

PART I - FACE SHEET

APPLICATION FOR FEDERAL ASSISTANCE <small>Modified Standard Form 424 (Rev.02/07 to confirm to the Corporation's eGrants System)</small>		1. TYPE OF SUBMISSION: Application <input checked="" type="checkbox"/> Non-Construction														
2a. DATE SUBMITTED TO CORPORATION FOR NATIONAL AND COMMUNITY SERVICE (CNCS):	3. DATE RECEIVED BY STATE: 24-NOV-10	STATE APPLICATION IDENTIFIER:														
2b. APPLICATION ID: 11AC123321	4. DATE RECEIVED BY FEDERAL AGENCY:	FEDERAL IDENTIFIER: 09ACHNY0010011														
5. APPLICATION INFORMATION																
LEGAL NAME: Northeast Parent & Child Society DUNS NUMBER: 089113047	NAME AND CONTACT INFORMATION FOR PROJECT DIRECTOR OR OTHER PERSON TO BE CONTACTED ON MATTERS INVOLVING THIS APPLICATION (give area codes): NAME: Peter Stoll TELEPHONE NUMBER: (518) 346-1285 522 FAX NUMBER: (518) 370-3146 INTERNET E-MAIL ADDRESS: peter.stoll@neparentchild.org															
ADDRESS (give street address, city, state, zip code and county): 1 Genium Plaza Schenectady NY 12304 - 4607 County: Schenectady	6. EMPLOYER IDENTIFICATION NUMBER (EIN): 141646198															
8. TYPE OF APPLICATION (Check appropriate box). <input type="checkbox"/> NEW <input type="checkbox"/> NEW/PREVIOUS GRANTEE <input checked="" type="checkbox"/> CONTINUATION <input type="checkbox"/> AMENDMENT If Amendment, enter appropriate letter(s) in box(es): <input type="text"/> <input type="text"/> A. AUGMENTATION B. BUDGET REVISION C. NO COST EXTENSION D. OTHER (specify below):	7. TYPE OF APPLICANT: 7a. Non-Profit 7b.															
	9. NAME OF FEDERAL AGENCY: Corporation for National and Community Service															
10a. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:94.006 10b. TITLE: AmeriCorps State	11.a. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Northeast Energy Corps															
12. AREAS AFFECTED BY PROJECT (List Cities, Counties, States, etc): 1) New York State 2) Schenectady County 3) City of Schenectady	11.b. CNCS PROGRAM INITIATIVE (IF ANY):															
13. PROPOSED PROJECT: START DATE: 10/01/11 END DATE: 09/30/12	14. CONGRESSIONAL DISTRICT OF: a.Applicant <input type="text" value="NY 021"/> b.Program <input type="text" value="NY 021"/>															
15. ESTIMATED FUNDING: Year #: <input type="text" value="2"/>	16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS? <input type="checkbox"/> YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE: <input checked="" type="checkbox"/> NO. PROGRAM IS NOT COVERED BY E.O. 12372															
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 15%;">a. FEDERAL</td> <td style="text-align: right;">\$ 148,200.00</td> </tr> <tr> <td>b. APPLICANT</td> <td style="text-align: right;">\$ 78,343.00</td> </tr> <tr> <td>c. STATE</td> <td style="text-align: right;">\$ 0.00</td> </tr> <tr> <td>d. LOCAL</td> <td style="text-align: right;">\$ 0.00</td> </tr> <tr> <td>e. OTHER</td> <td style="text-align: right;">\$ 0.00</td> </tr> <tr> <td>f. PROGRAM INCOME</td> <td style="text-align: right;">\$ 0.00</td> </tr> <tr> <td>g. TOTAL</td> <td style="text-align: right;">\$ 226,543.00</td> </tr> </table>	a. FEDERAL	\$ 148,200.00	b. APPLICANT	\$ 78,343.00	c. STATE	\$ 0.00	d. LOCAL	\$ 0.00	e. OTHER	\$ 0.00	f. PROGRAM INCOME	\$ 0.00	g. TOTAL	\$ 226,543.00	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> YES if "Yes," attach an explanation. <input checked="" type="checkbox"/> NO	
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f. PROGRAM INCOME	\$ 0.00															
g. TOTAL	\$ 226,543.00															
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.																
a. TYPED NAME OF AUTHORIZED REPRESENTATIVE: Peter Stoll	b. TITLE: Vice President	c. TELEPHONE NUMBER: (518) 346-1285 522														
d. SIGNATURE OF AUTHORIZED REPRESENTATIVE:		e. DATE SIGNED: 05/10/11														

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Executive Summary

Northeast Energy Corps addresses the need to provide energy upgrades to substandard low-income housing in the City of Schenectady. Members will be enrolled in our program on an annual basis. Members will receive Pre-Service Orientation, Green Curriculum, Customer Service, and Building Analyst training through the HVCC CEENS and NYSERDA. This will prepare members with the concepts and certification to perform energy audits, retrofits, and upgrades to low-income homes.

Rationale and Approach

A. RATIONALE AND APPROACH

COMPELLING COMMUNITY NEED:

DESCRIBE THE COMMUNITY NEED. This program will address the need to weatherize and provide energy efficiency upgrades to substandard low-income owner-occupied housing or low-income rental properties within targeted economically-distressed neighborhoods in the City of Schenectady. WHY DID YOU SELECT THIS NEED? This need was selected as our focus because it is a priority of the City of Schenectady. In June 2009, we met with Schenectady's Department of Planning and Development to explore how Northeast's Schenectady YouthBuild program could assist the City in rehabbing and improving energy in substandard housing within the poorest neighborhoods of the City. As a first step in the process of assisting the City to address this need, Northeast applied for and received a \$100,000 U.S. DOL Green Capacity (energy efficiency) Building grant in October 2009. Northeast was one of only two NYS organizations to receive this grant. This capacity building grant will enable Northeast to address the need for energy efficiency. HOW DID YOU IDENTIFY THE NEED? This need is identified and documented in The City of Schenectady's Comprehensive Plan 2020 (source: <http://www.cityofschenectady.com/Schenectady2020/default.htm>) and the City of Schenectady's Federal Consolidated Plan: 2010-2010

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(<http://www.cityofscheneectady.com/pdf/development/hprpapplicationForwebsite.pdf>.) As reported in both plans, Schenectady is a very old city in upstate New York as substantiated through the U.S. 2000 Census Bureau data on housing units built before 1940. Census data indicated that 82% of the housing units in Schenectady were built before 1940 (24,882 pre-1940 units of a total of 30,328 housing units). Based on the City of Schenectady's Comprehensive Plan 2020 and Consolidated Plan: 2010-2014, the need to upgrade the energy systems in aging housing is especially acute since 36%, or 10,908 units of the City's total housing stock of 30,328 homes have been identified as severely in need of energy efficiency upgrades. These substandard units include unoccupied properties (4,263), homes with substandard conditions (1,081) and low-income rental properties (5,564) well below the average monthly rental income for units in the City of Schenectady. According to the Schenectady Comprehensive Plan, the Hamilton Hill/Vale neighborhood in the City of Schenectady (a Federal Enterprise Community) is the City's main priority for upgrading that neighborhood's community housing stock including energy efficiency upgrades. Northeast analyses of Census 2000 data research published by The Capital District Regional Planning Commission Review of Non-Energy Efficient Housing Units (source: <http://www.cdrpc.org/DPS-CT.html>: December 2009) has identified 3,034 housing units (62%) out of 4,897 housing units in dire need of upgrades in the Hamilton Hill/Vale community where income is at its lowest and landlords are reluctant to fix up low rental properties due to low monthly rental fees. The Comprehensive Plan 2020 report also indicates that the median household income in Hamilton Hill/Vale was \$16,645. In addition, approximately 66.9% of households in the City of Schenectady are considered low and moderate income and 20.8% of residents, with a majority in Hamilton Hill/Vale, live below the poverty level. Nationally, 5% of rental units are vacant; however, in the Hamilton Hill/Vale neighborhood there is a vacancy rate of 13.5% and for-sale housing was 16.3% in Hamilton Hill/Vale, nearly six times the national average. Given these conditions, this program will first focus members' activities on the Hamilton Hill/Vale neighborhood during the first 15 months, and address the needs for energy upgrades in housing in other neighborhoods in Schenectady during subsequent years. In 2010, in

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recognition of this need, the City of Schenectady will implement a new owner-occupied housing rehabilitation plan for low-income households. Each qualified household may receive up to \$25,000 for weatherization and energy upgrades. These weatherization/energy rehabilitation activities will be provided to homeowners by the City of Schenectady through Schenectady County housing rehabilitation agencies--Better Neighborhoods, Inc., Habitat for Humanity of Schenectady County, Inc., Schenectady Municipal Housing, and The Community Land Trust of Schenectady all whom have collaborated with Northeast's Schenectady YouthBuild on housing rehabilitation since 2006. Building on these collaborative relationships, Northeast AmeriCorps members will be trained on energy efficiency through our U.S. DOL Green Capacity (energy efficiency) Building initiative and will provide volunteer services under the supervision of Northeast's Green Construction Trainer and these four community based collaborating organizations.

