Fiscal Year 2013 Budget Estimates

Defense Security Services (DSS)

February 2012

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Defense Security Service
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2013 Budget Estimates

Operation and Maintenance, Defense-Wide Summary ($ in thousands)
Budget Activity (BA) 4: Administrative and Service-Wide Activities

	FY 2011 Actuals	Price Change	Program Change	FY 2012 Estimate	Price Change	Program Change	FY 2013 Estimate
DSS	471,887	6,275	26,167	504,329	7,166	-4,833	506,662

I.   Description of Operations Financed:

DSS supports the National Industrial Security Program (NISP) by clearing industrial facilities, personnel and associated information systems; collecting, analyzing and providing threat information to industry and government partners; managing foreign ownership, control and influence in cleared industry; providing advice and oversight to industry; delivering security education and training; and providing information technology services that support the industrial security mission of DoD and its partner agencies.

The critical nature of this service is displayed daily in an increasingly complex and global industrial environment. Protection of classified industrial information through thorough facility clearance inspections/investigations; diligent accreditation of information systems by the Office of the Designated Approving Authority (ODAA); mitigation of Foreign, Ownership, Control and Influence (FOCI) in U.S. cleared companies; and industrial counterintelligence surveillance and analysis are core to the defense of the nation.

I.   Description of Operations Financed (cont.)

The FY 2013 budget estimates supports DSS operational mission areas and command enabling support areas to clear industrial facilities and associated information systems, identify unlawful penetrators of the cleared Defense Industrial Base (DIB), adjudicate personnel security clearances for industry, reinvigorate the Security education and awareness program and maintain Information technology (IT) systems and support elements as follows:

- Industrial Operations and Policy Program
- Personnel Security Investigations for Industry (PSI-I)
- Security Education, Training and Awareness (SETA)
- Counterintelligence (CI)

Command Enabling Support Areas:

- Management Headquarters Activity
- Office of the Chief Information Officer

National Industrial Security Program (NISP):

The DSS administers and implements the NISP on behalf of the Department of Defense and 24 other non-DoD federal agencies, pursuant to Executive Order 12829, by providing oversight and assistance to 13,333 cleared contractor facilities, accrediting information systems to process classified information; assisting protection of classified national security information; facilitating shipment of classified material between the United States and 65 foreign countries; and implementing and overseeing approximately 588 mitigation arrangements at companies under Foreign Ownership, Control, or Influence (FOCI). The DSS

I. Description of Operations Financed (cont.)

NISP oversight role includes responsibility for the majority of the cleared contractors in the United States to include determination, issuance, and oversight of facility security clearances and making determination that contractor employees are eligible for access to classified information. This oversight role further includes:

- Conducting required NISP inspections, increasing the ability to deter, detect, and identify loss or compromise of classified information and ensure corrective actions;
- Accrediting classified contractor computer systems, allowing industry to perform on classified programs;
- Completing FOCI mitigation agreements and properly analyzing, evaluating and providing oversight to cleared firms under FOCI agreements;
- Increasing International Security training and the personnel needed to facilitate timely, secure, shipment of commercially sold classified export controlled materials to and from U.S. cleared contractors and 65 foreign countries;
- Providing proactive training and support for DSS field personnel, industry, and government agencies; and as a
- Liaison with government Special Access Program (SAP) customers, increasing and improving analysis of SAP security issues.

I.   Description of Operations Financed (cont.)

a. Industrial Operations and Policy Program:

	Dollars in Thousands	
FY 2011	FY 2012	FY 2013
Actual	Estimate	Estimate
$88,734	$125,657	$119,685

The Industrial Security Field Operations (ISFO) inspects and provides oversight to cleared defense contractors on behalf of the Department of Defense (DoD) and 24 National Industrial Security Program partners. Industrial Security personnel provide oversight and assistance to cleared industrial facilities and assist management and security staff in ensuring the protection of U.S. and foreign classified information. ISFO is responsible for the adjudication of industry personnel security clearances. ISFO's mission essential tasks include:
- Serve as the "first responder" to Industry for industrial security matters;
- Ensure security of cleared Industry's Information Systems processing classified information;
- Enhance security awareness among external and internal customers;
- Assess security posture of cleared Industry;
- Monitor Foreign Ownership, Control or Influence (FOCI) mitigation instruments; and
- Support the personnel security clearance process.

