1	UNITED STATES OF AMERICA						
2	BEFORE THE FEDERAL TRADE COMMISSION						
3							
4	In the Matter of						
5	RAMBUS INCORPORATED,	Docket No. 9302					
6 7	a corporation.						
8	NON-PARTY MITSUBISHI ELECTRIC & EL TO RESPONDENT RAMBUS INC	ECTRONICS USA, INC.'s OPPOSITION C.'s MOTION TO COMPEL					
10	I. INTRODUCTION						
11	Non-party Mitsubishi Electric & Ele	ctronics USA, Inc. ("MEUS") hereby					
12	opposes the motion to compel filed by Respondent Rambus Inc. ("Rambus") in this proceeding						
13	on December 20, 2002. The motion should be denied for a variety of reasons.						
14	As an initial matter, Rambus bases it	s motion solely on a provision in the Federal					
15	Trade Commission Rules of Practice for Adjudication	ve Proceedings ("Rules of Practice")					
16	applicable only to parties and, hence, of no moment	vis-à-vis MEUS. More fundamentally, the					
17	premise that the Rules of Practice authorize the relie	ef Rambus requests here against a non-party					
18	is wholly unsupported.						
19	In addition, Rambus failed to fulfill i	ts obligation under Rule 3.22(f) of the Rules					
20	of Practice to meet and confer with MEUS in an effe	ort in good faith to resolve by agreement the					
21	issues raised by this motion prior to filing it. On the	contrary, Rambus filed this motion on the					
22	very day by which counsel had agreed that MEUS w	vould provide a written response concerning					
23	the issues raised by Rambus in this motion. Rambus	s failed not only to assess that response – as a					
24	good faith effort to resolve issues by agreement wou	ld require – prior to filing the motion but					
25	also to acknowledge in its moving papers its underst	anding that MEUS was preparing a response					
26	and would timely provide it to Rambus that day.						

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1	Nor is this omission the only material distortion by Rambus of the "facts" upon
2	which its motion is based. For example, Rambus chose not to disclose the fact that MEUS
3	reviewed and produced thousands of pages of documents - the majority of MEUS documents
4	responsive to the underlying subpoena - less than ten business days after interlocutory appeal
5	was denied in this proceeding. Declaration Of Terrence H. Cross In Support Of Non-Party
6	Mitsubishi Electric & Electronics USA, Inc.'s Opposition To Respondent Rambus Inc.'s Motion
7	To Compel ("Cross Decl."), ¶¶ 5-8. Similarly, Rambus claims that "[MEUS] has not produced
8	the files of its longtime JEDEC representative, [Sam] Chen." Mot. at 2. That claim is false. As
9	explained to Rambus, MEUS has already produced Mr. Chen's paper correspondence and other
10	paper files. See Cross Decl., ¶ 7; Declaration Of John W. Calkins In Support Of Non-Party
11	Mitsubishi Electric & Electronics USA, Inc.'s Opposition To Respondent Rambus Inc.'s Motion
12	To Compel filed in support of this motion ("Calkins Decl."), ¶ 10, Ex. F.
13	For all these reasons, this motion should be denied.
14	II. FACTS
14 15	II. FACTS A. Production Of MEUS Documents
15	A. Production Of MEUS Documents
15 16	A. Production Of MEUS Documents The subpoena directed to non-party MEUS requires production of all documents
15 16 17	A. Production Of MEUS Documents The subpoena directed to non-party MEUS requires production of all documents generated or received since January 1, 1991 – a period of nearly 12 years – that fall into one of
15 16 17 18	A. Production Of MEUS Documents The subpoena directed to non-party MEUS requires production of all documents generated or received since January 1, 1991 – a period of nearly 12 years – that fall into one of many sweeping categories. Thus, locating, compiling, reviewing, redacting or logging as
15 16 17 18 19	A. Production Of MEUS Documents The subpoena directed to non-party MEUS requires production of all documents generated or received since January 1, 1991 – a period of nearly 12 years – that fall into one of many sweeping categories. Thus, locating, compiling, reviewing, redacting or logging as necessary, and producing documents located throughout MEUS's organization has proven to be
15 16 17 18 19 20	A. Production Of MEUS Documents The subpoena directed to non-party MEUS requires production of all documents generated or received since January 1, 1991 – a period of nearly 12 years – that fall into one of many sweeping categories. Thus, locating, compiling, reviewing, redacting or logging as necessary, and producing documents located throughout MEUS's organization has proven to be an enormous undertaking. Cross Decl., ¶ 5.
15 16 17 18 19 20 21	A. Production Of MEUS Documents The subpoena directed to non-party MEUS requires production of all documents generated or received since January 1, 1991 – a period of nearly 12 years – that fall into one of many sweeping categories. Thus, locating, compiling, reviewing, redacting or logging as necessary, and producing documents located throughout MEUS's organization has proven to be an enormous undertaking. Cross Decl., ¶ 5. MEUS has devoted substantial time and resources to producing documents in this
15 16 17 18 19 20 21 22	A. Production Of MEUS Documents The subpoena directed to non-party MEUS requires production of all documents generated or received since January 1, 1991 – a period of nearly 12 years – that fall into one of many sweeping categories. Thus, locating, compiling, reviewing, redacting or logging as necessary, and producing documents located throughout MEUS's organization has proven to be an enormous undertaking. Cross Decl., ¶ 5. MEUS has devoted substantial time and resources to producing documents in this proceeding in a prompt and thorough manner. <i>Id.</i> For example, the company's Assistant
15 16 17 18 19 20 21 22 23	A. Production Of MEUS Documents The subpoena directed to non-party MEUS requires production of all documents generated or received since January 1, 1991 – a period of nearly 12 years – that fall into one of many sweeping categories. Thus, locating, compiling, reviewing, redacting or logging as necessary, and producing documents located throughout MEUS's organization has proven to be an enormous undertaking. Cross Decl., ¶ 5. MEUS has devoted substantial time and resources to producing documents in this proceeding in a prompt and thorough manner. <i>Id.</i> For example, the company's Assistant General Counsel searched lists of contents for hundreds of boxes of documents in storage near