DOCUMENTATION OF NEED. Three sources document this need: (1) U.S. Census Bureau Census 2000 housing data published by The Capital District Regional Planning Commission Review of Non-Energy Efficient Housing Units (source: <http://www.cdrpc.org/DPS-CT.html>: December 2009); (2) The City of Schenectady's long range Comprehensive Plan 2020 which was approved Dec 11, 2006 by the City Council (source: <http://www.cityofschenectady.com/Schenectady2020/default.htm>); (3) The City of Schenectady's Federal Consolidated Plan: 2010-2014 (<http://www.cityofschenectady.com/pdf/development/hprpapplicationForwebsite.pdf>.) Multiple sites. Program does not operate at multiple sites.

DESCRIPTION OF ACTIVITIES AND MEMBER ROLES:

DESCRIBE THE ACTIVITIES YOU PROPOSE TO ADDRESS THE NEED. Members will receive a Pre-Service Orientation, Introduction to Green Curriculum, and Customer Service Training. Members will enroll in and successfully complete the Building Analyst (BA) training program delivered through the

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HVCC Center for Energy Efficiency and Building Science (CEEBS) in cooperation with NYS Energy Research and Development Authority (NYSERDA.) This program will prepare the AmeriCorps members with the concepts and certification required to perform energy audits, retrofits, and upgrades to low-income homes in the City of Schenectady. After completing Building Performance Institute (BPI) certification, Corps members will: 1.) conduct community outreach and education to increase homeowner awareness of the City of Schenectady's new Green Rehab program; 2.) assist homeowners with application process; 3.) provide qualified low income homeowners with Comprehensive Home Assessments (CHA's) (also known as energy audits), Remediation, and Post-Remediation Assessments. AmeriCorps members will work alongside seasoned, trained, construction managers to perform the diagnostic phase that includes: Calculation of baseline energy use, total volume, total window area, etc; Identification of thermal boundary and condition of existing insulation system; Calculation of building airflow (blower door test); Assessment of condition/efficiency of combustion appliances (furnace, hot water heater, kitchen oven/stove etc.); General inspection of doors, windows, lighting, etc.; and Identification of fire hazards, gas leaks, moisture problems etc. Remediation tasks will include: Installation of weather-stripping; Measurement and installation of Advanced Energy Panels/ replacement windows; Repair/replace damaged storm windows or window panes; Sealing of all penetrations into and out of the thermal boundary (air sealing); Installation of dense pack cellulose into wall cavities and loose fill cellulose into attics; Installation of smoke detection devices throughout the building; and Installation of CFL's, and ventilation devices in kitchens, baths and laundry areas as necessary. After all remediation tasks are completed, AmeriCorps volunteers will complete a post-remediation assessment.

DESCRIBE CURRENT EFFORTS OF YOUR ORGANIZATION AND PLANNED PARTNERS TO ADDRESS THE NEED. Northeast and partners are addressing the City of Schenectady's need to provide weatherization/energy efficiency services to low-income families through our current efforts to access

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resources, develop community partnerships, enhance our construction and leadership education curriculums, and provide staff with comprehensive training. Northeast has recently been awarded a US DOL Green Capacity Building grant for \$100,000 to provide energy efficiency program development, equipment, and comprehensive staff and participant training. Northeast is also a member of the Green Jobs/Green NY Capital Region Coalition; a group of contractors, affordable housing organizations, Unions, non-profits, and government organizations who are preparing to launch newly enacted legislation to weatherize one million New York State homes in five years. Northeast has worked with the following local affordable housing agencies to Green Rehab existing boarded up and dilapidated homes in the City of Schenectady to build new Green Homes: Better Neighborhoods Inc, Habitat for Humanity, and Schenectady Land Trust. Northeast is also a member of the "Green Team" a Capital Region grassroots effort to bring local contractors, business owners, and community members together to provide energy upgrades/retrofits to non-profit buildings. Northeast has an AmeriCorps VISTA Green Projects Leader through the National Corporation for Community Service. This VISTA position is responsible for developing an Introduction to Green curriculum; Environmental Stewardship Program; and launching our YouthBuild Weatherization Program.

WHAT WILL BE THE MEMBER'S ROLES IN THESE ACTIVITIES? DISCUSS PROGRAM STRUCTURE. HOW DO THE TYPES OF MEMBER SLOTS ALIGN WITH PROGRAM DESIGN AND ACTIVITIES? The Member's roles will be to provide the energy assessment and remediation work needed to complete the Green Rehab process. Northeast's Construction Manager and the AmeriCorps Members have a distinctly different role than the affordable housing staff and project volunteers because they hold the sole designation of BPI Certified and Trained Building Analysts. This training and certification is necessary to provide energy assessments and related work. Members will be assigned to the work site (residential home) and provided with a work schedule by the AmeriCorps Member Services Specialist. The Member Services Specialist will work with the City of Schenectady's Housing

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Department to identify homes that have been qualified to receive Green Rehab funds and to determine the scope of work for the home. One affordable housing agency; Better Neighborhoods, Habitat for Humanity, or the Community Land Trust; will assume lead responsibility for each Green Rehab project, as determined by the City of Schenectady. Thirty Half-Time AmeriCorps volunteers will be divided into five teams of six members. Each team will be assigned a home. Three members (in 5 groups of 3, equal 15 members total) will work on the assigned home on Monday, Wednesday, and Friday for eight hours per day. The other team of three (15 members total) will work on Tuesday, Thursday, and Saturday for eight hours per day.

NON-DUPLICATION, NON-DISPLACEMENT, AND NON-SUPPLEMENTATION REQUIREMENTS.

Non-duplication: Weatherization and energy efficiency assessments and services provided by members through this program does not duplicate an activity available in the City of Schenectady. Non-displacement: No employee of Northeast or county housing rehabilitation partners will be displaced nor receive reductions in hours, benefits and wages as the result of the use of members in providing weatherization/energy efficiency upgrades. Non-supplementation: Energy efficiency services provided by members through this does not replace State/local funds used to support programs of the type eligible to receive Corporation support. Northeast, The City of Schenectady Department of Development and our housing rehabilitation collaborators have jointly signed MOUs affirming to comply with these 45 CFR § 2540.100 requirements.

PLAN FOR MEMBER DEVELOPMENT, TRAINING, AND SUPERVISION. Northeast's plan for member development and training contribute to our desired outcomes (increased member technical skills/education; lowering utility bills for low-income homeowners; decreasing our carbon footprint) because all activities directly correspond to the outcomes by providing the historical, economic, ecological, and technical context and training for the energy work we propose to accomplish. Members

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will have a depth of understanding of the importance of energy efficiency work and learn about various green jobs. As a result, members will seek a post-secondary degree that will lead to a "green job".

Supervision will contribute to the desired outcomes because the Member Services Specialist will assume responsibility for general oversight, member support services, and continuity of programming. The Construction Manager will ensure high quality work is being performed, work is being conducted at a steady pace, and that homeowners are satisfied with their experience.

PROHIBITED SERVICE ACTIVITIES. All members will sign a contract stating they will follow all rules and regulations of AmeriCorps, especially those dealing with such prohibited service activities as: influencing legislation, engaging in protests, union activity, impairing existing contracts, partisan politics, political advocacy, religious instruction, or benefits to business or unions. Members can participate after hours as long as they are not identified with AmeriCorps insignia.

HOW WILL AN AMERICORPS GRANT ADD VALUE TO EXISTING SERVICE ACTIVITIES? Receiving AmeriCorps funding is the next logical progression to achieve our goal to provide energy efficiency services to all low-income homeowners, thus decreasing the burden of exorbitant energy bills and reducing our carbon footprint. AmeriCorps funding would maximize our recently awarded USDOL Green Building Capacity Grant through utilizing an evidence-based Building Analyst Curriculum and newly purchased energy efficiency equipment.

