

ETA - UI ADP Support-Equipment and Software

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information and Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

Date of Submission	9/11/2006
Agency	Department of Labor
Bureau	ETA - OWS
Name of this Capital Asset	ETA - UI ADP Support-Equipment and Software
OMB Investment Type	01 - Major Investment
What kind of investment will this be in this Budget Year?	Operations and Maintenance

Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

This project supports the Unemployment Insurance Database (UIDB) System that is used for collecting and storing critical data on claims, payments, taxes and other unemployment activities. State systems perform data collection using federally provided software and transmit reports/data to DOL. Data summaries collected from the States are electronically transmitted to DOL. DOL performs statistical and financial analysis on data to evaluate the performance and funding of State's UI Program including areas such as workload, timeliness, accuracy, performance and actuarial. UIDB is a legacy system with two main subsections. One part is maintained and controlled by the federal government and the other part by state workforce agencies. However, both systems are designed, developed and implemented by DOL in-house using one ADP services support contractor to assure consistent data transfer and aggregation from 53 State agencies to the federal system. The State systems are located in the State Workforce Agencies and are used, operated by the State personnel. The Federal systems are housed in-house at DOL and used by the DOL Federal staff. Data gathered are an integral part of the requirement to ensure proper and efficient administration of federal grants, to measure State performance and to provide information for monitoring and fiscal management. Reporting has been required since the passage of the Social Security Act and continues to be added to or modified. Some of the future enhancements supported by this project encompass web-based interfaces. The web-based enhancements were planned to foster government to government E-gov objectives. The UIDB system was initially developed in early 1980s. The project is currently in the maintenance phase of its lifecycle. The Department conducts quarterly reviews on the investment. No deviations in schedule or cost have been reported. Security issues are also addressed during the review as well as mitigation strategies.

Did the Agency's Executive/Investment Committee approve this request?	Yes
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If "yes," what was the date of this approval?	6/29/2006
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Did the Project Manager review this Exhibit?	Yes
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Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project.	Yes
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Will this investment include electronic assets (including computers)?	Yes
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Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	No
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If "yes," is an ESPC or UESC being used to help fund this investment?	
If "yes," will this investment meet sustainable design principles?	
If "yes," is it designed to be 30% more energy efficient than relevant code?	
Does this investment directly support one of the PMA initiatives?	Yes
If "yes," check all of the PMA initiatives that apply:	Eliminating Improper Payments
Is this investment for information technology?	Yes
Briefly describe how this asset directly supports the identified initiative(s)?	This initiative integrates state IT information with the DOL Information Management Systems. The data exchanges are done automatically through overnight batch runs.

For information technology investments only:

If the answer to Question, "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.

What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance):	(1) Project manager has been validated as qualified for this investment
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If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	N/A
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Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?	Yes
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Section B: Summary of Spending (All Capital Assets)

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

**Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES
(REPORTED IN MILLIONS)**
(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY - 1 and Earlier	PY 2006	CY 2007	BY 2008
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Total Budgetary Resources	3.5	1	1	3.2
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Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

Section C: Acquisition/Contract Strategy (All Capital Assets)

Sensitive Data

*** SENSITIVE DATA: This information was omitted completely ***

Section D: Performance Information (All Capital Assets)

Sensitive Data

*** SENSITIVE DATA: This information was omitted completely ***

Section E: Security and Privacy (IT Capital Assets Only)

Sensitive Data

*** SENSITIVE DATA: This information was omitted completely ***

Section F: Enterprise Architecture (EA) (IT Capital Assets Only)

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Is this investment included in your agency's target enterprise architecture? Yes

If "no," please explain why this investment is not included in your agency's target enterprise architecture?

Is this investment included in the agency's EA Transition Strategy? Yes

Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

No

If "yes," please describe.

Does this investment provide the public with access to a government automated information system? No

If "yes," does customer access require specific software (e.g., a specific web browser version)?

If "yes," provide the specific product name(s) and version number(s) of the required software and the date when the public will be able to access this investment by any software (i.e. to ensure

equitable and timely access of government information and services).

Part III: For “Operation and Maintenance” Investments Only (Steady State)

Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Part I, Section A above.

Section A: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan? Yes

What is the date of the risk management plan? 3/1/2006

Section B: Cost and Schedule Performance (All Capital Assets)

Sensitive Data

* * * SENSITIVE DATA: This information was omitted completely * * *