



United States Department of Commerce
National Oceanic and Atmospheric Administration
National Marine Fisheries Service
Northeast Region
55 Great Republic Drive
Gloucester, MA 01930
Phone: (978) 282-8438

January 8, 2013

Initial Dealer Permit Information

Dear Federal Northeast Seafood Dealer:

Why are we writing to you?

You requested a Federal Northeast Seafood Dealer initial permit application. You will need to complete the enclosed application and ownership form, include the required documentation, and return it to the Northeast Permit Office.

This package includes

- An Initial permit application
- Dealer ownership form
- Application instructions
- Application checklist
- Port Office contact list

Application requirements

You must submit a complete and accurate application. Failure to meet all of the requirements of the application will delay the issuance of your permit(s).

Reporting Requirements

When your permit is issued, you must submit trip-level reports of all lobster, shellfish, and fish purchased or received, unless you hold only a dealer permit for Atlantic tunas. Go to: http://www.nero.noaa.gov/dealer_er/ for information on reporting requirements. For questions on the Federal dealer reporting requirements, contact your area Port Agent (list enclosed).

Highly Migratory Species

If you purchased Highly Migratory Species (HMS), specifically Atlantic bigeye, albacore, yellowfin, and skipjack (BAYS) tunas, Atlantic sharks, and/or Atlantic swordfish, you must report these species electronically if you also hold other permits for NE species requiring electronic reporting. If you do not have other dealer permits, reports for HMS should be submitted to your port agent or call John Mahoney at (508) 984-0063 if you have any questions. Atlantic tuna dealers who purchase or receive domestic bluefin tuna have separate reporting requirements. Call (978) 281-9140 if you have questions regarding bluefin tuna reporting.

Dealers that trade bluefin tuna, swordfish, southern bluefin tuna, or frozen bigeye tuna internationally are required to obtain a Highly Migratory Species International Trade Permit. Information on this permit can be found at: www.nmfs.noaa.gov/sfa/hms/ITP/index.htm or by calling (727) 824-5326 and press 3.

Do I need a dealer permit?

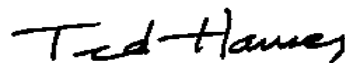
You need to have a Northeast dealer permit for each managed species that you purchase from a vessel that is required to have a Northeast vessel permit. Additionally, you must have that permit in your possession. Therefore, you need a Northeast dealer permit if:

- You purchase any of the fish or shellfish listed below that are harvested from federal waters (EEZ) or;
- You purchase these fish or shellfish from a vessel issued a Federal Northeast vessel permit.

List of managed species that require a Northeast Federal Dealer Permit		
American lobster	Northeast Multispecies continued -	NE Skate continued -
Atlantic bluefish	Atlantic Cod	Clearnose skate
Atlantic deep-sea red crab	Atlantic halibut	Little skate
Atlantic herring	Haddock	Rosette skate
Atlantic mackerel	Offshore hake	Smooth skate
Atlantic sea scallop	Ocean Pout	Thorny skate
Atlantic tunas -	Pollock	Winter skate
Atlantic bluefin tuna	Redfish (ocean perch)	Squids -
Albacore tuna	Red hake (ling)	<i>Illex</i> squid
Bigeye tuna	Silver hake (whiting)	<i>Longfin</i> squid
Skipjack tuna	White hake	Ocean quahog
Yellowfin tuna	Windowpane flounder (sand dab)	Scup
Black sea bass	Winter flounder (blackback)	Spiny dogfish
Butterfish	Witch flounder (grey sole)	Summer flounder
Monkfish	Yellowtail flounder	Surfclam
Northeast Multispecies -	Northeast Skate complex	Tilefish
American plaice (dab)	Barndoor skate	Atlantic Hagfish

If you have any questions, please contact the Northeast Permit Office at 978-282-8438.

Sincerely,



Ted Hawes
Section Chief
Permits and Limited Access Programs

Enclosures

OMB# 0648-0202 - Expiration Date: April 30, 2013



National Marine Fisheries Service
Northeast Regional Office



Application Instructions
Federal Fisheries Dealer Permit

Mail completed application packages to: NOAA Fisheries Service (NMFS), Northeast Permit Office, 55 Great Republic Drive, Gloucester, MA 01930. **Note:** There is a 30-day processing period for all application packages. This 30-day processing period begins when a **correct and complete** application package has been received by the Northeast Permit Office and all required reports have been submitted and accepted by NMFS. Failure to provide the required information will delay processing of your dealer permit.

For further information, call the Northeast Permit Office at (978) 282-8438

➤ **Section 1 - Dealer Information**

Enclosed is a Federal Northeast Seafood Dealer Initial Permit Application.