1	Id. After locating potentially responsive MEUS documents, the Assistant General Counsel					
2	personally reviewed thousands of pages of documents in an effort to produce responsive					
3	documents promptly in this proceeding. $Id.$, ¶ 7.					
4	As a result of these efforts, MEUS has already produced the majority of its					
5	responsive documents to Rambus in two large-scale productions. <i>Id.</i> ; Calkins Decl., ¶ 3. In late					
6	November, MEUS identified and made available to Rambus 19 boxes of potentially responsive					
7	documents, resulting in the production of 5,767 pages – each of which the Assistant General					
8	Counsel reviewed. Cross Decl., ¶ 7. In early December, the Assistant General Counsel reviewed					
9	thousands more pages of documents, resulting in the production of four additional boxes of					
10	documents to Rambus. Id. These documents included the paper correspondence and other paper					
11	files of Sam Chen, who represented MEUS at JEDEC meetings and is its most knowledgeable					
12	person concerning JEDEC. Id.					
13	MEUS continues to identify and review the only responsive documents in the					
14	company's possession that have not yet been produced to Rambus: electronic mail files. Id., ¶ 8					
15	The Assistant General Counsel anticipates completing the search for responsive electronic mail					
16	in the near future and producing all such documents (along with a privilege log) in early January,					
17	at least a full week in advance of Mr. Chen's deposition on January 16. Id.; see also Calkins					
18	Decl., ¶ 10, Ex. F. As Rambus was well aware prior to filing its motion on December 20, 2002					
19	seeking an order requiring production on or before January 3, 2003, MEUS will be closed for					
20	more than one week in connection with the holidays. Cross Decl., \P 9 (MEUS closed at noon on					
21	December 24, 2002 and will reopen on January 2, 2003); Calkins Decl., ¶¶ 8-9, Exs. D-E					
22	(reflecting Rambus's awareness of this holiday-related shutdown).					
23	B. Efforts To Obtain MELCO Documents For Production					
24	MEUS has no legal right to demand documents from Mitsubishi Electric					
25	Corporation ("MELCO"), the Japanese corporate parent of MEUS's corporate parent. Cross					
26	Decl., ¶ 4. Accordingly, after Your Honor denied interlocutory appeal of the finding that MEUS					

- has legal control over documents in MELCO's exclusive possession ("MELCO documents") and 1
- 2 must therefore produce MELCO documents responsive to Rambus's subpoena, MEUS asked
- whether MELCO would provide responsive documents to MEUS for production to Rambus. 3
- Calkins Decl., ¶ 5, Ex. A. MELCO has repeatedly declined to provide documents maintained 4
- 5 under its exclusive control in Japan to MEUS for production to Rambus in this proceeding. Id.,
- ¶¶ 6-7, Exs. B-C. Because MELCO has denied MEUS access to these documents, MEUS is 6
- 7 unable to obtain and produce them.

C. Rambus's Insincere And Insufficient Effort To Resolve The **Issues Raised In This Motion By Agreement**

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- The motion addresses three issues: (1) production of remaining MEUS documents (i.e., responsive electronic mail); (2) production of responsive MELCO documents; 11 and (3) a privilege log. Mot. at 2. BJ Watrous, counsel for Rambus, raised the same three issues 12 in electronic mail correspondence on Tuesday, December 17, 2002. Calkins Decl., ¶ 9, Ex. E. 13 That afternoon, John Calkins, counsel for MEUS, spoke with Mr. Watrous, and the two agreed 14 that MEUS would provide a written response later that week (i.e., by Friday, December 20). See 15 id. (confirming agreement to "send [Mr. Watrous] a letter later this week addressing the three 16 issues [he] raised"). In accordance with that agreement, Mr. Calkins sent Mr. Watrous a letter 17 18 addressing each of the three issues on December 20, 2002. Id., ¶ 10, Ex. F. That letter stated 19 that "the final production of documents by MEUS will occur in early January – at least a full week in advance of Sam Chen's deposition on January 16" and that "MEUS will send Rambus a 20 privilege log describing the[] few documents [withheld] and the basis for withholding them in 21
- 23 By then, however, Rambus had already prepared and filed its motion. *Id.*, ¶ 11. Rambus did so without assessing the responses to the issues in MEUS's timely-delivered letter. 24 Id. Rambus did so without warning MEUS that it would not wait for a response due that day, as 25 previously agreed – indeed, without any further communications with MEUS whatsoever. Id. 26

early January, well in advance of Mr. Chen's deposition." Id.

- 1 Rambus did so without disclosing in its moving papers that such an agreement had been reached
- 2 with MEUS. Id. And Rambus did so less than two business days before MEUS would close for
- 3 the holidays for more than one week, as Rambus knew. *Id.*

4 III. ARGUMENT

A. Rambus Cites No Authority Permitting The Relief It Seeks Against MEUS.

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Rambus brings its motion to compel "[p]ursuant to Rule 3.38(a)(2) of the Federal

8 Trade Commission Rules of Practice For Adjudicative Proceedings." Mot. at 1. That rule

9 applies exclusively to parties, however, and is inapplicable to MEUS, which is not a party to this

proceeding. See 16 C.F.R. § 3.38(a)(2) ("If a party fails to respond to or comply as requested

with a request for production or access made under § 3.37(a), the discovering party may move

for an order to compel production or access in accordance with the request.") (emphasis added).

Moreover, Rambus cannot contend that MEUS has "fail[ed] to respond to or comply as

requested with a request for production or access made under § 3.37(a)," a requirement to obtain

relief under Rule 3.38(a)(2). Id. No such request has been propounded on MEUS in this

proceeding. See 16 C.F.R. § 3.37(a) (requests for production may be served only "on another

party"). Rambus thus bases its motion exclusively on a provision that, on its face, does not apply

here. Furthermore, Rambus cites no authority to support its assumption that a party can obtain

the particular relief sought in this motion (i.e., an order requiring immediate production of

documents to which access has been denied) against a non-party. The motion should be denied.

21 22

of the subpoena or order be sought." Rambus has neither demonstrated that court enforcement is necessary nor requested such relief here.

