

AES Newsletter

Volume 1, Issue 12

April 2002

ATTENTION

Effective May 1, 2002 **Customs cracks down on improper exemption legends.** See "Customs' Corner", page 3.

2002 Schedule B

The final version of the 2002 Schedule B, Export Commodity Classification Schedule and the Harmonized Tariff Schedule (HTS) is available on the Bureau of Census' Foreign Trade Division website at:

www.census.gov/foreign-trade

You may download both the Schedule B and the HTS from the address above. ♦

**AUTOMATED EXPORT SYSTEM BRANCH
BUREAU OF CENSUS
FOREIGN TRADE DIVISION
WASHINGTON, DC 20233
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AES

Mandatory Reporting Requirements

AES participants must modify their software to comply with the new reporting requirements that will be effective

September 2002. The Automated Export System Trade Interface Requirements (AESTIR) October 2000 document has been updated (March 2002) to reflect the new requirements. Included are changes to the CL2 record, a **NEW** ODT License Information record (ODT record), 3 new appendices (O, L, and W), and new error messages added to Appendix A, AES Common Error Messages. The X.12 601 U.S. Customs Export Shipment Information Set, Segment X1, has been updated to reflect the new data requirements. To access the revised AESTIR, please link to:

www.customs.gov/impoexpo/auto_exp.htm

Click on AES Technical Documentation.

Note:

The entire afternoons of the AES User Meetings in **Dallas, Los Angeles, and Seattle** will be spent discussing the new reporting requirements. See "AES and *AESDirect* on the Road" for dates and contact information. ♦



AESDirect Users

The logon procedure for www.aesdirect.gov will change soon. The anticipated change date is April 20, 2002. Instructional letters have been mailed to all AESDirect registrants. **Companies will be required to change their password every 180 days thus providing a more secure internet filing capability.** This change is the result of Public Law 106-113.

We need your assistance in ensuring a successful change process. An administrator and administrator code will now be required for each company as detailed in the letter of instruction. If you have not received your instructional letter, please call us on (800)-549-0595 or e-mail us at askaes@census.gov. On your e-mail please include your company's name and mailing address. ♦

AESPcLink Tips

- **Review shipment feature:** If you wish to re-view a shipment that has been completed and saved, Click "send to AESDirect", then double click on the specific shipment you wish to review. All information for that shipment will appear for update or change.
- **Look up feature:** If you are having difficulty with a look-up search, enter an asterisk (*) plus one key word you are attempting to search for and the system will display all lines on the look-up table which contain the word. ♦

Good News
80%
of eligible export
shipments are filed
in AES

AES Statistics

AES – February, 2002*

Filers –	5,811
LOIs –	9,726
Shipments –	703,209
Option 4 Applicants –	2,487
Option 4 Approvals –	2,403

*Includes AESDirect

AESDirect – March, 2002

Registrants –	9,208
Operational –	5,505
Shipments –	185,416

CUSTOMS' CORNER

- **AES Exemption Legend Problem:**

Customs has been experiencing for the past two years problems retrieving AES shipments using the XTN, cited in the AES exemption legend. The exemption legend must appear on the first page of the bill of lading, airway bill, or other commercial loading documentation and must be clearly visible (per 15 CFR 30.65). During this time Customs and Census have been working together to conduct outreach with exporters, and these efforts, originating at both the Headquarters and Field levels, have met with little success. The AES filer problems include illegible XTN's, XTN's for which data has not been transmitted at the time of export, or XTN's which are incorrect.

It is time to crack down on bad XTN's!

Customs will be reviewing and enforcing, **effective May 1, 2002**, the exemption legend reporting of AES shipments. Any shipments not able to be queried via the AES will be detained and penalized as appropriate.

See AES Broadcast # 2002024 dated March 12, 2002 for more details.

- **State Department DSP-94 license shipments:**

Reminder -- State Department DSP-94 license shipments (license code S94) will be allowed for filing in AES effective March 31, 2002.

