



# THE AOC AT-A-GLANCE

## A Fiscal Year 2010 Performance and Financial Summary Report to the U.S. Congress and the Citizens of the United States

### WHO WE ARE

#### Architect of the Capitol

**What We Do:** The Architect of the Capitol (AOC) is a nonpartisan, professional services office with oversight of 16.5 million square feet of facilities and

460 acres of grounds on Capitol Hill and the surrounding area. The AOC is comprised of 10 jurisdictions and several central administrative offices.



**Branch of Government:** Legislative

**Established:** The AOC traces its origins to 1793 and was formally established by Congressional legislation in 1876.

**Mission:** Provide Congress and the public a wide range of professional expertise and services to preserve and enhance the Capitol complex and related facilities.

**Number of Full-Time Equivalents (FTEs):** The AOC had 2,490 FTEs as of September 30, 2010.

**Leadership:** The Honorable Stephen T. Ayers, AIA, LEED AP, Architect of the Capitol.

**Budget Authority:** The FY 2010 budget authority was approximately \$602 million.



#### Did You Know?

- President George Washington laid the cornerstone for the United States Capitol in 1793.
- Dr. William Thornton, whose design for the Capitol was selected by President Washington, is honored as the first Architect of the Capitol.
- The AOC has served as the builder, caretaker, and curator of many of the Nation's most iconic buildings. These include the U.S. Capitol, three Senate Office Buildings, four House Office Buildings, the Supreme Court, three Library of Congress buildings, and the U.S. Botanic Garden Conservatory.
- The Architect of the Capitol is appointed for a 10-year term by the President following the recommendation of a bipartisan, bicameral, Congressional panel and the confirmation of the U.S. Senate.
- The AOC is responsible for advancing the Capitol Complex Master Plan which provides a comprehensive framework for long-term planning initiatives and development.
- The AOC serves as curator for many works of art located throughout the Capitol. These heritage assets range from bronze and marble statues to oil portraits and fresco murals.
- The December 2008 opening of the 580,000 square foot Capitol Visitor Center marked the largest expansion of the U.S. Capitol in its history.
- The AOC protects the environment and saves taxpayer dollars through its commitment to energy efficiency and conservation across the Capitol.



## OUR STRATEGIC FOCUS

### GOAL 1

Congressional and Supreme Court Operations Support

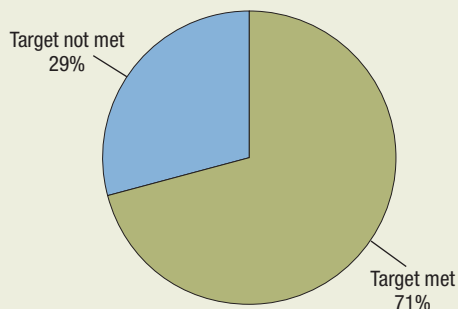
### GOAL 2

Heritage Asset Stewardship

### GOAL 3

Leadership and Administrative Support

Figure 1: Summary of FY 2010 Strategic Goal Performance Results



## THE YEAR AT A GLANCE Fiscal Year 2010 Performance Highlights

### Fiscal Year 2010 Accomplishments: Serving, Preserving, and Sustaining the Capitol

#### Serving the Congress, the Supreme Court, and the Public

The AOC continues to demonstrate its ability and dedication to serving its customers: Congress, the Supreme Court, the American public, and visitors to the Capitol.

- The Capitol Visitor Center welcomed its four millionth visitor within just 20 months of opening for operations.
- During the 2010 snowstorm emergencies, while the rest of the Federal Government was shut down, 444 AOC employees logged over 34,000 hours to keep the Capitol accessible.
- For the sixth consecutive year, the AOC received a clean audit opinion on its financial statements from its independent auditors, demonstrating AOC's commitment to strong fiscal stewardship.

#### Preserving the Capitol Facilities and Grounds

The AOC preserves the historic Capitol facilities and grounds while providing Congress with safe, comfortable, efficient, and modern office environments.