As Rule 3.38(a) addresses parties exclusively, Rule 3.38(b) addresses only parties and their officers and agents. Thus, neither applies to MEUS in this proceeding. Rule 3.38(c) states, in pertinent part, that "in instances where a nonparty fails to comply with a subpoena or order, [the Administrative Law Judge] shall certify to the Commission a request that court enforcement

1	B. Rambus Failed To Satisfy Its Obligation To Confer With MEUS In Good Faith Before Filing This Motion.
2	G
3	Rule 3.22(f) requires the moving party to "confer[] with opposing counsel in an
4	effort in good faith to resolve by agreement the issues raised by the motion" without reaching an
5	agreement before filing the motion. 16 C.F.R. § 3.22(f).
6	Here, rather than complying with this requirement, Rambus filed the motion
7	prematurely even as MEUS was timely responding in writing to correspondence addressing the
8	three issues raised in the motion. In accordance with an agreement reached on December 17 and
9	confirmed in writing on December 18, MEUS provided its written response concerning each of
10	these issues by December 20. Calkins Decl., ¶ 9, Ex. E. Rather than waiting to assess this
11	timely response to determine whether agreement on one or more of the three issues could be
12	reached, Rambus filed its motion on December 20. Id., ¶ 11. Without having seen the response
13	from MEUS, Rambus cannot have known when it filed the motion whether it had been "unable
14	to reach" agreement with MEUS on any of the issues. 16 C.F.R. § 3.22(f). Moreover,
15	unilaterally disregarding a prior agreement and instead filing a motion on the very day that the
16	non-moving non-party promised to - and did - provide a detailed response addressing each issue
17	in the motion undermines any claim that Rambus made a "good faith" effort to resolve these
18	issues. Id.
19	Rambus's conduct in this regard provides an independently sufficient basis for
20	denying its motion. See, e.g., Prescient Partners, L.P. v. Fieldcrest Cannon, Inc., 1998 WL
21	67672 at *4 (S.D.N.Y. Feb. 18, 1998) (denying discovery motion due to failure to satisfy meet
22	and confer requirements).
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C. The Requested R	ener 18	Impracticable	Allu	UliwaiTallieu
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1.	MEUS Has Agreed To Provide Much Of What Rambus
	Seeks In A Timely Manner.

The duty to meet and confer in good faith prior to filing a motion exists for a reason: to avoid wasting judicial resources, as Rambus does here. On two of the three issues raised in the motion, the actions Rambus seek to compel vary only slightly from what MEUS has agreed to do. On December 20, MEUS agreed in writing to produce all remaining MEUS documents (*i.e.*, electronic mail) and send Rambus a privilege log in early January. Although Rambus requests an order requiring that MEUS do so no later than January 3, 2003, compliance with such an order would be impracticable. As Rambus knew long before filing its motion, MEUS will not reopen after the holidays until January 2, 2003. MEUS cannot complete its production of responsive MEUS electronic mail and provide a privilege log one day later. For this reason, MEUS agreed to accomplish these tasks in early January, at least a week in advance of Mr. Chen's deposition on January 16. Calkins Decl., ¶ 10, Ex. F. Rambus has shown no compelling need to receive these materials any further in advance of Mr. Chen's deposition. Accordingly, MEUS's written agreement obviates the need for an order.

2. MEUS Cannot Produce MELCO Documents.

Rambus also seeks an order that MEUS must produce all responsive documents in the possession of MELCO in Japan no later than January 3, 2003. In light of the holiday shutdown, the geographical distance, and the size of MELCO, compliance with such an order would be impracticable as well, even if MEUS had the authority to force MELCO to share its documents.

Furthermore, as MEUS has maintained consistently throughout its involvement in this proceeding, there is no basis to assume or conclude that MEUS has any such authority, either in the ordinary course of business dealings or in this litigation. Rambus has proferred no evidence that MEUS has authority to obtain documents from MELCO. By contrast, the

1	Assistant General Counsel for MEUS has declared under oath that MEUS has no legal right to
2	demand documents from MELCO. Cross Decl., ¶ 4. The two December 2002 letters from
3	MELCO's counsel refusing to provide documents in MELCO's possession in Japan to MEUS
4	for production in this proceeding underscore this fact. See Calkins Decl., ¶¶ 6-7, Exs. B-C.
5	Having tried unsuccessfully to obtain the documents from MELCO, MEUS can do nothing more
6	to comply with Your Honor's order. ²
7	MEUS lacks the power to force production of MELCO documents. Rambus has
8	no basis to argue otherwise. Thus, its request for an order that would require MEUS to do what
9	it cannot should be denied.
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15	Rambus's effort to compel production of MELCO documents in Japan is an attempted
16	end-run around Rule of Practice 3.36, which sets forth the appropriate procedure for obtaining discovery from a non-party foreign corporation, such as MELCO. Specifically, Rule of Practice
17	3.36(a) requires that an application "for the issuance of a subpoena to be served in a foreign country, shall be made in the form of a written motion filed in accordance with the provisions of
18	§ 3.22(a)." 16 C.F.R. § 3.36(a) (emphasis added). The party moving for such a subpoena must make four specific showings, including establishing its "good faith belief that the discovery
19	requested would be permitted by treaty, law, custom or practice in the country from which the discovery is sought and that any additional procedural requirements have been or will be met
20	before the subpoena is served." 16 C.F.R. § 3.36(b)(4). Here, Rambus never made this showing vis-à-vis MELCO, a Japanese corporation.
21	Indeed, Rambus has made no attempt to comply with Rule 3.36, and has offered no
22	reason why the Rule should be ignored. Instead, Rambus seeks to circumvent these procedural safeguards by compelling MEUS to produce MELCO documents, which MEUS has no legal
23	right to obtain. This attempted end-run should not be permitted. See, e.g., Lakar Airways Ltd. v. Pan American World Airways, et al., 607 F. Supp. 324, 326 (S.D.N.Y. 1985) (granting motion
24	to quash non-party's subpoenas duces tecum on grounds that subpoenas were transparent attempt to circumvent the Hague Convention on the Taking of Evidence Abroad in Civil or Commercial
25	Matters).

IV. **CONCLUSION** For the foregoing reasons, the Administrative Law Judge should deny this motion. DATED: December 30, 2002 By: David T. Burse John W. Calkins Bingham McCutchen LLP 1900 University Avenue East Palo Alto, ČA 94303 Gerard P. Finn Bingham McCutchen LLP 1120 20th Street, NW Suite 800 Washington, DC 20036 Attorneys for Non-Party Mitsubishi Electric & Electronics USA, Inc.