MEASURABLE OUTPUTS AND OUTCOMES:

DESCRIBE AT LEAST ONE ALIGNED MEASURABLE OUTPUT, INTERMEDIATE OUTCOME, END OUTCOME AND LONG-TERM IMPACT. One aligned measurable output that will be achieved is that 30 homes will be provided with energy assessments/audits and remediated. Intermediate outcomes (per

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year) are: 1.) 30 AmeriCorps volunteers will pass the Building Analyst Course; 2.) 30 AmeriCorps members will perform field testing and pass BPI Certification test; 3.) 20 community presentations will be conducted to educate homeowners about the Green Rehab program and Energy Consumption and Efficiency; 4.) 100 homeowners will be provided with application assistance; 5.) 3 Hamilton Hill/Vale blocks will be targeted for intense homeowner outreach to gain program participation and volunteers. The long term impacts are that 1.) low-income homeowners will experience a decrease in their exorbitant utility bills, as measured by calculating data from retrofitted homes and determining homeowner savings; 2.) Our community will have reduced its carbon footprint through reduction of energy consumption; 3) As a result of fewer emissions, the air quality will improve in the City of Schenectady, which has high rates of asthma caused by air pollutants.

SYSTEMS TO TRACK OUTPUTS AND INTERMEDIATE OUTCOMES. We will use the following data to track and evaluate our progress: daily work attendance; pre- and post-test skills assessments; pre- and post-test certification assessments; quarterly qualitative evaluation of member's activities and skills; daily activities logs; daily/monthly volunteer projects logs; and, daily calendars prepared by skills trainers detailing scheduled activities. This data will be entered on an ongoing basis into our current WBRS AmeriCorps web-based reporting system as well as entered into Northeast's own RE7, Connections, and prevention programs databases. Weekly and monthly progress reports will be generated and distributed to AmeriCorps program staff and project collaborators to ensure that activities are aligned with performance outcomes and that progress is achieved. AmeriCorps outcome data will be integrated in our CQI tracking system and included in our Annual Report.

FOOCUS AREAS/STANDARD PERFORMANCE MEASURES. This program will address the Clean Energy/Environment national service priority area and we will be using standard performance measures.

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PLAN FOR SELF-ASSESSMENT AND IMPROVEMENT:

WHAT ARE YOUR PLANS FOR CONTINUOUS PROGRAM IMPROVEMENT? Northeast will create a five-member internal Policy Committee of AmeriCorps members elected by peers. This committee will continuously gather feedback on program strengths and weaknesses. They will implement action plans and policies to ensure problems are resolved and members are satisfied. In the event the Policy Committee can not resolve a member issue, there will be a formalized grievance procedure. All members will be informed of this procedure during orientation. Staff and members will annually complete satisfaction surveys as part our CQI process. This data will be documented in the WBRS database and included in our Annual Report.

COMMUNITY INVOLVEMENT:

DESCRIBE HOW YOU INVOLVED THE TARGET COMMUNITY. Northeast's Green Project Leader VISTA has worked with partners to involve community members and stakeholders in identifying area needs and activities. Cornell Cooperative Extension of Albany County has partnered with Northeast's YouthBuild Program to offer energy-efficiency workshops in which participants are taught how to reduce their energy consumption and energy bills through hands-on, no-cost/low-cost strategies. The Capital Region "Green Team" has also worked with Northeast in order to retrofit area non-profit building, providing volunteer activities to promote energy efficiency to local community members. As part of the "Green Team," Northeast had a pivotal role in planning activities involved in a green 'barn-raising'/ energy-efficiency building retrofit. Partnerships have also been developed with Energy Management Solution and with Advanced Energy Panels who are involved in the growing energy efficiency sector in the Capital Region

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WHAT COMMUNITY PARTNERS WERE INVOLVED? The following key partners, stakeholders, and organizations met with Northeast's YouthBuild staff throughout 2009 to inform the development and specific detail of a Weatherization/Energy Efficiency Program: City of Schenectady: Partnering with Northeast to perform Weatherization services for low income homeowners. Capital Region Apollo Alliance: New York State Energy Research and Development Authority's (NYSERDA) role: (1) Participate in Northeast's Advisory Board. (2) Reimburse 75% of the costs of Building Analyst training and BPI certification and waive the remaining 25% costs of tuition and certification. (3) Collaborate on alignment of prerequisite skills required in the Building Analyst training into the GED and construction curriculum reflecting participant learning needs. Hudson Valley Community College (HVCC) Center for Energy Efficiency and Building Science (CEEBS) role: (1) Assist in the alignment of Schenectady YouthBuild curriculum to meet the standards as taught by CEEBS. (2) Redevelop the core curriculum to meet the needs of a less experienced AmeriCorps member to allow more time on task to complete the required modules.

EXPLAIN HOW YOU WILL ENGAGE PARTNERS THROUGHOUT THE PROGRAM PERIOD. WHAT WILL BE THEIR ONGOING ROLES AND RESPONSIBILITIES? Community partners and stakeholders will be integral in the process of assessing the developing needs of the community throughout the three-year program period. The Vale and Hamilton Hill community will develop an increased awareness of the importance of energy efficiency through this project. Awareness is important because disenfranchised communities have been generally left out of the global warming discussion and solutions. As awareness occurs, community partners and stakeholders will be engaged through Northeast to become volunteers, sit on our advisory board, and to become mentors. Our community partners, Better Neighborhoods Inc., Habitat for Humanity, Community Land Trust and Schenectady Municipal Housing Authority will work with Northeast to continuously assess the ongoing and

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developing needs in the community over the three year period. All stakeholders will remain engaged throughout the three year period through regularly scheduled meetings with up-to-date information on the sustainability of the project, community improvements due to the project, and the ways in which community partners and Northeast can work together on this project. Data related to this project will also be collected and analyzed throughout the three-year program period so that partners and stakeholders will be able to measurably evaluate the project.

RELATIONSHIP TO OTHER NATIONAL AND COMMUNITY SERVICE PROGRAMS: Northeast Parent & Child Society will fully participate with other national and NYS AmeriCorps programs to improve their own program as well as share best practices among all those participating. Northeast's AmeriCorps Program will build on existing Corporation for National and Community Service Programs by: 1.) using best practices identified by New York State Programs; and 2.) participating in New York State AmeriCorps events and days of service, including county-wide National Service Days. In 2006, Northeast previously collaborated with a national AmeriCorps Education Awards program as a sub-grantee of YouthBuild USA in which Schenectady YouthBuild members served as half-time AmeriCorps members, completing 900 service hours in creating affordable housing. Northeast also received technical assistance from Twain Peebles, a YouthBuild AmeriCorps Consultant, to ensure compliance with all AmeriCorps programming and reporting requirements including WBRS. At present, Northeast receives pass through funding from YouthBuild USA to host two AmeriCorps VISTA's for 2009-2012 who have greatly assisted Northeast in the development of this initiative as well as several other successful grants including the new US DOL Green Capacity Building proposal, which serves as the basis for this new proposal. Northeast currently has a VISTA Mentoring Coordinator and VISTA Green Project Leader. Northeast will collaborate on activities with two NYS Capital District Region State AmeriCorps Programs: The Troy Housing Authority AmeriCorps Program (Troy, NY) and the Albany United Methodist Society's AmeriCorps program. Northeast will fully participate in NYS's technical

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assistance activities, assist in the development of the state service plan, and participate in Best Practices meetings

POTENTIAL FOR REPLICATION:

The Energy Corps program design is unique in concept but simple in design, similar to weatherization/energy retrofitting designs being used in many communities throughout the Country and is easily replicated because: 1.) It is based on a nationally recognized BPI Certification; 2.) It employs an evidence-based curriculum that is portable and can be used in various settings with a variety of learners; 3.) Energy assessments and remediation work is a high priority/need throughout the Country. Our energy retrofitting program has been developed under a US DOL Green Building Capacity grant which includes all the required equipment needed for energy efficiency audits. This program will include complete documentation of the entire program and will be made available for any organization interested in pursuing a green path for members. Northeast staff and Energy Corps members will be made available to other interested organizations in NYS and nationally. Our Energy Corps program is also linked to our nationally recognized Schenectady YouthBuild program and will be made available to national YouthBuild programs through YouthBuild USA. In addition to other replication efforts, Northeast plans to replicate this initiative through the development of a Graduate Weatherization Program. This Graduate Program would train at-risk young people to be Weatherization Installers and employ them through our agency to provide weatherization services on a contracted basis.

Organizational Capability

D. ORGANIZATIONAL CAPABILITY

SOUND ORGANIZATIONAL STRUCTURE: ABILITY TO PROVIDE SOUND PROGRAMMATIC AND

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FISCAL OVERSIGHT:

PROVIDE A BRIEF HISTORY OF YOUR ORGANIZATION. Founded in 1888, Northeast Parent & Child Society is one of the largest nonprofits in upstate New York. Our mission is to protect children, preserve families, and strengthen communities in 29 counties throughout upstate New York. With 500 staff, 300 foster parents, and 24 programs, Northeast annually provides residential, special education, family foster care, children's mental health, and career development programs to over 6,000 children and families. Northeast is overseen by a Board and President/CEO, with a 2009 budget of \$33,056,471.