- The AOC began applying a fresh coat of paint to the U.S. Capitol Dome to protect its cast-iron exterior and ensure its integrity for future generations.
- The AOC maintained and updated Capitol facilities, keeping historic architectural features intact, while upgrading the infrastructure to improve energy efficiency, safety, and accessibility.
- The AOC completed numerous facility condition assessments of the Capitol buildings and grounds—a step towards the AOC's implementation of the 20-year Capitol Complex Master Plan.

#### Sustaining the Capitol

The AOC continues to implement a long-term strategy to sustain operations in an environmentally safe and progressive manner while reducing resource consumption.

- The AOC's utilization of Energy Savings Performance Contracts will provide \$93 million in facility infrastructure upgrades that will help the AOC realize a significant reduction in total energy consumption.
- Cross-cutting initiatives, such as performing energy audits of all the AOC-maintained facilities, will continue to help identify additional energy savings opportunities.
- Sustainable design practices, such as the use of low-impact materials, energy-efficient equipment, and the promotion of a culture of reuse and recycling is helping to save energy at the AOC's buildings and offices.

To help meet its Congressionally-established energy reduction targets, the AOC is upgrading the heating, ventilation and air conditioning (HVAC) control systems to modern Direct Digital Controls, replacing air handling units and ductwork for improved ventilation, and, retrofitting existing lighting fixtures with energy efficient systems in various facilities throughout the Capitol.



# Fiscal Year 2010 Financial Highlights

## Financial Highlights

**TABLE 1: Selective Financial Data for FY 2009 and 2010**

Selected Financial Data	September 30, 2010	September 30, 2009 (As Restated)
Total Assets	\$2,498 mil	\$2,437 mil
Total Liabilities	\$309 mil	\$330 mil
Net Position	\$2,189 mil	\$2,107 mil
Annual Net Position Change	4%	6%
Inventory Turnover (Cost of Goods Sold/End Inventory)	1.28	1.19
Days Inventory (365 days/Turnover)	285 days	307 days
Ratio of Debt to Net Position	1:7.09	1:6.38
Revenues	\$48 mil	\$53 mil
Net Cost of Operations	\$574 mil	\$474 mil
<b>Actual FTEs at Fiscal Year-End</b>	<b>2,490</b>	<b>2,469</b>

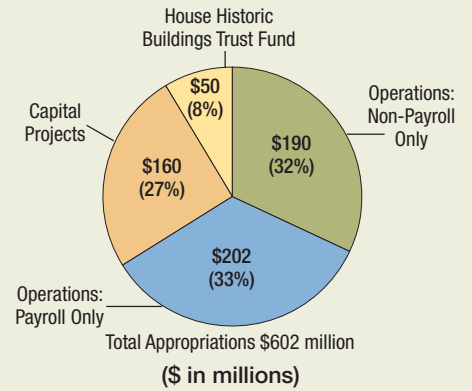
## Independent Audit Results

An independent audit of the AOC's financial statements resulted in a clean audit opinion in FY 2010.

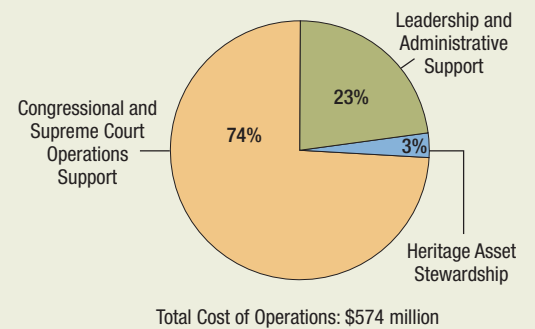
**TABLE 2: Summary of Independent Auditor's Internal Control Findings**

Category	FY 2010 Audit Finding
<b>Material Weaknesses</b>	Internal Control Assessment Financial Statement Restatement
<b>Significant Deficiencies</b>	Information System General Controls Information Systems Application Controls

