

U. S. NUCLEAR REGULATORY COMMISSION
NRC MANUAL
TRANSMITTAL NOTICE

CHAPTER NRC-1301 POLICIES AND PRACTICES GOVERNING NRC
LONG-RANGE PLANNING, BUDGET FORMULATION,
AND RESOURCE MANAGEMENT

SUPERSEDED:

TRANSMITTED:

	Number	Date
Chapter	<u>NRC-1301</u>	<u>1/28/89</u>
Page	<u> </u>	<u> </u>
	<u> </u>	<u> </u>
Appendix	<u> </u>	<u> </u>

	Number	Date
TN	<u>1300-9</u>	<u> </u>
Chapter	<u>NRC-1301</u>	<u>10/1/89</u>
Page	<u> </u>	<u> </u>
	<u> </u>	<u> </u>
Appendix	<u> </u>	<u> </u>

REMARKS:

This chapter is revised to include the Inspector General among the list of allottees in paragraph 038, thus delegating authority for certification of funds. This delegation of authority is effective October 1, 1989. This change will also be reflected in NRC Appendix 1301 which is under development.

U. S. NUCLEAR REGULATORY COMMISSION
NRC MANUAL
TRANSMITTAL NOTICE

NRC APPENDIX 1301 POLICIES AND PRACTICES GOVERNING NRC
LONG-RANGE PLANNING, BUDGET FORMULA-
TION, AND RESOURCE MANAGEMENT

SUPERSEDED:

TRANSMITTED:

Number	Date
Chapter _____	_____
Page _____	_____
_____	_____
_____	_____
Appendix <u>NRC-1101, III</u>	<u>10/1/88</u>
	<u>2/27/89</u>
	<u>5/30/89</u>

Number	Date
TN <u>1300-8</u>	_____
Chapter _____	_____
Page _____	_____
_____	_____
_____	_____
Appendix <u>NRC-1301, III</u>	<u>10/1/89</u>

REMARKS:

NRC Appendix 1301, Part III, supersedes NRC Appendix 1101, Part III, and reflects changes to the Budget and Reporting Classifications (B&Rs), effective October 1, 1989.

NRC Appendix 1301 is under revision and, when completed, will contain the following:

- Part I - NRC Long-Range Planning and Budget Formulation
- Part II - Resource Management During the Execution of the Approved NRC Five-Year Plan and Budget
- Part III - Budget and Reporting Classifications

U.S. NUCLEAR REGULATORY COMMISSION
NRC MANUAL

Volume: 1000 Finance
Part : 1300 Planning, Programming, and Budgeting

OC

CHAPTER 1301 POLICIES AND PRACTICES GOVERNING NRC LONG-RANGE
PLANNING, BUDGET FORMULATION, AND RESOURCE
MANAGEMENT

1301-01 COVERAGE

This Chapter establishes those policies and procedures that govern the agency's long-range planning, budget formulation, and resource management during the implementation of the approved NRC Five-Year Plan.

1301-02 OBJECTIVES

021 To maintain a long-range planning and budgeting process which provides for adequate consideration of contingencies and changing priorities during NRC's long-range planning, budget formulation, and program implementation so that resources are assigned commensurate with program requirements to accomplish the agency's mission.

022 To ensure fulfillment of NRC's responsibilities pertaining to budget formulation as specified in applicable federal laws, policies, and practices.

023 To maintain adequate financial controls over the allotment and obligation of appropriated and apportioned funds to ensure compliance with applicable federal laws, policies, and practices.

1301-03 RESPONSIBILITIES AND AUTHORITIES

031 The Commission:

- a. Issues revised planning guidance early each calendar year to initiate the annual update cycle for the agency's Five-Year Plan.
- b. Approves changes to the Five-Year Plan goals, planning assumptions, program objectives, program guidance, activities, and resources during the annual Five-Year Plan update cycle.
- c. Approves significant changes to the programs and resources described in the Five-Year Plan during the implementation of the Plan.
- d. As part of the annual Five-Year Plan update process, approves budget estimates to be submitted to the OMB and the Congress.

Approved: October 1, 1989

POLICIES AND PRACTICES GOVERNING NRC
LONG-RANGE PLANNING, BUDGET FORMU-
LATION, AND RESOURCE MANAGEMENT

NRC-1301-032

032 The Chairman:

- a. Recommends Five-Year Plan planning guidance changes to the other Commissioners early each calendar year.
- b. Recommends changes to the Plan to the other Commissioners based on proposals received from the EDO.
- c. Coordinates the Commission's review and approval of Five-Year Plan updates.

033 The Executive Director for Operations:

- a. Provides recommended changes to the Chairman pertaining to Five-Year Plan goals, planning assumptions, program objectives, program guidance, activities, and resources as part of the annual Five-Year Plan update cycle.
- b. Provides recommendations to the Chairman regarding significant changes to the programs and resources described in the Five-Year Plan; as appropriate, during the implementation of the Plan.
- c. Approves changes to the programs and resources described in the Five-Year Plan that do not require Commission approval.
- d. As part of the annual Five-Year Plan update process, provides recommendations to the Chairman regarding budget estimates to be submitted to the OMB and the Congress.

034 The Controller:

- a. Develops and provides recommendations to the EDO regarding policies and procedures for NRC five-year planning, budget formulation, and resource management during the implementation of the approved NRC Five-Year Plan.
- b. Provides guidance and assistance to NRC Offices during the annual agency Five-Year Plan update process.
- c. Provides recommendations to the EDO regarding suggested changes to the agency Five-Year Plan, as appropriate, throughout the year.
- d. Prepares the OMB and Congressional budget submissions in coordination with NRC Offices and Regions.
- e. Maintains adequate financial controls over the allotment and obligation of appropriated and apportioned funds to ensure compliance with applicable federal laws, policies, and practices.
- f. Delegates and rescinds authority to allottees for certification of funds by revising paragraph 038 of this chapter as appropriate.

Approved: October 1, 1989

- g. Advises the Executive Director for Operations and NRC Offices and Regions on the financial implications of proposed courses of action.
- h. Maintains liaison with OMB.
- i. In coordination with GPA, as appropriate, maintains liaison with the Congressional oversight committees regarding agency budget matters, and prepares reports for various committees of Congress as required.

035 The Director, Division of Budget and Analysis:

- a. Provides central administration of the NRC five-year planning, budget formulation, and resource management process.
- b. Submits requests to OMB for apportionment of funds.
- c. Issues all agency financial plans and allotments each year consistent with the approved Five-Year Plan, OMB apportionments, and applicable authorization and appropriation acts.
- d. Reviews financial plan and allotment change requests submitted by NRC Offices to assess the impacts of such requests on the approved Five-Year Plan and the reprogramming thresholds specified by Congress; approves change requests that do not exceed established thresholds (see Appendix 1301, Part II); and refers other proposed changes through the Controller to the EDO along with appropriate recommendations for action.
- e. Initiates reprogramming requests to Congress.
- f. Based on the approved Five-Year Plan, provides the Office of Personnel with initial FTE allocations for NRC Offices and Regions.
- g. Administers the Commission and EDO allotments, including the certification of funds from such allotments.

036 The Director, Office of Personnel:

- a. Based on initial FTE allocations provided by the Division of Budget and Analysis, issues current fiscal year ceiling allocations for full time equivalent (FTE) staff resources to all NRC Offices and Regions in accordance with Chapter NRC-4131.
- b. Reviews proposed changes to current fiscal year full-time equivalent ceiling allocations in coordination with the Controller, and appropriate NRC Offices and Regions; and with EDO approval, issues ceiling adjustments.

037 Directors of Offices and Regional Administrators (all Offices):

- a. In accordance with EDO and Commission guidance, and Controller instructions, provide information required to update the agency

**POLICIES AND PRACTICES GOVERNING NRC
LONG-RANGE PLANNING, BUDGET FORMU-
LATION, AND RESOURCE MANAGEMENT**

NRC-1301-038

Five-Year Plan and, as a part of that process, to formulate agency budget submissions to the OMB and the Congress.

- b. Allocate resources to accomplish the goals, objectives, and guidance in the approved agency Five-Year Plan, and in accordance with current financial plans, financial allotments, and FTE allocations.
- c. Implement the approved Five-Year Plan.
- d. Evaluate mandated program and budget adjustments, policy changes, new legislation, workload changes, and other needs for programmatic shifts; and advise the EDO (for those Offices reporting to the EDO), or the Commission (for those Offices reporting to the Commission), and the Controller of any substantive changes which are required in the Five-Year Plan. (Procedures for reporting this information are contained in Appendix 1301, Part II.)

038 Allottees (The Chairman, the Inspector General, the EDO, the Directors of NRR, NMSS, RES, AEOD, ADM, IRM, OP, and Regional Administrators):

- a. Assume supervisory authority and fiduciary responsibility for financial plans and allotments issued to them, including the legal responsibility in accordance with the provisions of the Anti-deficiency Act (31 U.S.C. 665, SEC. 3679) to ensure that the allotment limitations are not exceeded.
- b. Certify the availability of funds within their allotments. (This authority to certify funds may be redelegated, as long as any such redelegations are in writing, and copies are provided to the Controller, and to the Director of the Office of Administration.)
- c. Carry out agency reporting and financial control procedures in accordance with the requirements specified in Appendix 1301, Part II.

1301-04 BASIC REQUIREMENTS

041 Applicability. The requirements set forth in this Chapter and Appendix are applicable to all NRC Headquarters and Regional Offices.

042 Appendix 1301. The procedures and practices delineated in Appendix 1301 will be followed in conducting the agency's long-range planning, budget formulation, and resource management during the implementation of the approved NRC Five-Year Plan. Any question regarding policy interpretation or the proper treatment for items not specifically addressed in this Chapter or Appendix should be referred to the Controller or the Director of the Division of Budget and Analysis.

043 References. The policies and procedures discussed in this Chapter and Appendix make reference, as appropriate, to other NRC Chapters, OMB Circulars, and the U.S. Code.

Approved: October 1, 1989

**Office of the Controller
Budget Policy and
Practices**



TABLE OF CONTENTS

	<u>Page</u>
Part I Introduction	
Section A. General Policies.....	I-1
Section B. Restrictions on Disclosure of Budget Information.....	I-3
Section C. Definitions of Budgetary Terms and Abbreviations.....	I-4
Part II Internal Budget Preparation and Review	
Section A. Calendar of Events.....	II-1
Section B. Planning Guidance and Assumptions.....	II-1
Section C. General Instructions for Budget Formulation.....	II-2
Section D. Specific Instructions for Special Schedules for the Office of Administration.....	II-5
Section E. Specific Instructions for Zero Based - Multi-Year Budget Schedules.....	II-7
Section F. Specific Instructions for Other Budget Schedules.....	II-13
Section G. Internal Review Process.....	II-14
Section H. Budget Amendments and Supplements	II-15
Sample Schedules for Part II.....	II-19
Part III Executive Branch Review	
Section A. Overview and Calendar.....	III-1
Section B. Material Required for OMB Submission.....	III-1
Section C. OMB Review.....	III-5
Part IV Congressional Review and Enactment	
Section A. Overview and Calendar.....	IV-1
Section B. Material Required for Congressional Submission.....	IV-2
Section C. The Authorization Process.....	IV-4
Section D. The Appropriation Process.....	IV-5
Part V Budget Execution	
Section A. System for Administrative Control of Funds.....	V-1
Section B. Program for Budget Execution.....	V-3
Section C. Financial Management.....	V-5
Section D. Allocation.....	V-8
Section E. Reprogramming.....	V-14
Section F. FIN Listings.....	V-18
Section G. Reimbursable Work.....	V-21
Exhibits for Part V.....	V-23
Annex A - FY 1980 Financial Plan Program Elements.....	V-41
Annex B - DOE-NRC Interagency Agreement, Budget, and Financial Management Responsibilities.....	V-49

H.F.

4.2
H.F.

Part V, "Budget Execution," has
been superseded by MD 4.2,
"Administrative Control of Funds"

PART I

INTRODUCTION

A. GENERAL POLICIES

1. Pursuant to the Energy Reorganization Act of 1974, as amended, NRC is required to seek annual authorization for its appropriation. Although proposed authorization must identify programs to be undertaken, the passage of authorization legislation by itself does not constitute legal authority to incur obligations, to expend funds, or to start specific activities or construction projects. Appropriation legislation is also required. The Congressional Budget and Impoundment Control Act of 1974 (P.L. 93-344) requires the NRC to submit its annual authorization request "not later than May 14 of the year preceding the year in which fiscal year begins." In compliance with this act, NRC provides an annual budget submission to Congress each January and authorization legislation for the budget year and succeeding budget year.
2. The Controller's Budget Call for internal budget formulation is issued in late March. This call provides general guidance, a budget calendar, exhibits and instructions for submission of budget estimates to the Division of Budget. In accordance with the Administration's policy of multi-year budgeting, the budget covers the budget year plus two subsequent years. In addition, resources for the fourth and fifth year are provided in total, in accordance with requirements of the Budget and Impoundment Control Act.
3. The budget submission to the Office of Management and Budget (OMB) is due September 1 of each year.
4. A general calendar of budget activities follows:

Month	FY19CY	FY19BY	FY19BY+1
October	Issue Initial Financial plans & allotments	OMB Review & Mark	
November		OMB Reclama & Final Mark	Initiate Case-load forecast
December	Commission review of updated operating plans	Prepare Budget to Congress	Planning Guidance Prepared
January	Issue 2nd quarter allotments	Prepare Congressional testimony Budget Briefing. Budget Submitted to Congress	Planning Guidance Approved by BRG
February		Authorization Hearings	Planning Guidance approved by Commission
March		Authorization & Appropriation Hearings	Budget Call Issued
April	Issue 3rd quarter allotments. Midyear Resource Review	Appropriation Hearings. Authorization marks	Offices submit certain budget data to ADM
May		Appropriation marks. Pass Authorization Bill	Office budgets submitted to CON
June		Pass Appropriation Bill	CON & BRG Review
July	Issue 4th quarter allotments		EDO & COMM Review
August	Year-end Resource Review		Commission mark - Prepare Budget for OMB
September			Budget to OMB. OMB Hearings A-11 Schedules to OMB

B. RESTRICTIONS ON DISCLOSURE OF BUDGET INFORMATION

1. OMB Circular A-10, Revised, imposes upon Federal officials and employees certain responsibilities with respect to the executive budget. Attention is specifically directed to the provisions of this circular which restrict budgetary disclosures, as set forth below.

"Requirements for confidentiality prior to official transmittal. The confidential nature of agency submissions, requests, recommendations, supporting materials and similar communications should be maintained since these documents are an integral part of the decision making process by which the President resolves budget issues and develops recommendations to the Congress.

"Amendments to the budget and supplemental appropriation requests may also follow the transmittal of the budget. Budgetary material should not be disclosed in any form prior to transmittal by the President of the material to which it pertains. The head of each agency is responsible for preventing premature disclosures of this budgetary information."

2. The Freedom of Information Act requires that with certain expectations, identifiable records of the agency must be made available to the public. Nevertheless, OMB Circular A-10, Revised, states that an agency should not release budgetary documents subject to the FOIA before the end of the fiscal year to which those records pertain. Specifically, this Act reads as follows:

"Information available to the public. An agency may disclose budgetary records of that agency, if otherwise appropriate, upon a request for such records pursuant to the FOIA following the end of the fiscal year to which such information pertains. Although it is not possible to determine merely by the generic category of records whether an agency would be authorized to withhold records requested under the FOIA in every instance, most budgetary documents of an agency that are subject to the FOIA should be exempt from mandatory release pursuant to the provisions of 5 U.S.C. 522(b)(5), and an agency should not release such records prior to the expiration of the fiscal year to which the records pertain. Agency heads will be held responsible for determining the propriety of releases of records under the FOIA pertaining to budgetary matters."

3. The Government in the Sunshine Act, P.L. 94-409 requires certain agencies headed by a collegial body to hold meetings open to public observation unless the agency properly determines that the matter to be discussed warrants the closing of those matters for reasons enumerated in PL 94-409. Some meetings covered by that Act may pertain to budgetary information. The Office of General Counsel has determined that the Commission hearings on the budget cannot be exempted from this Act but that the Commission mark-up session is exempt. Therefore, final Commission budget amounts are not available to the public.

C. DEFINITIONS OF BUDGETARY TERMS AND ABBREVIATIONS

1. Allotment. Authority delegated by the head or other authorized employee of an agency to agency employees to incur obligations within a specified amount pursuant to OMB apportionment or reapportionment action or other statutory authority making funds available for obligation.
2. Allowances. Amounts included in the President's budget request or projections to cover possible additional proposals, such as statutory pay increases and contingencies for relatively uncontrollable programs and other requirements. As used in a concurrent resolution on the budget, allowances represent a special functional classification designed to include amounts to cover possible requirements, such as civilian pay raises and contingencies, until they occur or become firm, at which point applicable amounts are distributed to the appropriate other functional classification(s).
3. Apportionment. A distribution by the Office of Management and Budget of amounts available for obligation in appropriation or fund accounts of the executive branch. The distribution makes amounts available for specific time periods (usually quarterly), programs, activities, projects, objects, or combinations thereof. The amounts so apportioned limit the obligations that may be incurred.

Deficiency Apportionment. A distribution of available budgetary resources for the fiscal year that anticipates the need for supplemental budget authority. Such apportionments may only be made under conditions provided in 31 U.S.C. 665(e) and 665(a) (emergencies involving public health and safety and/or passage of laws subsequent to submission of budget which require expenditure of funds beyond administrative control). The issuance of a deficiency apportionment does not authorize the agency head to incur a deficit.

4. Appropriation. An authorization by an act of the Congress that permits Federal agencies to incur obligations and to make payments out of the Treasury for specified purposes. An appropriation usually follows enactment of authorizing legislation. An appropriation act is the most common means of providing budget authority (see Budget Authority), but in some cases the authorizing legislation itself provides the budget authority. Appropriations represent an account established in the Treasury to record amounts available for obligation and outlay during the time period specified in the respective appropriations acts. There are several types of appropriations that are not counted as budget authority, since they do not provide authority to incur additional obligations:

Appropriation to liquidate contract authority-congressional action to provide funds to pay obligations incurred against contract authority.

Appropriation to reduce outstanding debt-congressional action to provide funds for debt retirement.

Appropriation for refund of receipts.

