



# Department of the Treasury Financial Crimes Enforcement Network

## Chapter X Updates to Bank Secrecy Act (BSA) Forms

As part of the transfer of the BSA regulations from 31 CFR Part 103 to 31 CFR Chapter X, FinCEN has updated the regulatory citations found in its forms to 31 CFR Chapter X. There have been no substantive regulatory changes to the forms or the data elements requested through them as a result of this update of the regulatory citations.

The updated forms are available for use as of March 1, 2011 at [www.fincen.gov/forms](http://www.fincen.gov/forms). Please note that even after March 1, 2011, FinCEN will continue to accept and process all currently acceptable forms that contain citations to 31 CFR Part 103. FinCEN also has updated its systems to incorporate the appropriate 31 CFR Chapter X citations within all official copies or certified facsimiles of the BSA reports filed beginning March 1, 2011 and all official disclaimers associated with any official copy or certified facsimile of a BSA report (regardless of when originally filed with FinCEN).

To assist institutions with these updated form citations, FinCEN has put together the following list of the updates for each form:

### Currency Transaction Reports (CTRs)

#### CTR (Form 104)

| Instruction Page and Column | Paragraph Number                       | Current Citation | Chapter X Revision |
|-----------------------------|--|------------------|--------------------|
| Page 3, Column 1            | Under "Who Must File"                  | 31 CFR Part 103  | 31 CFR Chapter X   |
| Page 4, Column 3            | Under "Paperwork Reduction Act Notice" | 31 CFR Part 103  | 31 CFR Chapter X   |

#### CTR-C (Form 103)

| Instruction Page and Column | Paragraph Number   | Current Citation          | Chapter X Revision    |
|-----------------------------|--------------------|---------------------------|-----------------------|
| Page 2, Column 2            | Under "Exceptions" | 31 CFR 103.11(uu)         | 31 CFR 1010.100(ff)   |
| Page 2, Column 2            | Under "Exceptions" | 31 CFR 103.22(b)(2)(i)(H) | 31 CFR 1021.311(a)(8) |

|                  |                                |                     |                          |
|------------------|--------------------------------|---------------------|--------------------------|
| Page 2, Column 2 | Under “Exceptions”             | 103.22(b)(2)(ii)(G) | 31 CFR<br>1021.311(b)(7) |
| Page 2, Column 2 | Under “Exceptions”             | 103.22(b)(2)(ii)(H) | 31 CFR<br>1021.311(b)(8) |
| Page 2, Column 2 | Under “Exceptions”             | 103.22(c)(3)        | 31 CFR 1021.313          |
| Page 4, Column 3 | Paperwork Reduction Act Notice | 31 CFR Part 103     | 31 CFR Chapter X         |

## Suspicious Activity Reports (SARs)

### SAR-DI (TDF 90-22.47)

| Instruction Page and Column | Paragraph Number | Current Citation | Chapter X Revision |
|-----------------------------|------------------|------------------|--------------------|
| Page 2                      | 1d               | 31 CFR Part 103  | 31 CFR Chapter X   |

### SAR-SF (Form 101)

| Instruction Page and Column | Paragraph Number            | Current Citation   | Chapter X Revision |
|-----------------------------|-----------------------------|--------------------|--------------------|
| Page 1, Column 1            | 1                           | 31 CFR Part 103.19 | 31 CFR 1023.320    |
| Page 1, Column 1            | 1                           | 31 CFR Part 103.17 | 31 CFR 1026.320    |
| Page 1, Column 1            | 1                           | 31 CFR Part 103.19 | 31 CFR 1023.320    |
| Page 1, Column 1            | 1                           | 31 CFR Part 103.17 | 31 CFR 1026.320    |
| Page 1, Column 2            | 2ii                         | 31 CFR Part 103    | 31 CFR Chapter X   |
| Page 1, Column 3            | 6                           | 31 CFR Part 103    | 31 CFR Chapter X   |
| Page 1, Column 3            | Directly below the end of 6 | 31 CFR Part 103    | 31 CFR Chapter X   |

### SAR-C (Form 102)

| Instruction Page and Column | Paragraph Number | Current Citation | Chapter X Revision |
|-----------------------------|------------------|------------------|--------------------|
| Page 1, Column 1            | 1                | 31 CFR 103       | 31 CFR Chapter X   |
| Page 1, Column 1            | 1                | 31 CFR 103       | 31 CFR Chapter X   |
| Page 1, Column 1            | 1                | 31 CFR 103       | 31 CFR Chapter X   |
| Page 1, Column 1            | 2                | 31 CFR 103.21    | 31 CFR 1021.320    |
| Page 1, Column 2            | 2ii              | 31 CFR Part 103  | 31 CFR Chapter X   |
| Page 1, Column 2            | 3                | 31 CFR 103       | 31 CFR Chapter X   |
| Page 1, Column 2            | 5                | 31 CFR Part 103  | 31 CFR Chapter X   |
| Page 1, Column 2            | 5                | CFR 103.22(b)(2) | 31 CFR 1021.311    |
| Page 1, Column 2            | 5                | 31 CFR Part 103  | 31 CFR Chapter X   |