1	UNITED STATES OF AMERICA						
2	BEFORE FEDERAL TRADE COMMISSION						
3							
5	In the Matter of						
6	RAMBUS INCORPORATED,) Docket No. 9302						
7 8	a corporation.						
9							
10	RULE 3.22(f) DECLARATION OF TERRENCE H. CROSS IN SUPPORT OF NON-PARTY MITSUBISHI ELECTRIC & ELECTRONICS USA, INC.'s OPPOSITION TO						
11	RAMBUS INC.'s MOTION TO COMPEL						
12 13	I, Terrence H. Cross, declare as follows:						
14	1. I am Assistant General Counsel for Mitsubishi Electric & Electronics						
15	USA, Inc. ("MEUS"). Except as otherwise indicated below, I make the statements in this						
16	declaration based on personal knowledge and, if called as a witness, could and would testify						
17	competently thereto.						
18	2. This motion relates to a subpoena duces tecum served on MEUS by						
19	Rambus Incorporated ("Rambus") in a proceeding before the Federal Trade Commission						
20	("FTC") involving Rambus, captioned In the Matter of Rambus Incorporated, Docket No. 9302.						
21	Neither MEUS nor any related entity is or has ever been a party to this proceeding.						
22	3. MEUS is a Delaware corporation with headquarters in Cypress,						
23	California. The company's semiconductor business unit is based in Sunnyvale, California.						
24	4. Mitsubishi Electric Corporation ("MELCO") is a Japanese corporation and						
25	is the corporate parent of MEUS's corporate parent. MEUS is a separate legal entity with its						
26	own headquarters, board of directors, executive management, and legal counsel, and has no legal						

1	right to	demand	or c	htain	documents	from	MELCO
Ţ	rigiii to	demand	OI (outam	documents	HOIII	MELCU.

- 5. MEUS has devoted significant time and resources to locating, compiling, reviewing, redacting or logging as necessary, and producing documents located throughout its organization in a good faith effort to comply with the orders issued by Judge Timony in this proceeding.
- 6. For example, to identify potentially responsive MEUS documents, I have searched lists of contents for hundreds of boxes of documents in storage near the company's Sunnyvale facility. I have also worked closely with knowledgeable representatives concerning MEUS offices throughout the country to determine whether those offices have additional responsive documents.
 - 7. In the last month alone, I have personally reviewed thousands of pages of documents in an effort to produce responsive documents promptly in this proceeding. In late November, I identified and made available to Rambus 19 boxes of potentially responsive documents, resulting in the production of 5,767 pages each of which I reviewed. Earlier this month, I reviewed thousands more pages of documents and produced four additional boxes of documents to Rambus. Among these four boxes of documents was the paper correspondence and other paper files of Sam Chen, who represented MEUS at JEDEC meetings and is its most knowledgeable person concerning JEDEC.
 - 8. At this stage, I am working with Mr. Chen and others to locate and produce the only responsive documents in MEUS's possession that MEUS has not yet produced: electronic mail files. This time-consuming effort to identify responsive materials on MEUS's servers and in individual employees' e-mail files was delayed by Mr. Chen's absence.

 Nonetheless, I anticipate completing the search for responsive electronic mail in the near future and producing all such documents the last MEUS documents to be produced in early January.
 - 9. As Rambus had been apprised prior to filing the instant motion to compel

1	on December 20, 2002 and seeking an order requiring production on or before January 3, 2003,						
2	MEUS will be closed for more than one week in connection with the holidays. In particular,						
3	MEUS will close at noon on December 24, 2002 and will reopen on January 2, 2003. I will be						
4	away from the office throughout that period.						
5	I declare under penalty of perjury under the laws of the United States of America						
6	that the foregoing is true and correct.						
7	Executed this 24 th day of December, 2002, at Sunnyvale, California.						
8 9	Tout Com						
10	Terrence H. Cross						
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1	UNITED STATES OF AMERICA							
3	BEFORE THE FEDERAL TRADE COMMISSION							
4 5 6	In the Matter of							
7	a corporation.							
8 9 10	DECLARATION OF JOHN W. CALKINS IN SUPPORT OF NON-PARTY MITSUBISHI ELECTRIC & ELECTRONICS USA, INC.'s OPPOSITION TO RESPONDENT RAMBUS INC.'s MOTION TO COMPEL							
11								
12	I, John W. Calkins, declare as follows:							
13	1. I am an attorney with the firm of Bingham McCutchen LLP, counsel for							
14	non-party Mitsubishi Electric & Electronics USA, Inc. ("MEUS") in this matter. Except as							
15	otherwise indicated below, I make the statements in this declaration based on personal							
16	knowledge and, if called as a witness, could and would testify competently thereto.							
17	2. This motion relates to a subpoena <i>duces tecum</i> purportedly served on							
18	MEUS by Rambus Incorporated ("Rambus") in a proceeding before the Federal Trademark							
19	Commission ("FTC") involving Rambus, captioned In the Matter of Rambus Incorporated,							
20	Docket No. 9302. Neither MEUS nor any related entity is or has ever been a party to this							
21	proceeding.							
22	3. Along with Terrence Cross, Assistant General Counsel for MEUS, I have							
23	coordinated two large-scale productions of MEUS documents to Rambus in the last month. The							
24	first production encompassed more than 5,700 pages of documents. The second production							
25	encompassed four additional boxes of documents. BJ Watrous of Gray Cary – co-counsel for							
26								
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- 1 Rambus in this proceeding -- has been the primary contact for Rambus in connection with both
- 2 of these productions.
- 3 4. I am informed and believe that the thousands of pages of documents
- 4 already provided to Rambus in these two large-scale productions constitute the majority of
- 5 MEUS documents responsive to the subpoena. I am informed and believe that the only
- 6 responsive MEUS documents yet to be produced are electronic mail files, which Mr. Cross and
- 7 others at MEUS are in the process of reviewing for production to Rambus in early January, along
- 8 with a privilege log identifying a small number of documents withheld on the basis of the
- 9 attorney-client privilege and/or the attorney work product doctrine.
- 10 5. On December 2, 2002, my colleague David Burse sent a letter to Donald
- 11 Harris of Jenner & Block, counsel for Mitsubishi Electric Corporation ("MELCO"), the Japanese
- corporate parent of MEUS's corporate parent. The letter explained that the November 26, 2002
- 13 Order in this proceeding denying MEUS's request for interlocutory appeal required MEUS to
- produce responsive documents in the possession of MELCO. In this letter, MEUS (which has no
- 15 legal right to demand documents from MELCO) asked whether MELCO would provide such
- documents to MEUS for production to Rambus in this proceeding. A true and correct copy of
- 17 the December 2, 2002 letter from Mr. Burse to Mr. Harris is attached as Exhibit A hereto.
- 18 6. On December 4, 2002, Mr. Harris sent a letter in response, stating that
- 19 MELCO would not provide its documents located in Japan to MEUS. A true and correct copy of
- the December 4, 2002 letter from Mr. Harris to Mr. Burse is attached as Exhibit B hereto.
- 7. On December 10, 2002, Mr. Harris sent another letter to Mr. Burse
- reiterating that MELCO had declined MEUS's request to provide documents that MELCO
- 23 maintains under its exclusive control in Japan to MEUS for production to Rambus in this
- proceeding. A true and correct copy of the December 10, 2002 letter from Mr. Harris to Mr.
- 25 Burse is attached as Exhibit C hereto.