5. Appropriation (or Fund) Account. A summary account established in the Treasury for each appropriation and/or fund showing transactions to such accounts.
6. Appropriation Act. An act under the jurisdiction of the Committees on Appropriations which provides funds for Federal programs. At the time these definitions were published, there were 13 regular appropriation acts. There are also enacted from time to time supplemental appropriation acts.
7. Appropriation Limitation. A statutory restriction in an appropriation act, which establishes the maximum amount which may be obligated and expended for specified purposes from an appropriation or other funds, such as special or trust funds.
8. Authorization (Authorizing Legislation). Basic substantive legislation enacted by Congress which sets up or continues the legal operation of a Federal program or agency either indefinitely or for a specific period of time or sanctions a particular type of obligation or expenditure within a program. Such legislation is normally a prerequisite for subsequent appropriations or other kinds of budget authority to be contained in appropriation acts. It may limit the amount of budget authority to be provided subsequently or may authorize the appropriation of "such sums as may be necessary." In some instances budget authority may be provided in the authorization which obviates the need for subsequent appropriations or requires only an appropriation to liquidate contract authority or reduce outstanding debt.
9. Authorizing Committee. A standing committee of the House or Senate with jurisdiction over the subject matter of those laws, or parts of laws, that set up or continue the legal operations of Federal programs, agencies, or particular types of obligations within programs.
10. Balances of Budget Authority. Balances may be classified as:
 - a. Unexpended Balance. The amount of budget authority unspent and still available for conversion into outlays in the future; the sum of the unpaid obligations and unobligated balances.
 - b. Obligated Balance. The amount of obligations already incurred for which payment has not yet been made. This balance can be carried forward indefinitely until the obligations are paid.
 - c. Unobligated Balance. The portion of budget authority that has not yet been obligated. In 1-year accounts the unobligated balance expires (ceases to be available for obligation) at the end of the fiscal year. In multiple-year accounts the unobligated balance may be carried forward and remain available for obligation for the period specified in authorization or appropriation acts. In no-year accounts the unobligated balance, subject to

apportionment by OMB, is carried forward indefinitely until specifically rescinded by law or until the purposes for which it was provided have been accomplished.

11. Budget Amendment. A formal request submitted to the Congress by the President after his formal budget transmittal but prior to completion of appropriation action by the Congress that revises previous requests, such as the amount of budget authority.
12. Budget Authority. Authority provided by law to incur obligations which will result in immediate or future outlays involving Government funds, except that such term does not include authority to insure or guarantee the repayment of indebtedness incurred by another person or government. The basic forms of budget authority are appropriations, contract authority, and borrowing authority. Budget authority may be classified by the period of availability (1-year, multiple-year, no-year), by the timing of congressional action (current or permanent), or by the manner of determining the amount available (definite or indefinite).
13. Budget and Reporting (B&R) Classifications. Budget and reporting classifications are prescribed for the reporting of accounting activities in a manner which provides a basis for formulating budgets in addition to controlling and measuring actual and budgeted performance. The prescribed budget and reporting classifications and definitions are contained in Part III of NRC Appendix 1101.
14. Budget Year (BY). The fiscal year for which the budget is being considered; the fiscal year following the current year (see Fiscal Year).
15. Concurrent Resolution on the Budget. A resolution passed by both Houses of Congress, but not requiring the signature of the President, setting forth, reaffirming, or revising the congressional budget for the United States Government for a fiscal year. There are two such resolutions required preceding each fiscal year. The first required concurrent resolution, due by May 25, establishes the congressional budget. The second required concurrent resolution, due by September 25, reaffirms or revises it. Other concurrent resolutions for a fiscal year may be adopted at any time following the first required concurrent resolution for that fiscal year.
16. Consolidated Decision Packages (ZBB): Packages prepared at higher management levels that summarize and supplement information contained in decision packages received from lower level units. Consolidated packages may reflect different priorities, including the addition of new programs or the abolition of existing ones (see Decision Package).
17. Continuing Resolution. Legislation enacted by the Congress to provide budget authority for specific ongoing activities in cases where the regular fiscal year appropriation for such activities has

not been enacted by the beginning of the fiscal year. The continuing resolution usually specifies a maximum rate at which the agency may incur obligations, based on the rate of the prior year, the President's budget request, or an appropriation bill passed by either or both Houses of the Congress.

18. Current Authority. Budget authority enacted by Congress in or immediately preceding the fiscal year in which it becomes available.
19. Current Level (Decision Unit). The level that would be reflected in the budget if current fiscal year activities were carried on at the same or other output levels without major policy changes. A concept, not unlike current services, that nevertheless permits internal realignments of activities within existing statutory authorization.
20. Current Services Estimates. Estimated budget authority and outlays for the upcoming fiscal year based on continuation of existing levels of service, i.e., assuming that all programs and activities will be carried on at the same level as in the fiscal year in progress and without policy changes in such programs and activities. These estimates of budget authority and outlays, accompanied by the underlying economic and programmatic assumptions upon which they are based (such as the rate of inflation, the rate of real economic growth, the unemployment rate, program caseloads, and pay increases) are required to be transmitted by the President to the Congress by November 10 of each year.
21. Current Year (CY). The fiscal year in progress (see Fiscal Year).
22. Decision Package (ZBB). A brief justification document that includes the information necessary for managers to make judgments on program or activity levels and resource requirements. A series of decision packages (a decision package set) is prepared for each decision unit and cumulatively represents the total budget request for that unit.
23. Decision Unit (ZBB). The program or organizational entity for which budgets are prepared and for which a manager makes significant decisions on the amount of spending and the scope or quality of work to be performed.
24. Deferrals. Executive branch action or inaction which withholds, delays, or precludes the obligation or expenditure of available budget authority which the agency could otherwise effectively and legally use. Deferrals may be initiated by OMB or the agency involved, and generally the budget authority deferred is intended for use at a later time. When it is determined that funds so deferred are not intended to be used, a rescission bill is to be submitted to the Congress for the amount no longer needed.
25. Deficiency Appropriation. An appropriation made to an expired account to cover obligations incurred in excess of the available budget authority.

26. Deobligation. A downward adjustment of previously recorded obligations. This may be attributable to cancellation of a project or contract, price revisions, or corrections of amounts previously recorded as obligations.
27. Fiscal Year. Any yearly accounting period, without regard to its relationship to a calendar year. The fiscal year for the Federal Government begins on October 1 and ends on September 30 of the following year. The fiscal year is designated by the calendar year in which it ends; e.g., fiscal year 1979 is the fiscal year ending September 30, 1979. (Prior to fiscal year 1977, the fiscal year began on July 1 and ended on June 30.)
28. Forward Funding. The obligation of funds in one fiscal year (e.g., the awarding of a contract and establishment of a letter of credit) for the financing of ongoing grantee programs during the succeeding year.
29. Identification Code (Appropriation Symbol). An 11-digit number assigned to each appropriation or fund account included in the budget. The identification code identifies the agency, the appropriation or fund account symbol, the timing of the transmittal (regular, supplemental), the type of fund (general, special), and the functional classification of each account.
30. Impoundment. Any action or inaction by an officer or employee of the United States that precludes the obligation or expenditure of budget authority provided by the Congress. (See Deferral of Budget Authority, and Rescission.)
31. Minimum Level (Decision Unit). The program, activity, or funding level below which it is not feasible to continue the program, activity, or entity because no constructive contribution can be made toward fulfilling its objective. The minimum level:
 - may not be a fully acceptable level from the program manager's perspective; and
 - may not completely achieve the desired objectives of the decision unit.
32. Object Classification. A uniform classification identifying the transactions of the Federal Government by the nature of the goods or services purchased (such as personnel compensation, supplies, and materials, or equipment) without regard to the agency involved or the purpose of the programs for which they are used. (General instructions are provided in OMB Circular No. A-12, reference NRC Appendix 1101, Part IV, Section D.)
33. Obligations. Amounts of orders placed, contracts awarded, services rendered, or other commitments made by Federal agencies during a given period, which will require outlays during the same or some future period (see 31 U.S.C. 200, ref. NRC Appendix 1101, Part IV, Section B).

34. Outlays. The amount of checks issued, interest accrued on the public debt, or other payments; net of refunds and reimbursements.
35. Past Year (PY). The fiscal year immediately preceding the current year; the last completed fiscal year (see Fiscal Year).
36. Period of Budget Authority Availability.

One-Year (Annual Authority)

Budget authority that is available for obligation only during a specified fiscal year and expires at the end of that time.

Multiple-Year Authority

Budget authority that is available for a specified period of time in excess of 1 fiscal year.

No-Year Authority

Budget authority that remains available for obligation for an indefinite period of time, usually until the objectives for which the authority was made available are attained.

Permanent Authority

Budget authority that becomes available as the result of previously enacted legislation (substantive legislation or prior appropriation act) and does not require current action by the Congress. Authority created by such legislation is considered to be "current" in the first year in which it is provided and "permanent" in succeeding years. It is possible to distinguish between "fully permanent" authority (such as interest on the public debt), where no subsequent action is required, and "conditionally permanent" authority (such as general revenue sharing), where authority expires after a set period of time unless it is reenacted.

37. President's Budget. The budget for a particular fiscal year transmitted to the Congress by the President in accordance with the Budget and Accounting Act of 1921, as amended. Some elements of the budget, such as the estimates for the legislative branch and the judiciary, are required to be included without review by the Office of Management and Budget or approval by the President.
38. Ranking. The process by which managers array programs or activity levels (as in decision packages) in decreasing order of priority. This ranking process identifies the relative priority assigned to each decision package increment contained in the manager's budget request, based on the benefits to be gained at and the consequences of various spending levels.

39. Reclama. A term synonymous with Budget Appeal.
40. Reapportionments. A revision of a previous apportionment for an appropriation or fund account which ordinarily covers the same period, project, or activity covered in the original apportionment. A reapportionment may be initiated by either OMB or the agency involved.
41. Recission. The consequence of enacted legislation which cancels budget authority previously provided by Congress prior to the time when the authority would otherwise lapse (i.e., cease to be available for obligation). Section 1012 of the Impoundment Control Act of 1974 requires a special message from the President to the Congress reporting any proposed recission of budget authority. These proposals may be accepted in whole or in part through the passage of a recission bill by both Houses of Congress.
42. Recission Bill. A bill or joint resolution that provides for cancellation, in whole or in part, of budget authority previously granted by the Congress. Under the Impoundment Control Act of 1974, unless Congress approves a recission bill within 45 days of continuous session after receipt of the proposal, the budget authority must be made available for obligation (see Recission).
43. Reprogramming. Utilization of funds in an appropriation account for purposes other than those contemplated at the time of appropriation. Reprogramming is generally accomplished pursuant to consultation between the Federal agencies and the appropriate congressional committees.
44. Supplemental Appropriation. An act appropriating funds in addition to those in an annual appropriation act. Supplemental appropriations provide additional budget authority beyond original estimates for programs or activities (including new programs authorized after the date of the original appropriation act) for which the need for funds is too urgent to be postponed until enactment of the next regular appropriation act.
45. Warrant. The official document issued pursuant to law by the Secretary of the Treasury that establishes the amount of money authorized to be withdrawn from the Treasury.
46. Zero-Based Budgeting. A budgeting technique for analyzing budget requests without an implicit commitment to sustaining past levels of funding. This system requires regular review, evaluation, and analysis of all programs -- rather than on increases above current levels of operation, as in incremental budgeting. In this regard, currently funded programs are examined to determine whether they should be reduced or eliminated in order to fund new higher priority programs. Programs and activities are analyzed in terms of successively increasing levels of performance and funding, starting from zero, and then evaluated and ranked in priority order. The purpose is to determine the most efficient and effective level, if any, at which each program or activity should be conducted.

ABBREVIATIONS

B&R	Budget and Reporting Classification or Number
BRG	Budget Review Group
BY	Budget Year
CY	Current Year
DOE	Department of Energy
DU	Decision Unit
DUTS	Decision Unit Tracking System
FY	Fiscal Year
FIN	Financial Identification Number
GAO	General Accounting Office
OMB	Office of Management and Budget
PE	Program Element
PY	Past Year
ZBB	Zero-Based Budgeting
PTS	Program Technical Support
PDA	Program Direction and Administration
EOY	End of Year

PART II

INTERNAL BUDGET PREPARATION AND REVIEW

A. CALENDAR OF EVENTS

<u>Action</u>	<u>Approximate Date</u>
1. Initiate caseload forecast	Mid November
2. Planning guidance prepared	Mid December
3. Planning guidance approved by BRG	Late January
4. Planning guidance approved by EDO	Mid February
5. Planning guidance approved by Commission	Early March
6. Budget call issued	Late March
7. Offices submit certain budget data to ADM and RES	Late April
8. Offices submit budgets to CON	Late May
9. CON submit budget and analysis to BRG	Early to Mid June
10. BRG submit recommendations to EDO	Early to Mid July
11. EDO submit budget to <u>Commission</u>	Mid to Late July
12. Final <u>Commission</u> mark	Early to Mid August
13. Budget submitted to OMB	September 1

B. PLANNING GUIDANCE AND ASSUMPTIONS

1. Guidance is received from OMB in January preceding the budget year and will usually consist of an "Allowance Letter" which gives "target" resources. These "target" resources are usually updated in June-July reflecting OMB's spring review. As a general rule, agencies must provide inputs for resources above OMB's target.
2. The Commission is provided specific budget guidance in March of each year for their approval. Each office should give special attention to expressed Commission concerns when completing exhibits and narrative statements. Departure from Commission guidance must be noted and the programmatic and resource consequences fully described.

3. For the November-February time frame, the Caseload Panel of the BRG prepares the caseload forecast that is to be used for the next budget formulation process. The caseload forecast is issued to the Commission in March for their information. Offices that perform activities identified in the caseload forecast must use this as a basis for formulating their budget.
4. The budget call issued at the end of March incorporates the OMB and Commission guidance, the caseload forecast, and CON guidance on such things as inflation factors. These constitute the principal guidance and assumptions to be used in formulating the budget.

C. GENERAL INSTRUCTIONS FOR BUDGET FORMULATION

1. OMB Circular A-115 requires the formulation of a 3-year budget plan in place of a single year budget. Therefore, all agencies are required to submit to OMB, in September, their Budget year request and multi-year Budget Plan. In addition, the Congressional Budget and Impoundment Control Act of 1974 (Section 603) and OMB Circular A-11 (Section 22.3), require submission of 5-year estimates (budget year plus four). In order to comply with both requirements (3 years and 5 years), NRC requests estimates for 5 years, with major emphasis placed on the budget year estimates and their impact on the out-year's plan.
2. Escalation factors for the budget year are provided in the CON budget call. Standard level user charges (GSA rent) generally should not include escalation when the estimate is provided by GSA. If escalation rates other than those prescribed are used, each office should separately identify on the decision unit overview under "other information," the rates used and provide an appropriate explanation of the rationale for using different rates.
3. Budget estimates should be rounded to the nearest ten thousand dollars, e.g., \$5,290, not \$5,286.
4. Offices will not be required to submit estimates for personnel compensation and benefits. The Division of Budget, in conjunction with ADM, will determine the office rates which will automatically be applied to the personnel estimate submitted for each decision package. Computer produced resource summary tables for each decision unit will be provided to offices by CON after the offices' submission. The tables will include the calculated personnel funding.
5. To assist the Office of Administration in formulating a budget request (ADM budgets for certain categories of costs for the entire agency and/or headquarters only), certain information related to ADP, telecommunication, training, extraordinary administrative support and interviewee and Change of Duty Station travel is required early in the process.

6. The ZBB process followed by NRC requires each manager to justify the entire budget request in detail, and puts the burden of proof on the manager to justify why any money should be spent. For each program activity or operation, the manager must prepare a "decision package" which includes an analysis of cost, alternative courses of action, measures of performance, consequences of not performing the activity, and benefits.

In addition, ZBB requires that managers identify different levels of effort for performing each activity. Managers are required to identify a minimum level of spending and then identify in separate decision packages the cost and benefits of additional levels of spending for that activity. This analysis forces every manager to consider and evaluate their operation at the minimum level; gives management the alternative of eliminating an activity or choosing from among several levels of effort; and allows tradeoffs and shifts in expenditure levels among organizational units.

Once the decision packages have been developed, they must be listed in a rank order of importance. This ranking process allows each manager to explicitly identify priorities, and allows top management to compare and evaluate the relative needs and priorities of the total organization in making funding decisions.

In ranking decision packages, offices should not concentrate on ranking high priority or "requirement" packages but concentrate on discretionary functions and levels of effort. Offices should not spend too much time worrying over whether package four, for example, is more important than package five, but instead be assured that packages four and five are more important than package fifteen and package fifteen is more important than package twenty-five and so on.

- a. Decision Unit. A decision unit is a discrete function or operation. It represents a program or organization for which budgets are prepared and for which a manager makes significant decisions on the amount of spending and the scope or quality of work to be performed. NRC offices are responsible for identifying decision units and initiating changes to existing decision units. CON will coordinate identification of and changes to decision units as required by OMB Circular A-11.
- b. Minimum level. The program, activity, or funding level below which it is not feasible to continue the program, activity, or entity because no constructive contribution can be made toward fulfilling its objective. The minimum level:
 - may not be a fully acceptable level from the program manager's perspective; and
 - may not completely achieve the desired objectives of the decision unit.

- c. Current level. The level that would be reflected in the budget if the budget year activities were carried on at the current year service or other output levels without major policy changes. A concept, not unlike current services, that nevertheless permits internal realignments of activities within existing statutory authorization. Estimates of personnel compensation and other objects of expenditure will be made in accordance with OMB Circular No. A-11.

These definitions for minimum and current levels are augmented for multi-year budgeting purposes as follows:

- New initiatives (new programs or projects) are precluded in these levels for all years subsequent to the current year.
 - However, these levels can increase (or decrease) to reflect the forecast of "through put" such as caseload increases (or decreases), project terminations, etc.
 - The current level for the budget year can reflect inflation from the current year level.
- d. Levels above the current level (budget year). These levels provide for successive levels of enhanced effort above the current level. New initiatives for the budget year will be included only in the levels above current. Generally, NRC offices should limit their decision packages to only one level above current. These levels should be discreetly identified and prioritized.
- e. Levels for new initiatives in years subsequent to the budget year. New initiatives for these years will be separately identified only in decision package levels above the out-year projection of the previous years' highest level.
- f. Out-year projections. The out-year projections for all of the budget year proposed levels and the subsequent years' proposed new initiatives will conform to the guidance of OMB Circular A-11 (Section 22.2). That is, projections will not anticipate future policy (i.e., no new initiatives). The levels represent, essentially, a "current services" approach by costing out the budget year decision. The focus will be on budget year decisions but will also allow concentration on the 3-year budget period answering the question, "What is the impact of budget year decisions on the out-year estimates?"