If you are applying for an Atlantic Mackerel or Atlantic Herring at Sea Processor permit, you must enter a vessel name and hull number where indicated and include a copy of the vessel's current Coast Guard Documentation or State Registration.

Before submitting your application, review the dealer information placed in Section 1 to ensure it is correct.

➤ **Section 1A - Additional Dealer Information**

If your company or business purchases or receives regulated species at more than one location, each address must be listed on the application. Space is available on the back of the dealer permit application form for the required listing of additional addresses. You do not need a separate dealer number for the additional locations as long as the businesses at these locations are all the same business entity.

➤ **Section 2 - Select Fisheries**

Place an 'X' next to each of the fisheries for which you are requesting a Federal Fisheries Dealer Permit. If you are issued any of the dealer permits on this application, with the exception of the Atlantic tunas permits, you must provide us with weekly, trip-level electronic reports of all lobster, shellfish, and fish purchased or received for a commercial purpose.

➤ **Section 3 – Applicant's Signature**

Before mailing, carefully review the application form for accuracy and completeness. Sign and date the application in Section 3 and return it to the address listed above.



Please read the important information on the reverse
before mailing your application

Additional Information for Dealers Submitting an Annual Renewal Application

All dealers, whether operating as a corporation, partnership, or sole proprietorship, must submit the enclosed Dealer Ownership Form with the annual renewal application. The Dealer Ownership Form must list the full name of **every** individual who has an ownership interest in a corporation, partnership, or sole proprietorship. **Failure to include a completed Dealer Ownership Form with your Initial application will delay permit issuance.**

A note on Dealer Reporting

All Federally permitted Northeast Seafood Dealers must submit weekly trip-level reports required under either CFR § 648.7, § 697.6 and § 635.5 Failure to do so will bar the future issuance of any Northeast dealer permit. If you have any questions concerning your compliance with the reporting regulations for Northeast managed species, please contact your local NMFS Port Agent (list enclosed). Questions concerning reporting for bluefin tuna [CFR § 635.5], should be directed to the Highly Migratory Species Office at (978) 281-9140.

The Northeast Fisheries Data Services Division may refer dealers to the NOAA Fisheries Service Office of Law Enforcement for:

- Failure to submit all reports
- Submitting incomplete or inaccurate reports
- Failure to report in a timely fashion

Activities that do not comply with the provisions of the Magnuson-Stevens Fishery Conservation and Management Act may subject the violator to fines and/or permit sanctions.

OMB# 0648-0202 Expiration Date: April 30, 2013



United States Department of Commerce
 National Oceanic and Atmospheric Administration
 National Marine Fisheries Service
 Northeast Region
 55 Great Republic Drive
 Gloucester, MA 01930

Federal Northeast Seafood Dealer Initial Dealer Permit Application

SECTION 1 - DEALER INFORMATION

Southeast Dealer # (if available) _____

Company name: _____

Owner's Name: _____

Mailing Address: _____

City: _____ State : _____ Zip Code: _____

Telephone: _____ Fax Number: _____

**Vessel Name: _____ **Vessel Hull Number: _____

**** (if you are applying for an Atlantic Mackerel at Sea Processor permit or an Atlantic Herring at Sea Processor permit, you must enter a vessel name and hull number above and include a copy of the vessel's current Coast Guard Documentation or State Registration.)**

All corporations, LLCs, and partnerships must provide a copy of the Certificate of Incorporation listing the names of all partners, officers, and/or shareholders in the company. Failure to provide this information WILL DELAY the processing of your application.

If regulated species are purchased or received for commercial purposes at more than one place of business, each address must be listed. List those additional addresses on the back of this form in Section 1A.

SECTION 2 - FISHERIES

Select the fisheries desired by placing an (X) in the boxes provided. Select all fisheries that apply.

- | | | |
|---|---|---|
| <input type="checkbox"/> Atlantic bluefin tuna | <input type="checkbox"/> Northeast multispecies | <input type="checkbox"/> Scup |
| <input type="checkbox"/> Other Atlantic Tunas (Yellowfin, Bigeye, Skipjack, Albacore) | <input type="checkbox"/> American lobster | <input type="checkbox"/> Black sea bass |
| <input type="checkbox"/> Surfclam/Ocean quahog - Dealer | <input type="checkbox"/> Skate | <input type="checkbox"/> Monkfish |
| <input type="checkbox"/> Surfclam/Ocean quahog - Processor | <input type="checkbox"/> **Atlantic mackerel at sea processor | <input type="checkbox"/> Atlantic bluefish |
| <input type="checkbox"/> Summer flounder | <input type="checkbox"/> Squid, Atlantic mackerel, butterfish | <input type="checkbox"/> Spiny Dogfish |
| <input type="checkbox"/> Atlantic sea scallop | <input type="checkbox"/> Atlantic herring - Dealer | <input type="checkbox"/> Tilefish |
| <input type="checkbox"/> Atlantic Hagfish | <input type="checkbox"/> **Atlantic herring At Sea Processor | <input type="checkbox"/> Atlantic Deep-sea Red Crab |
- ** (see note in Section 1)