ORGANIZATION'S EXPERIENCE IN THE AREAS OF ACTIVITY; EXPERIENCE OPERATING A COMPARABLE PROGRAM. Northeast's experience in our proposed areas of activity and in overseeing programs comparable to our proposed AmeriCorps program derive from the following: (1) Northeast has an established track record in operating mentoring programs having received over \$1 million in the following Federal, State and private foundation grant funded programs: * Mentoring for Change: In fall 2004, Northeast secured a three-year, \$594,697 grant from the U.S. Department of Education's Office of Safe & Drug-Free Schools-funded to fully fund our mentoring program, providing 41 adult mentors to children at risk of educational failure. 115 students, a majority attending Northeast's School at Northeast, were matched one on one to adult mentors from the community. Outcomes among the youth included improved school attendance, higher grades, and acquisition of positive school behaviors. All juniors and seniors transitioned to both college and work. * Northeast was one of 4 nationally selected child welfare agencies to receive a \$24,250 grant from the Child Welfare League of America (CWLA: 2007-2010) to match former foster youth with current youth in care. * NYS OCFS-funded Adolescent Pregnancy Prevention Services Program (2006-2008: \$185,000): 45 youth age 21 and under and at-risk of pregnancy were matched to adults in the community. As a result, all but one of the 45 matched youth remained in school and avoided pregnancy. * YouthBuildUSA established a pilot project with Northeast

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Schenectady YouthBuild providing natural match mentoring to assist out-of-school youth age 18 to 24 achieve self-sufficiency by entering employment in the construction industry. * Northeast's NYS Education Department-funded 3-year Model Transition Program (2007-2010: \$305,000) provides transition counseling services for connecting School at Northeast graduating seniors to VESID employment and postsecondary education. * First Niagara Bank-funded GATES (Graphics and Technology Exploration) Program (2007: \$15,000): 15 at-risk high school youth in Northeast's Schenectady YouthBuild and Group Homes were supported by adult mentors as they acquired technology skills in a 3 credit CAD course from Schenectady County Community College. * First Niagara Bank-funded Job Mentoring Program (2008: \$15,000): provides job mentoring transition services to ensure that Schenectady YouthBuild participants transition to work and remain employed. (2) Northeast has simultaneously operated the following Federal, State, and County youth employment and training grants. Northeast first implemented Schenectady YouthBuild in 2006 through a \$390,011 US HUD grant to help 22 at-risk youth in Schenectady's Hamilton Hill secure their GED/high school diplomas, learn marketable construction skills, enter college, and secure employment through the Empire State Carpenters Apprenticeship Program. This was followed by a \$713,110 US DOL grant serving 44 youth in 2007. In 2008 Northeast received a \$750,000 NYS CDBG to provide partial funding for our new \$1.5 million Schenectady County Career Development Center. In June 2009, we received a \$1.1 million grant from US DOL to expand services to 72 youth. In November 2009 we received a \$100,000 green (energy efficiency) building capacity grant from US DOL to acquire the staff expertise, curriculum, and equipment for conducting energy assessments and upgrades in residential housing. (3) Northeast/Volunteers Recruitment Program: Since 2003-2004, The Friends of Northeast Volunteers Program has grown from 1,105 to 3,842 volunteers. (4) Intensive Aftercare Prevention Program: Northeast received two TANF grants from the NYS OCFS totaling \$871,323 (\$524,000: 2001-2004 and \$347,323: 2005) to expand our Intensive Aftercare Prevention Program for at-risk youth in several Capital District Region counties. (5) Northeast Independent Living Program: Northeast has received

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\$1,040,000 over the past six years to provide casework, clinical and subsidized housing for at-risk youth. (6) The School at Northeast: Funded at \$32.4 million from 2000-2009, Northeast operates a NYS-approved special education school for 158 students. (7) Northeast School-To-Work Program: Northeast annually provides 56 students in our school with job training services and stipends totaling \$206,640 from 2000-2009. SPECIFIC EXAMPLES OF PRIOR OUTCOMES. Examples of outcomes attained through these and other education, residential and prevention programs include: 1) During the past five years, Northeast and our YouthBuild partners (Schenectady County Community College, Better Neighborhoods, and Schenectady County Job Training Agency) have helped (a) 710 youth ages 16 -- 24 obtain a GED; (b) 1,315 youth gain employment; (c) 991 youth attain improved literacy and numeracy skills; and (d) over 60 houses become renovated for affordable living in the City of Schenectady. In addition, Schenectady YouthBuild outcomes exceed national rates: GED passing rate of 72% (US rate: 52%); job placement rate of 75% (US rate: 47%); and program completion rate of 93% (US: 80%.) Schenectady YouthBuild outcomes exceed national rates: a GED passing rate of 72% (US rate: 52%); job placement rate of 75% (US rate: 47%); and program completion rate of 93% (US: 80%.) Northeast's education and prevention outcomes also underscore our success in helping at-risk youth and families attain skills and transition to the community: 1) Northeast's Family Preservation and Reunification Programs helped 96% of the youth (767 out of 796) served to safely remain in their homes through intensive counseling and in-home support. 2) During the past three years, Northeast's foster care program has increased the number of children served daily from 41 to 110, increased the number of certified foster homes from 87 to 147, and achieved a 90% permanency placement rate for Northeast's foster children. 3) Since its inception in 1987, Northeast's Sexual Abuse Treatment Program has helped more than 500 children and families in Schenectady County heal from the damaging effects of child sexual abuse. 4) Since 1977, more than 1,000 families have benefited from Northeast's Therapeutic After School Program. 5) The School at Northeast's Class of 2006 achieved a perfect graduation rate, a remarkable accomplishment for young adults who struggled in traditional classrooms. CAPACITY TO

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MANAGE A FEDERAL GRANT; PROVIDE ON SITE MONITORING. Northeast has firm fiscal controls for auditing and accountability of all finances having successfully prepared and submitted all required State and Federal reports for grants administered by our agency. Northeast's Executive Vice President of Finance/CFO and our Board of Directors Finance Committee reviews all fiscal grant reports and monthly finance reports. Our annual audit is prepared by T.M. Byxbee, CPAs. Our CFO and staff oversee multiple funding streams in our annual budget: \$31,403,648 (government); \$1,322,259 (public); \$330,564 (other). Northeast uses Blackbaud's accounting system, The Financial Edge, which satisfies federal accounting requirements.

BOARD OF DIRECTORS, ADMINISTRATORS, AND STAFF:

MANAGEMENT STRUCTURE. Northeast Parent & Child Society, formed in 1888, is a 501 (C) (3) non-profit agency overseen by a Board of Directors and President and Chief Executive Officer. Reporting to the President are three Executive Vice Presidents for operations, finance, and public relations and development. An executive staff of 7 Vice Presidents report to these Executive Vice Presidents and administer over 500 staff and an array of residential, educational, family foster care and prevention programs, and career development programs to over 6,000 troubled children and families annually with a 2006 operating budget of \$33,056,471. KEY POSITIONS; RELEVANT EXPERIENCE; RESPECTIVE ROLES. This AmeriCorps program is part of Northeast's Career Development Program, supervised by a Vice President, 9 staff and an annual \$1,500,000 budget. Kris Youmans, VP of Education and Career Development (MS School Counseling; SAS School Administration): joined Northeast in 1985; will ensure our AmeriCorps program is integrated within the agency's structures. Director of Career Services (1.0): Jennifer Lawrence (MSW, Fordham): started with Northeast in 2006 as YouthBuild Coordinator and is now our Director; has 10 years supervising youth Employment and Training Programs and state/federal grants. Ms. Lawrence will oversee all program and fiscal activities of this AmeriCorps

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program. Member Services Specialist (1.0): Alisha Russo (MS Educational Psychology and Methodology): six years of experience administering State grant programs, coordinating community partnerships through America's Promise; and previous experience as an AmeriCorps VISTA.: will oversee the day to day program operations; recruit members and volunteers; maintain partnerships; ensure hour requirements are met; and monitor and supervise work. Construction Manager (1.0) Kevin McKiernan, BPI Certified Building Analyst, over 15 years experience in residential construction and remodeling using green practices: will monitor quality and accuracy of member energy remediation work.