The NRC application of the multi-year Zero-Based Budget is designed to:

- (1) reduce the volume of paper by eliminating repetition of data and narrative justification.

U. S. NUCLEAR REGULATORY COMMISSION
NRC MANUAL
TRANSMITTAL NOTICE

NRC APPENDIX 1301 POLICIES AND PRACTICES GOVERNING NRC LONG-RANGE PLAN-
NING, BUDGET FORMULATION, AND RESOURCE MANAGEMENT

SUPERSEDED:

TRANSMITTED:

SUPERSEDED:		TRANSMITTED:	
Number	Date	Number	Date
Chapter _____	_____	TN <u>1300-10</u>	<u>10/1/90</u>
Page _____	_____	Chapter _____	_____
_____	_____	Page _____	_____
_____	_____	_____	_____
Appendix <u>NRC-1301, III</u>	<u>10/1/89</u>	Appendix <u>NRC-1301, III</u>	<u>10/1/90</u>

REMARKS:

NRC Appendix 1301, Part III, is completely revised and is effective on October 1, 1990.

- (2) reduce the chance of error in the statistical tables by summarizing the data on one page that will become the input document for a CON computer generated resource summary.
 - (3) redefine terms to eliminate overlapping requirements.
7. In addition to the ADM and ZBB schedules, certain supplementary schedules are required, such as; temporary and consultant manpower, details of Administrative Support, crosscuts, etc. From time to time the requirements for supplementary schedules may change. These changes are identified in the annual call for formulation of the budget.

D. **SPECIFIC INSTRUCTIONS FOR SPECIAL SCHEDULES FOR THE OFFICE OF ADMINISTRATION**

1. Automatic Data Processing Services

In accordance with NRC Bulletin 0850-2, dated February 17, 1977, and subsequent determinations, all ADP budget requirements are to be submitted to the Office of Administration for preparation of a composite ADP budget package. See Schedule D-1.

All categories of ADP-related activity must be identified. If it is questionable whether specific activity should be classed as ADP, it should be included and noted for final determination by the Office of Administration.

For planning purposes, identify all ADP functional requirements, studies, contracts, and efforts by in-house staff in process or planned which may impact future needs for funds, ADP equipment, timesharing services, contracts and/or manpower for systems development, maintenance and operation. Identification of these requirements is requested by decision unit. If there are no ADP requirements, a negative response is to be submitted.

2. Telecommunication Services

a. Definition of Telecommunications. For purposes of budget preparation, telecommunications includes all facilities, services, and equipment that are primarily associated with telephone, radio, facsimile, teleconferences, video (excluding security monitor and broadcast television monitors), special recording systems (other than dictation systems), press wire services, teletype and data communications (defined as the means of transfer of data but not the manipulation of data.)

b. General Guidance. Use the following factors in preparing input (see Schedule D-2) to the Telecommunications budget estimate for Fiscal Years 19BY through 19BY+2 showing current and future mission requirements which will be satisfied by this request.

- (1) Define functional telecommunications requirements in terms of what is expected to be accomplished as opposed to what particular service or equipment could satisfy the needs.
- (2) Be as specific as possible in stating the required operational data. Keep in mind that many factors (procurement cycle, construction, equipment availability, engineering, installation time, training, etc.) will impact upon the schedules. Lead times for certain large systems/services can be in excess of 2 years. Schedule development for large systems/services should be accomplished in consultation with the Telecommunications Branch.
- (3) If you have information concerning estimated costs, please include that information and identify its source.
- (4) Identify the activity to receive the telecommunications support. Where is the activity performed (e.g., Emergency Operations Center, Division Director's Office, Resident Inspector's Office at XYZ Power Plant, along the shipment route)?
- (5) What changes in workload, personnel complement, mission responsibility or other organization changes are planned which will require changes to existing telecommunications support? When will these changes take place? What is the estimated impact (e.g., 25 new telephones, weekly teleconferences with 10 participants, twice the number of teletype messages, etc.)?
- (6) Indicate the security classification of information or whether proprietary or privacy information is to be transmitted or received in carrying out mission requirements.
- (7) Describe the effect on mission objectives if the requested communications support is not provided or is delayed.

3. Training Activities

Each office shall submit all training requirements to the Office of Administration on Schedule D-3; including those categorized as "specialized training." "Specialized Training" is defined as training specifically directed at intra-NRC training presently conducted by the Office of Inspection and Enforcement to satisfy that office's requirements to: (a) train inspectors, and (b) provide all in-house training in reactor systems technology required by other NRC offices.

In developing the submission, requirements should be based upon an office plan for training and training required to respond to employee appraisal-identified and Individual Development Plan needs.

4. Extraordinary Administrative Support Equipment

ADM budgets and funds for all ordinary headquarters administrative requirements. This includes office furniture, office machines, printing, supplies, and services, rent, utilities, shuttle bus service, general office supplies, library services, etc. This also includes audio-visual activities including public address, television monitors, and word processing. If extraordinary (i.e., substantially different) administrative requirements are planned, these should be reported on Schedule D-4 so that ADM will take these items into account in preparing their administrative support budget.

5. Travel

The Office of Administration will budget for interviewee travel initiated at headquarters, and agency-wide change of duty station travel.

For the Office of Administration to properly budget for travel, each Office is to provide the estimated number of interview trips and the estimated number of change of duty stations initiated by that office for FY 19BY through FY 19BY+2 on Schedule D-5.

E. SPECIFIC INSTRUCTIONS FOR ZERO-BASED MULTI-YEAR BUDGET SCHEDULES

1. Decision Unit Overview

The overview should be limited to presenting only essential data to control the paperwork volume. To further control the paper volume, the narrative information that applies to all decision packages should be included in the overview. The overview (Schedule E-1) should be no longer than three single-spaced pages.

2. Manpower Computations

The purpose of manpower schedule is to reflect the workload levels and labor rates used in developing decision unit manpower requirements. The use of documented workload and labor rates enhances the defensibility of manpower requirements, both within and outside the agency. It is recognized, however, that the nature of some work and/or lack of management data may preclude specific quantifications. The manpower schedule will accommodate such "level of effort" type estimates. The resultant "staffyears required" should reflect both the full-time permanent and other staffyears needed to accomplish the work described in each decision package. All calculations should be rounded to one decimal place. A completed sample of the manpower schedule E-2 follows the explanations of each section of the schedule.

The following explains each section of Schedule E-2:

- a. Column 1 (No.) - Repeat the Planned Accomplishment, major objective or functional work number breakdown (as reflected in the Decision Package) to which the workload/volume/labor rate corresponds.
- b. Column 2 (Workload Definition) - Include a very brief phrase to describe the workload items and function to which the labor rate is applied. If appropriate, more than one workload item should be shown for each objective. Each workload item should be listed under the heading "Professional Direct" for each Decision Package in the Decision Unit including new initiatives for each year.

"Professional Direct" is defined as effort by those professionals whose activities are directly related to the individual office mission. This would encompass such activities as reviewing license applications, inspecting reactors, writing standards, technically monitoring and directing research, conducting hearings, etc.

To compute total staffyears required for each Decision Package, support or overhead will have to be added. Support for budget purposes will generally be considered to include all personnel at the Office Director, Division Director, Assistant Director, and Branch Chief levels, staff assistants, secretaries, administrative and other support personnel in these offices. It also includes staff support office personnel involved in planning, program control, budget support, training, etc. Support can be divided for manpower computation purposes into two major subsections called professional and organizational support.

Professional Support - Effort by those clerical and supervisory positions designed to provide direct support-type services to the professional. Professional support positions are relatively sensitive (variable) to fluctuations in the size of an office. The need for secretarial support, for example, is generally more directly influenced by the size of an office than the functions performed. Hence, this need should normally vary with the number of professionals to be supported. Other positions which are primarily influenced by the number of professionals might include branch chiefs and section chiefs.

Organizational Support - Includes those positions that provide general support to professional direct and to professional support personnel. Positions in this category perform work that is primarily dependent upon the scope of the functions assigned rather than the number of professionals assigned. Organizational support positions are normally considered to be at the Assistant Director (AD) level and above and include Program Support staff.

Each of the two types of support should be identified on Schedule E-2.

- c. Column 3 (Workload Volume) - The number of major products, projects, cases, etc., which are expected to be completed or to be worked on during the year in question (e.g., applications being reviewed, operating reactors requiring inspection, contract dollars managed, etc.). In isolated instances there are functions performed where there is no readily identifiable workload volume. These functions are considered to be level of effort activities. In these cases, enter "Level of Effort" (LOE) in Column 3.
- d. Column 3 (AVG, EOY, PEAK, OTHER) - Indicate how the workload volume was determined by using one of the following codes:
- AVG - Monthly or quarterly average workload
 - EOY - End of year position
 - PEAK - Highest workload volume during the year (could be the same as EOY; if this is the case, indicate EOY not PEAK)
 - OTHER - If some method other than AVG, EOY, or PEAK is used, indicate OTHER and explain with a footnote or attachment.
- e. Column 4 (Labor Rate) - The staffyears of effort needed to accomplish one unit of the workload volume should be entered. If it is a "Level of Effort" activity, enter LOE in the labor rate column. Use a staff availability factor of 1800 hours per employee.
- f. Column 5 (Staffyears Required) - This number is computed by multiplying the workload volume for a given year by the corresponding rate for that year, i.e., Column 3 x Column 4 = Column 5.
- g. Column 5 (Staffyears Required) - After calculating the total staffyears required for each decision unit, the staffyear total should be distributed to reflect the number of full-time permanent staffyears and the number of non-full-time permanent staffyears. Total office requirements should agree with the staffyear figures provided on Schedules E-3 and F-1.

3. Manpower Overview

Each office should prepare a single manpower overview, Schedule E-2A. The purpose of the overview section is to explain briefly the procedures that were used to develop the labor rates, to identify sources of workload and manpower data, to specify method used to allocate office support, and to present how the full-time permanent/other mix of personnel were determined. Any other information that you feel would be useful in clarifying the data on the Schedule E-2 should

be provided. One overview covering all of an office's Decision Units should normally suffice.

Schedule E-2A should be in narrative form. An example of this schedule, which is in support of the preceding sample Exhibit E-2, is provided at the end of Part II.

4. Decision Unit Resources

Resource requirements for funding and manpower are to be submitted on Schedule E-3. This table is a computer input worksheet which will be provided to the preparing office by CON. This table has two purposes: (a) as an input document, it contains the resource request for the unit; and (b) it is used as a computer input document by the Controller's Office to prepare the Decision Unit Resource Summary. Note: Resources for levels above the minimum level are incremental increases only.

It is important that each office submitting more than one decision unit identify the office rank for each decision unit funding level (decision package) on Schedule E-3. Rank order should start with 1 as the highest priority to N as the lowest priority. You should not spend much time on deciding the rank of high priority packages, but concentrate your efforts on the ranking of low priority packages; for example, for each office submission, it is not important that package 1 is a higher priority than package 5, but it is important that package 20 is a higher priority than package 30.

The format for E-3 is provided at the end of Part II.

5. Program Support Detail

The major program offices should provide program support funding information in greater detail than the amounts contained in Schedule E-3. Similar information was requested by and provided to the Budget Review Group (BRG) and respective BRG subpanels during prior year internal reviews. This information is to be submitted on Schedule E-3A.

Schedule E-3A must be completed for each decision unit, and the program support detail should be developed for each level. The detail should represent the level at which programmatic decisions are made, and would generally be greater than FIN level (but may be at the FIN level if FIN represents a major programmatic effort). The detail should be specifically related to the decision unit planned accomplishments and for each level, each year, the funding total must equal the program support aggregate level of the decision unit. When program support requirements are based on staff years of contractual effort, indicate the assumed contract cost per staff year.

Specific examples of the depth of detail are provided below. Any questions on this schedule should be discussed with the assigned CON budget examiner prior to submission.

Example:

a. Standards Development

(1) Safeguards Standards

- (a) Security personnel qualifications
- (b) Training manual
- (c) Duress alarm for fuel cycle and reactor facilities, etc.
- (d) Communications required for fuel cycle and reactor facilities, etc.

b. Nuclear Reactor Regulation

(1) Operating Reactors - Systematic Evaluation (minimum level).

- (a) Develop guidelines
- (b) Plant reviews; etc.

(2) Safeguards

- (a) 73.55 implementation
- (b) Perimeter detection
- (c) Explosive protection; etc.

c. Nuclear Materials Safety and Safeguards

(1) Uranium Fuel Cycle

- (a) Perform Safety and Environmental Evaluation: Task 1, Task 2, etc.
- (b) Technical Assistance to Agreement States
- (c) Implementation of New Requirements: Task 1, Task 2, etc.

d. Nuclear Regulatory Research

(1) System Engineering: Minimum Level

- (a) Maintain Current Semiscale Schedule
- (b) Complete nominal PWR Blowdown Heat Transfer Tests
- (c) Continue present BWR Blowdown/ECC tests
- (d) ECC Bypass data (2/15 scale and low pressure)
- (e) 3-D instruments shipped to Japan
- (f) Mark 1 containment and large break flow tests completed

- (g) Continue research on fire protection devices, field inspection equipment development and human engineering assessment
- (h) Maintain Data Bank Effort

6. Decision Package

The sample format for the decision packages (with definitions) is provided as Schedule E-5. For the decision package, the narrative justification for levels above the minimum level should be limited to describing only the changes from the preceding level. A decision package should be limited to no more than two single-spaced pages and each decision package should start on a separate page. Decision packages should be identified numerically for each funding level. Level "1" will always be the minimum level, up to Level N as the office request. In addition to the numerical identification, the current level should be so identified as "current level."

The instructions for filling out Schedule E-5 are as follows:

- a. Planned Accomplishments: Describe by year the planned accomplishments and the benefits that will result with each funding level. Separately identify and number each planned accomplishment and explicitly identify the intended output. To the maximum extent possible, the planned accomplishments should be product oriented (what will be produced?) with quantitative measures (how many?). The workload as indicated on Schedule E-2 should be an excellent source of quantifiable output. If available, include effectiveness and efficiency measures and how they relate to the level of funding. Identify the key indicators by which performance and results are to be measured.

Planned accomplishments for future years with a given funding level should be based on the prior year's accomplishments at that funding level. That is, the FY 19BY minimum level is the base for the minimum level for the planning period, assuming a constant level of services; the FY 19BY+1 minimum is predicated on the FY 19BY minimum and so forth. For 19BY+1 and 19BY+2 the planned accomplishments should be limited to the discrete identification of only the changes from the budget year.

- b. Impact on Major Objectives: State the impact on the Decision Unit major objectives and goals that will result by funding this level.
- c. Other Information: Include other information that can be used in evaluating the resource request contained in the decision package. This should include when applicable:
 - (1) Explanations of legislation needed in connection with the package.

(2) The consequences of not approving the package (for the minimum level package, this will be the effect of zero-funding for the decision unit).

(3) For packages below the current level, an explanation of what is now being accomplished that will not be accomplished at the lower level.

d. Quantification: For purposes of assimilating the planned accomplishments to the Decision Unit Tracking System (DUTS), state these accomplishments in a quantifiable manner. Example--NRR will examine 150 new commercial licenses in FY 19BY utilizing 2.3 MY of effort.

7. Long-Range Projections

Office level projections for FY 19BY+3 and FY 19BY+4 resources should be submitted in the format shown for Schedule E-6. Each office need submit only one of these schedules.

F. SPECIFIC INSTRUCTIONS FOR OTHER BUDGET SCHEDULES

1. Non-Full-Time Permanent Personnel

On Schedule F-1 (example shown at the end of Part II), identify by fiscal year: (a) estimated year end strength (year end strength is defined as the number of employees paid in the last month of each fiscal year); and (b) estimated paid "staff years" (a paid "staff year" equals 2,080 hours of pay). Provide these for each category of non-full-time permanent positions.

This information is needed to accurately price out the cost of these employees.

2. Travel

The five major program offices need not report travel by decision unit (Schedule E-3) but rather report total office travel (excluding interviewee and Change of Duty Station travel) on the following Schedule F-2. For the budget submission to OMB, travel will be allocated to the decision units by CON based on full-time permanent personnel.

3. Administrative Support

Schedule F-3 (shown at the end of Part II) is backup to the ZBB decision units for Administrative Support. This schedule will be submitted by the Office of Administration (ADM) and the Office of Inspection and Enforcement only.

Provide narrative where requested. If there are other categories/line items not fully covered, expand to include and explain.

Give necessary and sufficient detail to the categories which contain ADP, telecommunications, and document retrieval.

The consolidation of the office's input to ADM for ADP and telecommunications requirements and ADM's evaluation of such, should be available as backup to ADM's Administrative Support decision unit.

4. Long-Range Requirements for Research

Schedule G-1 is to be submitted by NRR, NMSS, SD, and IE detailing the requests of these offices to the Office of Nuclear Regulatory Research and stating the priority of these requests. A form should be completed for each FIN project requested for FY 19BY - FY 19BY+4. Do not summarize to the program element level. If the resources to perform the tasks identified are not known to your office, use best estimate or insert the words "unknown."

5. Program Area Crosscuts

The Commission, OMB, and Congress have requested certain program area crosscuts as an aid in their review of the budget. The specific program area crosscuts change from time to time as program emphasis changes. As a result the specific crosscuts will be listed in the annual budget call.

Each office that expends resources, i.e., manpower and/or contractual support, in a given program area crosscut should be prepared to provide a listing of these resources identified by decision unit.

G. INTERNAL REVIEW PROCESS

1. CON Analysis and Review

Upon receipt of the ZBB - Multi-year budget schedules (as discussed in Section E.), the Division of Budget personnel will price out people, identify areas where clarification or additional justification is required, review and analyze the inputs, produce various summary reports of the budget, and provide analysis and summary data to the BRG.

2. Budget Review Group

The Executive Director for Operations has set up a Budget Review Group (BRG) to perform an objective, independent assessment of office budget requests. It is charged with recommending budget levels to the EDO that will meet major program objectives, being responsive to Commission budget year guidance, and identifying duplication and overlapping requirements in internal budget estimates.