SECTION 3 - OWNER'S NAME AND SIGNATURE

I affirm, subject to the penalties provided in 18 USC 1001, that all information I have given in obtaining this permit is true and correct.

Print Name: _____

Signature: _____

Date: _____

SECTION 1A - ADDITIONAL DEALER INFORMATION. If regulated species are purchased or received for commercial purposes at more than one place of business, each address must be listed below.

Company Address

Street:		
City:	State:	Zip:
Telephone:		

Company Address

Street:		
City:	State:	Zip:
Telephone:		

Company Address

Street:		
City:	State:	Zip:
Telephone:		

Company Address

Street:		
City:	State:	Zip:
Telephone:		

For further information, call Northeast Permits Operations at (978) 281-9370

Owner name, business officers and members, address, phone number, fax number, and permit information may be released via a NOAA Fisheries website. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics.

The Paperwork Reduction Act requires potential respondents for a dealer/processor permit be notified of certain information regarding their rights and the burden associated with applying for a Federal dealer/processor permit. One of the regulatory steps taken by the National Marine Fisheries Service (NMFS) to carry out conservation and management objectives is the requirement of a permit for users of the resources. All the fisheries included on this application require a permit to purchase, or otherwise receive for transport for a commercial purpose, other than solely for transport on land, species harvested by the owner or operator of a fishing vessel issued a Federal fisheries permit for these species. Section 303(b)(1) of the Magnuson-Stevens Fishery Conservation and Management Act (MSFCMA) specifically recognizes the need for permit issuance.

The purpose and use of permits is to: (1) register fish dealers and processor, (2) list the characteristics of dealer/processor operations, (3) exercise influence over compliance (e.g. withhold issuance pending collection of unpaid penalties and / or required reports), (4) provide a mailing list for the dissemination of important information to the industry, (5) provide a universe for data collection samples, and (6) obtain first purchase information on landings to evaluate the biological, economic and social implications of management measures.

Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number. Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining data needed, and completing and reviewing the information. Send comments regarding this burden estimate or suggestions for reducing this burden to: National Marine Fisheries Service, Permit Office, 55 Great Republic Drive, Gloucester, MA 01930-2276.



Dealer Ownership Form

- List all persons who have an ownership interest in the business that is the subject of this application.
- Owners or persons who have an ownership interest in a business are defined as and include, but are not limited to, corporations, partnerships, LLCs, persons who are shareholders in a corporation, persons who have formed a partnership (general or limited), and any other entities that have ownership interest in the business.

Section A – Dealer applying for permit

Name:	Permit Number:
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Section B - All persons and entities that have an ownership interest in the business must be listed below. Do not include employees of the business unless they are also an owner or shareholder.

Mr/Mrs/Ms	First Name	Middle Name	Last Name	Suffix Name
Check all that apply - Owner <input type="checkbox"/> Officer <input type="checkbox"/> Shareholder <input type="checkbox"/>				

Mr/Mrs/Ms	First Name	Middle Name	Last Name	Suffix Name
Check all that apply - Owner <input type="checkbox"/> Officer <input type="checkbox"/> Shareholder <input type="checkbox"/>				

Mr/Mrs/Ms	First Name	Middle Name	Last Name	Suffix Name
Check all that apply - Owner <input type="checkbox"/> Officer <input type="checkbox"/> Shareholder <input type="checkbox"/>				

I, the undersigned, am the owner or legally authorized agent of the owner of the business named in *Section A* above. I affirm, subject to the penalties provided in 18 U.S.C. 1001, that all information that I have given in obtaining this permit is true and correct.

Name of Applicant (print clearly) _____

Signature of Applicant _____ Date _____

Please use the back of this form to provide information on all persons with an ownership interest in the business.

Page 2 - Section B Continued - All persons who have an ownership interest in the business must be listed below. Do not include employees of the business unless they are also an owner or shareholder.

