

ESA - OWCP- Integrated Federal Employees' Compensation System (iFECS)

Exhibit 300: Capital Planning and Business Case Summary

Part I: Summary Information and Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

Date of Submission	9/11/2006
Agency	Department of Labor
Bureau	ESA - OWCP
Name of this Capital Asset	ESA - OWCP- Integrated Federal Employees' Compensation System (iFECS)
OMB Investment Type	01 - Major Investment
What kind of investment will this be in this Budget Year?	Operations and Maintenance

Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

The integrated Federal Employees' Compensation System (iFECS) is a mission-critical steady state system that supports the Office of Workers' Compensation Programs' (OWCP) Division of Federal Employees' Compensation (DFEC). System capabilities include: processing injury claims, paying workers' compensation benefits, and supporting other financial and administrative activities for DFEC. Over the years, the system has evolved from its inception as a direct conversion of the 1978 "Four Phase" system to the FECS (1985 to 2005) that was substantially expanded and maintained to the current iFECS.

The DFEC is charged by Congress to provide benefits to civilian employees of the Federal Government injured at work, and to certain other designated groups covered by the Federal Employees' Compensation Act (FECA). Benefits include wage replacement, payment for medical care, and where necessary, medical and vocational rehabilitation assistance in returning to work. The program has 12 district offices nationwide. iFECS supports the program's mission by enabling claims adjudication and wage-loss compensation payments.

The DFEC is one of OWCP's four major programs and aligns with several Federal, Departmental, and Agency strategic goals. Two OWCP core functions: Adjudicate Claims and Pay Compensation Benefits, support the Services for Citizens business area of the FEA Business Reference Model (BRM). This business area includes the delivery of citizen-focused benefits as a service and/or obligation of the federal Government. Within the Services for Citizens business area, the program's operations support the Income Security Line of Business (LOB). This LOB includes activities designed to ensure that members of the public are provided with the necessary means to sustain an adequate level of existence. OWCP programs directly support two sub-functions of this LOB: General Retirement and Disability and Survivor Compensation.

Did the Agency's Executive/Investment Committee approve this request? Yes

If "yes," what was the date of this approval? 6/29/2006

Did the Project Manager review this Exhibit? Yes

Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project. Yes

Will this investment include electronic assets Yes

(including computers)?

Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only) No

If "yes," is an ESPC or UESC being used to help fund this investment?

If "yes," will this investment meet sustainable design principles?

If "yes," is it designed to be 30% more energy efficient than relevant code?

Does this investment directly support one of the PMA initiatives? Yes

If "yes," check all of the PMA initiatives that apply: Expanded E-Government, Financial Performance

Is this investment for information technology? Yes

Briefly describe how this asset directly supports the identified initiative(s)

iFECS supports E-Gov by facilitating electronic claims submission and putting claims information at the fingertips of OWCP staff to improve services to citizens. Expanding E-gov is further supported by the Financial Management LOB through the standardization of the business process and achieving cost savings. The Financial performance goal is improved by the investment via streamlining/consolidation of operations and improvement of accuracy that reduces operating costs and erroneous payments.

For information technology investments only:

If the answer to Question, "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.

What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance): (1) Project manager has been validated as qualified for this investment

If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities? N/A

Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval? Yes

Section B: Summary of Spending (All Capital Assets)

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the

entire life-cycle of the investment should be included in this report.

**Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES
(REPORTED IN MILLIONS)**
(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY - 1 and Earlier	PY 2006	CY 2007	BY 2008
Total Budgetary Resources	79.481	15.205	15.464	15.727

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

Section C: Acquisition/Contract Strategy (All Capital Assets)

Sensitive Data

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Section D: Performance Information (All Capital Assets)

Sensitive Data

*** SENSITIVE DATA: This information was omitted completely ***

Section E: Security and Privacy (IT Capital Assets Only)

Sensitive Data

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Section F: Enterprise Architecture (EA) (IT Capital Assets Only)

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Is this investment included in your agency's target enterprise architecture? Yes

If "no," please explain why this investment is not included in your agency's target enterprise architecture?

Is this investment included in the agency's EA Transition Strategy? Yes

Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

Yes.

If "yes," please describe.

Yes. This investment leverages the following existing applications across the Government:

- Labor's GovBenefits.Gov as a portal for citizens to find information about Federal benefits they may be entitled to. FECA program is included on this site.
- Treasury's Secure Payment System (SPS) for transmitting and certifying payment files.
- DOL/ESA's general support system (GSS) houses the investment's application and provides development tools.
- DOL/ESA's Login Component - provides a singly-developed/managed access component to guarantee consistent authentication security for all MAs housed on the GSS.
- OWCP's Central Bill Process (CBP) - an outsourced business process shared by 3 OWCP investments for the payment of medical bills.

Does this investment provide the public with access to a government automated information system? No

If "yes," does customer access require specific software (e.g., a specific web browser version)?

If "yes," provide the specific product name(s) and version number(s) of the required software and the date when the public will be able to access this investment by any software (i.e. to ensure equitable and timely access of government information and services).

Part III: For "Operation and Maintenance" Investments Only (Steady State)

Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Part I, Section A above.

Section A: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan? Yes

What is the date of the risk management plan? 7/5/2006

Section B: Cost and Schedule Performance (All Capital Assets)

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