The Deputy Executive Director for Operations (DEDO) serves as Chairman of the BRG. The Controller, the Director, Office of Administration, and the Director, Office of Management and Program Analysis, are current members of the BRG. From time to time

representatives from other staff organizations will serve as members. The Director, Division of Budget, serves as Executive Secretary of the BRG. Representatives from the program offices serve as BRG panel members.

The Budget Review Group follows this procedure:

- Controller provides BRG with highlights and analyses of office requests.
- Review panels established with representatives from program offices as members, chaired by a BRG member.
- BRG panels review Controller's analysis and the office requests. Further information is requested as necessary.
- As necessary, BRG conducts budget hearings with designated office representatives. (Written questions may be provided in advance.)
- BRG establishes a recommended manpower and program support mark, hears reclaims, and develops a final mark.
- Division of Budget provides a summary of the BRG recommendations on budget request levels and issues raised to the offices and EDO.

3. EDO Budget Review

The BRG analysis and recommendations are then taken up by the Executive Director for Operations. Based on status reports provided by the BRG during its deliberations and its final report, the EDO will select offices to provide testimony, hold hearings with office directors, and/or require that further written questions be answered. At this time, offices that disagree with the final BRG mark are allowed written and oral reclaims. Based on this review, an EDO recommended position is developed. The EDO budget is then provided to the Commission for consideration.

4. Commission Review of Budget

The Commission reviews both the EDO's recommended levels and, if any, office reclaims. The Commission may also require testimony by selected offices at hearings or require further written justification to be submitted. The Commission approved budget level then becomes the basis for budget materials submitted to OMB.

H. BUDGET AMENDMENTS AND SUPPLEMENTALS

1. Amendments and supplementals may be transmitted to Congress in the President's Budget or submitted separately, consistent with certain policies. These policies are used by the OMB to evaluate NRC requests for amendments and supplementals. Before initiating

a request for a budget adjustment, NRC evaluates this alternative in light of the following relevant policies from OMB Circular A-11:

- a. Regular annual estimates must cover all authorized activities which are proposed to be carried out in the budget year, including those for which additional authorizing legislation is needed or proposed, as well as funds necessary to meet specific financial liabilities already imposed by law.
 - b. Every effort should be made to postpone until the following fiscal year action that would require supplemental appropriations. Whenever possible, proposed additions to the amounts contained in the Budget should be offset by proposed reductions elsewhere in the agency.
 - c. All supplementals and amendments -- whether transmitted in the annual budget or at a later date -- will conform to known policies of the President with respect to the specific programs involved, and must be completely justified with respect to the program objectives and plans, and the proposed financing.
 - d. Requested supplementals and amendments will be considered only when:
 - (1) Existing law requires payments to be made within the fiscal period (e.g., pensions, grants).
 - (2) Liability accrues under the law, and it is in the Government's interest to liquidate the liability as soon as possible (e.g., claims on which interest is payable).
 - (3) An emergency situation arises that requires unforeseen outlays for the preservation of life or property.
 - (4) Increased workload is uncontrollable except by statutory change.
 - (5) New legislation enacted after the submission of the annual estimate will require additional funds within the fiscal period.
 - (6) Presidential and/or Congressional mandates.
2. The Division of Budget will coordinate the internal review process for amendments and supplementals to include:
- a. Specifying of justification material format for program office input.
 - b. Performing CON review and analysis.

- c. Providing staff support for BRG, EDO and Commission review of requests.
 - d. Preparing SECY papers for Commission action on proposed amendments and supplementals.
 - e. Coordinating the preparation of material to be submitted for OMB review. Material required in connection with amendments and supplementals is specified in OMB Circular A-11, Sections 39.3, 39.4, and 39.5.
3. Basically, the procedures as described in Part III and Part IV will be followed during the OMB and Congressional review phases for NRC budget amendments and supplementals.

The Division of Budget will keep the appropriate offices appraised of the timing and requirements for each phase of the external review processes for such requests.

Schedule D-1

AUTOMATIC DATA PROCESSING
(Dollars in Thousands)

Office of _____

Contact: _____

ADP Equipment

Identify each procurement in process or planned such as purchase, lease/rent or maintenance of ADP equipment. State equipment type or category (e.g., Portable Terminal), justification, and funding for each, if known.

Computer Timesharing Services

- a. Identify Agency (e.g., BNL, Justice, NIH, etc.) or commercial service (e.g., INFONET, McAuto, etc.) and funding required for each operational and planned application, system or functional requirement.¹
- b. For scientific computing (as performed on BNL or INEL computer); instead of funding estimates, provide an estimate of total annual CPU hours expected to be used (on an equivalent of CDC7600). For codes operated or planned for operation on the ADPS DG mini, indicate annual mini CPU hours. Indicate computer center, user branch, and each operational or planned code, model, program, or functional requirement and purpose.¹
- c. Interactive scientific computing at BNL or the ADPS DG mini; estimate annual connect hours from low speed terminals. For use of other computing center, give funding estimates. For all, indicate computer center, user branch and each operational or planned code, model, program, application, functional requirement and purpose.¹

Software System Procurement, Development, Maintenance and/or Operation

Identify each software system procurement in process or planned. Indicate project, purpose, system name, funding and supplier such as vendor, contractor or in-house staff, if known. For anticipated in-house support, indicate man years of effort. For each software system, indicate whether a proprietary software package, systems or functional requirements study, new development, maintenance and/or operation is involved.

FY 19CY

Item Description	FY 19PY	Pres. Budget	Current Estimate	FY 19BY+1	FY19BY+2
------------------	---------	-----------------	---------------------	-----------	----------

¹ Indicate for each code, model, program, system or functional requirement whether it will process Privacy (P), Proprietary (PP) or Classified (C) data. Processing of such data will have a budgetary impact.

Schedule D-2

TELECOMMUNICATION SERVICES
(Dollars in Thousands)

Office of _____

FY 19CY
Pres. Current

<u>Item Description</u>	<u>FY19PY</u>	<u>Budget Estimate</u>	<u>FY19BY</u>	<u>FY19BY+1</u>	<u>FY19BY+2</u>
List type of facility, service, or equipment with narrative description	You need not price out your requirements (this will be done by ADM), but indicate only the year in which each listed requirement is needed by placing an "X" in the year column.				

Schedule D-3

TRAINING ACTIVITIES
(Dollars in Thousands)

Office of _____

FY 19CY
Pres. CurrentItem Description FY 19PY Budget Estimate FY19BY FY19BY+1 FY19BY+2GENERAL TRAINING

1. PROGRAM CHANGES:
To provide knowledge or skills needed as a result of change in NRC mission, Priorities, policies, or program requirements.
2. NEW TECHNOLOGY:
To provide the knowledge or skills required for employees to keep abreast of developments related to their:
 - a. professional, legal or administrative disciplines
 - b. technical disciplines
3. NEW WORK ASSIGNMENTS OR RESPONSIBILITIES: To provide knowledge or skills needed as a result of assignment of new duties or responsibilities.
4. MAINTAIN AND IMPROVE PRESENT PERFORMANCE:
To provide knowledge or skills needed to improve or maintain proficiency in current position.
 - a. functional knowledge or skills
 - b. improve personal skills (communications, etc.)

Schedule D-3

TRAINING ACTIVITIES
(Dollars in Thousands)

Office of _____

FY 19CY
Pres. Current

<u>Item Description</u>	<u>FY 19PY</u>	<u>Budget</u>	<u>Estimate</u>	<u>FY19BY</u>	<u>FY19BY+1</u>	<u>FY19BY+2</u>
5. <u>MANAGEMENT AND SUPERVISION</u> ¹ To provide managers with knowledge and skills to improve their proficiency as managers.						
6. <u>PRODUCT TRAINING</u> : To provide knowledge of products, facilities or materials regulated by NRC; i.e., power plants, fuel facilities, etc., other than reactor system technology (detail separately Specialized Training).						
7. <u>OTHER</u> : Includes special needs such as the DARE program, Upward Mobility program, Intern Program.						
8. <u>GROUP TRAINING</u> : To satisfy primarily <u>intra-office</u> needs, i.e., reliability, Standard Review Plan, etc.						

Specialized Training (to be used by all offices other than IE, as required. When used, be specific as to type of course and number of persons requiring each course. If new courses are required, they should be justified).

Subtotal

Total

¹ Funding projections for Executive Development (i.e., FEI, CSC Executive Seminar Center, Brookings Institute, [President Executive Interchange, Fellowships in Congressional Operations, Harvard's program for Senior Managers in Government, Federal Executive Development Program,] etc., will be developed by ADM (MDTS). Management courses outside the formal executive development program will be included in office requests.)

Schedule D-4

EXTRAORDINARY ADMINISTRATIVE SUPPORT REQUIREMENTS
(Dollars in Thousands)

Office of _____

FY 19CY
Pres. Current

Item Description FY 19PY Budget Estimate FY19BY FY19BY+1 FY19BY+2

Describe the specific item
or service required and
include the estimated cost
of the item or service in
the proper column.

Schedule D-5

INTERVIEWEE AND CHANGE OF DUTY STATION TRAVEL
(Dollars in Thousands)

Office of _____

FY 19CY
Pres. Current

<u>Item Description</u>	<u>FY 19PY</u>	<u>Budget</u>	<u>Estimate</u>	<u>FY19BY</u>	<u>FY19BY+1</u>	<u>FY19BY+2</u>
A. Headquarters Initiated Interviewee Travel (No. of trips).						
B. Change of Duty Station Travel (No. of trips).						

Schedule E-1

OFFICE: _____ U. S. NUCLEAR REGULATORY COMMISSION Page ____ OF ____

TITLE: (Decision Unit) SALARIES AND EXPENSES B&R _____CODE: (To be Completed) 31-0-200-1-276 DATE: _____

DECISION UNIT OVERVIEW

1. Decision Unit Description: Provide a short narrative description of the Decision Unit in generic (non-specific) terms.
2. Long-Range Goals: Identify the long-range goals of the decision unit. The long-range goals should be directed toward meeting the general needs of the program and serve as the basis for determining the major objectives. Note: The long-range goals are mission oriented.
3. Planning Period Assumptions and Factors: Describe and detail the assumptions and planning factors upon which this decision unit is based including: a summary of legislation and/or Commission decisions upon which funding is contingent, manloading factors, caseload, as well as other relevant planning factors and assumptions. If there are changes in FY 19BY through FY 19BY+2 planning period assumptions and factors from those that have been approved by the Commission, provide a complete rationale for each year's change.
4. Major Objectives: State specifically the objectives the unit is intended to satisfy. Major objectives normally are (a) of a continuing nature or take relatively long periods to accomplish; (b) should be measurable: quantitatively if possible, or qualitatively; (c) should be those that the Office management utilizes; (d) should form the basis for first determining and subsequently evaluating the accomplishments of programs or activities; and (e) should be explicit statements of intended output clearly related to the basic need for which the Decision Unit is based.
5. Accomplishments: Describe the progress of the Decision Unit toward meeting the major objectives prior to the budget year, include the FY 19PY accomplishments as well as the FY 19PY FY 19CY planned accomplishments. Indicate interface with other decision units/organizations and responsible organizational element. This section should include both quantitative and qualitative measures of results (refer to OMB Circular A-11, Section 24.1(b)).
6. Alternatives: Describe only the viable alternative ways to accomplish the major objectives. (Note: If there are clearly no viable alternatives, a statement to this effect should be included.) Identify which of the alternatives represents the method proposed for the budget/planning period. Briefly explain how the approach selected contributes to satisfying the

major objectives and the rationale for not pursuing other viable alternatives. This may include a brief discussion of the organizational structure required and associated impact; the marginal cost contribution through the budget/planning period; and, when applicable, the unique aspects and needs of the program that cannot be filled by other Federal government agencies, by State and local governments, or by the private sector, particularly when any expanded or new actions are proposed during the planning period.

7. Other Information: Provide any other information that is relevant to this Decision Unit. This information could include total funding to complete a major project and full funding disclosure for this unit's major projects.
8. Office Interface: Explain the specific inter-relationship of this Decision Unit to other NRC offices, i.e., how do other offices influence the content of this Decision Unit in terms of workload, cooperative effort, funding, etc.

Schedule E-2

SAMPLE - MANPOWER COMPUTATION TABLE

DECISION UNIT TITLE					MANPOWER COMPUTATION TABLE (3-79)													
OFFICE				DATE	Schedule E-2													
(1) NO.	(2) WORKLOAD DEFINITION	(3) WORKLOAD VOLUME								(4) LABOR RATES				(5) STAFFYEARS REQUIRED				
		FY 80	FY 81	FY 82	FY 83	AVG	EOY	PEAK	OTHER	FY 80	FY 81	FY 82	FY 83	FY 80	FY 81	FY 82	FY 83	

Example

Schedule E-2A

Labor Rate Development - Labor rates used for Decision Units A, B, E, and F were developed by selecting a representative sampling of actions completed in FY 1978 (10) and computing the average time required to process the actions. The change in the labor rate for Decision Unit E in FY 1981 is due to anticipated efficiencies in processing procedures. Labor rates for Decision Units C and D were computed by reviewing the total products completed in FY 1977 and 1978 and determining the most likely time required to process the average action in each category.

Date Sources - Manpower information was attained primarily from the Manpower System (MPS). Both regular and overtime hours were used. Workload volume information for Decision Units B, D, E, and F were derived from the current Caseload Forecast Panel projection. Workload for Decision Unit A was projected based on historical experience as reported in the Workload Item Tracking System (WITS). Workload for Decision Unit C is based on the best judgment of office managers.

Support Allocation - Total office support for supervision, clerical, and administration in FY 1982 was 82 staffyears. Of these, 40 positions are at the AD level or above and were determined to be primarily related to organization structure and program administration (Organizational Support). The number of these positions remains fixed through the budgeting period and are distributed to the following Decision Units: 8 to Decision Unit A; 10 to Decision Unit C; 15 to Decision Unit E; and 7 to Decision Unit F.

The remaining office support of 42 staffyears is considered to be related to direct professional effort (Professional Support) and represents an add-on factor of 24% for all Decision Units.

Full-Time Permanents/Other - In FY 1978, part-time and temporary employees contributed 11 staffyears of effort to the office. Eight of these were in the administration and clerical area and three were in professional disciplines. The distribution among Decision Units was determined from the MPS and is so reflected.

FORMAT
Resource Summary

(Title of Decision Unit)

(Dollars in Thousands)

Office of _____

Schedule E-3

	Item	FY 19PY	FY 19CY		FY 19BY	FY 19BY+1	FY BY+2
			Pres. Budget	Current Estimate			
<u>Minimum Level</u>	Personnel FTP EOY*						
Rank:	Program Support						
	Travel***						
	Equipment						
	Administrative Support**						
	Training**						
	Total						
<u>Current Level</u>	Personnel FTP EOY						
Rank:	(Same as Above)						
<u>Level N</u>	Personnel FTP EOY						
Rank:	(Same as Above)						
<u>FY 1982</u>	Personnel FTP EOY						
New Initiatives	(Same as Above)						
<u>FY 1983</u>	Personnel FTP EOY						
New Initiatives	(same as Above)						

L
E
A
V
E
B
L
A
N
K

* Full-time Permanent, End of Year Strength
 ** Input provided by IE and ADM only
 *** Five major program offices need not report by decision unit

II-35

Approved: March 11, 1980

BUDGET POLICY AND PRACTICES

NRC Appendix 1301
Part II

Approved: March 11, 1980

II-36

Schedule E-3A

OFFICE: _____
TITLE: (Decision Unit Title)

U.S. NUCLEAR REGULATORY COMMISSION
SALARIES AND EXPENSES 31-0-200-0-1-276
DECISION UNIT PROGRAM SUPPORT FUNDING DETAIL
(Dollars in Thousands)

PAGE ___ OF ___
DATE: _____
CODE: (Leave Blank)

NRC Appendix 1301
Part II

BUDGET POLICY AND PRACTICES

Pres. Budget <u>FY 19CY</u>	Revised Estimate <u>FY 19CY</u>	<u>FY 19BY</u>	<u>FY BY+1</u>	<u>FY 19BY+2</u>
-----------------------------------	---------------------------------------	----------------	----------------	------------------

Minimum Level:
Planned Accomplishments
 Detail 1
 Detail N
Total

Current Level:

Level N

FY 19BY+1 New Initiatives:

FY 19BY+2 New Initiatives:

L
E B
 A L
 V A
 E N
 K

OFFICE: U.S. NUCLEAR REGULATORY COMMISSION
TITLE: (Decision Unit Titles) SALARIES AND EXPENSES 31-0-200-0-1-276
DECISION PACKAGES

PAGE ___ OF ___
DATE: _____

A. MINIMUM LEVEL:

- 1. Planned Accomplishments: a. FY 19BY; b. FY 19BY+1; and c. FY 19BY+2

No new initiatives are to be introduced in this level in either the budget year or planning years, but the minimum level may increase (or decrease) for "through-put" related increases (or decreases) such as caseload, project termination, etc.

- 2. Impact on Major Objectives:

- 3. Other Information:

B. CURRENT LEVEL: (Describe only the change from the preceding level).

- 1. Planned Accomplishments: a. FY 19BY; b. FY 19BY+1; and c. FY 19BY+2

No new initiatives are to be introduced in this level, in the budget or planning years, but funding increases (or decreases) for "through-put" related increases (or decreases), such as caseload, project termination, etc., can be accommodated.

- 2. Impact on Major Objectives:

- 3. Other Information:

C. ALL LEVELS ABOVE CURRENT LEVEL IN THIS BUDGET YEAR (FY 19BY): (Describe only the change from the preceding level).

- 1. Planned Accomplishments: a. FY 19BY; b. FY 19BY+1; and c. FY 19BY+2

New initiatives should be discretely identified and prioritized. If the planned accomplishments extend into the planning priod, FY 19B+1-FY 19BY+2, state the benefits and describe the timeframe

OFFICE: U.S. NUCLEAR REGULATORY COMMISSION
 TITLE: (Decision Unit Titles) SALARIES AND EXPENSES 31-0-200-0-1-276
 DECISION PACKAGES

PAGE ___ OF ___
 DATE: _____

for completing the planned accomplishments. At this level, the program effort and funding that extends into the planning period will be based on the "level services" approach of coasting out the budget year decisions; therefore, new initiatives are excluded in the planning period (FY 19BY+1 - FY 19BY+2).