The Industrial Policy and Programs Directorate (IP) interprets policy and provides guidance for the NISP (24 members to include the Department of State, the Department of Justice and the Department of Homeland Security); mitigates foreign ownership, control, and influence (FOCI) for U.S. companies with classified contracts; manages the security oversight functions of DSS' direct and indirect support to the Special

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I. **Description of Operations Financed (cont.)**

Access Program community; supports cyber threat sharing by the Defense Industrial Base (DIB) Cyber Security Task Force and the DIBNet communication system; and ensures secure international transfers of classified commercial sales. The IP exercises authority and responsibility for industry personnel security investigation workload projections, tracks program performance for Industry and DoD components, and provides financial analysis and operations research support to DSS and other DoD offices.

c. **Personnel Security Investigations for Industry (PSI-I):**   Dollars in Thousands

	FY 2011 Actual	FY 2012 Estimate	FY 2013 Estimate
	$240,500	$236,713	$241,040

The DSS identifies requirements and manages costs for personnel security investigations for all industry personnel in support of DoD components and 24 other federal agencies under the National Industrial Security Program (NISP). Contractor personnel are employed on projects in support of multiple Executive Branch agencies requiring a centrally financed program to provide economy of scale. PSI-I costs are determined based on total number of PSI by case type, multiplied by the corresponding Office of Personnel Management (OPM) Federal Investigation Notice (FIN) rate on an annual basis and adjusted to include additional costs on a case by case basis for Supplemental Personal Interviews (SPIN) and Request for Supplemental Investigations (RSI).

I.   <u>Description of Operations Financed (cont.)</u>

d. <u>**Security Education Training and Awareness Program (SETA)**</u>:

	Dollars in Thousands		
	FY 2011	FY 2012	FY 2013
	<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>
	$22,403	$28,257	$29,450

The DSS Security Education, Training and Awareness (SETA) Directorate oversees the missions of the Center for Development of Security Excellence (CDSE) and the Defense Security Service Academy (DSSA). The SETA program provides comprehensive education, training, and professional development for security professionals within the Department of Defense and industry under the National Industrial Security Program (NISP). This training fully prepares security professionals across the Services, Industry, Federal Government, and the Defense Agencies for their significant roles in protecting critical assets and National Security information.

By the end of FY 2011, SETA recorded 200,046 course completions representing an increase of more than 60 percent over FY 2010, and more than 118 percent over FY 2009. As an integral component of its business execution platform, SETA employs a comprehensive array of quality assurance measurements for its courseware and products to ensure the quality, relevance, and cost effectiveness of the professional development deliverables and support that it provides to DoD's security and intelligence communities. SETA is comprised of the following divisions:

<u>Center for Development of Security Excellence</u>: Oversees the Education, Training, Security Professionalization, Multimedia Production, and Security Analysis and Innovation mission areas. The CDSE provides for the security training and professional development of DoD and other U.S. Government personnel, employees of U.S. Government

I.   Description of Operations Financed (cont.)

contractors, and, when sponsored by authorized DoD Components, employees of selected foreign governments. It provides a common, unifying means to prepare individuals for their security program roles, facilitates the development of effective security programs for the DoD, and reduces the need for duplicative training sources and their associated infrastructures, within DoD and the Federal Government.

Education Division: Develops education courses and workshops for DoD security professionals who are advancing their professional growth. This division is responsible for the development of education courses for advanced security studies in support of the Security Profession Education and Development Certification Program. The Education Division is also responsible for facilitating the evaluation of SETA training and education courses for college credit equivalencies.