1	8.	On December 3,	, 2002, I sent	a letter to Steven	Perry of Munge	r, Tolles &
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- 2 Olson, co-counsel for Rambus in this proceeding. This letter described the scope of the first
- 3 large-scale production of documents by MEUS in late November and notified Rambus that
- 4 MEUS would be closed for business from December 24, 2002 through January 3, 2003 for the
- 5 holidays. A true and correct copy of my December 3, 2002 letter to Mr. Perry is attached as
- 6 Exhibit D hereto.
- 7 9. On December 17 and 18, 2002, I exchanged electronic mail
- 8 correspondence with Mr. Watrous concerning the production of documents. On December 17,
- 9 after receiving both an electronic mail message and a telephone message from Mr. Watrous, I
- 10 called him to discuss the three issues raised in the electronic mail message. During this
- 11 conversation, I explained (1) that MEUS was in the process of reviewing Sam Chen's electronic
- mail for production to Rambus, (2) that MELCO had declined to provide documents maintained
- under in its exclusive control in Japan to MEUS for production to Rambus, and (3) that I would
- check with Mr. Cross to confirm the basis for withholding 11 pages from the more than 5,700
- pages of documents produced in late November. I agreed to provide a written response to Mr.
- Watrous later in the week (i.e., on or before Friday, December 20). On December 18, 2002, I
- sent an electronic mail message to Mr. Watrous confirming this understanding and requesting
- 18 clarification of an error in Mr. Watrous's representation of the volume of documents produced
- 19 by MEUS in its second large-scale production. A true and correct copy of Mr. Watrous's
- response to my December 18, 2002 electronic mail message to him (reflecting the complete
- exchange of electronic mail correspondence between us on December 17 and 18) is attached as
- 22 Exhibit E hereto.
- 23 10. As agreed, I sent a letter to Mr. Watrous on December 20, 2002 further
- 24 addressing the three issues enumerated in his December 17, 2002 electronic mail message. A
- 25 true and correct copy of my December 20, 2002 letter to Mr. Watrous is attached as Exhibit F

1	hereto.		
2	11. On December 20, 2002 – the date by which I'd agreed to provide a written		
3	response to Mr. Watrous concerning the three issues enumerated in his December 17 electronic		
4	mail message, and on which I did so - Rambus filed this motion without reviewing that response.		
5	Rambus filed this motion on December 20 without warning MEUS that it would not wait for a		
6	response due that day, as previously agreed, and without communicating with MEUS at all on		
7	December 19 or December 20. Rambus did not disclose in its moving papers that such an		
8	agreement had been reached with MEUS. And Rambus filed this motion on December 20, less		
9	than two business days before MEUS would close for more than a week in connection with the		
10	holidays, as Rambus was aware.		
11			
12	I declare under penalty of perjury under the laws of the United States of America		
13	that the foregoing is true and correct.		
14	Executed this 26 th day of December, 2002, at San Francisco, California.		
15			
16			
17	John W. Calkins		
18			
19			
20			
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22 23			
24			
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EXHIBIT A

December 2, 2002

Direct: (650) 849-4824 david.burse@bingham.com

VIA FACSIMILE (312) 840-7777

Bingham McCutchen LLP
1900 University Avenue

East Palo Alto, CA

94303-2223

Donald R. Harris, Esq. JENNER & BLOCK

One IBM Plaza

Chicago, IL 60611-7603

650.849.4400 650.849.4800 fax

Re: In the Matter of Rambus Incorporated, Dkt. No. 9302

bingham.com

Dear Don:

Boston Hortford London Los Angeles New York

New York San Francisco Silicon Valley Singapore

Walnut Creek
Washington

In his order dated November 26, 2002, the Administrative Law Judge denied Mitsubishi Electric & Electronics USA, Inc.'s ("MEUS's") Appeal of his order dated November 12, 2002, elaborated upon in a decision dated November 18, 2002, that MEUS fully comply with the broad subpoena served on MEUS by Rambus in the above-identified FTC matter.

To comply with the ALJ's order, MEUS is required to produce documents in the possession of Mitsubishi Electric Company ("MELCO") that are responsive to the subpoena. We understand you already have a copy of the subpoena, along with the various orders of Judge Timony.

Please advise us as soon as possible as to whether MELCO will provide responsive documents to MEUS for production to Rambus.

Very truly yours,

David Burse

EXHIBIT B

JENNER&BLOCK

DONALD R. HARRIS

WRITER'S DIRECT DIAL: (3 1 21 923-2777 WRITER'S DIRECT FAX: (3 1 2) 840-7777 INTERNET ADDRESS: DHARRIS@JENNER.COM

Jenner & Block, LLC
One 18M Plaza
Chicago, IL 60611-7603
Tel 312 222-9350
www.jenner.com

Chicago Lake Forest Dallas Washington, DC

December 4, 2002

By Facsimile

David T. Burse, Esq.
Bingham McCutchen LLP
1900 University Avenue
East Palo Alto, California 94303-2229

Re: In the Matter of Rambus Incorporated, Docket No. 9302

Dear David:

In response to your December 2 letter, MELCO will not provide its documents located in Japan to MEUS.