PLAN FOR SELF-ASSESSMENT OR IMPROVEMENT:

HOW DOES YOUR ORGANIZATION CONDUCT ONGOING INTERNAL ASSESSMENT OF ITS OVERALL SYSTEMS? Northeast is committed to ensuring that high quality services are provided, on a consistent basis, to all children and families served by our agency. Northeast's quality monitoring system is guided by our Quality Assurance and Compliance Plans. Northeast's quality assurance process is over seen by three staff: VP of Quality Assurance and Strategic Initiatives, Dr. Peter F. Stoll; Director of Program Compliance and Risk Management, Gary Wojcik; and, Compliance Specialist, Neil Donovan. In support of this effort, we have developed a comprehensive program of Continuous Quality Improvement to guide and monitor our service delivery system; ensure that our services continue to be responsive to our clients needs and provide staff with the information they need to support our efforts to continually strengthen program operations. On a quarterly basis we collect and review outcome related data: program utilization data; (i.e. number of referrals, source of referrals, reasons for referral, outcome of the referral, demographics and characteristics of clients served and discharge data (planned, precipitous, goals met, where discharged to); risk management data, i.e. significant incidents (incidents effecting the health, safety and well-being of clients and/or staff), client complaints and fiscal status of

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each family served; and, review of randomly selected case records (utilization review) to ensure that services, as documented in the case record, are necessary and consistent and confirm that the record is in compliance with program policies and procedures. Data will be inputted into a centralized database on an ongoing basis and reviewed quarterly.

PLAN FOR EFFECTIVE TECHNICAL ASSISTANCE:

PLAN TO PROVIDE NEEDED FINANCIAL AND PROGRAMMATIC TECHNICAL ASSISTANCE FOR PROGRAM. Northeast will allocate resources to utilize financial and programmatic Technical Assistance from the Corporation for National and Community Service. Northeast will engage other New York State AmeriCorps programs to build upon best practices and utilize their experiences. Additionally, Northeast's Quality Assurance Department offers a minimum of 4 staff trainings yearly that focus on leadership, supervision, outcome based programming, career development, and working with youth.

HOW YOU WILL IDENTIFY AND RESPOND TO YOUR PROGRAM'S ONGOING TRAINING AND TECHNICAL ASSISTANCE NEEDS. Training needs will be identified by the Member Services Specialist with the Director of Career Services. Northeast will respond to training needs through internal training, community opportunities, or accessing resources available through AmeriCorps.

SOUND RECORD OF ACCOMPLISHMENT AS AN ORGANIZATION:

VOLUNTEER GENERATION AND SUPPORT. Northeast staff and Board Members collaborate to ensure our agency supports a diverse and growing group of volunteers who meet the needs of the children and families we serve. The Resource Development Committee, a Board subcommittee, assists the Community Relations Team with finding and strengthening volunteer relationships. Board members lead volunteer initiatives, from Done-In-A-Day projects to grassroots initiatives and long term volunteer

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commitments. Community Relations also partners with program staff to find and support volunteers who serve children and families from 29 New York State counties. During the past year, Northeast's Community Relations Team has launched two grassroots initiatives yielding greater public awareness of our mission and new volunteers and donors.

The first event was Dress Down for Northeast. Held on Friday, April 24, 2009, more than 2,000 employees and students from 58 companies and schools raised \$12,395.70 for Northeast in honor of National Child Abuse Awareness Month. In 2010, Dress Down for Northeast will be offered throughout the month of April. We have already begun to advertise this campaign at our special events and through the home page of our website, so we expect even better outcomes.

The second initiative is the Holiday Hope Fund. For the past several years, the number of children and families Northeast annually serves has grown 20 to 25 percent per year. In fiscal year 2009, Northeast helped 6,300 people in need. Given tremendous growth, we needed a new way to get food cards, gift cards, and holiday gifts for 1,000 children and family members. Community Relations recruited dozens of community volunteers to load trucks with food and household items from the Price Chopper Fall Show in September, unload the trucks and create food baskets for Thanksgiving and Christmas. We also created a better holiday program and launched a widespread media and communications effort recruiting hundreds of new volunteers and donors. Our mantra "donate, shop or volunteer" and campaign to raise money for our clinicians to take parents shopping for their own children drew widespread public support. We accepted online donations and constantly updated a Wal-Mart gift registry where purchases are shipped directly to Northeast. Dozens of volunteers, many new to Northeast, continue to sign up for our gift sorting and wrapping opportunities in offices around Upstate New York. Program staff are eagerly setting up gift wrapping parties, engaging our volunteers, and providing Community Relations with volunteer names and contact information for continued

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cultivation. We have met this new program's goals while money and gifts continue to arrive.

Our agency partners with local corporations to complete Done-In-A-Day projects that benefit our children and families. General Electric (GE) is Northeast's Signature Sponsor and most longstanding partner, providing Board Members, volunteers, monetary and in-kind donations to improve the lives of more than 100,000 families. Each year GE brings up to 100 volunteers to our spring cleanup project, which usually attracts media attention. GE also purchases all project supplies. On May 8, 2009, 25 hardworking National Grid volunteers worked side by side with students from The School at Northeast to build a compost pit, create six garden beds, and mulch around existing flower beds. National Grid also purchased all the supplies.

We also offer a variety of seasonal and year long volunteer opportunities in agency programs that fully support our volunteers. Community Relations runs background checks through the New York State Central Registry for volunteers who engage with our youth. Our residential programs have unlimited need for volunteers supported by a Recreation Coordinator with 20 years of experience, two Recreation Assistants, and other program staff. Our Therapeutic After School Program and School provide an engaging and supportive environment. About 100 volunteers tutor, read, help students with homework, assist staff with fun activities, teach students a new skill or hobby, or serve as job mentors.

ORGANIZATIONAL AND COMMUNITY LEADERSHIP:

On October 28, 2008, the Alliance for Children and Families recognized Northeast Parent & Child Society with the prestigious Agency of the Year Award. The Alliance selected Northeast from 350 member agencies for 120 years of outstanding community service. Northeast has also received the Nonprofit of the Year Award from the Capital Region Business Review (2008), the Best Place to Work

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award from The Business Review (2006-2008), and the Nonprofit of the Year Award from The Chamber of Schenectady County (2005).

Vice President of Communications Laura Alpert received a 40 Under Forty Award from The Business Review for significantly raising public awareness of Northeast and its mission. Angela Baris, Coordinator of the Sexual Abuse Treatment Program, was recognized with the Outstanding Service Award in Mental Health from the National Children's Advocacy Center. YouthBuild USA gave Director of Career Services, Jennifer Lawrence, a Rising Star Award.

Northeast staff holds local, regional and national positions on committees and Boards of Directors. Newly-appointed President and CEO John Henley is on the Board of the Council of Family and Child Caring Agencies (COFCCA) and the National Advisory Committee on Residential Care for the Child Welfare League of America. Chief Development Officer Sari O'Connor is a Mayoral appointee with the City of Albany Board of Zoning Appeals. Vice President of Information Technology, Janice Stewart, serves on the Board of the Schenectady Symphony Orchestra. Vice President of Foster Care, Kimberly Cummins, is a Peer Reviewer for the Council on Accreditation. Director of Community Living Services, John Amos, is a member of the National Independent Living Association USA Board of Directors.

Northeast Parent & Child Society's primary accomplishments during the past fiscal year (July 1, 2008 -- June 30, 2009) are as follows: Northeast provided food, homes, education, jobs, and hope to 6,300 children, youth, and family members, a 25 percent increase in people served in fiscal year 2008. In honor of our 121-year partnership, Northeast named GE our Signature Sponsor. Together, GE and Northeast have improved the lives of more than 100,000 vulnerable children and family members. Northeast opened a new Children's Home with more than \$10 million in funding from the Dormitory Authority of the State of New York and approximately \$1 million in private funding. Schenectady

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YouthBuild has maintained a 75 percent job placement rate since its inception in 2006. The national job placement average for YouthBuild programs is approximately 50 percent. The U.S. Labor Department awarded Northeast a \$1.1 million grant for YouthBuild Schenectady. The grant will increase the number of youth who learn high demand construction skills, including green building, from 44 to 72 over two years. We launched the Holiday Hope Fund, a new and improved way to help more people in need throughout the greater Capital Region. Donations allow Northeast clinicians to help impoverished parents budget, plan, and shop for their children during the holiday season. Our Foster Care team has found more than 200 caring adults who put the needs of our community's foster children above their own. 95 percent of the families we served through our Family Preservation Programs mastered the skills needed to safely keep their children at home. 100 percent of youth receiving independent living services were able to secure and maintain employment and prepare to live without public assistance, and 90 percent attended college.