Training Division: Creates, collaborates and facilitates delivery of quality security training across the Industrial, Information, Personnel, and Physical security disciplines, as well as select communities of practice. The training is delivered through a variety of formats to include resident courses conducted at the DSS facility in Linthicum, MD, mobile courses presented at activities located within or outside of the United States, and distance learning courses accessed online via DSS's, Learning Management System, the Security Training, Education and Professionalization Portal (STEPP)*(formerly ENROL – Electronic Network Registration and On-line Learning)*.

Security Professionalization Division: Implements and maintains the Security Professional Education and Development Program (SPēD). This program is responsible for the maintenance of the DoD security skill standards, development and maintenance of career maps for DoD security professionals, management of the SPēD Certification Program, sponsorship of security workshops and conferences, and administrative support

I.   Description of Operations Financed (cont.)

for the DoD Security Training Council (DSTC). The Security Professionalization Division also provides for the management of the automated registration and learning management system necessary to support SETA's customer base.

Multimedia Production Division: Develops and delivers web-deployed products and provides multi-media support. This division supports students and the customer-base through development of courseware and classroom tools and facilitates agency-wide communication and outreach efforts.

Research, Analysis and Innovation Division: Develops and coordinates the deployment of a knowledge management system for SETA. This division also collects and reports metrics works special projects and provides strategic counsel to the office of the SETA Director.

Defense Security Service Academy (DSSA): Provides security training for the industrial security professionals within the Defense Security Service. The DSSA provides the required security training for Industrial Security Representatives, Information Systems Security Professionals, and Industrial Security Policy Professionals that equip DSS security professionals to implement and provide oversight of cleared industry within the National Industrial Security Program (NISP).

I.   Description of Operations Financed (cont.)

e. Counterintelligence Program (CI):

	Dollars in Thousands	
FY 2011	FY 2012	FY 2013
Actual	Estimate	Estimate
$24,976	$24,388	$28,597

The DSS provides CI support to the National Industrial Security Program (NISP). The DSS CI Mission is to, "identify unlawful penetrators of the cleared Defense Industrial Base." That means providing support to 13,333 cleared facilities that support 1.2 million workers. The DSS CI mission must work with a full range of U.S. Government Departments, Military Services and Agencies. The DSS CI Directorate is organized into two divisions: Operations and Intelligence.

The Operations Division identifies known and suspected collectors engaged in illegal or suspicious acts related to defense technology. The Operations Division refers information to federal investigative and operational agencies for exploitation; and educating cleared contractor employees on the threat.

The Intelligence Division informs cleared DIB and U.S. Government agencies and officials of the Foreign Intelligence Entity (FIE) threats and the U.S. DoD technology in their charge.   The Intelligence Division publishes the following products: Annual Trends; Quarterly Trends; Company Assessments; Technology and Program Assessments; Target Country Assessments; Intelligence Information Reports; and analysis associated with Operations Division referrals.

In addition to the DIB, the DSS CI supports: federal law enforcement agencies targeting intelligence operators, terrorists and criminals attempting to steal U.S.

I.   Description of Operations Financed (cont.)

Defense technology; the Defense Intelligence Agency's "Campaign" and "Castle" programs; the U.S. Intelligence Community; the FBI Strategic Partnership Program; the Annual Report to Congress on Economic Collection and Industrial Espionage; DoD Personnel Clearance Adjudication Facilities; Joint Cyber Investigations Task Force, Defense Cyber Crime Center, and CYBERCOM. Budget estimates include requirements to support the National Intelligence Classified Programs.

I.   Description of Operations Financed (cont.)

Operational Support Activities

1. Management HQ Activities:

	Dollars in Thousands	
FY 2011	FY 2012	FY 2013
Actual	Estimate	Estimate
$44,036	$42,801	$39,280

The DSS headquarters activities direct and implement services in support of the DSS mission in the areas of human resources, financial management, security, acquisition, public affairs, legislative affairs, general counsel, EEO and other general administrative support.