Sincerely your

Donald R. Harris

DRH:kt

#866435

EXHIBIT C

JENNER&BLOCK

DONALD R. HARRIS
WRITER'S DIRECT DIAL: (312) 923-2777
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Jenner & Block, LLC
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Chicago Lake Forest Dallas Washington, DC

December 10, 2002

David T. Burse, Esq.
Bingham McCutchen LLP
1900 University Avenue
East Palo Alto, California 94303-2229

By Facsimile

Re: In the Matter of Rambus Incorporated, Docket No. 9302

Dear David:

As I advised you on the telephone, Mitsubishi Electric Corporation ("MELCO") will not provide to Mitsubishi Electric & Electronics USA, Inc. ("MEUS") documents that MELCO maintains under its exclusive control in Japan.

You have advised me that the documents in question have been requested in connection with a Federal Trade Commission Subpoena served upon MEUS in F.T.C. Docket No. 9302, involving Rambus, Inc. Although MELCO has declined MEUS's request, I nevertheless note that it appears to me that the subpoena in question is almost unlimitedly broad and extremely burdensome. For example, the Subpoena in question asks for sixty-three categories of documents, and most of the categories request documents for a twelve-year period. In addition, the Subpoena seeks to require the production of a great variety of detailed information about DRAM chips made or sold during a four-and-one-half-year period. (E.g., ¶ 51-56, 63.) And Paragraph 12 of the Subpoena requests eleven different categories of documents for a twelve-year period relating to nine separate technologies "or possible technologies" contained in DRAM chips. It is hard to imagine how any subpoena could have been drafted to be broader in scope or more burdensome for a party required to respond than this subpoena.

Sincerely yours,

Donald R. Harris

DRH:kt

EXHIBIT D

December 3, 2002

Direct: (415) 393-2120 john.calkins@bingham.com

VIA FACSIMILE

Bingham McCutchen LLP Three Embarcadero Center San Francisco, CA 941,11-4067

> 415.393.2000 415.393.2286 fax

> > bingham.com

Boston
Hartford
London
Los Angeles
New York
San Francisco
Silicon Valley
Singapore
Walnut Creek
Washington

Steven M. Perry, Esq. Munger, Tolles & Olson LLP 355 South Grand Avenue 35th Floor Los Angeles, CA 90071-1560

Re: In the Matter of Rambus Incorporated, Docket No. 9302

Dear Mr. Perry:

I write in response to your letter dated December 2, 2002 concerning the production of documents in response to the subpoena duces tecum issued by your client, Rambus, on our client, Mitsubishi Electric & Electronics USA, Inc. ("MEUS") in the above-referenced FTC proceeding. Because your letter refers to our client as "Mitsubishi," we wish to remind you at the outset that we do not represent Mitsubishi Electric Corporation ("MELCO").

Your letter states that a "limited number" of boxes of documents were made available to "a team of Rambus lawyers last week." To be more precise, in accordance with an agreement reached between counsel for MEUS and Rambus, MEUS made 19 boxes of documents available to two attorneys for Rambus, B.J. Watrous and Danica Ray, for review beginning last Monday. The tagged documents — a total of 5,767 pages, according to Mr. Watrous — were returned to our client's Sunnyvale office late Wednesday morning, less than one day before Thanksgiving and allowing less than one business day for review by our client before the date of your letter accusing our client of delay. MEUS has at no time "refus[ed] to make those copies available to [Rambus] until an unspecified time next week," as your letter states. On the contrary, as I told Mr. Watrous yesterday, MEUS expects to review these documents by Wednesday, December 4, 2002 and make them available to Rambus the following day. In light of the Thanksgiving holiday, this review and production schedule is more than reasonable.

MEUS has undertaken significant effort in a very short time to comply with the subpoena and will continue doing so. Beyond providing the 19 boxes of Steven M. Perry, Esq. December 3, 2002 Page 2

Bingham McCutchen LLP bingham.com

documents last week, MEUS has contacted sales offices and facilities throughout the country in an effort to locate responsive documents. At this time, MEUS expects to have approximately three additional boxes of documents available for review by Friday, December 6. As I explained to Mr. Watrous last Wednesday and again yesterday, Sam Chen, MEUS's most knowledgeable employee concerning many of the documents responsive to the subpoena, was out of the office all last week and continues to be away from the office much of this week. With Mr. Chen's assistance, MEUS should be able to identify and make available for review additional documents next week. As such, we propose that it would be more efficient for Rambus's lawyers to return during the week of December 9, when such additional documents would be available for their review. If Rambus's lawyers nonetheless wish to review additional documents in Sunnyvale this Friday and have copies of tagged documents delivered to MEUS by noon on Monday, December 9, MEUS will endeavor to review the copied documents by the close of business on Wednesday, December 11 and to make them available to Rambus the following day.

MELCO has been advised of Judge Timony's November 26 order denying interlocutory appeal and asked if it will provide documents responsive to the subpoena to MEUS to produce for Rambus's inspection.

Finally, MEUS reaffirms that Mr. Chen will be available for deposition on December 17, as previously scheduled in accordance with Rambus's request. In light of the above, if Rambus still wishes to reschedule this deposition, please be advised that MEUS will be closed for business from December 24, 2002 through January 3, 2003 for the holidays, and Mr. Chen will not be available for deposition during that time.

Sincerely yours,

John Calkins

EXHIBIT E

Calkins, John

From:

Calkins, John

Sent:

Wednesday, December 18, 2002 10:53 AM

To:

'Watrous, Bruce "BJ"

Subject: RE: document production

BJ.

Thanks for the clarification.

John

From: Watrous, Bruce "BJ" [mailto:bwatrous@graycary.com]

Sent: Wednesday, December 18, 2002 10:52 AM

To: 'Calkins, John'

Subject: RE: document production

John:

I apologize. We actually received four boxes of Mitsubishi (MEUS bated) documents. - bj

Bruce "BJ" Watrous
Gray Cary. Technology's Legal Edge
401 B Street, Suite 2000
San Diego, CA 92101-4240
phone (619) 699-2639
email bwatrous@graycary.com

----Original Message----

From: Calkins, John [mailto:john.calkins@bingham.com]

Sent: Wednesday, December 18, 2002 10:44 AM

To: 'Watrous, Bruce "BJ"'

Cc: Burse, David T.; 'Cross, Terrence' **Subject:** document production

BJ,

I write to confirm our conversation yesterday afternoon related to your email below, during which we agreed that I will send you a letter later this week addressing the three issues you raised. I also confirmed that Sam Chen will be available for deposition on January 16, 2003, the date requested by Steven Perry. I understand that you will relay this information to him.

