

COMPANIES IN WHICH NRC EMPLOYEES COVERED BY 5 C.F.R. 5801.102 ARE PROHIBITED FROM OWNING STOCK, BONDS, OR OTHER SECURITY INTERESTS

**1.Nuclear Power Reactor Licensees**

Alabama Power Company  
Alachua Electric Department, FL\*  
Allegheny Electric Cooperative, Incorporated  
Ameren Corporation  
American Electric Power Company, Incorporated (also known as AEP)  
Anaheim Electric Division, CA\*  
Arizona Public Service Company (also known as APS)  
Bangor Hydro Electric Company  
Bushnell Utility Department, FL\*  
Carolina Power & Light Company  
Central Iowa Power Cooperative  
Central Vermont Public Service Corporation  
City of Austin, TX\*  
City Public Service of San Antonio, TX\*  
CMS Energy Corporation  
Connecticut Yankee Atomic Power Company  
Constellation Energy Group  
Corn Belt Power Cooperative  
CPS Energy  
Dairyland Power Cooperative  
Dalton Water and Light Commission, GA (also known as Dalton Utilities)\*  
Dominion Resources, Incorporated  
DTE Energy Company (also known as Detroit Energy Company)  
Duke Energy Corporation  
Eastern Utilities Associates  
EDF (also known as Electricite de France)\*\*  
Edison International  
El Paso Electric Company  
Energy Northwest  
Entergy Corporation (and all Entergy subsidiaries)  
Exelon Corporation  
FirstEnergy Corporation  
Florida Municipal Power Agency\*  
Florida Progress Corporation  
Gainesville-Alachua County Regional Electric, Water, and Sewer Utilities Board, FL\*  
GPU (also known as Georgia Power Company)  
Great Plains Energy  
Hudson Light & Power Department, MA\*  
Indiana Michigan Power Company  
Iowa Electric Light and Power Company  
IPC Development Company  
Jersey Central Power and Light Company  
Kansas Electric Power Cooperative\*  
Kissimee Utilities, FL\*

Leesburg Municipal Electric Department, FL\*  
Long Island Power Authority  
Los Angeles Department of Water & Power\*  
Luminant Generation Company  
Maine Public Service Company  
Massachusetts Municipal Wholesale Electric Company\*  
MidAmerican Energy Company  
Mitsubishi Heavy Industries, Ltd.  
Montaup Electric Company  
Municipal Electric Authority of Georgia\*  
National Grid Group, plc  
Nebraska Public Power District\*  
New Smyrna Beach Utilities Commission, FL\*  
NextEra Energy, Incorporated (previously Florida Power & Light or FPL)  
North Carolina Eastern Municipal Power Agency\*  
North Carolina Electric Membership Corporation\*  
North Carolina Municipal Power Agency  
Northeast Nuclear Energy Company  
Northeast Utilities  
NRG Energy, Incorporated  
Ocala Utilities Division, FL\*  
Oglethorpe Power Corporation  
Old Dominion Electric Cooperative  
Omaha Public Power District\*  
Orlando Utilities Commission, FL\*  
Pacific Gas & Electric Company  
Pennsylvania Electric Company  
Piedmont Municipal Power Agency\*  
Pinnacle West Capital Corporation  
PPL Corporation  
Progress Energy  
Public Service Company of New Hampshire  
Public Service Company of New Mexico (also known as PNM)  
Public Service Enterprise Group (also known as PSE & G)  
Reliant Energy  
Resources Capital Management Company  
Riverside Public Utilities, CA\*  
Sacramento Municipal Utility District\*  
Salt River Project Agricultural Improvement & Power District\*  
Saluda River Electric Cooperative  
San Diego Gas & Electric Company  
SCANA Corporation  
Scottish Power, plc  
Seminole Electric Cooperative, Incorporated  
Sempra Energy Corporation  
South Carolina Public Service Authority (also known as Santee Cooper)\*  
South Mississippi Electric Power Association  
Southern California Edison Company  
Southern California Public Power Authority\*  
Southern Company  
STP Nuclear Operating Company (also known as the South Texas Project)