2. Impact on Major Objectives:
3. Other Information:

D. FY 19BY+1 NEW INITIATIVES: (Describe only the change from the preceding level in FY 19BY+1).

1. Planned Accomplishments: a. FY 19BY+1; and b. FY 19BY+2

New initiatives should be discretely identified and prioritized. This level should describe only the new FY 19BY+1 initiatives; funding for FY 19BY+2 is based on the "current services" approach of costing out the FY 19BY+1 program decisions with no new initiatives being introduced in FY BY+2.

2. Impact on Major Objectives:
3. Other Information:

E. FY 19BY+2 NEW INITIATIVES: (Describe only the change from the preceding level in FY 19BY+2).

1. Planned Accomplishments: This level should describe only the new FY 19BY+2 initiatives. (New initiatives should be discretely identified and prioritized).
2. Impact on Major Objectives:
3. Other Information:

Approved: March 11, 1980

II-38

OFFICE: _____

U.S. NUCLEAR REGULATORY COMMISSION
 SALARIES AND EXPENSES 31-0-200-0-1-276
 FY19BY+3 AND FY 19BY+4 PROJECTIONS

OFFICE REQUEST

FY 19BY+3	FY 19BY+4
-----------	-----------

Personnel FTP EOY

Funding:

Program Support	\$	\$
Travel		
Equipment		
Administrative Support (ADM & IE)		
Training (ADM & IE)	\$	\$

NOTE: The resources projection data will be presented on a total office basis, decision units and funding levels will not be used for FY 19BY+3 and 19BY+4

Planned Accomplishments:

Basically, the FY 19BY+3 and 19BY+4 projections will be an extension of the FY 19BY+2 resources assuming the highest level of resources projected for FY 19BY+2 is approved. If there are any significant changes in planned accomplishments for FY's 19BY+3 and 19BY+4, these must be presented here as a basis for the projections.

II-39

Approved: March 11, 1980

Non Full-Time Permanent Personnel
(Dollars in Thousands)

Office of _____

Schedule F-1

Item	FY 19PY	FY 19CY		FY 19BY	FY 19BY+1	FY 19BY+2
		Pres. Budget	Current Estimate			

Estimate year-end strength:

Part-time regular
Temporary
When actually employed (WAE)
Personnel services consultants
Total

Paid Staff Years:

Part-time regular
Temporary
WAE
Personnel services consultants
Total

Travel
(Dollars in Thousands)

Office of _____

Schedule F-2

Item	FY 19PY	FY 19CY		FY 19BY	FY 19BY+1	FY 19BY+2
		Pres. Budget	Current Estimate			
A. Administrative Travel ^{1/}						
B. All other travel						
 Total						

^{1/} Administrative Travel has been defined in OMB Bulletin 78-18 dated September 20, 1978, as all travel not directly related and essential to the performance of a Federal program or mission - CON memorandum of December 27, 1978, subject: REDUCTION OF ADMINISTRATIVE TRAVEL interpreted the OMB guidelines to include the following types of travel, by code designation:

- | | |
|--|------------------------|
| A (Professional Meetings) | Y (Miscellaneous -50%) |
| F (Training, less IE inspector training) | Z (Local Travel) |
| S (Program Direction) | |

Administrative Support
(Dollars in Thousands)

Office of _____

Schedule F-3

Item ^{1/}	FY 19PY	FY 19CY		FY 19BY	FY 19BY+1	FY 19BY+2
		Pres. Budget	Current Estimate			
A. Transportation of Things:						
B. Communication Services:						
C. Rents & Utilities						
D. Printing and Reproduction						
E. Other Services						
F. Supplies & Materials						
G. Equipment						
TOTAL						

^{1/} Under each category, identify all items of \$100K or more, with the balance identified as "all other."

Schedule G-1

RESEARCH REQUIREMENTS

FROM OFFICE OF _____

Title

FIN

Type (LWR, Advanced, Env-FC, Safe, or Risk) - Expand to 5 years

FY 19BY FY 19BY+1 FY 19BY+2 FY BY+3 FY 19BY+4

Funding

RES Staff hours

Requesting Branch and Purpose

Schedules for Implementing Results

Priority 1 (high) to 5 (low)

(Note: Contractor/Activity name should be included on this exhibit.)

Title: _____
(Crosscut Name)

OFFICE OF _____
(Dollars in Thousands)

FY 19CY
People Contractual Support
\$

FY 19BY
People Contractual Support
\$

FY BY+1
People Contractual Support
\$

FY 19BY+2
People Contractual Support
\$

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

PART III
BUDGET AND REPORTING CLASSIFICATIONS

CONTENTS

	<u>PAGE</u>
INTRODUCTION	iii
OFFICE OF INFORMATION RESOURCES MANAGEMENT (IRM)	1-10
OFFICE OF NUCLEAR REACTOR REGULATION (NRR)	11-16
OFFICE OF THE INSPECTOR GENERAL (OIG)	17-20
OFFICE OF ADMINISTRATION (ADM)	21-27
GENERAL SERVICES ADMINISTRATION (GSA) TRANSFER APPROPRIATION	29-33
OFFICE OF NUCLEAR MATERIAL SAFETY AND SAFEGUARDS (NMSS)	35-41
OFFICE OF NUCLEAR REGULATORY RESEARCH (RES)	43-52
COMMISSION AND STAFF OFFICES (COM/S)	53-62
EXECUTIVE DIRECTOR FOR OPERATIONS AND STAFF OFFICES (EDO/S)	63-68
OFFICE FOR ANALYSIS AND EVALUATION OF OPERATIONAL DATA (AEOD)	69-75
OFFICE OF PERSONNEL (OP)	77-81
REGIONS (REGS)	83-93
OTHER COSTS AND CREDITS (C/C)	95-100
REVENUES APPLIED (REVN)	101-102
DEPOSIT ACCOUNTS (DP/ACCTS)	103-104

THIS PAGE INTENTIONALLY LEFT BLANK

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

PART III

BUDGET AND REPORTING CLASSIFICATIONS

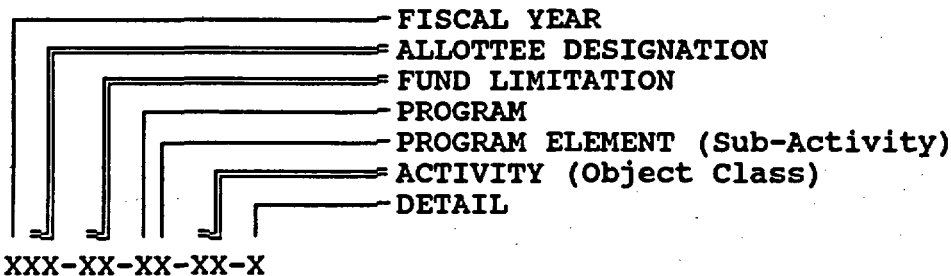
INTRODUCTION

PURPOSE (A)

The system of budget and reporting (B&R) classification numbers provides a framework for controlling funds and for identifying and recording financial resources and transactions. That framework is structured to be consistent with the structure in the NRC Five-Year Plan (FYP). This approach permits funds to be allotted, and obligations and outlays to be reported in a manner consistent with the allocation of resources in the FYP. The financial data collected within this framework serves as a basis for preparing and supporting the Agency's budget request, for controlling the execution of the Agency's appropriated funds, and for providing financial information required by the Office of Management and Budget and the Department of the Treasury.

STRUCTURE (B)

The B&R Classification number consists of 10-digits and, in general, is constructed as shown below and discussed in the following paragraphs. Exceptions to this approach apply to certain special B&R classification numbers used by the Division of Accounting and Finance (OC/DAF).



POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

STRUCTURE (B) (continued)

XXX-XX-XX-XX-X FISCAL YEAR

The first position comprises the last digit of the fiscal year for which funds are appropriated.

XXXX-XX-XX-XX-X ALLOTTEE DESIGNATION

The second and third positions are used to identify the allottee's account. Separate accounts have been established for each allottee, and in special cases, more than one account has been established for some allottees.

XXX-XX-XX-XX-X FUND LIMITATION

The fourth and fifth positions denote the fund limitation or specific type of obligation [i.e., personnel compensation (11), personnel benefits (12), benefits for former personnel (13), program support (19), administrative support (20), travel (21), official representation (23), equipment (31), insurance claims and indemnities (42), and reimbursable work (60)].

XXX-XX-XXX-XX-X PROGRAM

The sixth position identifies the program within the NRC Five-Year Plan to which the funds have been allocated in the applicable financial plan. (Refer to page vii for list of programs.) A zero in this position means that the funds are not allocated to a specific program (e.g., agency salaries and benefits, and reimbursable work).

XXX-XX-XX-XXX-X PROGRAM ELEMENT (Sub-Activity)

The meaning of the seventh position varies with the type of funds. For program support funding, this position designates the program element within the NRC Five-Year Plan. For

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

administrative support funding, this position designates a sub-activity within the NRC Five-Year Plan. For reimbursable work, this position is used to indicate whether the funds have been provided for work for another federal agency or for a non-federal entity. For salaries and benefits, this position designates either non-high level waste funding or high-level waste offset posting. A zero in this position means that the funds are not associated with a specific program element or sub-activity. This is the normal level of financial control specified in the financial plans issued by the Division of Budget and Analysis (OC/DBA).

xxx-xx-xx-xx-x ACTIVITY (Object Class)

The identification of the eighth and ninth positions varies with the type of funds. For program support funding, these positions identify the activity within the NRC Five-Year Plan. For administrative support funding, these positions identify the object classification code. Object classification codes are used to identify the nature of the services or articles procured (e.g., printing and reproduction) without regard to the purpose for which they are used. A standard listing of such codes is contained in OMB Circular A-11.

xxx-xx-xx-xx-x DETAIL

The tenth position is provided for the optional use of the allottee. However, if the tenth position is utilized, the number, title and definition must be reported to the Division of Budget and Analysis (OC/DBA) for inclusion in this Appendix.

ASSIGNMENT OF B&R CLASSIFICATION NUMBERS (C)

B&R Classification numbers are assigned by the Division of Budget and Analysis (OC/DBA). Allottees and other users of B&R Classification numbers must obtain approval from OC/DBA prior to using new B&R numbers.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

This approach is necessary to ensure that the agency accounting system is prepared to accept such numbers prior to receipt of financial documents by OC/DAF.

UPDATING PROCEDURES (D)

The Division of Budget and Analysis (OC/DBA) updates this Appendix annually to conform to the structure of the current NRC Five-Year Plan and at other times as required to meet operational requirements during budget execution. Requests for changes to this Appendix should be submitted in writing to OC/DBA.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

PROGRAMS

1. REACTOR SAFETY AND SAFEGUARDS REGULATION
2. NUCLEAR SAFETY RESEARCH
3. NUCLEAR MATERIAL AND LOW-LEVEL WASTE SAFETY AND SAFEGUARDS REGULATION
4. HIGH-LEVEL NUCLEAR WASTE REGULATION
5. SPECIAL AND INDEPENDENT REVIEWS, INVESTIGATIONS, AND ENFORCEMENT
6. NUCLEAR SAFETY MANAGEMENT AND SUPPORT
7. INSPECTOR GENERAL

OFFICES

Nuclear Reactor Regulation

Nuclear Regulatory Research

Nuclear Material Safety and Safeguards

Nuclear Material Safety and Safeguards
Nuclear Regulatory Research
Licensing Support System

Advisory Committee on Reactor Safeguards
Advisory Committee on Nuclear Waste
Atomic Safety and Licensing Board Panel
Analysis and Evaluation of Operational Data
External Investigations
Enforcement

Commission
Secretariat
General Counsel
Governmental and Public Affairs
Commission Appellate Adjudication
Executive Director for Operations
Small and Disadvantaged Business Utilization and Civil Rights
Controller
Administration
Consolidation
Information Resources Management
Personnel
Regions

Inspector General

THIS PAGE INTENTIONALLY LEFT BLANK

POLICIES AND PRACTICES GOVERNING
 NRC LONG-RANGE PLANNING, BUDGET
 FORMULATION, AND RESOURCE MANAGEMENT
 APPENDIX 1301 PART III

OFFICE OF INFORMATION RESOURCES MANAGEMENT

SUMMARY

XXX XX XX XX X

210					Office of Information Resources Management
	20				Administrative Support
		40			Administrative Services for High-Level Waste
		49			Offset for High-Level Waste Posting
		61			Director's Office
			25	0	Policy, Planning and Management Direction
			25	1	NRC as a Licensing Support System Participant
		62			Telecommunications Services
			23		Equipment Rental and Communications Services
			25		Technical Support and Other Services
			26		Non-Capital Equipment, Supplies and Materials
			31		Capital Equipment
		63			Information Systems Development and Maintenance
			25		Systems Development, Maintenance, Data Entry, and Other Services
			31		Capital Equipment
		64			Office Automation and Network Development
			25		Local Area Networks and Other Services
			26		Hardware and Software Acquisition and Upgrade
			31		Capital Equipment
		65			Information and Records Management Services
			24		Microform Purchases and Services
			25		Technical Support and Other Services
			26		Non-Capital Equipment, Supplies and Materials
			31		Capital Equipment
		66			Document Control, Dissemination and Information Support Services
			25		Technical Support and Other Services
			26		Non-Capital Equipment, Supplies and Materials
			31		Capital Equipment

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

XXX XX XX XX X

		67	Information Technology Services
		23	Equipment Rental
		25	Technical Support and Other Services
		26	Supplies and Materials
		31	Capital Equipment
21	60		Travel (Persons-Regular)
60			Reimbursable Work
	01		Reimbursable Work (Federal Agencies)
	02		Reimbursable Work (Non-Federal Parties)

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

210 00 00 00 0 OFFICE OF INFORMATION RESOURCES MANAGEMENT

Includes responsibility for advanced planning for the agency's future information technology development; telecommunications; systems development and maintenance; office automation and network development; information and records management; document control; and information technology services.

210 20 00 00 0 ADMINISTRATIVE SUPPORT

Includes all obligations, payments, and costs for administrative support services as follows:

210 20 40 00 0 ADMINISTRATIVE SERVICES FOR HIGH-LEVEL
WASTE

This B&R number was established to provide a mechanism for keeping track of resources associated with administrative support services for NRC's high-level waste activities. Funds posted against this B&R number are a subset of the resources allocated among B&R numbers 210-20-61 through 210-20-67.

210 20 49 00 0 OFFSET FOR HIGH-LEVEL WASTE POSTING

B&R number 210-20-40-00-0 was established to provide a mechanism for keeping track of resources associated with administrative support services for NRC's high-level waste activities. Funds posted against that B&R number are a subset of the resources allocated among B&R numbers 210-20-61 through 210-20-67. Thus, B&R number 210-20-49-00-0 was established to provide a mechanism for an offset posting to ensure that there is no net effect on the financial plans, allotments, and financial status shown in the accounting reports for IRM.

210 20 61 00 0 DIRECTOR'S OFFICE

Includes planning and studies in the Office of Information Resources Management.

210 20 61 25 0 POLICY, PLANNING, AND MANAGEMENT
DIRECTION

Includes advanced planning and studies for the agency's future information requirements and for total quality management in the Office of Information Resources Management.

**POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III**

**210 20 61 25 1 NRC AS A LICENSING SUPPORT SYSTEM
PARTICIPANT**

Includes all direct support from IRM as a participant in the Licensing Support System.

210 20 62 00 0 TELECOMMUNICATIONS SERVICES

Includes transmission of messages from place to place by means such as telephone, teletype, telegraph, cable and radio, including installation of equipment, lease of tie lines, switchboard and service charges, toll charges, contractual message services, etc.

**210 20 62 23 0 EQUIPMENT RENTAL AND COMMUNICATIONS
SERVICES**

Includes telecommunications services provided by either commercial organizations or other Federal agencies; and rental of telecommunications equipment.

210 20 62 25 0 TECHNICAL SUPPORT AND OTHER SERVICES

Includes commercial and interagency contracted services not otherwise classified, such as maintenance of telecommunications equipment and telecommunications technical support.

**210 20 62 26 0 NON-CAPITAL EQUIPMENT, SUPPLIES, AND
MATERIALS**

Includes commodities whether acquired by formal contract or other form of purchase that are (1) ordinarily consumed or expended within one year after they are put into use, (2) converted in the process of construction or manufacture, or (3) used to form a minor part of equipment or fixed property. Also includes property items of a non-capital nature under \$5,000.

210 20 62 31 0 CAPITAL EQUIPMENT

Includes telecommunications hardware that meet the following definition: personal property of a durable nature - that is, property that normally may be expected to have a period of service of one year or more after being put into use without material impairment of its physical condition. Includes charges for services in connection with the initial installation of equipment when performed under contract. This equipment generally: (1) is complete in itself, (2) does not

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

lose its identity or become a component part of another item when placed in use, (3) has repeated use, (4) has a service life of two or more years, and (5) costs \$5,000 or more. Excludes commodities that are converted in the process of construction or manufacture, or that are used to form a minor part of equipment or fixed property.

210 20 63 00 0 INFORMATION SYSTEMS DEVELOPMENT AND
MAINTENANCE

Includes the costs of systems development, data entry, maintenance, other selected services, and capital equipment.

210 20 63 25 0 SYSTEMS DEVELOPMENT, MAINTENANCE, DATA
ENTRY, AND OTHER SERVICES

Includes the costs of systems development of proposed agency shared information data bases, maintenance, all data entry services, and other services.

210 20 63 31 0 CAPITAL EQUIPMENT

Includes automated data processing (ADP) software that meet the following definition: personal property of a durable nature - that is, property that normally may be expected to have a period of service of one year or more after being put into use without material impairment of its physical condition. Includes charges for services in connection with the initial installation of equipment when performed under contract. This equipment generally: (1) is complete in itself, (2) does not lose its identity or become a component part of another item when placed in use, (3) has repeated use, (4) has a service life of two or more years, and (5) costs \$5,000 or more. Excludes commodities that are converted in the process of construction or manufacture, or that are used to form a minor part of equipment or fixed property.

210 20 64 00 0 OFFICE AUTOMATION AND NETWORK DEVELOPMENT

Includes evaluation and implementation of options for replacing existing data/word processing and office automation equipment, as well as the procurement of microcomputer hardware and software. Also includes the implementation of local area networking to the agency word processing and personal computer environment.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

210 20 64 25 0 LOCAL AREA NETWORKS AND OTHER SERVICES

Includes local area networks and other services.