2. Office of the Chief Information Officer (OCIO):

	Dollars in Thousands	
FY 2011	FY 2012	FY 2013
Actual	Estimate	Estimate
$51,238	$46,513	$48,610

The OCIO supports Automated Information Systems (AIS) and communications infrastructure for DSS support elements and the following programs:
- National Industrial Security Program (NISP)
- Counterintelligence (CI) activities
- Security Education and Awareness Training (SETA)

The OCIO maintains all IT infrastructures for the agency to include desktops, networks (Joint Worldwide Intelligence Communications System- JWICS, Non-secure Internet Protocol Router Network-NIPR Net, and Secure Internet Protocol Router Network – SIPR NeT), Help

I.   Description of Operations Financed (cont.)
Desk operations, and a Call Center. In addition, the OCIO plans, programs and manages the activities associated with the Enterprise Security System (ESS) which includes ongoing support and maintenance of legacy systems (i.e., Industrial Security Facilities Database (ISFD), and the Security Training, Education and Professionalization Portal (STEPP)*(formerly ENROL – Electronic Network Registration and On-line Learning)* that are integrated components of ESS. ESS maintains a customized Commercial-off-the-Shelf (COTS) Learning Management System (LMS) and Learning Content Management System (LCMS) which facilitates DSS security education.

II.   Force Structure Summary:
N/A

III. Financial Summary ($ in thousands)

A. BA Subactivities	FY 2011 Actuals	Budget Request	FY 2012 Congressional Action		Appropriated	Current Estimate	FY 2013 Estimate
			Amount	Percent			
**Office of Chief Information Officer	51,238	46,610	-97	-0.2	46,513	46,513	48,610
*Industrial Operations and Policy	88,734	125,920	-263	-0.2	125,657	125,657	119,685
Counterintelligence Program	24,976	24,429	-41	-0.2	24,388	24,388	28,597
Management HQ Activities	44,036	42,898	-97	-0.2	42,801	42,801	39,280
PSI for Industry	240,500	237,193	-480	-0.2	236,713	236,713	241,040
Security Education Training Awareness	22,403	28,316	-59	-0.2	28,257	28,257	29,450
Total	**471,887**	**505,366**	**-1,037**	**-0.2**	**504,329**	**504,329**	**506,662**

* Previously identified as the National Industrial Security Program.
** Budget estimates include funding for the Enterprise Security System.

III. <u>Financial Summary</u> ($ in thousands)

B. <u>Reconciliation Summary</u>	Change FY 2012/FY 2012	Change FY 2012/FY 2013
Baseline Funding	505,366	504,329
Congressional Adjustments (Distributed)		
Congressional Adjustments (Undistributed)	-836	
Adjustments to Meet Congressional Intent	-201	
Congressional Adjustments (General Provisions)		
Subtotal Appropriated Amount	504,329	
Fact-of-Life Changes (2012 to 2012 Only)		
Subtotal Baseline Funding	504,329	
Supplemental		
Reprogrammings		
Price Changes		7,166
Functional Transfers		366
Program Changes		-5,199
Current Estimate	504,329	506,662
Less: Wartime Supplemental		
Normalized Current Estimate	504,329	