**SAR-MSB (Form 109)**

| <b>Instruction Page and Column</b> | <b>Paragraph Number</b> | <b>Current Citation</b> | <b>Chapter X Revision</b> |
|------------------------------------|-------------------------|-------------------------|---------------------------|
| Page 1, Column 1                   | Notification Prohibited | 31 CFR 103.20           | 31 CFR 1022.320           |
| Page 1, Column 1                   | 1                       | 31 CFR Part 103         | 31 CFR Chapter X          |
| Page 1, Column 2                   | 3                       | 31 CFR Part 103         | 31 CFR Chapter X          |
| Page 1, Column 2                   | 3                       | 31 CFR Part 103         | 31 CFR Chapter X          |
| Page 1, Column 2                   | 6                       | 31 CFR 103.11(u)(4)     | 31 CFR 1010.100(ff)(4)    |

**Other Forms****RMSB (Form 107)**

| <b>Page and Column</b>        | <b>Paragraph Number</b>                 | <b>Current Citation</b>   | <b>Chapter X Revision</b>    |
|-------------------------------|---|---------------------------|------------------------------|
| Part VII on form              | Authorized Signature                    | 31 CFR 103                | 31 CFR Chapter X             |
| Instruction Page 1, Column 2  | First full sentence                     | 31 CFR 103.11(n) and (uu) | 31 CFR 1010.100 (t) and (ff) |
| Instruction Page 1, Column 3  | Under “When to Register, Renewal”       | 31 CFR 103.41(b)(2)       | 31 CFR 1022.380(b)(2)        |
| Instruction Page 3, Column 3  | Under “Penalties for failure to comply” | 31 CFR 103.41             | 31 CFR 1022.380              |
| Instruction Page 3, Column 3  | Paperwork Reduction Act Notice          | 31 CFR 103                | 31 CFR Chapter X             |
| Instructions Page 3, Column 3 | Privacy Act Notice                      | 31 CFR 103                | 31 CFR Chapter X             |
| Instruction Page 3, Column 3  | Privacy Act Notice                      | 31 CFR 103                | 31 CFR Chapter X             |

**CMIR (Form 105)**

| <b>Page and Column</b>       | <b>Paragraph Number</b>      | <b>Current Citation</b> | <b>Chapter X Revision</b> |
|------------------------------|------------------------------|-------------------------|---------------------------|
| Above Part I on form         | Top right corner             | 31 CFR 103.23           | 31 CFR 1010.340           |
| Above Part I on form         | Top right corner             | 31 CFR 103.27           | 31 CFR 1010.306           |
| Instruction Page 1, Column 1 | Under “General instructions” | 31 CFR 103              | 31 CFR Chapter X          |
| Instruction Page 1, Column 1 | Penalties                    | 31 CFR 103.57           | 31 CFR 1010.820           |
| Instruction Page 1, Column 1 | Penalties                    | 31 CFR 103.59           | 31 CFR 1010.840           |

|                              |  |                  |                    |
|------------------------------|--|------------------|--------------------|
| Instruction Page 1, Column 1 | Penalties                                      | 31 CFR 103.58    | 31 CFR 1010.830    |
| Instruction Page 1, Column 2 | Privacy Act and Paperwork Reduction Act Notice | 31 CFR 103       | 31 CFR Chapter X   |
| Instruction Page 1, Column 2 | Privacy Act and Paperwork Reduction Act Notice | 31 CFR Part 103  | 31 CFR Chapter X   |
| Instruction Page 1, Column 2 | Privacy Act and Paperwork Reduction Act Notice | 31 CFR 103.27(d) | 31 CFR 1010.306(d) |

### DOEP (Form 110)

| Instruction Page and Column | Paragraph Number               | Current Citation | Chapter X Revision                   |
|-----------------------------|--------------------------------|------------------|--------------------------------------|
| Page 1, Column 1            | First paragraph                | 31 CFR 103.22    | 31 CFR 1020.315                      |
| Page 1, Column 1            | Second paragraph               | 31 CFR 103.11(c) | 31 CFR 1010.100(d)                   |
| Page 1, Column 1            | Third Paragraph                | 31 CFR 103.22    | No longer noted on form instructions |
| Page 1, Column 2            | Item 10                        | 31 CFR 103.22(a) | No longer noted on form instructions |
| Page 1                      | Paperwork Reduction Act Notice | 31 CFR 103.22    | 31 CFR 1020.315                      |

### Form 8300

*There are no updates to the Form 8300.*

### FBAR Form (TDF 90-22.1)

| Page and Column | Paragraph Number                                 | Current Citation | Chapter X Revision |
|-----------------|--|------------------|--------------------|
| Page 1 of form  | Above Privacy and Paperwork Reduction Act Notice | 31 CFR 103.24    | 31 CFR 1010.350    |
| Page 1 of form  | Privacy and Paperwork Reduction Act Notice       | 31 CFR 103.24    | 31 CFR 1010.350    |
| Page 1 of form  | Privacy and Paperwork Reduction Act Notice       | 31 CFR 103.24    | 31 CFR 1010.350    |

If your organization requires additional assistance or guidance regarding the transfer of FinCEN's regulations to 31 CFR Chapter X, please contact FinCEN's Regulatory Helpline at (800) 949-2732 ext. 6.