210 20 64 26 0 HARDWARE AND SOFTWARE ACQUISITION AND
UPGRADE

Includes initial acquisition and subsequent upgrades of ADP hardware and software. These meet the definition of commodities whether acquired by formal contract or other form of purchase that are (1) ordinarily consumed or expended within one year after they are put into use, (2) converted in the process of construction or manufacture, or (3) used to form a minor part of equipment or fixed property. Also includes hardware and software items of a non-capital nature under \$5,000.

210 20 64 31 0 CAPITAL EQUIPMENT

Includes hardware and software that meet the following definition: personal property of a durable nature - that is, property that normally may be expected to have a period of service of one year or more after being put into use without material impairment of its physical condition. Includes charges for services in connection with the initial installation of equipment when performed under contract. This equipment generally: (1) is complete in itself, (2) does not lose its identity or become a component part of another item when placed in use, (3) has repeated use, (4) has a service life of two or more years, and (5) costs \$5,000 or more. Excludes commodities that are converted in the process of construction or manufacture, or that are used to form a minor part of equipment or fixed property.

210 20 65 00 0 INFORMATION AND RECORDS MANAGEMENT
SERVICES

Includes all information technology-related product evaluation and acquisition, and all systems development to assure the most effective delivery of services related to records services.

210 20 65 24 0 MICROFORM PURCHASES AND SERVICES

Includes the production of microfiche and microfilm.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

210 20 65 25 0 TECHNICAL SUPPORT AND OTHER SERVICES

Includes information resource management services provided by commercial sources or interagency agreements, including news clipping, subscriptions, data base access, microform duplication, microform equipment maintenance, and archival and non-record storage facilities.

210 20 65 26 0 NON-CAPITAL EQUIPMENT, SUPPLIES, AND MATERIALS

Includes commodities whether acquired by formal contract or other form of purchase that are (1) ordinarily consumed or expended within one year after they are put into use, (2) converted in the process of construction or manufacture, or (3) used to form a minor part of equipment or fixed property. Also includes property items of a non-capital nature under \$5,000, such as books.

210 20 65 31 0 CAPITAL EQUIPMENT

Includes records management equipment that meets the following definition: personal property of a durable nature - that is, property that normally may be expected to have a period of service of one year or more after being put into use without material impairment of its physical condition. Includes charges for services in connection with the initial installation of equipment when performed under contract. This equipment generally: (1) is complete in itself, (2) does not lose its identity or become a component part of another item when placed in use, (3) has repeated use, (4) has a service life of two or more years, and (5) costs \$5,000 or more. Excludes commodities that are converted in the process of construction or manufacture, or that are used to form a minor part of equipment or fixed property.

210 20 66 00 0 DOCUMENT CONTROL, DISSEMINATION, AND INFORMATION SUPPORT SERVICES

Includes the Nuclear Documents System, and equipment maintenance.

210 20 66 25 0 TECHNICAL SUPPORT AND OTHER SERVICES

Includes the Nuclear Documents System, and equipment maintenance.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

210 20 66 26 0 NON-CAPITAL EQUIPMENT, SUPPLIES, AND
MATERIALS

Includes commodities whether acquired by formal contract or other form of purchase that are (1) ordinarily consumed or expended within one year after they are put into use, (2) converted in the process of construction or manufacture, or (3) used to form a minor part of equipment or fixed property. Also includes property items of a non-capital nature under \$5,000.

210 20 66 31 0 CAPITAL EQUIPMENT

Includes microfiche production and duplication equipment that meet the following definition: personal property of a durable nature - that is, property that normally may be expected to have a period of service of one year or more after being put into use without material impairment of its physical condition. Includes charges for services in connection with the initial installation of equipment when performed under contract. This equipment generally: (1) is complete in itself, (2) does not lose its identity or become a component part of another item when placed in use, (3) has repeated use, (4) has a service life of two or more years, and (5) costs \$5,000 or more. Excludes commodities that are converted in the process of construction or manufacture, or that are used to form a minor part of equipment or fixed property.

210 20 67 00 0 INFORMATION TECHNOLOGY SERVICES

Includes ADP equipment rental; support services, including timesharing; the Nuclear Materials Management and Safeguards System; commercial hardware and software maintenance; miscellaneous graphics supplies, and capital hardware.

210 20 67 23 0 EQUIPMENT RENTAL

Includes the rental of ADP equipment.

210 20 67 25 0 TECHNICAL SUPPORT AND OTHER SERVICES

Includes services provided either by commercial sources or interagency agreement, including agency computer support, timesharing; the Nuclear Materials Management and Safeguards System; software maintenance; and hardware maintenance for small systems microcomputers, 5520s, displaywriters, and general ADP equipment.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

210 20 67 26 0 SUPPLIES AND MATERIALS

Includes commodities, such as graphics supplies, whether acquired by formal contract or other form of purchase that are (1) ordinarily consumed or expended within one year after they are put into use, (2) converted in the process of construction or manufacture, or (3) used to form a minor part of equipment or fixed property. Also includes property items of a non-capital nature under \$5,000, such as books.

210 20 67 31 0 CAPITAL EQUIPMENT

Includes ADP hardware that meet the following definition: personal property of a durable nature - that is, property that normally may be expected to have a period of service of one year or more after being put into use without material impairment of its physical condition. Includes charges for services in connection with the initial installation of equipment when performed under contract. This equipment generally: (1) is complete in itself, (2) does not lose its identity or become a component part of another item when placed in use, (3) has repeated use, (4) has a service life of two or more years, and (5) costs \$5,000 or more. Excludes commodities that are converted in the process of construction or manufacture, or that are used to form a minor part of equipment or fixed property.

210 21 60 00 0 TRAVEL (PERSONS-REGULAR)

Includes all obligations, payments, and costs for domestic travel for transportation of persons, subsistence of travelers, and incidental travel expenses in accordance with the Federal Travel Regulations and Appendix 1501. These costs are chargeable directly to NRC. Specifically includes (a) commercial transportation charges, rental or charter from commercial sources of passenger-carrying motor vehicles, trains, buses, vessels, and airplanes for transportation of persons, and expenses incident to the operation of such rented or chartered conveyances (rentals of all passenger-carrying motor vehicles from commercial sources are included, even though they may be used incidentally for transportation of things); and (b) mileage allowances for use of privately-owned vehicles and related charges which are specifically authorized (such as ferry fares and tolls) and mass transportation and taxi fares (including tips) whether in connection with local travel or travel away from a designated post of duty. Excludes rental of passenger-carrying motor vehicles from Government motor pools.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

Includes all obligations, payments, and costs incurred for foreign travel between permanent duty station and foreign destination and return. However, when temporary duty is performed at a U.S. stopover en route to or from a foreign destination, the pertinent one-way costs of the trip between the permanent duty station and the stopover are charged to domestic travel. Such temporary duty at a U.S. stopover must be shown on the Travel Authorization (NRC-279) under remarks (item 27) as necessary for and not just incidental to the foreign trip.

210 60 00 00 0 REIMBURSABLE WORK

Includes all obligations, payments, and costs incurred under reimbursable agreements with other Federal agencies and outside parties in which NRC provides such goods or services.

210 60 01 00 0 REIMBURSABLE WORK (FEDERAL AGENCIES)

210 60 02 00 0 REIMBURSABLE WORK (NON-FEDERAL PARTIES)

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

OFFICE OF NUCLEAR REACTOR REGULATION

SUMMARY

<u>XXX</u>	<u>XX</u>	<u>XX</u>	<u>XX</u>	<u>X</u>	
220					Office of Nuclear Reactor Regulation
	19				Program Support
		11			Reactor Licensing - Application
					Reviews and Inspections
			01		Power Reactor Applications and
					Inspections
			03		Review of Standardized Reactor
					Design Applications
			04		Review of Other-Than-Power-Reactors
					Applications
			05		Review of Reactor License Renewal
					Applications
			06		Improvements to Regulations
		12			Reactor Operations and Accident
					Management Reviews
			01		Reactor Performance Evaluation
			03		Evaluation of Licensee Performance
					and Maintenance
			04		Reactor Accident Management
					Evaluation
		13			Human Performance in Reactor Safety
			01		Human Performance Evaluation
			02		Licensing and Examination of Reactor
					Operators
		14			Reactor Operations and Safeguards
					Inspections
			02		Region-Based Inspections
			03		Specialized Inspections
		15			Operating Reactor License Maintenance
					and Regulatory Improvements
			03		Licensing Activities Safety
					Evaluations
			04		Regulatory Improvements
	21	10			Travel (Persons-Regular)
	60				Reimbursable Work
			01		Reimbursable Work (Federal Agencies)
			02		Reimbursable Work (Non-Federal
					Parties)

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

220 00 00 00 0 OFFICE OF NUCLEAR REACTOR REGULATION

The Office of Nuclear Reactor Regulation (NRR) implements regulations, and develops and implements policies, programs and procedures for all aspects of reactor licensing and inspection.

220 19 00 00 0 PROGRAM SUPPORT

Includes all obligations, payments and costs incurred by other Federal agencies, contractors, and other organizations in direct support of the programs in NRR as follows:

220 19 11 00 0 REACTOR LICENSING - APPLICATION REVIEWS
AND INSPECTIONS

Includes technical assistance for activities to ensure that nuclear power plants and nonpower reactors are designed and constructed properly and are ready for safe operation. Also includes technical assistance related to the preparation of future licensing activities.

220 19 11 01 0 POWER REACTOR APPLICATIONS AND INSPECTIONS

Includes technical assistance for accomplishing reviews of power reactor construction permit and operating license applications. Also includes: effort that may have generic applicability but is directly referenced or directly used in a Safety Evaluation Report(s) (SER) or Supplemental SER such that the need for the effort is "driven by" the SER milestone(s) requirement for an application(s) currently under active review; technical assistance related to evaluating a utility's readiness to proceed to the next major milestone in the construction and pre-operational testing processes; and analytical services for environmental monitoring, and contractor technical support to NRC Regional Offices for inspection activities. In addition, includes technical assistance related to TMI-related activities.

220 19 11 03 0 REVIEW OF STANDARDIZED REACTOR DESIGN
APPLICATIONS

Includes technical assistance for design certification reviews, reviews of applications for standardized reactor designs, and review of standardized requirements or concepts.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

220 19 11 04 0 REVIEW OF OTHER-THAN-POWER-REACTOR
APPLICATIONS

Includes technical assistance for the accomplishment of all other license reviews such as nonpower reactor licensing and license renewals, work in support of Department of Defense (DOD) and Department of Energy (DOE) reactor projects, and in support of cancellation and decommissioning efforts.

220 19 11 05 0 REVIEW OF REACTOR LICENSE RENEWAL
APPLICATIONS

Includes technical assistance for accomplishing review of applications for reactor license renewal to evaluate the safety, environmental, and safeguards aspects of such submittals.

220 19 11 06 0 IMPROVEMENTS TO REGULATIONS

Includes technical assistance for updating and revising safety and environmental regulations, standard review plans, and other regulatory guidance associated with review of applications for new operating power reactors and renewal of the license term for currently operating reactors.

220 19 12 00 0 REACTOR OPERATIONS AND ACCIDENT MANAGEMENT
REVIEWS

Includes technical assistance for activities to evaluate reactor and licensee performance with its principal focus on operational safety. Also includes technical assistance for activities designed to ensure that adequate protective measures can and will be taken in the event of a radiological emergency, and that licensee personnel are adequately prepared to respond to reactor accidents.

220 19 12 01 0 REACTOR PERFORMANCE EVALUATION

Includes technical assistance for power reactor activities associated with the initial response to unanticipated events, follow-up safety reviews associated with facilities that have experienced problems, design investigations, generic safety assessment studies, and operating experience evaluation.

**POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III**

**220 19 12 03 0 EVALUATION OF LICENSEE PERFORMANCE
AND MAINTENANCE**

Includes technical assistance related to Systematic Assessment of Licensee Performance (SALP) reviews, evaluation of plant procedures, and quality assurance programs. Also includes technical assistance for assessing the effectiveness of existing maintenance practices and proposed industry initiatives.

220 19 12 04 0 REACTOR ACCIDENT MANAGEMENT EVALUATION

Includes technical assistance for the development of guidance on conducting operations under severe accident conditions.

220 19 13 00 0 HUMAN PERFORMANCE IN REACTOR SAFETY

Includes technical assistance for activities to ensure that trained and qualified operating personnel interact in an environment that ensures that their ability to prevent or respond to accidents is not compromised.

220 19 13 01 0 HUMAN PERFORMANCE EVALUATION

Includes technical assistance in the monitoring and evaluation of industry initiatives in the training area as well as the technical resolution of identified man-machine interface issues associated with control rooms.

**220 19 13 02 0 LICENSING AND EXAMINATION OF REACTOR
OPERATORS**

Includes technical assistance for: the development and administration of written, oral, and simulator testing for licensing of Reactor Operators and Senior Reactor Operators; certification of training facility personnel; and requalification of Reactor and Senior Reactor Operators.

**220 19 14 00 0 REACTOR OPERATIONS AND SAFEGUARDS
INSPECTIONS**

Includes technical assistance for activities to ensure that licensees operate nuclear power plants safely in accordance with NRC regulations. Also includes technical assistance to evaluate activities designed to deter, detect and protect against threats, radiological sabotage and theft or diversion of special nuclear materials. The primary focus of this support is on plant operations and maintenance.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

220 19 14 02 0 REGION-BASED INSPECTIONS

Includes technical assistance for specialized expertise in areas such as electrical and mechanical engineering, metallurgy, and instrumentation in support of inspection of power reactors, aerial radiological surveys, environmental monitoring at reactor sites, and other independent confirmatory analyses and measurements. Also includes technical assistance to inspect and evaluate the implementation of emergency preparedness regulations at power reactors; and to conduct regulatory effectiveness reviews and reactor safeguards inspections.

220 19 14 03 0 SPECIALIZED INSPECTIONS

Includes technical assistance for several types of specialized inspections such as Safety System Functional Inspections, Safety System Outage Modification Inspections, Operational Safety Team Inspections, and Vendor Inspections.

220 19 15 00 0 OPERATING REACTOR LICENSE MAINTENANCE
AND REGULATORY IMPROVEMENTS

Includes technical assistance for activities to ensure that operating facilities maintain adequate levels of protection of public health and safety by completing the regulatory actions necessary to correct inadequacies in plant design and operation that are identified from: evaluation of operating experience and unanticipated events, resolution of safety issues, inspection findings, and NRC-sponsored safety research.

220 19 15 03 0 LICENSING ACTIVITIES SAFETY EVALUATIONS

Includes technical assistance for evaluating licensing activities, both licensing actions and other licensing tasks that are plant-specific or that impact multiple operating reactors (amendments, exemptions, relief requests, license modifications, 2.206 petitions, and topical reports).

220 19 15 04 0 REGULATORY IMPROVEMENTS

Includes technical assistance for the integration of operating experience and implementation of NRC policies on technical specifications, severe accidents and safety goals in the regulatory base.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

220 21 10 00 0 TRAVEL (PERSONS-REGULAR)

Includes all obligations, payments, and costs for domestic travel for transportation of persons, subsistence of travelers, and incidental travel expenses in accordance with the Federal Travel Regulations and Appendix 1501. These costs are chargeable directly to NRC. Specifically includes (a) commercial transportation charges, rental or charter from commercial sources of passenger-carrying motor vehicles, trains, buses, vessels, and airplanes for transportation of persons, and expenses incident to the operation of such rented or chartered conveyances (rentals of all passenger-carrying motor vehicles from commercial sources are included, even though they may be used incidentally for transportation of things); and (b) mileage allowances for use of privately-owned vehicles and related charges which are specifically authorized (such as ferry fares and tolls) and mass transportation and taxi fares (including tips) whether in connection with local travel or travel away from a designated post of duty. Excludes rental of passenger-carrying motor vehicles from Government motor pools.

Includes all obligations, payments, and costs incurred for foreign travel between permanent duty station and foreign destination and return. However, when temporary duty is performed at a U.S. stopover en route to or from a foreign destination, the pertinent one-way costs of the trip between the permanent duty station and the stopover are charged to domestic travel. Such temporary duty at a U.S. stopover must be shown on the Travel Authorization (NRC-279) under remarks (item 27) as necessary for and not just incidental to the foreign trip.

220 60 00 00 0 REIMBURSABLE WORK

Includes all obligations, payments, and costs incurred under reimbursable agreements with other Federal agencies and outside parties in which NRC provides such goods or services.

220 60 01 00 0 REIMBURSABLE WORK (FEDERAL AGENCIES)

220 60 02 00 0 REIMBURSABLE WORK (NON-FEDERAL PARTIES)

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

OFFICE OF THE INSPECTOR GENERAL

SUMMARY

XXX XX XX XX X

230			Office of the Inspector General
	11		Personnel Compensation
	12		Personnel Benefits
	13		Benefits for Former Personnel
	19	70	Program Support
	20		Administrative Support
		70	Transportation of Persons and Things
		21	Transportation of Persons
		22	Transportation of Things
		25	Other Services and Miscellaneous Charges
	21	70	Travel (Persons-Regular)
	42		Insurance Claims and Indemnities
	60		Reimbursable Work
		01	Reimbursable Work (Federal Agencies)
		02	Reimbursable Work (Non-Federal Parties)

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

230 00 00 00 0 OFFICE OF THE INSPECTOR GENERAL

The Office of the Inspector General (IG) provides an independent review and appraisal of NRC programs and operations to ensure effectiveness, efficiency, and integrity in all NRC operations; e.g., audits of NRC contracts performed by the Defense Contract Audit Agency, the Department of Education, and the Department of Health and Human Services.

230 11 00 00 0 PERSONNEL COMPENSATION

Comprises gross compensation (before deductions for taxes and other purposes) for services of individuals, including terminal leave payments. This classification covers all payments (salaries, wages, fees) for personal services rendered to the Government by its officers or employees, and compensation for special services rendered by consultants or others. Includes monetary awards for employee suggestions and efficiency.

230 12 00 00 0 PERSONNEL BENEFITS

Includes cash allowances paid to employees incident to their employment and payments to other funds for the benefit of such employees.

230 13 00 00 0 BENEFITS FOR FORMER PERSONNEL

Includes personnel benefits paid to former NRC personnel such as severance pay and unemployment compensation.

230 19 70 00 0 PROGRAM SUPPORT

Includes all obligations, payments, and costs incurred by other Federal agencies, contractors, and other organizations in direct support of the NRC's internal investigation and audit program.