- **Restricted Parties:**

Customs is working with the Office of Foreign Assets Control (OFAC) to include a download into AES to edit shipment data. This system enhancement should be available later this summer for implementation as part of the war on terrorism to stop the flow of goods, money and weapons to terrorist organizations. Later updates will include edits for the Bureau of Export Administration "Denied Parties List" and the State Department "Debarred Persons List."

- **S.1214 Section 115 - Pending Legislation for Mandatory Advanced Electronic Information for Cargo**

Resulting from the terrorist events of September 11, 2001, numerous bills have been introduced in both the House and Senate. S.1214 appears to be the consolidated version of these bills and it addresses manifest information for both inbound and outbound cargo shipments.

Every land, air or vessel carrier required to present a manifest shall transmit such information using electronic data interchange. This data exchange for outbound cargo will be the Automated Export System (AES) through future system enhancements. For further details refer to S.1214.♦

IMPORTANT NOTICE TO ALL AUTOMATED EXPORT SYSTEM (AES) DATA ENTRY CENTER (DEC) FILERS

During the first week of April, 2002, all DEC's will be notified via e-mail of changes that may affect their participation in AES. The changes are the result of the requirement for mandatory filing of commodities on the Commerce Control List (CCL) and the U.S. Munitions List (USML). Below is an excerpt from that message:

"If your clients have commodities that are on the CCL or USML, your client should provide you with an exemption statement indicating that their shipments have been filed through the AES. As a Data Entry Center, you cannot file CCL and USML shipments for your clients unless your company has power-of-attorney from the USPPPI or you are an authorized agent for the USPPPI. Once you receive power-of-attorney, you are acting as a forwarding agent.

In addition, the Export Initiative Agreement your company signed to be authorized as a Data Entry Center requires that you "agree to encourage shippers to participate in direct AES filing." In order to facilitate this outreach process and the identification of exporters who make CCL and USML licensed shipments, the Census Bureau requires that you provide us with a list of your clients by April 30, 2002. This list must contain the company name, contact name, address, telephone and fax numbers and e-mail addresses. You must also identify any companies on the list who are exporting CCL and/or USML licensed shipments. Please be assured that this information will be used only for official AES educational purposes and is strictly confidential according to Title 13, United States Code. Please E-mail your file to: ftddec@census.gov or fax to:

**Data Collection Coordination Branch
Foreign Trade Division
Attn: DEC Manager
(301) 457-1641**

If you have any questions about these requirements, you may contact Barbara J. Boney, Chief, or M. Adele Hilton, DEC Manager, Data Collection Coordination Branch, on (301) 457-2259 or via E-mail at: ftddec@census.gov."

COMMON REPORTING PROBLEM

Reporting Exports to Foreign Countries Moving In-transit through Canada

Some of these shipments are not reported while others are reported with incorrect method of transportation, port of export and exporting carrier. Only shipments ultimately destined for Canada are exempt from export filing requirements (unless an export license is required). The correct carrier to be reported in AES is the carrier that enters Canada from the United States and the method of transportation is that of the carrier entering Canada. The port of export for surface carriers is the U.S. Customs port where or nearest where the carrier or person crosses the border. ♦

AES Advantage- Export Commodity Information Filing Options

- An advantage of using AES to report export commodity (Shipper's Export Declaration-SED) information is that filers have two options for specifying commodity details. They may choose to summarize or not to summarize their shipment data by commodity number. On paper SEDs, filers must, by law, summarize their shipment data by commodity number (that is, add all value together for each individual Schedule B or HTS Number). This can be quite tedious, especially if the source data contained on commercial invoices or other shipping documents or records are not summarized.

Hundreds of AES filers have already chosen the option not to summarize shipment data by commodity number. Filers doing so must follow two simple rules. First, they must report all export transactions, regardless of value, including values of \$2,500 or less. Hypothetical example: The Schedule B is 3405 10 0000, Shoe Polish. The commercial invoice displays 21kg @ \$1500; 15kg @ \$1000; 10 kg @ \$500 and 40 kg @ \$3000. In this example, using AES, each line of data is entered as an individual commodity line item, without the tedious task of summarizing all value.