Mr/Mrs/Ms	First Name	Middle Name	Last Name	Suffix Name
Check all that apply - Owner <input type="checkbox"/> Officer <input type="checkbox"/> Shareholder <input type="checkbox"/>				

Mr/Mrs/Ms	First Name	Middle Name	Last Name	Suffix Name
Check all that apply - Owner <input type="checkbox"/> Officer <input type="checkbox"/> Shareholder <input type="checkbox"/>				

Mr/Mrs/Ms	First Name	Middle Name	Last Name	Suffix Name
Check all that apply - Owner <input type="checkbox"/> Officer <input type="checkbox"/> Shareholder <input type="checkbox"/>				

Mr/Mrs/Ms	First Name	Middle Name	Last Name	Suffix Name
Check all that apply - Owner <input type="checkbox"/> Officer <input type="checkbox"/> Shareholder <input type="checkbox"/>				

Mr/Mrs/Ms	First Name	Middle Name	Last Name	Suffix Name
Check all that apply - Owner <input type="checkbox"/> Officer <input type="checkbox"/> Shareholder <input type="checkbox"/>				

I, the undersigned, am the owner or legally authorized agent of the owner of the business named in *Section A* above. I affirm, subject to the penalties provided in 18 U.S.C. 1001, that all information that I have given in obtaining this permit is true and correct.

Name of Applicant (print clearly) _____

Signature of Applicant _____ Date _____

Please copy this form as needed to provide information on all persons with an ownership interest in the business in Section A.



Northeast Regional Office / Fisheries Data Services Division



55 Great Republic Drive, Gloucester MA 01930-2276 Phone: (978) 281-9264 Fax: (978) 281-9161

John Witzig, Ph.D., Division Chief

Fishery Information Section, [Greg Power](#), 978-281-9304 cell 978-609-4154

Northern NEW ENGLAND AREA

Portland ME 207-780-3322 [Scott McNamara](#)*
Fax 207-780-3340 (cell 978-609-7747)

Marine Trade Ctr Suite 212
2 Portland Fish Pier
[Portland, ME](#) 04101-4633

Gloucester MA 978-281-9338 [Caleb Gilbert](#)
(cell 978-609-4143)
Fax 978-281-9363 [Don Mason](#)
978-281-9372

55 Great Republic Drive
[Gloucester, MA](#) 01930-2276

Southern NEW ENGLAND AREA

Chatham MA 508-945-5961 [Lorraine Spenle](#)
Fax 508-945-3793 (cell 978-609-4142)

P.O. Box 1197
1619 Main Street
[West Chatham, MA](#) 02669

New Bedford MA 508-984-0063 or contact Point Judith RI
508-999-2452 office at:
Fax 508-990-2506 401-783-7797

37 N. Second St
[New Bedford, MA](#) 02740-6329

Point Judith RI 401-783-7797 [Walter Anoushian](#)*
Fax 401-782-2113 (cell 978-609-4145)

P.O. Box 3356
83 State St 2nd Flr
[Narragansett, RI](#) 02882-0547

Northern MID-ATLANTIC AREA

East Hampton NY 631-324-3569 [Victor Vecchio](#)*
Fax 631-324-3314 (cell 978-609-4155)

62 Newtown Ln, # 203
[East Hampton, NY](#) 11937-2483

Southern MID-ATLANTIC AREA

Toms River NJ 732-818-1311 [Joanne Pellegrino](#)*
732-349-3533 (cell 978-609-7980)
Fax 732-349-4319

26 Main St, Suite O
[Toms River, NJ](#) 08753-7460

Cape May NJ 609-884-2113 [Ingo Fleming](#)
Fax 609-884-4908 (cell 978-609-8084)

1382 Lafayette St
[Cape May, NJ](#) 08204-5226

Hampton VA 757-723-3369 [Steve Ellis](#)
Fax 757-728-3947 (cell 978-609-4147)

[George Mattingly](#)
(cell 978-609-4149)

P.O. Box 69172
1006N Settlers Landing Rd
[Hampton, VA](#) 23669-5103

* Team Leader & State Partner Coordinator / © Contract Staff
Click on underlined staff name to email or port to go to Google Maps

Other Contact Information

Web: <http://www.nero.noaa.gov/fso> Email: reporting.ne@noaa.gov

Help Desks

Dealer Electronic Reporting	978-281-9212	Fish-On-Line / Data Requests	978-281-9133	Fishery Regulations	978-281-9315
Dealer Data Corrections	978-675-2160	IVR Reporting	978-281-9209	Northeast Regional Office	978-281-9300
Permits	978-282-8438	Vessel Trip Reporting	978-281-9246	Communications / Media	978-281-9175
Data Requests	978-281-9133	Vessel Monitoring System	978-281-9213	Communications / General	978-281-9103
		Reporting Forms /Logbooks	978-281-9157		