230 20 00 00 0 ADMINISTRATIVE SUPPORT

Includes all obligations, payments, and costs for administrative support services as follows:

230 20 70 00 0 TRANSPORTATION OF PERSONS AND THINGS

Includes the transportation and storage costs related to a permanent change-of-station and employee relocation services.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

230 20 70 21 0 TRANSPORTATION OF PERSONS

Includes the transportation of persons (including family travel expenses) related to a permanent change-of-station as allowed under 5 U.S.C. 5724 and 5724a.

230 20 70 22 0 TRANSPORTATION OF THINGS

Includes the transportation of household goods related to a permanent change-of-station as allowed under the Federal Travel Regulations.

230 20 70 25 0 OTHER SERVICES AND MISCELLANEOUS CHARGES

Includes the storage of household goods and employee relocation services.

230 21 70 00 0 TRAVEL (PERSONS-REGULAR)

Includes all obligations, payments, and costs for domestic travel for transportation of persons, subsistence of travelers, and incidental travel expenses in accordance with the Federal Travel Regulations and Appendix 1501. These costs are chargeable directly to NRC. Specifically includes (a) commercial transportation charges, rental or charter from commercial sources of passenger-carrying motor vehicles, trains, buses, vessels, and airplanes for transportation of persons, and expenses incidental to the operation of such rented or chartered conveyances (rentals of all passenger-carrying motor vehicles from commercial sources are included, even though they may be used incidentally for transportation of things); and (b) mileage allowances for use of privately-owned vehicles and related charges which are specifically authorized (such as ferry fares and tolls) and mass transportation and taxi fares (including tips) whether in connection with local travel or travel away from a designated post of duty. Excludes rental of passenger-carrying motor vehicles from Government motor pools.

Includes all obligations, payments, and costs incurred for foreign travel between permanent duty station and foreign destination and return. However, when temporary duty is performed at a U.S. stopover en route to or from a foreign destination, the pertinent one-way costs of the trip between the permanent duty station and the stopover are charged to domestic travel. Such temporary duty at a U.S. stopover must be shown on the Travel Authorization (NRC-279) under remarks

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

(item 27) as necessary for and not just incidental to the foreign trip.

230 42 00 00 0 INSURANCE CLAIMS AND INDEMNITIES

Includes indemnities for damage or injury to persons or property (tort claims) and payments for other losses.

230 60 00 00 0 REIMBURSABLE WORK

Includes all obligations, payments, and costs incurred under reimbursable agreements with other Federal agencies and outside parties in which NRC provides such goods or services.

230 60 01 00 0 REIMBURSABLE WORK (FEDERAL AGENCIES)

230 60 02 00 0 REIMBURSABLE WORK (NON-FEDERAL PARTIES)

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

OFFICE OF ADMINISTRATION

SUMMARY

<u>XXX</u>	<u>XX</u>	<u>XX</u>	<u>XX</u>	<u>X</u>	
240					Office of Administration
	20				Administrative Support
		40			Administrative Services for High-Level Waste
		49			Offset for High-Level Waste Posting
		61			Transportation of Persons and Things
			21		Transportation of Persons
			22		Transportation of Things
		62			Rental of Space
			23		Rental of Buildings, Rental of Space
		63			Printing and Reproduction
			24		Printing and Reproduction
		64			Security Costs
			25		Security Investigations, Guards, and Drug Testing
		65			Supplies, Materials and Equipment
			23		Equipment Rentals
			26		Miscellaneous Rents, and Postage
			31		Supplies and Materials
		66			Capital Equipment
			25		Other Facility Costs
			25		Other Services and Miscellaneous Charges
		67			Consolidation (Two White Flint North)
			22		Transportation of Things
			23		Rentals for Consolidation
			25		Other Services
			26		Supplies and Materials
			31		Capital Equipment
	21	60			Travel (Persons-Regular)
	60				Reimbursable Work
		01			Reimbursable Work (Federal Agencies)
		02			Reimbursable Work (Non-Federal Parties)

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

240 00 00 00 0 OFFICE OF ADMINISTRATION

The Office of Administration (ADM) provides centralized administrative services such as procurement, transportation, security, supplies and materials, building and space rents, alterations, mail services, document distribution, postage, publications services, rulemaking support, and local public document rooms (LPDRs).

240 20 00 00 0 ADMINISTRATIVE SUPPORT

Includes all obligations, payments, and costs for administrative support services as follows:

240 20 40 00 0 ADMINISTRATIVE SERVICES FOR HIGH-LEVEL
WASTE

This B&R number was established to provide a mechanism for keeping track of resources associated with administrative support services for NRC's high-level waste activities. Funds posted against this B&R number are a subset of the resources allocated among B&R numbers 240-20-61 through 240-20-67.

240 20 49 00 0 OFFSET FOR HIGH-LEVEL WASTE POSTING

B&R number 240-20-40-00-0 was established to provide a mechanism for keeping track of resources associated with administrative support services for NRC's high-level waste activities. Funds posted against that B&R number are a subset of the resources allocated among B&R numbers 240-20-61 through 240-20-67. Thus, B&R number 240-20-49-00-0 was established to provide a mechanism for an offset posting to ensure that there is no net effect on the financial plans, allotments, and financial status shown in the accounting reports for ADM.

240 20 61 00 0 TRANSPORTATION OF PERSONS AND THINGS

Includes providing for contractual services for shuttles and passenger cars in connection with carrying persons from place to place. Includes the rental or lease of motor vehicles from commercial vendors and government motor pools. Includes the shipment of items by common carrier and contract carrier, including freight and express.

240 20 61 21 0 TRANSPORTATION OF PERSONS

Includes the transportation of people by providing for contractual services for shuttles and passenger cars; and, the

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

rental or lease of motor vehicles from commercial vendors and government interagency motor pools. Also includes costs for public transit fare subsidies authorized by section 629.(a) of Public Law 101-509.

240 20 61 22 0 TRANSPORTATION OF THINGS

Includes the transportation of government-owned or leased property incident to the relocation of offices or equipment; and shipment of items by common carrier and contract carrier, including freight and express, demurrage, switching, recrating, etc.

240 20 62 00 0 RENTAL OF SPACE

Includes the provision for direct charges for rental and space and rent-related services assessed by GSA as standard level user charges; includes space rented from sources other than GSA; and rental of conference rooms, auditoriums, and halls for use in NRC business.

240 20 62 23 0 RENTAL OF BUILDINGS, RENTAL OF SPACE

Includes the provision for direct charges for rental and space and rent-related services assessed by GSA as standard level user charges; includes space rented from sources other than GSA; and rental of conference rooms, auditoriums, and halls for use in NRC business.

240 20 63 00 0 PRINTING AND REPRODUCTION

Includes printing and reproduction costs as follows:

240 20 63 24 0 PRINTING AND REPRODUCTION

Includes contractual printing and reproduction, including photography services, composition and binding operations, performed by commercial printers or photographers and the Government Printing Office. Includes providing for standard forms, printed envelopes, and letterhead. Includes printing NRC issued items in the Federal Register.

240 20 64 00 0 SECURITY COSTS

Ensures the physical protection of NRC buildings; provides advice and assistance on security matters; administers the NRC

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

security program including the background investigations of personnel and drug testing program.

240 20 64 25 0 SECURITY INVESTIGATIONS, GUARDS, AND DRUG TESTING

Includes services provided by GSA guards and guards from commercial firms for the protection and security of NRC-occupied buildings; includes OPM and FBI services in performing full field background investigations of prospective NRC "sensitive" employees and others in sensitive positions; and includes costs associated with the implementation and execution of the NRC Drug Testing Program.

240 20 65 00 0 SUPPLIES, MATERIALS AND EQUIPMENT

Includes the provision of general office supplies and the rental of general office, security, printing, reproduction, and audiovisual equipment.

240 20 65 23 0 EQUIPMENT RENTALS, MISCELLANEOUS RENTS, AND POSTAGE

Includes costs associated with the rental of office machines, general equipment, printing and reproduction equipment, audiovisual equipment, equipment for conference rooms, mail equipment, and postage.

240 20 65 26 0 SUPPLIES AND MATERIALS

Includes commodities whether acquired by formal contract or other form of purchase (a) that are ordinarily consumed or expended within one year after they are put into use, (b) that are converted in the process of construction or manufacture, or (c) that are used to form a minor part of equipment or fixed property. This classification includes office supplies, paper, ADP/word processing supplies, pamphlets and documents, newspaper and journal bulk subscriptions, automotive fuel and tires, and materials and parts. Includes the purchase of non-capital general office, security, audiovisual, printing, reproduction, document distribution, and mail equipment. Includes the purchase of systems and office furniture and fixtures.

240 20 65 31 0 CAPITAL EQUIPMENT

Includes security, printing, reproduction, mail, document distribution, and packaging equipment purchases that meet the

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

following definition: personal property of a durable nature - that is, property that normally may be expected to have a period of service of one year or more after being put into use without material impairment of its physical condition. Includes charges for services in connection with the initial installation of equipment when performed under contract. This equipment generally: (1) is complete in itself, (2) does not lose its identity or become a component part of another item when placed in use, (3) has repeated use, (4) has a service life of two or more years, and (5) costs \$5,000 or more. Excludes commodities that are converted in the process of construction or manufacture, or that are used to form a minor part of equipment or fixed property.

240 20 66 00 0 OTHER FACILITY COSTS

Includes costs such as those associated with alterations to buildings, machine and equipment maintenance, technical editing, and support to the LPDRs.

240 20 66 25 0 OTHER SERVICES AND MISCELLANEOUS CHARGES

Includes the following: support for advisors and consultants; space alterations, and supplementary HVAC; repair/maintenance of the White Flint buildings; parking; maintenance of office machines, security, mail printing, reproduction, and photography equipment; audit of contracts by DCAA and HHS; assistance to LPDRs; copy-ready preparation of NRC rules and regulations; audiovisual services; translations; conference, copyright, and page charges; technical editing of NRC Issuances (NRCIs); contract typing; color printing services; maintenance of motor vehicles, mail and messenger services, and document distribution services.

240 20 67 00 0 CONSOLIDATION (TWO WHITE FLINT NORTH)

Includes all agency costs incurred to consolidate NRC headquarters staff at a single location (Two White Flint North).

240 20 67 22 0 TRANSPORTATION OF THINGS

Includes the costs for contractual services for the movement of furniture, files, libraries, reproduction machines, etc., to Two White Flint North. Includes the rental or lease of motor vehicles from commercial vendors and government motor pools.

**POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III**

Includes the shipment of commercial items by common carrier and contract carrier.

240 20 67 23 0 RENTALS FOR CONSOLIDATION

Includes all costs for rentals associated with Headquarters consolidation at Two White Flint North.

240 20 67 25 0 OTHER SERVICES

Includes the costs of other contractual services associated with Two White Flint North such as space preparation, space planning, design of special facilities, alterations of building, security equipment, etc.

240 20 67 26 0 SUPPLIES AND MATERIALS

Includes the costs of consumable supplies for Two White Flint North whether acquired by formal contract or other form of purchase (a) that are ordinarily consumed or expended within one year after they are put into use, (b) that are converted in the process of construction or manufacture, or (c) that are used to form a minor part of equipment or fixed property. Also includes property items of a non-capital nature.

240 20 67 31 0 CAPITAL EQUIPMENT

Includes equipment, such as furniture; shelving; wall, window, and floor coverings; telecommunications equipment; and other equipment, that meets the following definition: personal property of a durable nature - that is, property that normally may be expected to have a period of service of one year or more after being put into use without material impairment of its physical condition. Includes charges for services in connection with the initial installation of equipment when performed under contract. This equipment generally: (1) is complete in itself, (2) does not lose its identity or become a component part of another item when placed in use, (3) has repeated use, (4) has a service life of two or more years, and (5) costs \$5,000 or more. Excludes commodities that are converted in the process of construction or manufacture, or that are used to form a minor part of equipment or fixed property.

240 21 60 00 0 TRAVEL (PERSONS-REGULAR)

Includes all obligations, payments, and costs for domestic travel for transportation of persons, subsistence of travelers,

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

and incidental travel expenses in accordance with the Federal Travel Regulations and Appendix 1501. These costs are chargeable directly to NRC. Specifically includes (a) commercial transportation charges, rental or charter from commercial sources of passenger-carrying motor vehicles, trains, buses, vessels, and airplanes for transportation of persons, and expenses incident to the operation of such rented or chartered conveyances (rentals of all passenger-carrying motor vehicles from commercial sources are included, even though they may be used incidentally for transportation of things); and (b) mileage allowances for use of privately-owned vehicles and related charges which are specifically authorized (such as ferry fares and tolls) and mass transportation and taxi fares (including tips) whether in connection with local travel or travel away from a designated post of duty. Excludes rental of passenger-carrying motor vehicles from Government motor pools.

Includes all obligations, payments, and costs incurred for foreign travel between permanent duty station and foreign destination and return. However, when temporary duty is performed at a U.S. stopover en route to or from a foreign destination, the pertinent one-way costs of the trip between the permanent duty station and the stopover are charged to domestic travel. Such temporary duty at a U.S. stopover must be shown on the Travel Authorization (NRC-279) under remarks (item 27) as necessary for and not just incidental to the foreign trip.

240 60 00 00 0 REIMBURSABLE WORK

Includes all obligations, payments, and costs incurred under reimbursable agreements with other Federal agencies and outside parties in which NRC provides such goods or services.

240 60 01 00 0 REIMBURSABLE WORK (FEDERAL AGENCIES)

240 60 02 00 0 REIMBURSABLE WORK (NON-FEDERAL PARTIES)

THIS PAGE INTENTIONALLY LEFT BLANK

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

GENERAL SERVICES ADMINISTRATION (GSA)
TRANSFER APPROPRIATION

SUMMARY

XXX XX XX XX X

244					GSA TRANSFER APPROPRIATION - (3147X4542)
					Real Property Operations (Annual Funds)
	11				Personnel Compensation
	12				Personnel Benefits
	13				Benefits for Former Employees
	20				Administrative Support
		00	21		Transportation of Persons
		00	22		Transportation of Things
		00	23		Rents, Utilities, and Communications
		00	24		Printing and Reproduction
		00	25		Contractual Services
		00	26		Supplies and Materials
		00	31		Equipment
	21				Travel
245					GSA TRANSFER APPROPRIATION - (3147X4542)
					Recurring Repairs (No-Year Funds)
	20				Administrative Support
		00	25		Contractual Services
		00	26		Supplies and Materials

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

244 00 00 00 0 GSA TRANSFER APPROPRIATION -
REAL PROPERTY OPERATIONS (ANNUAL FUNDS)

Funds within this category are available for the operation of Government-owned facilities under the jurisdiction of the General Services Administration (GSA) and building services in leased space in the GSA inventory where the terms of the lease do not require the lessor to furnish such services. Funds may be used for such services in only those buildings specified within the current delegation agreement between GSA and NRC. Services included in Real Property Operations are limited to those specified in the delegation agreement which may include cleaning, protection, maintenance, payments for utilities and fuels, grounds maintenance, and elevator operations.

244 11 00 00 0 PERSONNEL COMPENSATION

Comprises gross compensation (before deductions for taxes and other purposes) for services of individuals, including terminal leave payments. This classification covers all payments (salaries, wages, fees) for personal services rendered to the Government by its officers or employees.

244 12 00 00 0 PERSONNEL BENEFITS

Includes cash allowances paid to employees incident to their employment and payments to other funds for the benefit of such employees.

244 13 00 00 0 BENEFITS FOR FORMER EMPLOYEES

Includes personnel benefits paid to former NRC personnel such as severance pay and unemployment compensation.

244 20 00 00 0 ADMINISTRATIVE SUPPORT

Includes all obligations, payments, and costs for administrative support services as follows:

244 20 00 21 0 TRANSPORTATION OF PERSONS

Includes the transportation of persons by providing for passenger cars, the rental of motor vehicles from commercial vendors, and government interagency motor pools.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

244 20 00 22 0 TRANSPORTATION OF THINGS

Includes the transportation of government-owned or leased property incident to the relocation of equipment; and shipment of items by common carrier and contract carrier, including freight and express, demurrage, switching, re-crating, etc.

244 20 00 23 0 RENTS, UTILITIES, AND COMMUNICATIONS

Includes heat, light, power, water, gas, electricity, and other utility services; and periodic charges under purchase rental agreements for equipment.

244 20 00 24 0 PRINTING AND REPRODUCTION

Includes contractual printing and reproduction, including photography services, composition and binding operations, performed by commercial printers or photographers and the Government Printing Office. Includes providing for standard forms.

244 20 00 25 0 CONTRACTUAL SERVICES

Includes obligations for contractual services not otherwise classified; repairs and alterations to buildings, equipment, and like items, when done by contract; and operation of facilities or other service contracts.

244 20 00 26 0 SUPPLIES AND MATERIALS

Includes commodities whether acquired by formal contract or other form of purchase (a) that are ordinarily consumed or expended within one year after they are put into use, (b) that are converted in the process of construction or manufacture, or (c) that are used to form a minor part of equipment or fixed property. This classification includes chemical supplies, fuel, clothing and clothing supplies, cleaning and toilet supplies, and materials and parts (including building materials) used in the construction, repair, or production of supplies, equipment, machinery, buildings, and other structures.

244 20 00 31 0 CAPITAL EQUIPMENT

Includes obligations for the purchase of personal property of a durable nature - that is, property that normally may be expected to have a period of service of one year or more after being put into use without material impairment of its physical

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

condition. Includes charges for services in connection with the initial installation of equipment when performed under contract.

244 21 00 00 0 TRAVEL (PERSONS - REGULAR)

Includes all obligations, payments, and costs for domestic travel for transportation of persons, subsistence of travelers, and incidental travel expenses in accordance with the Federal Travel Regulations and Appendix 1501. These costs are chargeable directly to NRC. Specifically includes (a) commercial transportation charges, rental or charter from commercial sources of passenger-carrying motor vehicles, trains, buses, vessels, and airplanes for transportation of persons, and expenses incident to the operation of such rented or chartered conveyances (rentals of all passenger-carrying motor vehicles from commercial sources are included, even though they may be used incidentally for transportation of things); and (b) mileage allowances for use of privately-owned vehicles and related charges which are specifically authorized (such as ferry fares and tolls) and mass transportation and taxi fares (including tips) whether in connection with local travel or travel away from a designated post of duty. Excludes rental of passenger-carrying motor vehicles from Government motor pools.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

245 00 00 00 0 GSA TRANSFER APPROPRIATION -
RECURRING REPAIRS (NO-YEAR FUNDS)

Funds within this category are available for recurring repairs which must be undertaken to maintain the operational capability of those buildings specified within the current delegation agreement between GSA and NRC, and which are exclusive of work normally accomplished under the Real Property Operations funding category.