Taunton Municipal Lighting Plant, MA\*  
Tennessee Valley Authority  
Toshiba Corporation  
Unitil Corporation  
Virginia Electric & Power Company  
Westar Energy  
Western Massachusetts Electric Company  
Wolf Creek Nuclear Operating Company  
WP&L Holdings  
Xcel Energy, Incorporated  
Yankee Atomic Electric Company

## **2. Manufacturers/Vendors of Power or Test Reactors**

AECL Technologies, Incorporated  
Areva  
ASEA Brown Boveri  
Babcock & Wilcox Company  
BNFL, Ltd.  
British Nuclear Group  
Framatome  
General Electric Company  
Hitachi Ltd.  
IHI Corporation  
McDermott International, Incorporated  
Mitsubishi Heavy Industries, Ltd.  
Toshiba Corporation  
Washington Group International, Incorporated

## **3. Architectural Engineering Companies**

Babcock & Wilcox Company  
Bechtel  
Black & Veatch  
Brown Boveri  
Burns & Roe  
C.F. Braun  
Duke Engineering & Services  
Dravo Corporation  
Ensearch  
Fluor  
Gilbert Associates/Commonwealth Companies  
Sargeant & Lundy  
Southern Services Company  
The Shaw Group

#### **4. Fuel Cycle-Related Applicants, Certificate of Compliance Holders, and Licensees**

Alaron Corporation  
 American Nuclear Corporation  
 Applied Health Physics, Incorporated  
 Areva  
 Cameco\*\*  
 Edlow International Company  
 EnergySolutions, Incorporated  
 Fansteel, Incorporated  
 Foster Wheeler  
 General Electric  
 General Nuclear Systems, Incorporated  
 Holtec International  
 Homestake Mining Company  
 Hydro Resources, Incorporated  
 International Isotopes, Incorporated  
 Kennecott Uranium Corporation  
 MDS Nordion  
 NAC International  
 Pathfinder Mines Corporation  
 Radiac Research Corporation  
 RIO Algom Corporation  
 Scientific Ecology Group, Incorporated  
 Shieldalloy Metallurgical Corporation  
 The Shaw Group  
 Umetco Minerals Corporation  
 UNC Mining and Milling  
 Uranium Resources  
 Urenco  
 USEC Incorporated  
 Western Nuclear, Incorporated

#### **5. Energy or Utility Sector Mutual or Exchange Traded Funds\***

BlackRock Utilities and Telecommunications Fund  
 Fidelity Advisor Utilities Fund  
 Franklin Utilities Fund  
 Global X Uranium ETF  
 Invesco Utilities Fund (previously known as Invesco Van Kampen Utility Fund)  
 iShares Dow Jones U.S. Utilities Sector Index Fund  
 iShares S&P Global Nuclear Energy Index Fund  
 Market Vectors-Nuclear Energy ETF  
 Market Vectors Uranium-Nuclear Energy ETF (previously Van Eck Nuclear Energy ETF)  
 MFS Utilities Fund  
 Profunds Utilities UltraSector (previously ProFunds Utilities Fund)  
 PowerShares Dynamic Utilities Fund  
 PowerShares Global Nuclear Energy Fund  
 Rydex Series Trust Utilities Fund (previously Rydex Utilities Fund)  
 Ultra Utilities ProShares Fund  
 Utilities HOLDRs

Utilities SPDR Fund  
Utilities Select Sector SPDR  
Vanguard Utilities ETF  
Wells Fargo Advantage Utility and Telecommunications

\* This entity is a municipal or state government organization. Ownership of bonds or other financial instruments issued by such an organization is proscribed only when the bonds or other financial instruments are issued specifically for the construction of nuclear power plants.

\*\* This entity has been added by this issuance.

\*\*\* This list is compiled based on a recent Office of the General Counsel review of energy and utility mutual fund reports and prospectuses which show that at least 25% of the assets of each fund are entities in the first four categories of this list. Because the holdings of a fund change, employees should contact OGC if a fund listed above holds less than 25% of its assets in prohibited securities. In addition, employees who own more than \$15,000 in any other sector mutual fund should not personally and substantially participate in any particular matter that could directly and predictably affect the financial interests of any entity in that particular sector whose securities are held by that fund; however, employees who do not own more than \$50,000 in a sector mutual fund can participate in generic matters (such as rulemakings) affecting any entity in that sector held by that fund.

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