In addition, I wanted to clarify a statement in your email below. You claim to have received "four additional documents from Terry Cross last week," which you are now reviewing. We trust that you received far more than four documents last week, as Whitmont Legal Copy picked up and returned **two boxes** of documents to be copied and delivered to you. If indeed you received only four documents, we suggest that you address this significant disparity with Whitmont.

Best regards,

John

----Original Message----

From: Watrous, Bruce "BJ" [mailto:bwatrous@graycary.com]

Sent: Tuesday, December 17, 2002 9:10 AM

To: 'john.calkins@bingham.com'

Cc: Cunningham, Sean; Watrous, Bruce "BJ"

Subject: Mitsubishi Documents

John:

I left you a phone message, but wanted to follow-up via email to again memorialize my requests.

To begin, I wanted to let you know that we did receive four additional Mitsubishi documents from Terry Cross last week and are reviewing those documents.

In addition, I wanted to follow-up on at least three outstanding issues. While by no means an exhaustive list of what is presently due to Rambus under its subpoena of Mitsubishi, we have yet to see the following:

- 1. Mr. Chen's personal correspondence (including emails),
- 2. Any documents from your client's corporate parent in Japan, and
- A privilege log explaining and defending those documents removed from your prior productions.

One month ago, on Nov. 18, 2002, Judge Timony issued his first order requiring the production of documents responsive to Rambus' subpoena of Mitsubishi. Since that time, I think you would agree that we have been quite accommodating and understanding in working with you to obtain the documents that are due our client. However, our patience is growing strained. This is compounded by my understanding from prior conversations (please correct me if I am wrong) that Mitsubishi will be "shut-down" for at least one week during the Christmas and New Years holidays. In preparation for that time, I trust that you and your client are working with your Japanese counterparts and Mr. Chen to ensure that you collect and produce responsive documents in advance of the holiday break.

We are eager to obtain all non-privileged documents that are rightly due to Rambus under its subpoena. Please call me at your earliest convenience to provide me with an update on these outstanding issues. I hope to hear from you by the <u>3pm today</u>, <u>Tuesday</u>, <u>Dec. 17</u>.

Thank you. -BJ

Bruce "BJ" Watrous
Gray Cary. Technology's Legal Edge
401 B Street, Suite 2000
San Diego, CA 92101-4240
phone (619) 699-2639
email bwatrous@graycary.com

[INFO] -- Content Manager:

NOTICE: This email message is for the sole use of the intended recipient(s) and may contain confidential and privileged information. Any unauthorized review, use, disclosure or distribution is prohibited. If you are not the intended recipient, please contact the sender by reply email and

destroy all copies of the original message.
To contact our email administrator directly, send to postmaster@graycary.com
Thank you.

EXHIBIT F

December 20, 2002

Direct: (415) 393-21210 john.calkins@bingham.com

VIA FACSIMILE AND MAIL

Bingham McCutchen LLP Three Embarcadero Center San Francisco, CA 94111-4067

BJ Watrous, Esq. Gray Cary 401 B. Street, Suite 2000 San Diego, CA 92101-4240

415.393.2000 415.393.2286 fax

Re: In the Matter of Rambus Incorporated, Docket No. 9302

bingham.com

Dear BJ:

Boston
Hartford
London
Los Angeles
New York
San Francisco
Silicon Valley
Singapore
Walnut Creek
Washington

As agreed during my conversation with you on the afternoon of December 18, this letter will update you on the progress of the review and production of documents by our client, Mitsubishi Electric & Electronics USA, Inc. ("MEUS"), in the above- referenced FTC proceeding, addressing the three issues raised in your December 18 electronic mail correspondence to me. Because your December 18 email refers to our client as "Mitsubishi" generally, we wish to remind you at the outset that we represent MEUS and do not represent Mitsubishi Electric Corporation ("MELCO").

As a preliminary matter, we remind you that MEUS has devoted substantial time and resources to producing documents in this proceeding, to which it is not a party. As you know, MEUS made available to Rambus 19 boxes of documents in November, resulting in the production of thousands of pages. On December 5, MEUS produced an additional four boxes of documents to Rambus. MEUS continues to search for responsive documents, including those in electronic form, and will produce all remaining responsive documents in its possession as soon as possible. Because MEUS will not be in operation during the holidays, we anticipate that the final production of documents by MEUS will occur in early January – at least a full week in advance of Sam Chen's deposition on January 16.

Your December 18 email raised three issues, each of which is addressed below. First, you inquired about Mr. Chen's personal correspondence (including emails). MEUS believes that all of Mr. Chen's paper correspondence has already been produced. MEUS is reviewing his (and

BJ Watrous, Esq. December 20, 2002 Page 2

others') electronic correspondence and will produce those documents in early January.

Second, you inquired about documents in the possession of MELCO. MEUS notified MELCO of the November 2002 orders issued by Administrative Law Judge Timony in relation to this matter and asked whether MELCO would provide responsive documents to MEUS for production to Rambus. As I explained during our December 18 conversation, MELCO has responded that it will not provide documents to MEUS that MELCO maintains under its exclusive control in Japan. See Exhibits A and B, attached hereto.

Bingham McCutchen LLP bingham.com

Third, you inquired about the 11 pages of documents withheld from the thousands of pages produced by MEUS in November. Those pages were withheld based on the attorney-client privilege and/or the attorney work product doctrine. MEUS will send Rambus a privilege log describing these few documents and the basis for withholding them in early January, well in advance of Mr. Chen's deposition.