III. <u>Financial Summary</u> ($ in thousands)

C. Reconciliation of Increases and Decreases	Amount	Totals
FY 2012 President's Budget Request (Amended, if applicable)		505,366
1. Congressional Adjustments		-1,037
a. Distributed Adjustments		
b. Undistributed Adjustments		
1) Unobligated Balances	-836	
c. Adjustments to Meet Congressional Intent		
1) Sec 8034 - Mitigation of Environmental Impacts	-201	
d. General Provisions		
FY 2012 Appropriated Amount		504,329
2. War-Related and Disaster Supplemental Appropriations		
3. Fact-of-Life Changes		
FY 2012 Baseline Funding		504,329
4. Reprogrammings (Requiring 1415 Actions)		
Revised FY 2012 Estimate		504,329
5. Less: Item 2, War-Related and Disaster Supplemental Appropriations and Item 4, Reprogrammings		
FY 2012 Normalized Current Estimate		504,329
6. Price Change		7,166
7. Functional Transfers		366
a. Transfers In		
1) Washington Headquarters Services	366	
WHS transferred +3 Civilian Full Time Equivalents to support DSS Human Resource operational requirements (Baseline $0)		
8. Program Increases		3,230
a. Annualization of New FY 2012 Program		
b. One-Time FY 2013 Increases		
1) Increase for one additional compensatable workday FY 2012 = 260 Days (FY 2012 260 days)	543	
c. Program Growth in FY 2013		

III. <u>Financial Summary</u> ($ in thousands)

C. Reconciliation of Increases and Decreases	Amount	Totals

1) Other Intra-Governmental — Amount: 1,149
   Increase provides Inter Agency Base Operation Support and
   Army Corp of Engineers' lease agreements to accomodate
   relocation of DSS personnel space requirements at
   Headquarters, DISCO, SETA and 76 field office locations
   nationwide. (FY 2012 Base: $265,014K)

2) Personnel Compensation — Amount: 624
   Increase funds +3 civilian Full Time Equivalents (FTE) to
   support DSS mission requirements and +5 FTEs to support
   Counterintelligence Insider Threat and Technology
   Protection Capabilities (Classified Programs)
     (FY 2012 Base: $114,427K; +8 FTEs)

3) Equipment Maintenance by Contract — Amount: 508
   Increase sustains software and hardware maintenance
   contracts to support IT infrastructure and server
   requirements for computer hardware storage and wireless
   devices.
     (FY 2012 Base: $9,186K)

4) Purchased Communication — Amount: 406
   Increase supports the change in communication services due
   to increased mission capabilities in telephone and IT
   services as a result of Headquarters and DISCO relocation
   of operational elements to MCB Quantico, VA and Fort
   Meade, MD that provide Voip Phones/Polycom Units, NIPRnet,
   SIPRnet and JWICS connectivity to each DSS operational
   element nationwide.
     (FY 2012 Base: $17,378K)

9. Program Decreases — Totals: -8,429
   a. Annualization of FY 2012 Program Decreases
   b. One-Time FY 2012 Increases

III. <u>Financial Summary</u> ($ in thousands)

C. Reconciliation of Increases and Decreases	Amount	Totals

c. Program Decreases in FY 2013

1) Management Support   -5,702
   Efficiencies are gained in reduced reliance on contractor
   support services.
   (FY 2012 Base: $32,997K)

2) Supplies and Materials   -1,689
   Decrease supports efforts to control administrative costs
   and to improve operational efficiencies.
   (FY 2012 Base: $10,848K)

3) Travel Efficiency   -410
   Efficiencies are gained in reduction of discretionary
   travel requirements.
   (FY 2012 Base: $6,217)

4) Printing Efficiency   -335
   Efficiencies are gained by use of alternate electronic
   medias to reduce waste and improve operational efficiency.
   (FY 2012 Base: $442K)

5) Equipment Purchase by Contract   -154
   Decrease supports efforts to control costs and improve
   operational deficiencies. (FY 2012 Baseline $10,590K)

6) Defense Finance and Accounting Service   -139
   Decrease sustains workload requirements at FY 2012 levels.
   (FY 2012 Base: $844K)

FY 2013 Budget Request   **506,662**

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IV. <u>**Performance Criteria and Evaluation Summary**</u>:

1. <u>**National Industrial Security Program (NISP)**</u>

NISP Performance Measure #1: Facilities of Interest (FIL) Inspections Completed.