SUCCESS IN SECURING COMMUNITY SUPPORT:

COLLABORATIONS YOU HAVE DEVELOPED. WHAT ROLES HAVE COMMUNITY ORGANIZATIONS PLAYED? State/County Collaborations: The NYS Department of Education (NYSED), the NYS Office of Family and Children Services (OCFS), the NYS Office of Mental Health (NYS OMH) and 11 County Departments of Social Services (DSS), Mental Health (MH) and Probation in the Greater Capital Region have consistently collaborated with us on expanding our education, residential and home and community based programs. NYSED, who funds The School at Northeast, granted permission to expand our therapeutic services by hiring 14 additional clinicians; to acquire, renovate and open a Student Counseling Center, thereby expanding our school facilities. Through collaborations with OCFS and County DSS, MH and Probation we have received increased grants, State funding and approval to expand both the quality and types of prevention services offered to an

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expanding number of at-risk youth and families. OCFS awarded us the largest TANF (Temporary Assistance to Needy Families) prevention services grant in the greater Capital Region, to expand our current Intensive Aftercare Prevention Program for at-risk youth in Albany, Saratoga, Schoharie, Greene and other counties, thereby preventing child abuse and neglect, costly out-of-home placement, and involvement in the juvenile justice system. Our County DSS, working with OCFS, contracted with us to open the Mohawk Dormitory Treatment Program and facility for hard to place youth, thus returning these youth from costly out of state placements. The Schenectady County DMH and the NYS OMH approved and funded our agency to operate the Schenectady County Child Guidance Center which makes us the primary provider of children's mental health services in Schenectady County. The Columbia County and Schenectady County DSS have partnered with and funded our Parent Education and Consultation in their respective counties to help children successfully remain with their families.

Corporate Partnerships: Major partnerships forged with key corporations support both the quality and range of services we provide to our clients by providing volunteers and funding support for programs. Our 118-year partnership with General Electric, representing the longest continuous relationship between a child welfare organization and a corporation in the United States, was honored by the Child Welfare League of America when GE received the Corporate Friend of Children Award. In this same spirit, we recognize each year Corporate Champions for Children who have made an extraordinary commitment to our children. Among them are: Albany International, Bank of America, CDPHP, Citizens Bank, Hudson River Bank & Trust Company Foundation, Lia Auto Group, NYS Association of Chiefs of Police, Price Chopper/Golub and Xerox Corporation. They have provided supported career development programs, parent education, leadership gifts and funding for our capital campaigns, increased technological support for our residential youth, holiday activities for our youth and families and hundreds of volunteers.

Special Partnerships: Key collaborations involving county agencies, municipal government, community agencies, for-profit businesses and faith-based organizations have helped our agency plan and implement major programs that have provided our clients with new services. We

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collaborate with Schenectady Inner City Ministry, a faith-based network of 40 local churches, on securing mentors in Our Mentoring for Change Program. Our Schenectady YouthBuild Program has been implemented in partnership with Better Neighborhoods, Habitat for Humanity, Schenectady County Land Trust, Schenectady County Community College, Schenectady County Job Training Agency WIB One Stop Center, The Greater Capital Region Building and Construction Trades Council, Teamsters, Carpenters, Laborers, Steam Fitters, Electricians, Masons and Operating Engineers Unions, BBL, Solid Surface Craftsman, Pigliavento Builders & General Contractors, Ed's Electrical, MEM Construction, LeChase Construction Services, AP Construction, Citizens Bank, Schenectady County DSS, and Schenectady Municipal Housing Authority, resulting in millions in in-kind, leveraged funds. The NYS Office of Community Renewal has assisted in funding our new Career Center for Youth in Schenectady (awarded \$750,000/). Our recently awarded US DOL green (energy efficiency) building capacity grant was created through collaboration with key State, Regional and National organizations: New York State Energy Research Development Authority, or NYSERDA, (reimburses 75% of Building Analyst training and BPI certification and waive the remaining 25% costs); Hudson Valley Community College Center for Energy Efficiency and Building Science, or CEEBS, (provides energy training); Building Performance Institute, Inc., or BPI, (administers BPI tests); the New York State Department of Labor; and Schenectady County Community College: aligns GED to energy standards). Northeast Energy Corps: Building on our success, we have formed the following collaborations to support our proposed AmeriCorps program: City of Schenectady Department of Development (prioritize low-income housing for energy efficiency upgrades.) AmeriCorps volunteers will be strategically placed in existing non-profits designated by the City of Schenectady Department of Development that will be contracted to do energy upgrades in Hamilton Hill/Vale (first priority) and other neighborhoods). The following non-profits will serve as work supervisors with Northeast providing the volunteer technical training, program and partnership management: The Community Land Trust of Schenectady, Habitat for Humanity of Schenectady County, Inc., Better Neighborhoods, Inc., Schenectady Municipal Housing

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Authority, and The Saratoga County Economic Opportunity Council (contracted by NYS Division of Housing and Community Renewal to provide weatherization in Schenectady County.) These collaborators will assist Northeast in providing energy efficiency training and expertise: New York State Energy Research Development Authority, Hudson Valley Community College Center for Energy Efficiency and Building Science, Schenectady County Community College, and Building Performance Institute, Inc.

LOCAL FINANCIAL AND IN-KIND CONTRIBUTIONS:

Our local contributions and foundation grants have increased from \$1,834,508.00 in 2006 to \$1,989,495.00 in 2009. The number of individual donors rose from 623 donors contributing \$218,124.00 in 2006 to 1,232 individuals contributing \$541,222.00 in 2009. During the same period, local corporate donations increased from \$54,494.00 in 2006 to \$418,800.00 in 2009, a 667% increase that includes 97 new corporate partners. In 2009, Northeast received \$163,500.00 in local grants. Individual local legacies/bequests totaled \$558,641.00 in 2009, compared to \$366,426.00 in 2006. Northeast has had a significant increase in the number and quality of in-kind contributions, including two new vehicles donated to raise public awareness and funding for Northeast, nearly \$25,000 in special event catering in 2009, and increased gift and food donations for our children and families during the holiday season. Prior to 2007, special events cost the agency nearly \$9,000 per year after ticket sales. Since 2007, we have realized special event net proceeds of more than \$107,000.00 per calendar year.

WIDE RANGE OF COMMUNITY STAKEHOLDERS:

Northeast's stakeholders include diverse representatives from all levels of local, regional, state and national levels: individual donors, volunteers, local businesses and corporations, local County

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Departments of Social Services, Mental Health, Probation, and the family court system; local public school district committees on special education; Congressional, legislative, county and local city/town elected official and representatives, foster parents, at-risk youth and families served, and community organizations. They help our most vulnerable children and families in many ways: setting up speaking engagements for our staff to share Northeast's mission with civic organizations and churches; becoming a foster parent; volunteering for work projects; providing food and gifts for needy families during the holiday season; making financial donations to enhance our programs; becoming a tutor or mentor; advocating for those in our care; and inviting compassionate citizens to tour our agency and get involved. In the past three years, we have seen an increase in grassroots advocacy, from local quilter's guilds holding "sew-ins" to create quilts for our children, to hundreds of local businesses holding "dress down days" and wearing blue in support of Northeast during National Child Abuse Awareness month. We have seen more than 1,000 of our volunteers undergo the progression from casual volunteer to repeat volunteer to donor to passionate advocate/recruiter. The Schenectady NY Chapter of General Electric (GE) Asian Pacific American Forum has held a walk-a-thon to raise awareness and funding for youth education, resulting in a 50% increase in participation since 2008. Northeast students have been invited to tour the GE Research and Development Facilities and benefit from numerous GE Science and Education Fairs. We have also strengthened forged relationships with several GE "Diversity in the Workplace" forums, including the GE African American Forum and the GE Women's Network. Our annual School at Northeast Cultural Fair continues to engage greater numbers of multi-cultural community groups and individuals.

Cost Effectiveness and Budget Adequacy

E. COST EFFECTIVENESS AND BUDGET ADEQUACY

CORPORATION COST PER MEMBER SERVICE YEAR (MSY): Northeast is requesting \$148,200 in total Corporation funding to serve 30 One Year Half Time (900 Hours/Year) part-time AmeriCorps members (MSY Equivalent) = 15 full-time members. The MSY Average is calculated as follows:

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\$148,200 divided by 30 half-time members/15 Full Time = \$9,880 MSY. This figure is much less than the maximum of \$7,500 allowed by New York State and under the average MSY of \$6,750 for the Federal program for Half Time (900 Hours/Year) members and/or \$13,000 per full time member.