- We both benefit when all commodity values for each individual HTS or Schedule B Number is reported. For you, it eliminates the time consuming process of summarizing commodity data from commercial invoices or other shipping documents. For us, the process allows the ability to capture more accurate commodity information for statistical purposes.

- Note: the \$2,500 filing exemption only applies to shipment data summarized by individual commodity number.

The second rule is to report consistently. In other words, filers choosing not to summarize their shipment data by commodity number must report this way for all their shipments. They cannot choose to report both ways. Authorized agents of U.S. principal parties in interest (USPPIs) reporting export commodity information on AES must file consistently for each separate USPPI. ♦

AES and AESDirect on the Road

NOTICE

Effective January 2002, AESDirect Certification Workshops will no longer be FREE. Due to costs incurred for the rental of computer labs and the increased no shows for the workshops, we will charge a nominal fee per person registering in advance and any other attendees.

April 16-18	Pasadena, CA BXA Update West	949-660-0144
April 23	Houston, TX Export Regulations Seminar	301-457-2238
April 24	Dallas, TX AES Users Meeting	800-549-0595
May 2	Boston, MA Export Regulations Seminar	301-457-2238
May 20-21	New York, NY AAEI Annual Conference	202-661-2181
May 21	Los Angeles, CA AES Users Meeting	800-549-0595
May 23	Charleston, SC Export Regulations Seminar	301-457-2238
May 23	Seattle, WA AES User Meeting	800-549-0595
June	Detroit, MI Export Regulations Seminar	301-457-2238
July	Seattle, WA Export Regulations Seminar	301-457-2238
September	St. Louis, MO Export Regulations Seminar	301-457-2238

If you are interested in having one of these workshops in your area or if you or any organization you know of would like to sponsor a meeting or desire our participation in a meeting, please contact:

Nan Clark
(301) 457-3787 or E-mail: nannie.m.clark@census.gov

More AES Telephone Numbers

The AES Branch has more telephone numbers. Please note the change in numbers for some of the client representatives, however, these numbers do not have voice mail. We retained all of the previous numbers so you can reach a member of the Branch and leave voice mail messages. ♦

We need your e-mail address

Please send your e-mail address to:

ASKAES@census.gov

Include in the message the name of the company and the name of the contact person. This helps us get information to you more timely. ♦

GERRY HORNER, CHIEF, AES BRANCH (301) 457-6856
NAN CLARK, MARKETING ASSISTANT (301) 457-3787
WENDY PEEBLES, SUPERVISORY (301) 457-3540
CLIENT REPRESENTATIVE
KRISTAL BYRD, AES BRANCH
WEB COORDINATOR (301) 763-0328*

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OMARI.S.WOODEN@CENSUS.GOV

*NO VOICE MAIL

REGULATIONS BRANCH (SED QUESTIONS): (301) 457-2238

COMMODITY ANALYSIS BRANCH (SCHEDULE B CLASSIFICATION QUESTIONS):

DURABLE GOODS: (301) 457-3259

NON-DURABLE GOODS: (301) 457-3484

BUREAU OF EXPORT ADMINISTRATION (LICENSING QUESTIONS)