Comments: This performance measure for Industrial Security Field Operations (ISFO) measures completion of cleared contractor facility inspections utilizing Facility of Interest (FIL) prioritization. The DSS recently transformed its methodology from a calendar-based inspection cycle for all facilities to a risk-based segregation of facilities. Higher risk facilities warrant more detailed scrutiny by applying an updated threat mitigation strategy and methodology to prioritize inspections based on quantitative risk management factors and serves as the agency's primary assessment of risk as it relates to the overall foreign threat to key technologies within cleared industry. The Fiscal Year 2011 inspection data is shown below. Note: The DSS is proactive in reducing backlog and increasing inspection rates. Switching facility inspection criteria to the FIL methodology created a onetime backlog that DSS is currently working to reduce and ultimately alleviate.

FY 11 Inspection Data By FIL		FY Inspections Completed	Facilities Overdue Inspections	Facilities Not Overdue Inspection	Total Amount of Facilities	% Facilities Not Overdue	GOAL	BELOW GOAL
	FIL1	895	6	907	913	99.3%	100%	.7%
	FIL2	2849	31	2966	2997	99%	100%	1%
	FIL3	5920	2199	7240	9439	76.7%	90%	13.3%
	Total	9664	2236	11113	13349	83.2%	93%	9.8%

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IV. <u>Performance Criteria and Evaluation Summary</u>:

NISP Performance Measure #2: Average Information System Accreditation Cycle Time.

Comments: This output performance measure displays the average number of days for the DSS to issue an accreditation that enables a contractor information system to process classified information. Accreditation cycle time for each system processed is determined by comparing the date an information system security plan is received by the DSS to the date the DSS issues an accreditation for that system. The actual average number of days it takes to complete an accreditation is calculated by taking the combined number of calendar days it takes to complete all of the accreditations divided by the number of accreditations completed.

Average Information System Accreditation (days)	Oct 2010	Nov 2010	Dec 2010	Jan 2011	Feb 2011	Mar 2011	Apr 2011	May 2011	Jun 2011	Jul 2011	Aug 2011	Sep 2011
Actual Average	36	24	22	21	23	16	21	22	20	20	19	17
YTD Average	36	29	27	25	25	23	23	23	23	22	22	22
#Over 45 days	97	54	57	38	43	24	39	55	24	33	45	19
Monthly Target	20	20	20	20	20	20	20	20	20	20	20	20

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IV. <u>Performance Criteria and Evaluation Summary</u>:

NISP Performance Measure #3: Percent of Initial Adjudications completed within an average of 20 days.

Comments: This output performance measure for the DSS Personnel Security Clearance Program indicates the total number of initial adjudications processed by the Defense Industrial Security Clearance Office (DISCO) that contribute to a 20 day average divided by the total number processed by DISCO, expressed as a percentage. The DSS is required by the Intelligence Reform and Terrorism Prevention Act of 2004 to make a determination on at least 90 percent of applications for an initial personnel security clearance within an average of 20 days.

Initial Adjudications Completed in 20 Days	Oct 2010	Nov 2010	Dec 2010	Jan 2011	Feb 2011	Mar 2011	Apr 2011	May 2011	Jun 2011	Jul 2011	Aug 2011	Sept 2011
Target	20	20	20	20	20	20	20	20	20	20	20	20
Actual (fastest 90%)	37.3	35.3	31.4	28.9	26.1	26.2	24.1	21.7	12.9	12.9	11.1	15
# Completed for 20 Day Average (fastest 90%)	9,759	9,030	10,117	8,315	7,705	10,460	10,312	10,594	11,085	7,616	9,934	8,643
Total # Completed	10,843	10,033	11,241	9,239	8,561	11,622	11,458	11,771	12,317	8,462	11,037	9,603

IV. <u>Performance Criteria and Evaluation Summary</u>:

NISP Performance Measure #4: Percent of Reinvestigation Adjudications completed within an average 30 days.

Comments: This output performance measure for the DSS Personnel Security Clearance Program indicates the total number of reinvestigation adjudications processed by the Defense Industrial Security Clearance Office (DISCO) that contribute to a 30 day average divided by the total number processed by DISCO, expressed as a percentage. The DSS is required by the Intelligence Reform and Terrorism Prevention Act of 2004 to make a determination on at least 90 percent of applications for reinvestigation of personnel security clearance within an average of 30 days.