DIVERSE NON-FEDERAL SUPPORT: Northeast Energy Corps has obtained non-federal resources committed to ensure program success. A total of \$73,968 has been committed to this program including the New York State Energy Research & Development Authority's (NYSERDA) 75% share of the cost of classes for certification for members at Hudson Valley Community College (HVCC) and for BPI certification. Total committed is \$36,900 or \$1230 per member of the total of \$1,640 per member (requested funds for the 25% Share (\$410 each). In addition, Northeast will use its new USDOL CFDA #17.275 Green Building Capacity Grant to supply all the equipment required for hands-on testing and training on-site in selected Schenectady NY houses (\$22,944 in Total). Northeast Parent & Child Society, Inc. will support the cost of rent for the year for office and classroom space at \$1,200. Schenectady Community College (SCCC) will teach the required First Aid/CPR course for all 30 members at \$2,550 in total. Northeast will also pay for worker's compensation for the Members Specialist (3% equals \$1,050) and AmeriCorps members (\$75,570 x 3% = \$2,267.) In addition Northeast will absorb 5% of the 10% Administrative Indirect Costs allowed for this program. In fact, Northeast has an approved USDOL Federal Indirect Rate of 11.7%, secured in 2008 for its awarded YouthBuild initiative (Approved documents on file if required). In total the matching funds are 33%, which is above the required 24% for this initiative. In total the request for funds are \$148,200 (67%) and the matching funds are \$73,968 (33%). This in total is \$7,405 per Half Time member but only requiring \$4,940 from the Corporation. BUDGET ADEQUACY: The Corporation request for funding for \$148,200 is more than adequate to fully support all programs and activities developed for the Northeast Energy Corps Program. The requested funds are matched with cash contributions from Northeast Parent & Child Society and a large in-kind contribution from Northeast's partners in this project. There is no duplication of services and no supplanting of any funding stream or resource. Although not required for

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Half Time members, 54.9% of the requested funds directly support AmeriCorps members stipends (including FICA and worker's comp coverage) at \$2,787 per member or \$81,383 (split \$75,570 for stipends, \$5,790 for FICA and worker's comp at \$2,267). The administrative indirect overhead has been limited to the required 5% of Corporation funds requested with a match of an additional 5%, and the remainder of the funds supports the certification, testing, and field experiences of AmeriCorps members with required travel and supplies needed to accomplish all planned goals and activities.

The itemization of training and certification of members is as follows: *Itemize line item Training and Certification of members at \$49,200.

\$49,200 is the contracted cost for 30 members to attend Hudson Valley Community College (HVCC) to receive Building Analyst (BA) instruction. The cost is: \$1,040 each member x 30 members = \$31,200.

On completion of the Building Analyst instruction, members take written and field Building Performance Institute (BPI) BA tests to get certification as a certified Building Analyst. This cost is \$600 each x 30 members = \$18,000. The total cost of these 2 items is \$49,200. We are requesting \$12,300 from AmeriCorps to pay for our 25% non reimbursable cost of both activities. The Grantee share of \$36,900 is reimbursed by NYSERDA. The calculation of 2,500 miles each year for staff travel

transporting members by van to housing sites is as follows: We have 1 Member Service Specialist and 30 members traveling each day for 50 weeks each year from Northeast's Career Development Center (CDC) at 530 Franklin Street, Schenectady NY to a rehabbed house in Schenectady County. We estimate it is 5 miles from the CDC to house and 5 miles back. The Member Service Specialist is responsible for ensuring members are transported to the house and we transport the members by van. Thus: our calculation of mileage is: 10 miles each day x 5 days each week x 50 weeks = 2,500 miles each year. 2,500 miles at .50 each mile = \$1,250 requested. This is bare minimum travel requested. Northeast

Parent & Child Society has an excellent track record of managing Federal resources across a wide array

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of programs as evidenced through the appropriate evaluation reports supporting each grant proposal. The funding requested supports the desired outputs and outcomes as measured by the appropriate Performance Measurements developed for each issue area and service category.

Evaluation Summary or Plan

N/A

Amendment Justification

N/A

Clarification Summary

2011-12 NORTHEAST PARENT & CHILD SOCIETY -- NORTHEAST ENERGY CORPS (11AC123321)

CLARIFICATION

BUDGET CLARIFICATION ITEMS:

Section I. C. Staff Travel: DONE.

We provided the calculations and itemization costs for travel to CNCS sponsored meetings as requested.

Section I. I. Other Program Operating Costs: DONE.

We have provided below in this clarification narrative section that criminal history checks are conducted for all members and for all staff on the grant.

We also revised budget and we provided appropriate revisions in our narrative section E. COST EFFECTIVENESS AND BUDGET ADEQUACY below in this clarification narrative section.

Source of Funds: DONE.

We completed the Source of Funds section with the source and amount of match funds.

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Revisions to E. COST EFFECTIVENESS AND BUDGET ADEQUACY to reflect Criminal History checks costs:

E. COST EFFECTIVENESS AND BUDGET ADEQUACY

CORPORATION COST PER MEMBER SERVICE YEAR (MSY): Northeast is requesting \$148,200 in total Corporation funding to serve 30 One Year Half Time (900 Hours/Year) part-time AmeriCorps members (MSY Equivalent) = 15 full-time members. The MSY Average is calculated as follows:

\$148,200 divided by 30 half-time members/15 Full Time = \$9,880 MSY. This figure is much less than the maximum of \$7,500 allowed by New York State and under the average MSY of \$6,750 for the Federal program for Half Time (900 Hours/Year) members and/or \$13,000 per full time member.

DIVERSE NON-FEDERAL SUPPORT: Northeast Energy Corps has obtained non-federal resources committed to ensure program success. A total of \$73,968 has been committed to this program including the New York State Energy Research & Development Authority's (NYSERDA) 75% share of the cost of classes for certification for members at Hudson Valley Community College (HVCC) and for BPI certification. Total committed is \$36,900 or \$1230 per member of the total of \$1,640 per member (requested funds for the 25% Share (\$410 each). In addition, Northeast will use its new USDOL CFDA #17.275 Green Building Capacity Grant to supply all the equipment required for hands-on testing and training on-site in selected Schenectady NY houses (\$22,944 in Total). Northeast Parent & Child Society, Inc. will support the cost of rent for the year for office and classroom space at \$1,200. Schenectady Community College (SCCC) will teach the required First Aid/CPR course for all 30 members at \$2,550 in total. Northeast will also pay for worker's compensation for the Members Specialist (3% equals \$1,050) and AmeriCorps members (\$75,570 x 3% = \$2,267.) REVISED: Northeast will contribute in-kind \$4,375 for fingerprinting. In addition Northeast will absorb 5% of the 10% Administrative Indirect Costs allowed for this program. In fact, Northeast has an approved USDOL

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Federal Indirect Rate of 11.7%, secured in 2008 for its awarded YouthBuild initiative (Approved documents on file if required). In total the matching funds are 33%, which is above the required 24% for this initiative. In total the request for funds are \$148,200 (65%) and the matching funds are \$78,343 (REVISED) (35%). This in total is \$7,405 per Half Time member but only requiring \$4,940 from the Corporation. BUDGET ADEQUACY: The Corporation request for funding for \$148,200 is more than adequate to fully support all programs and activities developed for the Northeast Energy Corps Program. The requested funds are matched with cash contributions from Northeast Parent & Child Society and a large in-kind contribution from Northeast's partners in this project. There is no duplication of services and no supplanting of any funding stream or resource. Although not required for Half Time members, 54.9% of the requested funds directly support AmeriCorps members stipends (including FICA and worker's comp coverage) at \$2,787 per member or \$81,383 (split \$75,570 for stipends, \$5,790 for FICA and worker's comp at \$2,267). The administrative indirect overhead has been limited to the required 5% of Corporation funds requested with a match of an additional 5%, and the remainder of the funds supports the certification, testing, and field experiences of AmeriCorps members with required travel and supplies needed to accomplish all planned goals and activities.

The itemization of training and certification of members is as follows: *Itemize line item Training and Certification of members at \$49,200.

