



CHECKLIST FOR PROPOSED/FINAL RULE SUBMISSION

SECTION 1: NEW RULE BACKGROUND

On January 18, 2011, President Obama issued Executive Order 13563, which seeks to improve regulation and regulatory review. He stated that our "regulatory system must protect public health, welfare, safety, and our environment while promoting economic growth, innovation, competitiveness, and job creation" and it must "use the best, most innovative, and least burdensome tools to achieve regulatory ends."

The Executive Order outlines several guiding principles for Federal agencies to follow when writing regulations, such as ensuring that an agency's regulations **(Check all that apply)**:

- Take into account costs and benefits to society
- Are based on the best available science
- Are developed in a manner that allows public participation
- Are accessible, consistent, and written in plain language
- Use the least burdensome methods to achieve regulatory goals

SECTION 2: GENERAL RULE INFORMATION

Name of the Rule

Docket Number or RIN

Date of Rule Submission (mm-dd-yyyy)

Responsible Bureau Representative (Last, First, MI)

Responsible Bureau Office

SECTION 3: REVIEW QUESTIONS

Were there savings in costs to respondents? Yes No If yes, please quantify savings and provide baseline.

Were there changes in benefits to respondents? Yes No If yes, please quantify benefits and provide baseline.

To the best of your knowledge, did the creation of the rule include public participation? Yes No If yes, how did the public participate?

Were innovative technologies, new approaches, and noteworthy new changes used to establish the regulations when creating the rule?

Yes No If so, please explain below.

Describe if, what, and how maximum net benefits were considered for the recipient of the rule when the rule was written.

Were any available alternatives considered other than the proposed or final rule? Yes No If yes, please explain below.

Were any economic, performance-based incentives considered in developing the rule? Yes No If yes, please explain below.

Coordination of rule development/review across agencies performed? Yes No If no, please explain below.

Describe where the public was given the opportunity in the rule to choose an approach, method, or alternatives to the rule.

Describe obstacles to the rulemaking or rule implementation process.

Describe any perceived impediments in the rulemaking or rule implementation process.

Does this rule warrant, in the current regulatory environment, improvements consistent with the current regulatory framework?

Yes No If so, please explain below.

Are the rule's intent and objectives consistent with similar rulemakings? Yes No If yes, please explain below.

Is the rule written in plain language? Yes No If so, please explain below.

Does the rule use the least burdensome economic and labor-intensive methods to achieve its regulatory goals?

Yes No If yes, please explain below.

Identify what Bureau/Agency will monitor compliance.

How will the rule be monitored for compliance?

Identify what Bureau/Agency will enforce the rule.

How will the rule be enforced?

Will rule compliance/enforcement activity be available for review?

Yes No If yes, will the information be contained in a database, spreadsheet, intranet, internet, or other?

Additional Comments

Signature _____ Date (mm-dd-yyyy) _____