Reinvestigation Adjudications Completed	Oct 2010	Nov 2010	Dec 2010	Jan 2010	Feb 2011	Mar 2011	Apr 2011	May 2011	Jun 2011	Jul 2011	Aug 2011	Sept 2011
Target	30	30	30	30	30	30	30	30	30	30	30	30
Actual (fastest 90%)	77.8	65.5	53.7	36.4	16.3	9.5	24	17.6	18.2	11.9	12.9	13
# Completed for 30 Day Average (fastest 90%)	1,472	1,318	2,058	1,895	2,326	920	2,360	1,833	4,142	719	2,646	948
Total # Completed	1,636	1,464	2,287	2,106	2,584	1,022	2,622	2,037	4,602	799	2,940	1,053

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IV. <u>**Performance Criteria and Evaluation Summary:**</u>

2. <u>**Security Education Training and Awareness Program (SETA)**</u>

SETA Performance Measure #1: Requested FY 2011 Course Iterations Scheduled

Comments: This performance measure is used for resource planning by comparing the number of classroom course iterations requested by the security community versus available resources. The SETA program is taking action to increase its capacity to accommodate the needs of the security community, prioritizing courses based on instructor availability and program requests.

Requested FY11Course Iterations Scheduled	Oct 2010	Nov 2010	Dec 2010	Jan 2011	Feb 2011	Mar 2011	Apr 2011	May 2011	Jun 2011	Jul 2011	Aug 2011	Sep 2011
Cum Monthly Schedule	1	9	17	21	32	41	49	59	63	72	76	81
Requested Total for FY 11	134	134	135	140	141	142	143	144	146	146	146	146

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IV. Performance Criteria and Evaluation Summary:

SETA Performance Measure #2: Required Active Course Inventory.

Comments: This output performance measure provides the actual number of active courses in inventory compared with the total number of active courses required by the security community. In FY 2011, 21 additional courses were developed which included courses required during the annual call and high priority requests.

Required Active Course Inventory FY11	Oct 2010	Nov 2010	Dec 2010	Jan 2011	Feb 2011	Mar 2011	Apr 2011	May 2011	Jun 2011	Jul 2011	Aug 2011	Sep 2011
Total Actual Active Course Inventory	71	72	75	77	77	78	80	82	84	85	88	92
FY11Total Course Inventory Requirement	91	91	91	91	91	91	91	91	91	91	91	91
% of Actual vs. Required Course Inventory	78%	79%	82%	85%	85%	86%	88%	90%	92%	93%	97%	100%

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IV. Performance Criteria and Evaluation Summary:

3. Counterintelligence (CI): CI Performance Measure #1: Annual Rate of Identification (efficiency/output).* DSS CI's FY2011 goal was to identify 2.0 known or suspected collectors within cleared industry per CI resource; the FY2012 goal is anticipated to remain consistent with the FY2011 Rate of Identification.

Comments: The DSS CI mission is to identify known or suspected (k/s) collectors of classified DoD information and technology resident in the cleared DIB in support of the National Industrial Security Program (NISP). The CI Directorate assists cleared defense industry in recognizing and reporting foreign contacts and collection attempts and in applying threat-appropriate countermeasures; provides threat information to cleared industry; assists DoD Components with ensuring protection of critical DoD research and technology resident in the cleared DIB; and refers all cases of CI interest to the appropriate DoD or USG investigative or operational department or agency.