\$49,200 is the contracted cost for 30 members to attend Hudson Valley Community College (HVCC) to receive Building Analyst (BA) instruction. The cost is: \$1,040 each member x 30 members = \$31,200. On completion of the Building Analyst instruction, members take written and field Building Performance Institute (BPI) BA tests to get certification as a certified Building Analyst. This cost is \$600 each x 30 members = \$18,000. The total cost of these 2 items is \$49,200. We are requesting \$12,300 from AmeriCorps to pay for our 25% non reimbursable cost of both activities. The Grantee share of

Narratives

\$36,900 is reimbursed by NYSERDA. The calculation of 2,500 miles each year for staff travel transporting members by van to housing sites is as follows: We have 1 Member Service Specialist and 30 members traveling each day for 50 weeks each year from Northeast's Career Development Center (CDC) at 530 Franklin Street, Schenectady NY to a rehabbed house in Schenectady County. We estimate it is 5 miles from the CDC to house and 5 miles back. The Member Service Specialist is responsible for ensuring members are transported to the house and we transport the members by van. Thus: our calculation of mileage is: 10 miles each day x 5 days each week x 50 weeks = 2,500 miles each year. 2,500 miles at .50 each mile = \$1,250 requested. This is bare minimum travel requested. Northeast Parent & Child Society has an excellent track record of managing Federal resources across a wide array of programs as evidenced through the appropriate evaluation reports supporting each grant proposal. The funding requested supports the desired outputs and outcomes as measured by the appropriate Performance Measurements developed for each issue area and service category.

PROGRAMMATIC CLARIFICATION ITEMS:

- The Executive Summary referenced a specific number of AmeriCorps members. Please revise the Executive Summary by removing the number of proposed AmeriCorps members. DONE.

We revised the Executive Summary as requested.

Please make the following changes in the eGrants narrative field labeled "Clarification Summary."

- In the Clarification narrative field, please confirm your desired grant award start date and member enrollment period start date.

ANSWER: Desired grant award start date: 10/01/11. Member enrollment period start date: 11/01/11.

Narratives

- Criminal history checks must be conducted on all members, employees or other individuals who receive a salary, education award, living allowance, stipend or similar payment from the grant, regardless of whether these costs are coming from federal or non-federal share. Criminal history background checks include a search of statewide criminal history repositories and the National Sex Offender Public Website for all members and employees as described above. An FBI check is also required for members, employees or other individuals with recurring access to vulnerable populations.

- Please verify that the criminal history checks conducted for members and staff will include an FBI fingerprint check in addition to the state registry check and the NSOPR for anyone with recurring access to vulnerable populations.

ANSWER:

Under the Serve America Act (SAA), all grantees must conduct National Service Criminal History checks on participants and program employees in AmeriCorps programs. All employees, participants, and others who receive a salary, national service education award, living allowance, or stipend under Corporation grants, even if the activities don't involve service with vulnerable populations, must receive the checks prior to beginning employment or service.

Regulations have been in effect since November 2007 requiring checks on individuals in recurring contact with a vulnerable population, and expanded requirements went into effect on October 1, 2009, establishing coverage of all employees and participants, including those without contact with a vulnerable population. Beginning in April 21, 2011, our program was also required to conduct FBI background checks on covered individuals who will have recurring contact with vulnerable populations.

Narratives

The current NPCCS background screening process (effective April 21, 2011) for all AmeriCorps members includes the following:

- Sex offender registry check
- State criminal history registry check(s)
- FBI fingerprint-based check (known as Criminal History Record Information) through the use of the statewide repository

These tests are completed and the results are reviewed prior to a member being approved to join the AmeriCorps program with Northeast Parent & Child Society.

PERFORMANCE MEASURE CLARIFICATION ITEMS:

Please make the following changes in the Performance Measures screens in eGrants:

Please remove the two applicant-determined measures (Energy Upgraded Housing and Reduced Energy Consumption Measure), as they duplicate the national performance measure. DONE.

The two applicant-determined measures have been deleted.

Environmental Stewardship:

- Please select EN1 and EN2 as your outputs and EN7 as your intermediate outcome, and align the measure accordingly. You do not need to measure the number of members trained to do the work.

Narratives

DONE.

The measure includes EN1 and EN2 as outputs and EN7 as an intermediate outcome.

- For output, please align the results statement, target statement, target value, and PM statements with the indicator. For example, if you are measuring the number of energy audits conducted, the rest of the measure should not be measuring the number of members passing a course. DONE.

The outputs have been aligned.

- For intermediate outcome, please align the results statement, target statement, target value, and PM statement with the indicator. For example, if you are measuring the reduction in annual energy usage, the rest of the measure should not be measuring the number of homes assessed/audited. DONE.

The intermediate outcome has been aligned.

Continuation Changes

Applicant Info and Application Info reviewed - No Changes.

No Changes in the Narrative Fields.

Performance Measures reviewed - No Changes.

Performance Measures

SAA Characteristics

- | | |
|--|---|
| <input type="checkbox"/> AmeriCorps Member Population - None | <input type="checkbox"/> Geographic Focus - Rural |
| <input checked="" type="checkbox"/> Geographic Focus - Urban | <input type="checkbox"/> Encore Program |

Priority Areas

- | | |
|--|---|
| <input type="checkbox"/> Education | <input type="checkbox"/> Healthy Futures |
| <i>Selected for National Measure</i> <input type="checkbox"/> | <i>Selected for National Measure</i> <input type="checkbox"/> |
| <input checked="" type="checkbox"/> Environmental Stewardship | <input type="checkbox"/> Veterans and Military Families |
| <i>Selected for National Measure</i> <input checked="" type="checkbox"/> | <i>Selected for National Measure</i> <input type="checkbox"/> |
| <input type="checkbox"/> Economic Opportunity | <input type="checkbox"/> Other |
| <i>Selected for National Measure</i> <input type="checkbox"/> | <i>Selected for National Measure</i> <input type="checkbox"/> |

Grand Total of all MSYs entered for all Priority Areas 15

Service Categories

Other Community and Economic Development

Housing Infrastructure

National Performance Measures

Priority Area: Environmental Stewardship

Strategy to Achieve Results

Briefly describe how you will achieve this result (Max 4,000 chars.)

Members who are BPI BA Certified will conduct audits of 30 low income single family homes in the Schenectady Hamilton Hill/Vale community to assess current energy usage and develop a retrofit scope of work for reducing energy consumption. As a component of this process, each home will be assessed for their eligibility to participate in NYSERDA and National Grid incentive programs. Each home has been determined to currently consume 78.5 MBTUs per year. Based on energy audits and retrofit plans, each home will be retrofitted to reduce current energy consumption by 25% or by 19.6 MBTUs per year. This will be verified by utility bills.

Result: Output

Result.

30 low-income single family homes in our targeted Schenectady Hamilton Hill/Vale community will have completed energy audits that indicate the potential reduction in energy from current usage and the scope of retrofit work that must be completed to achieve the reduction.

Indicator: EN2: Energy audits conducted.

Target : 30 low-income single family homes will be made aware of the range of National Grid and NYSERDA incentives; will be assessed for eligibility for these incentives; and will apply and agree to have energy audits conducted.

Target Value: 30

Instruments: Energy audit report; previous utility bills; post utility bills.

PM Statement: 30 low-income single family homes in our targeted Schenectady Hamilton Hill/Vale community will understand the range of National Grid and NYSERDA incentives; be assessed for eligibility; apply; and receive energy audits which will reduce energy use from current consumption.

Result: Output

Result.

30 low-income single family low-income homes in our targeted Schenectady Hamilton Hill/Vale community will be retrofitted with energy upgrades based on audits and current energy bills resulting in a 25% reduction of energy usage per home per year.

Indicator: EN1: Housing units weatherized or retrofitted.

Target : 30 single family homes in need of energy upgrades will be assessed and rehabilitated for energy efficiency.

Target Value: 30

Instruments: Energy audit; rehabilitation/weatherization work plans; energy bills; energy bill follow-ups.

PM Statement: 30 single family low-income homes in need of energy upgrades will be assessed and retrofitted or weatherized with energy upgrades resulting in a 25% reduction of energy usage per home per year.

Result: Intermediate Outcome

Result.

National Performance Measures

Result.

Based on energy auditing of 30 low-income single family homes, the MBTUs per house is $52.3/1,000 = .0523$ MBTUs x 1,500 square feet per house = 78.5 MBTUs per year per house x 30 houses = 2,353.5 for 30 houses in energy consumption before energy efficiency. Reduction of MBTUs after energy efficiency is 25% of usage per house or $19,612.5/1,000 = 19.6$ MBTUs per year per house x 30 houses = 588 MBTUs for 30 houses in reduced energy.

Indicator: (DELETED) EN7: Annual energy usage reduction (in units).

Target : Low-income single family homes currently identified as consuming 78.5 MBTUs per year per house will reduce their energy usage by 25 % or 19.6 MBTUs per year per house.

Target Value: 588

Instruments: Utility billing analysis; building data; onsite data collection/observations; scoping audit; energy audit.

PM Statement: Energy audited homes will have a reduction of 588 MBTUs as a result of the audits for energy consumption and the appropriate energy efficiency remediation undertaken.

Required Documents

Document Name

Status

Evaluation

Not Applicable

Labor Union Concurrence

Not Applicable