annual maintenance agreement.

Quantitative Benefits BY08

What specific quantitative benefits will be realized (using current dollars) Use the results of your alternatives analysis to complete the following table:

Federal Quantitative Benefits

	Budgeted Cost Savings	Cost Avoidance	Justification for Budgeted Cost Savings	Justification for Cost Avoidance
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	Budgeted Cost Savings	Cost Avoidance	Justification for Budgeted Cost Savings	Justification for Cost Avoidance
PY - 6 2000	0	0		
PY - 5 2001	0	0		
PY - 4 2002	0	0		
PY - 3 2003	0	0		
PY - 2 2004	0	0		
PY - 1 2005	0	0		
PY 2006	0	0		
CY 2007	0	0		
BY 2008	0	0		
Total LLC Benefit	0	0		

IV.B: RISK MANAGEMENT BY08

Risk Management Plan BY08

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan? Yes

What is the date of the risk management plan? 2/3/2006

Has the Risk Management Plan been significantly changed since last year's submission to OMB? No

If "yes," describe any significant changes to the Risk Management Plan:

If there currently is no risk plan, will a plan be developed?

If "yes," what is the planned completion date of the risk plan?

If "no," what is the strategy for managing the risks?

Investment Risks BY08

Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

The investment risks are addressed in each life cycle by expanding project management oversight of by FHA and contractor management. The project plan has been expanded to include tasks identified during risk mitigation strategy meetings. The following specific risk mitigation strategies documented in our Risk Management plan include:

--FHASL plans to migrate existing applications to a new hosting environment.

--FHASL project managers for the contractor teams meet on a weekly basis to review the integrated work plan, updating it to reflect progress

--FHASL has developed an integrated project plan, tying tasks back to the specific requirements listed in the Statements of Work (SOWs) for each of the contractor groups

--FHASL has developed a process for controlling changes to the software baseline for the project

--FHASL will continue to work with its relevant stakeholders/users to define requirements early in the process and to conduct training and user acceptance testing

--FHASL has included several change management tasks in the integrated project work plan to assist with obtaining end users' acceptance and understanding of the new system

IV.C: COST AND SCHEDULE PERFORMANCE BY08

Earned Value BY08

You should also periodically be measuring the performance of operational assets against the baseline established during the planning or full acquisition phase (i.e., operational analysis), and be properly operating and maintaining the asset to maximize its useful life. Operational analysis may identify the need to redesign or modify an asset by identifying previously undetected faults in design, construction, or installation/integration, highlighting whether actual operation and maintenance costs vary significantly from budgeted costs, or documenting that the asset is failing to meet program requirements.

Answer the following questions about the status of this investment. Include information on all appropriate capital assets supporting this investment except for assets in which the performance information is reported in a separate Exhibit 300.

Are you using EVM to manage this investment?

Does the earned value management system meet the criteria in ANSI/EIA Standard - 748? Yes

If "no," explain plans to implement EVM:

Please provide a brief summary of the operational analysis results.

This sub-sections questions are NOT applicable for capital assets with ONLY O&M

Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs):

What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)?	Contractor Only
EVMS "As of" date:	6/30/2006
What is the Planned Value (PV)?	53.292300
What is the Earned Value (EV)?	53.205700
What is the actual cost of work performed (AC)?	53.199700
What is the calculated Schedule Performance Index (SPI = EV/PV)?	0.998375
What is the schedule variance (SV = EV-PV)?	-0.086600
What is the calculated Cost Performance Index (CPI = EV/AC)?	1.000113
What is the cost variance (CV = EV-AC)?	0.006000

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

Cost/Schedule Variance BY08

Is the CV% or SV% greater than 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100) No

If "yes," was it the CV or SV or both?

If "yes," explain the variance:

If "yes," what corrective actions are being taken?

What is the most current "Estimate at Completion"? 65.867700

Performance Baseline BY08

This sub-sections questions are applicable to ALL capital assets.

Have any significant changes been made to the baseline during the No past fiscal year?

Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate 0 for any milestone no longer active.

If "yes," when was it approved by OMB?

Comparison of Initial Baseline and Current Approved Baseline (EGov)