	FY 2011				FY2012 - Projection			
	Dec 2010	Mar 2011	Jun 2011	Sep 2011	Dec 2011	Mar 2012	Jun 2012	Sep 2012
Rate of ID per Ave Available CI Resource*	2.29	2.49	2.84	3.04	3.16	3.27	3.38	3.50
Number of k/s Illegal Penetrators Identified (most recent 12-months)	259	319	392	426	442	458	473	490
Number of Illegal Penetrators k/s identified FYTD	81	188	392	426	122	245	367	490
Average Available (FTE/CTR) CI Resources	113	128	138	140	140	140	140	140

* Calculating the Rate of Identification: The annual rate of identification is calculated monthly as of the last day of each month. To calculate the rate, divide the k/s collectors identified for the most recent 12-month period (as of the last day of a month) by the "average available" CI resources. "Average available resources" is the average number of FTEs/CTRs assigned to CI over the same 12-month period. FY 2009 was CI's base-line year for this measure. A slightly different calculation was used to arrive at the annual rate of identification; however a similar method was used.

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V. __Personnel Summary__	__FY 2011__	__FY 2012__	__FY 2013__	**Change FY 2011/ FY 2012**	**Change FY 2012/ FY 2013**
Civilian End Strength (Total)	885	907	918	22	11
U.S. Direct Hire	885	907	918	22	11
Total Direct Hire	885	907	918	22	11
Civilian FTEs (Total)	905	907	918	2	11
U.S. Direct Hire	905	907	918	2	11
Total Direct Hire	905	907	918	2	11
Average Annual Civilian Salary ($ in thousands)	126.2	126.2	126.8	0	.6
Contractor FTEs (Total)	124	110	99	-14	-11

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VI. **OP 32 Line Items as Applicable (Dollars in thousands):**

OP 32 Line	FY 2011 Actuals	Change FY 2011/FY 2012 Price	Change FY 2011/FY 2012 Program	FY 2012 Estimate	Change FY 2012/FY 2013 Price	Change FY 2012/FY 2013 Program	FY 2013 Estimate
101 Exec, Gen'l & Spec Scheds	114,115	0	253	114,368	412	1,532	116,312
106 Benefit to Fmr Employees	59	0	0	59	0	1	60
199 Total Civ Compensation	**114,174**	**0**	**253**	**114,427**	**412**	**1,533**	**116,372**
308 Travel of Persons	6,869	124	-776	6,217	106	-410	5,913
399 Total Travel	**6,869**	**124**	**-776**	**6,217**	**106**	**-410**	**5,913**
673 Def Fin & Accounting Svc	849	-150	145	844	140	-139	845
699 Total DWCF Purchases	**849**	**-150**	**145**	**844**	**140**	**-139**	**845**
771 Commercial Transport	226	4	771	1,001	17	0	1,018
799 Total Transportation	**226**	**4**	**771**	**1,001**	**17**	**0**	**1,018**
912 GSA Leases (SLUC)	8,153	147	87	8,387	143	0	8,530
914 Purch Com (non fund)	14,811	267	2,300	17,378	295	406	18,079
917 Postal Svc (USPS)	325	6	-44	287	5	0	292
920 Supplies/Matl (non fund)	9,064	163	1,621	10,848	184	-1,689	9,343
921 Print & Reproduction	613	11	-182	442	8	-335	115
922 Eqt Maint Contract	8,037	145	1,004	9,186	156	508	9,850
923 Facilities Maint by Contr	7,061	127	696	7,884	134	0	8,018
925 Eqt Purch (Non-Fund)	9,419	170	1,001	10,590	180	-154	10,616
932 Mgt Prof Support Svcs	17,886	322	-1,487	16,721	284	-2,134	14,871
934 Engineering & Tech Svcs	18,691	336	-200	18,827	320	0	19,147
960 Interest and Dividends	8	0	-8	0	0	0	0
987 Other IntraGovt Purch	245,255	4,415	15,344	265,014	4,505	1,149	270,668
989 Other Services	10,446	188	5,642	16,276	277	-3,568	12,985
999 Total Other Purchases	**349,769**	**6,297**	**25,774**	**381,840**	**6,491**	**-5,817**	**382,514**
Total	**471,887**	**6,275**	**26,167**	**504,329**	**7,166**	**-4,833**	**506,662**