WASHINGTON, DC (202) 482-4811

WESTERN REGIONAL OFFICE (714) 660-0144

WEB SITES

CENSUS BUREAU, FOREIGN TRADE DIVISION WWW.CENSUS.GOV/FOREIGN-TRADE

U.S. CUSTOMS SERVICE WWW.CUSTOMS.TREAS.GOV/AES

BUREAU OF EXPORT ADMINISTRATION: WWW.BXA.DOC.GOV

U.S. CUSTOMS CLIENT REPRESENTATIVE LISTING

CLIENT REPRESENTATIVE		TELEPHONE #	FAX #
ALBERT, ELLEN	MIAMI	305-869-2781	305-869-2783
BURDORF, DEBBY	CLEVELAND	440-891-3814	440-891-3839
BUSIC, ALAN	CHICAGO	312-983-1340, or 1376	312-886-5106
CALAFELL, ELOISA	MIAMI	305-869-2780	305-869-2783
CLARK, KAREN	BOSTON	617-565-6342	617-565-8190
COONEY, MIKE	SEATTLE	206-553-5227	206-553-2517
DIX, ROBERT	BALTIMORE	410-962-5662	410-962-5652
DUPRE, CYNTHIA	NEW ORLEANS	504-670-2418	504-670-2435
EBY, TRISH	SEATTLE	206-553-1622	206-553-2517
FANELL, MIKE	BUFFALO	716-551-3520 X142	716-551-3066
FIKE, KAY	SAN FRANCISCO	415-782-9445	415-705-1652
FISCHER, RICK,	HOUSTON	713-387-7249	713-313-2933
FLOYD, CORA	HEADQUARTERS	202-927-0247	202-927-1344
FULKERSON, MICK	CHARLOTTE	704-329-6206	704-329-6192
HEATH, JOE	PHILADELPHIA	215-597-9920	215-597-9929
HENDERSON, KAREN	HOUSTON	713-387-7247	713-313-2933
HENRY, CONRAD	HEADQUARTERS	202-927-0332	202-927-1344
HUCK, KEVIN	NEWINGTON	703-921-7527	703-921-7561
JEGELS, JACKIE	WALDORF-TCS	301-893-6717	301-705-9834
KERSTEIN, ROBERT	LOS ANGELES	310-514-6073	310-514-6964
KORMOS, KATHY	NEWINGTON	703-921-7495	703-921-7561
KWIATHOWSKI, LORI	BUFFALO	716-551-3520 X141	716-551-3066
LALLY, BECKY	LAUREL-TCS	301-470-0567	301-362-7345
LARK, MARGARET	CHARLOTTE	704-329-6206	704-329-6192
MASKELL, SUSAN	NEWINGTON	703-921-7491	703-921-7561
MAZZARELLA, MICHAEL	JFK	718-553-2065	718-553-1760
McELHINNEY, TARA	LAX	310-215-1392	310-215-1302
MITCHELL, MIKE	JFK	718-553-2065	718-553-1760
MITCHELL, ROBERT	SAN FRANCISCO	415-782-9445	415-705-1652
MOHR, MIKE	NEWINGTON	703-921-7072	703-921-7561
NAPOLITANO, WILLIAM	BALTIMORE	410-962-5660	410-962-5652
NAVARRETE, MARIA	LOS ANGELES	310-514-6073	310-514-6964
NICKLES, PATRICIA	LAX	310-215-1392	310-215-1302
O'BRIEN, JOHN	NEWARK	201-443-0570	201-443-0575
OGLIORE, JOHN	SEATTLE	206-553-5228	206-553-2517
PENCE, JANET	NEWINGTON	703-921-7550	703-921-7561
RODIER, WALLY	JFK	718-553-2065	718-553-1760
RUBENSTEIN, PHYLLIS	CHICAGO	312-983-1342, or 1376	312-886-5106
SPARTA, STEPHEN	NEWARK	201-443-0570	201-443-0575
SPRAGUE, KATHY	NEWARK	201-443-0570	201-443-0575
STAHL, JAMES	PHILADELPHIA	215-597-9906	215-597-9929
TYSON, HOLLY	BALTIMORE	410-962-5662	410-962-5652
VAUGHN, WALTER	NEW ORLEANS	504-670-2415	504-670-2435
VILLWOCK, BLAINE	NEWINGTON	703-921-7496	703-921-7561
WASILUS, KENNETH	NEWARK	201-443-0570	201-443-0575
WELTER, PATRICIA	MIAMI	305-869-2782	305-869-2783
WILSON, DALE	HOUSTON	713-387-7248	713-313-2933
WOLFORD, JAMES	CHARLOTTE	704-329-6206	704-329-6192
WORKMAN, ALLA	CLEVELAND	440-891-3814	440-891-3839