Sincerely yours,

John W. Calkins

Enclosures

EXHIBIT A

JENNER&BLOCK

DONALD R. HARRIS
WRITER'S DIRECT DIAL: (312) 923-2777
WRITER'S DIRECT FAX: (312) 540-7777
INTERNET ADDRESS: DHARRIS@JENNER.COM

Jenner & Block, LLC One 18M Plaza Chicago, 11. Go611-7603 Tel 318 828-9850 www.jenner.com Chicago
Lake Forest
Dallas
Washington, DC

December 4, 2002

By Facsimile

David T. Burse, Esq.
Bingham McCutchen LLP
1900 University Avenue
East Palo Alto, California 94303-2229

Re: In the Matter of Rambus Incorporated, Docket No. 9302

Dear David:

In response to your December 2 letter, MELCO will not provide its documents located in Japan to MEUS.

Sincerely yours,

Donald R. Harris

DRH:kt

#866435



JENNER&BLOCK

DONALD R. HARRIS
WAITER'S DIRECT DIAL: (312) 923-2777
WAITER'S DIRECT FAX: (312) 840-7777
INTERNET ADDRESS: DHARRIS@JENNER.COM

Jenner & Block, LLC One IBM Plaza Chicago, IL 60611-7603 Tel 312 222-9350 www.jenner.com Chicago Lake Forest Dallas Washington, DC

December 10, 2002

David T. Burse, Esq.
Bingham McCutchen LLP
1900 University Avenue
East Palo Alto, California 94303-2229

By Facsimile

Re:

In the Matter of Rambus Incorporated, Docket No. 9302

Dear David:

As I advised you on the telephone, Mitsubishi Electric Corporation ("MELCO") will not provide to Mitsubishi Electric & Electronics USA, Inc. ("MEUS") documents that MELCO maintains under its exclusive control in Japan.

You have advised me that the documents in question have been requested in connection with a Federal Trade Commission Subpoena served upon MEUS in F.T.C. Docket No. 9302, involving Rambus, Inc. Although MELCO has declined MEUS's request, I nevertheless note that it appears to me that the subpoena in question is almost unlimitedly broad and extremely burdensome. For example, the Subpoena in question asks for sixty-three categories of documents, and most of the categories request documents for a twelve-year period. In addition, the Subpoena seeks to require the production of a great variety of detailed information about DRAM chips made or sold during a four-and-one-half-year period. (E.g., ¶ 51-56, 63.) And Paragraph 12 of the Subpoena requests eleven different categories of documents for a twelve-year period relating to nine separate technologies "or possible technologies" contained in DRAM chips. It is hard to imagine how any subpoena could have been drafted to be broader in scope or more burdensome for a party required to respond than this subpoena.

Sincerely yours,

Donald R. Harris

DRH:kt

1	UNITED STATES OF AMERICA				
2	BEFORE THE FEDERAL TRADE COMMISSION				
3	In the Matter of				
5	RAMBUS INCORPORATED,	Docket No. 9302			
6	a corporation.				
7	a corporation.				
8	IDDOPOSEDI ORDER DENVI	NG MOTION TO COMPEL			
9	[PROPOSED] ORDER DENYING MOTION TO COMPEL				
10	Upon due consideration of the motion to compel filed by Respondent				
11	Rambus Inc. ("Rambus") on December 20, 2002 and the answer of non-party				
12	Mitsubishi Electric & Electronics USA, Inc. in opposition to that motion,				
13	IT IS HEREBY ORDERED that Rambus's motion is DENIED.				
14	DATED:				
15					
16		Laura D. Timony			
17		James P. Timony Chief Administrative Law Judge			
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UNITED STATES OF AMERICA				
BEFORE THE FEDERAL TRADE COMMISSION				
In the Matter of RAMBUS INCORPORATED, a corporation.)))))) Docket No. 9302			
NAN DADTV MUSURISHI BABUTRI	(c)(3) REGARDING ELECTRONIC FILING OF C & ELECTRONICS USA, INC.'S OPPOSITION BUS INC.'s MOTION TO COMPEL			
In accordance with Rule 4.20	(c)(3) of the Rules of Practice for Adjudicative			
Proceedings before the United States Federal Trade Commission, the non-party filing this				
opposition to Respondent Rambus Inc.'s motion to compel, Mitsubishi Electric & Electronics				
USA, Inc., hereby certifies (1) that the electronic copy of all documents related to its opposition				
to Rambus's motion to compel filed in pdf format is a true and correct copy of the paper original				
(with all declaration exhibits), (2) that the electronic copy of all documents related to its				
opposition to Rambus's motion to compel filed in Microsoft Word format is a true and correct				
copy of the paper original (without declaration exhibits), and (3) that a paper copy with an				
original signature is being filed with the Secretary of the Commission.				
Dated: December 30, 2002	Gernel P. Finn			
	Gerard P. Fillii			

21473887.3/18267-0002

1	CERTIFICATE OF SERVICE		
2	This is to certify that copies of the foregoing Non-Party Mitsubishi Electric &		
3	Electronics USA, Inc.'s Opposition To Respondent Rambus Inc.'s Motion To Compel,		
4	Declaration of Terrence H. Cross In Support Of Non-Party Mitsubishi Electric & Electronics		
5	USA, Inc.'s Opposition To Respondent Rambus Inc.'s Motion To Compel, Declaration Of John		
6	W. Calkins In Support Of Non-Party Mitsubishi Electric & Electronics USA, Inc.'s Opposition		
7	To Respondent Rambus Inc.'s Motion To Compel, and Proposed Order Denying To Compel		
8	were served on December 30, 2002 by facsimile and by overnight delivery to Munger, Tolles &		
9	Olson, LLP, counsel for Respondent Rambus Inc., at 355 South Grand Avenue, 35th Floor, Los		
10	Angeles, California 90017, and by overnight delivery to:		
11	The Honorable James P. Timony 600 Pennsylvania Avenue		
12	Federal Trade Commission Washington, D.C. 20580		
13	Donald Clark		
14	Secretary Federal Trade Commission		
15	Washington, D.C. 20580		
16	Richard Dagen, Esq. Assistant Director		
17	Federal Trade Commission 601 New Jersey Avenue, NW		
18	Washington, DC 20001		
19	Malcolm Catt, Esq. Federal Trade Commission		
20	601 New Jersey Avenue, NW Washington, DC 20001		
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1		Geoffery Oliver, Esq Federal Trade Commission	
2		601 New Jersey Avenue Washington, DC 20001	
3			
4	Dated: December 30, 2002	C	100-
5			Gerard P. Finn
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