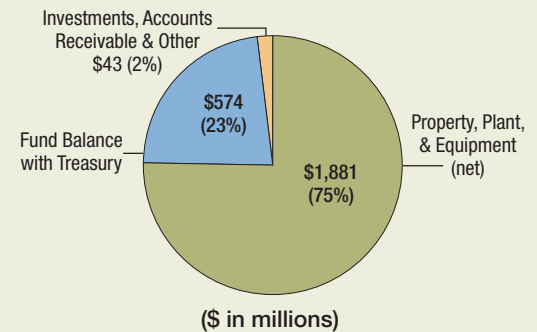
**Figure 2: Source of Funds in FY 2010**



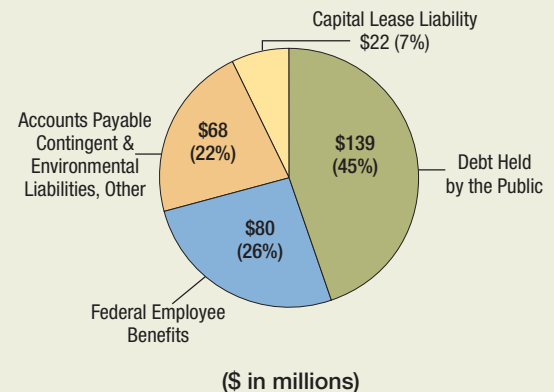
**Figure 3: Use of Funds in FY 2010 by Strategic Goal**



**Figure 4: Summary of Total Assets at September 30, 2010**



**Figure 5: Summary of Total Liabilities at September 30, 2010**





## WHAT'S NEXT? Future Challenges and Priorities

### Management Challenges

- **Facility Requirements Exceed Available Funding Resources**—The AOC is responsible for the preservation of irreplaceable cultural and historic assets for which there are sizable deferred maintenance and capital renewal obligations. Long-term maintenance, if unaddressed, could require costly redesign and place the facilities and heritage assets at risk. Meeting future deferred maintenance and capital renewal requirements, estimated to exceed \$1.5 billion over the next 10 years, will need Congress' continued support for sustained and increased funding.
- **Reducing Energy Consumption**—The AOC recognizes the environmental importance and cost-saving benefits of making the Capitol more energy efficient. The AOC is implementing a number of initiatives, including, Energy Savings Performance Contracts, carbon emissions reduction, daylight harvesting, and Direct Digital Controls. The AOC continues to utilize the most cost-efficient and effective options to fulfill its energy reduction mandates.
- **Improving Performance and Business Processes**—The AOC is looking to develop technology tools and adopt best practices to facilitate high operational performance. Computer Aided Facilities Management tools are in use to assist in the day-to-day management of projects. The Executive Information System will provide operational and performance information that is critical to effectively manage operations and Building Information Modeling Technology tools may eventually assist in the day-to-day management of operations and maintenance tasks. These tools, combined with a strong internal control structure, cost accounting, and performance-based budget system, will provide the controls necessary to achieve effective operations management.



### Priorities for the Future

- **Accomplishment of Energy Saving Initiatives**—Continue work on the energy saving program by completing energy audits, developing a prioritized project list, and articulating the short- and long-range funding needs to Congress to implement the program.
- **Expand Sustainability Practices**—Continue use of low-impact materials, installation of energy-efficient equipment, and the promotion of a culture of reuse and recycling to further the AOC's sustainability practices.
- **Execute a Deferred Maintenance and Renewal Program**—Use the Capitol Complex Master Plan to prioritize and efficiently manage projects to meet Congressional needs. To reduce the backlog of deferred maintenance, the AOC will need Congress' continued sustained support for increased funding.
- **Preserve and Maximize Public Access to the Capitol**—Provide pilot educational programs and new informational material to improve the experience for Capitol visitors and expand educational and informational outreach programs to prepare visitors for their experience at the Capitol.

**For more information about The Architect of the Capitol, visit our website at:**  
<http://www.aoc.gov/>.