245 20 00 00 0 ADMINISTRATIVE SUPPORT

Includes all obligations, payments, and costs for administrative support services as follows:

245 20 00 25 0 CONTRACTUAL SERVICES

Includes contractual services for repairs and alterations to buildings and for cyclic painting.

245 20 00 26 0 SUPPLIES AND MATERIALS

Includes commodities whether acquired by formal contract or other form of purchase (a) that are ordinarily consumed or expended within one year after they are put into use, (b) that are converted in the process of construction or manufacture, or (c) that are used to form a minor part of equipment or fixed property.

THIS PAGE INTENTIONALLY LEFT BLANK

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

OFFICE OF NUCLEAR MATERIAL SAFETY AND SAFEGUARDS

SUMMARY

XXX XX XX XX X

250				Office of Nuclear Material Safety and Safeguards (XHLW)
	19			Program Support (XHLW)
		31		Nuclear Material Safety
			01	Fuel Facility and Spent Fuel Storage Licensing and Inspection
			02	Licensing and Inspection of Nuclear Material Users
			03	Event Evaluation
		32		Nuclear Material Transportation and Safeguards
			01	Regulating the Transport of Nuclear Materials
			02	Safeguards Licensing and Inspection
			03	Threat and Event Assessment and International Safeguards
		33		Low-Level Waste
			01	Low-Level Waste Disposal Licensing and Inspection
			02	Uranium Recovery Licensing and Inspection
			03	Decommissioning
	21	30		Travel (Persons-Regular, XHLW)
	60			Reimbursable Work
			01	Reimbursable Work (Federal Agencies)
			02	Reimbursable Work (Non-Federal Parties)
259				Office of Nuclear Material Safety and Safeguards (HLW)
	19			Program Support (HLW)
		41		High-Level Waste Licensing
			01	High-Level Waste Repository Licensing
			02	High-Level Waste Storage and Transportation
	21	40		Travel (Persons-Regular, HLW)

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

250 00 00 00 0 OFFICE OF NUCLEAR MATERIAL SAFETY AND
SAFEGUARDS (XHLW)

The Office of Nuclear Material Safety and Safeguards (NMSS) is responsible for the public health and safety licensing, inspection and environmental reviews for all activities regulated by the NRC, except reactors. This includes all NRC public health and safety, safeguards, and environmental activities related to nuclear fuel cycle facilities, users of nuclear materials, the transportation of nuclear materials, the safe management and disposal of low-level radioactive wastes, the safe interim storage of spent fuel, and the integrated agency program for decommissioning and decontamination of facilities and sites associated with NRC licensed activities. The NMSS activities related to high-level waste are funded under B&R 259-00-00-00-0.

250 19 00 00 0 PROGRAM SUPPORT (XHLW)

Includes all obligations, payments, and costs incurred by other Federal agencies, contractors and other organizations in direct support of the programs in NMSS as follows:

250 19 31 00 0 NUCLEAR MATERIAL SAFETY

Includes technical assistance for activities which ensure that the public health and safety, worker safety, and the environment are protected during the handling and use of radioactive materials in both normal operations and abnormal events.

250 19 31 01 0 FUEL FACILITY AND SPENT FUEL STORAGE
LICENSING AND INSPECTION

Includes technical assistance for activities related to the licensing and inspection of the nuclear fuel cycle after milling, and interim storage of spent fuel outside of reactor spent fuel pools. This includes: 1) the conversion of uranium ore concentrates (yellow cake) into uranium hexafluoride prior to enrichment, 2) enrichment, 3) the development and fabrication of reactor fuel, 4) the safe storage of fresh fuel at reactor sites until the reactor core is initially loaded with fuel, and 5) the licensing and inspection of spent fuel storage activities.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

250 19 31 02 0 LICENSING AND INSPECTION OF NUCLEAR
MATERIAL USERS

Includes technical assistance for activities related to the licensing and inspection of medical, academic, industrial, and commercial users of nuclear and other radioactive material. These uses include medical diagnosis and therapy; medical and biological research; academic training and research; industrial gauging and nondestructive testing; production of radiopharmaceuticals; fabrication of commercial products such as smoke detectors; and the evaluation of sealed sources and devices.

250 19 31 03 0 EVENT EVALUATION

Includes technical assistance for the review of licensee operational data and incident response coordination and training for nuclear materials and fuel cycle facility safety events.

250 19 32 00 0 NUCLEAR MATERIAL TRANSPORTATION AND
SAFEGUARDS

Includes technical assistance for activities to ensure that licensees transport nuclear materials in packages that ensure a high degree of safety in the event of a transportation accident, and to deter, detect, and protect against radiological sabotage, theft, diversion, or unauthorized production of special nuclear materials at licensed nuclear fuel cycle facilities and in transport.

250 19 32 01 0 REGULATING THE TRANSPORT OF NUCLEAR
MATERIALS

Includes technical assistance for activities associated with the transportation of radioactive materials, such as special nuclear materials, industrial radiography devices, and low-level radioactive wastes. These activities include certification of transportation packages, inspection of licensees' quality assurance programs, and inspection of the physical security measures implemented for shipment of special nuclear material.

250 19 32 02 0 SAFEGUARDS LICENSING AND INSPECTION

Includes technical assistance for activities related to the safeguards licensing and inspection of activities involving the fabrication, use, enrichment, and export of special nuclear materials. These efforts help to ensure that licensees

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

maintain accountability of special nuclear material in order to prevent unauthorized production or diversion of material, and that appropriate measures are taken to ensure the physical security of special nuclear materials from theft, threat, and acts of radiological sabotage.

250 19 32 03 0 THREAT AND EVENT ASSESSMENT AND
INTERNATIONAL SAFEGUARDS

Includes technical assistance for the evaluation of the threat environment to ensure the validity of the regulatory design basis threats for theft and radiological sabotage. Includes safeguards incident response training, strengthening IAEA safeguards, and implementation of the safeguards agreement between the United States and the IAEA.

250 19 33 00 0 LOW-LEVEL WASTE

Includes technical assistance for activities related to the licensing, inspection, and environmental reviews for the safe management and disposal of low-level radioactive wastes, uranium recovery activities and related remedial actions, and the decontamination and decommissioning of facilities and sites associated with NRC licensed activities.

250 19 33 01 0 LOW-LEVEL WASTE DISPOSAL LICENSING AND
INSPECTION

Includes technical assistance for activities related to the licensing and inspection of low-level waste disposal facilities under agency jurisdiction. Includes technical assistance to States, the low-level waste compacts, state regulatory bodies, and low-level waste disposal facility licensees.

250 19 33 02 0 URANIUM RECOVERY LICENSING AND INSPECTION

Includes technical assistance for activities related to the licensing and inspection of those facilities under agency jurisdiction that are engaged in uranium extraction activities (other than conventional mining); ore milling activities; disposal of uranium and thorium byproduct materials; NRC's review and concurrence in the remedial actions taken by DOE at 24 mill tailings piles; licensing DOE for long-term care and maintenance of the sites once the remedial actions have been completed; and, NRC's implementation of the Dam Safety Program.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

250 19 33 03 0 DECOMMISSIONING

Includes technical assistance for the development and implementation of NRC's integrated agency program for the decontamination and decommissioning of material and fuel facilities and power reactor sites associated with NRC-licensed activities.

250 21 30 00 0 TRAVEL (PERSONS-REGULAR, XHLW)

Includes all obligations, payments, and costs associated with "Other-Than-High-Level Waste" for domestic travel for transportation of persons, subsistence of travelers, and incidental travel expenses in accordance with the Federal Travel Regulations and Appendix 1501. These costs are chargeable directly to NRC. Specifically includes (a) commercial transportation charges, rental or charter from commercial sources of passenger-carrying motor vehicles, trains, buses, vessels, and airplanes for transportation of persons, and expenses incident to the operation of such rented or chartered conveyances (rentals of all passenger-carrying motor vehicles from commercial sources are included, even though they may be used incidentally for transportation of things); and (b) mileage allowances for use of privately-owned vehicles and related charges which are specifically authorized (such as ferry fares and tolls) and mass transportation and taxi fares (including tips) whether in connection with local travel or travel away from a designated post of duty. Excludes rental of passenger-carrying motor vehicles from Government motor pools.

Includes all obligations, payments, and costs incurred for foreign travel between permanent duty station and foreign destination and return. However, when temporary duty is performed at a U.S. stopover en route to or from a foreign destination, the pertinent one-way costs of the trip between the permanent duty station and the stopover are charged to domestic travel. Such temporary duty at a U.S. stopover must be shown on the Travel Authorization (NRC-279) under remarks (item 27) as necessary for and not just incidental to the foreign trip.

NOTE: If travel covers both High-Level Waste and Other-Than-High-Level Waste activities, all obligations, payments, and costs must be charged to this B&R number.

**POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III**

250 60 00 00 0 REIMBURSABLE WORK

Includes all obligations, payments, and costs incurred under reimbursable agreements with other Federal agencies and outside parties in which NRC provides such goods or services.

250 60 01 00 0 REIMBURSABLE WORK (FEDERAL AGENCIES)

250 60 02 00 0 REIMBURSABLE WORK (NON-FEDERAL PARTIES)

259 00 00 00 0 OFFICE OF NUCLEAR MATERIAL SAFETY AND SAFEGUARDS (HLW)

The Office of Nuclear Material Safety and Safeguards (NMSS) is responsible for the public health and safety licensing, inspection and environmental reviews for the safe management, storage, transportation, and disposal of high-level radioactive waste, including spent fuel. The NMSS activities related to other-than-high-level waste are funded under B&R 250-00-00-00-0.

259 19 00 00 0 PROGRAM SUPPORT (HLW)

Includes all obligations, payments, and costs incurred by other Federal agencies, contractors and other organizations in direct support of the programs in NMSS as follows:

259 19 41 00 0 HIGH-LEVEL WASTE LICENSING

Includes technical assistance for activities related to the licensing, inspection, and environmental reviews for the safe management, storage, and disposal of high-level radioactive wastes, including spent nuclear fuel.

259 19 41 01 0 HIGH-LEVEL WASTE REPOSITORY LICENSING

Includes technical assistance for activities related to the licensing and inspection of the high-level waste repository and other related NRC responsibilities under the Nuclear Waste Policy Act (NWPA) and the Nuclear Waste Policy Amendments Act (NWPAA) of 1987.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

259 19 41 02 0 HIGH-LEVEL WASTE STORAGE AND
TRANSPORTATION

Includes technical assistance for activities related to the licensing and inspection of the safe storage of spent fuel and high-level waste at a Monitored Retrievable Storage (MRS) facility, and NRC activities related to the transportation of high-level radioactive waste.

259 21 40 00 0 TRAVEL (PERSONS-REGULAR, HLW)

Includes all obligations, payments, and costs exclusively related to "High-Level Waste" for domestic travel for transportation of persons, subsistence of travelers, and incidental travel expenses in accordance with the Federal Travel Regulations and Appendix 1501. These costs are chargeable directly to NRC. Specifically includes (a) commercial transportation charges, rental or charter from commercial sources of passenger-carrying motor vehicles, trains, buses, vessels, and airplanes for transportation of persons, and expenses incident to the operation of such rented or chartered conveyances (rentals of all passenger-carrying motor vehicles from commercial sources are included, even though they may be used incidentally for transportation of things); and (b) mileage allowances for use of privately-owned vehicles and related charges which are specifically authorized (such as ferry fares and tolls) and mass transportation and taxi fares (including tips) whether in connection with local travel or travel away from a designated post of duty. Excludes rental of passenger-carrying motor vehicles from Government motor pools.

Includes all obligations, payments, and costs incurred for foreign travel between permanent duty station and foreign destination and return. However, when temporary duty is performed at a U.S. stopover en route to or from a foreign destination, the pertinent one-way costs of the trip between the permanent duty station and the stopover are charged to domestic travel. Such temporary duty at a U.S. stopover must be shown on the Travel Authorization (NRC-279) under remarks (item 27) as necessary for and not just incidental to the foreign trip.

NOTE: If travel covers both High-Level Waste and Other-Than-High-Level Waste, all obligations, payments, and costs must be charged to B&R 250-21-30-00-0 described above.

THIS PAGE INTENTIONALLY LEFT BLANK

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

OFFICE OF NUCLEAR REGULATORY RESEARCH

SUMMARY

XXX XX XX XX X

260			Office of Nuclear Regulatory Research
			- (XHLW)
	19		Program Support (XHLW)
		21	Integrity of Reactor Components
		01	Reactor Vessel and Piping Integrity
		02	Aging of Reactor Components
		03	Engineering Standards Support
		04	Seismic and Structural Research
		22	Preventing Damage to Reactor Cores
		01	Plant Transient Analysis -
		02	Human Factors
		03	Reliability Assessment
		04	Accident Management
		05	Reactor Accident Risk Analysis
		23	Reactor Containment Performance
		01	Core Melt and Reactor Coolant System Failure
		02	Reactor Containment Safety
		03	Reactor Containment Structural Integrity
		24	Assessing the Safety of Low-Level Waste Disposal
		25	Resolving Safety Issues and Developing Regulations
		01	Generic Safety Issue Resolution
		02	Developing and Improving Regulations
		03	Severe Accident Implementation
		04	Radiation Protection and Health Effects
		26	Advanced Reactor Research
		01	Integrity of Components
		02	Systems Performance of Light Water Reactors
		03	Systems Performance of Gas, Liquid Metal, and Heavy Water Reactors
		04	Advanced Reactor Regulatory Standards
	21	20	Travel (Persons-Regular, XHLW)

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

XXX XX XX XX X

60		Reimbursable Work
	01	Reimbursable Work (Federal Agencies)
	02	Reimbursable Work (Non-Federal Parties)
269		Office of Nuclear Regulatory Research - (HLW)
	19	Program Support (HLW)
	42	Assessing the Safety of High-Level Waste Disposal
	21 40	Travel (Persons-Regular, HLW)

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

260 00 00 00 0 OFFICE OF NUCLEAR REGULATORY RESEARCH
(XHLW)

The Office of Nuclear Regulatory Research (RES) is responsible for planning and conducting a comprehensive research program that is responsive to current and future NRC requirements, and for directing the development of improved regulations, standards, and regulatory guides. The RES activities related to high-level waste are funded under B&R 269-00-00-00-0.

260 19 00 00 0 PROGRAM SUPPORT (XHLW)

Includes all obligations, payments, and costs incurred by other Federal agencies and contractors, and other organizations in direct support of the programs in RES as follows:

260 19 21 00 0 INTEGRITY OF REACTOR COMPONENTS

Includes developing and directing research to ensure that reactor plant systems and related components perform as designed and that their functional integrity and operability are maintained over the life of the plant.

260 19 21 01 0 REACTOR VESSEL AND PIPING INTEGRITY

Includes research to improve NRC's understanding of the basic factors causing degradation that could lead to failures of the reactor pressure vessel and related piping. Experimental results will be used to formulate or evaluate remedial actions needed to respond to problems in operating nuclear power plants, and to evaluate licensee requests to renew their reactor operating licenses beyond their current expiration dates.

260 19 21 02 0 AGING OF REACTOR COMPONENTS

Includes research to improve NRC's understanding of the effect of aging on the continued safe operation of nuclear power plants, and developing technical bases for license renewal. This involves systematic evaluation of the degradation mechanisms by which electrical and mechanical components can and have failed in use, as well as the risk significance of the aging of structures, systems, and components.

260 19 21 03 0 ENGINEERING STANDARDS SUPPORT

Includes research to improve NRC's understanding of the bases used by the nuclear industry for designing, inspecting, and

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

maintaining equipment important to plant safety, in order to establish and upgrade performance requirements for safety-related nuclear plant components and systems.

260 19 21 04 0 SEISMIC AND STRUCTURAL RESEARCH

Includes research to improve NRC's evaluation of the effects of potential earthquakes on nuclear power plant operations in order to assess plant behavior for seismic events more severe but less probable than those considered in the plant design.

260 19 22 00 0 PREVENTING DAMAGE TO REACTOR CORES

Includes developing and directing research to prevent core damage and to address those severe accidents and transients that are beyond the design basis of the current generation of light water reactors.

260 19 22 01 0 PLANT TRANSIENT ANALYSIS

Includes research to provide information on the processes of heat transfer and fluid flow (the thermal-hydraulic response) of the reactor coolant system for plant transients that include design basis accidents and non-design basis accidents, and maintenance of existing computer codes.

260 19 22 02 0 HUMAN FACTORS

Includes research and standards development to improve NRC's understanding of the many factors that shape human performance and the interfaces between the human user and the system at nuclear power plants and other commercial nuclear operations.

260 19 22 03 0 RELIABILITY ASSESSMENT

Includes research and standards development to fully integrate human and hardware reliability analysis data and methods into probabilistic risk assessments and the licensing process.

260 19 22 04 0 ACCIDENT MANAGEMENT

Includes research to improve NRC's understanding of ways to prevent or mitigate the consequences of severe accidents in nuclear power plants. This involves the development of generic accident management strategies under which the industry can develop and implement plant specific preparatory and recovery measures.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

260 19 22 05 0 REACTOR ACCIDENT RISK ANALYSIS

Includes research to improve NRC's understanding of risk and ways to reduce the risk associated with nuclear power plant operation through the use of probabilistic risk assessment.

260 19 23 00 0 REACTOR CONTAINMENT PERFORMANCE

Includes developing and directing research to improve NRC's understanding of the underlying physical and chemical phenomena that can occur in a severe accident in order to provide design and operating strategies for dealing with severe accident phenomena.

260 19 23 01 0 CORE MELT AND REACTOR COOLANT SYSTEM
FAILURE

Includes research to improve NRC's understanding of the sequence of in-vessel degradation: (a) the heatup and meltdown of core materials; (b) the generation of hydrogen; (c) the in-vessel fission product release and transport; (d) the interaction of hot fuel and coolant; (e) the composition of core debris at the time of vessel failure; and (f) the mode and timing of vessel failure.

260 19 23 02 0 REACTOR CONTAINMENT SAFETY

Includes research to improve NRC's understanding of containment performance under severe accident conditions and to provide information on the likelihood of early containment failure in the event of severe accidents.

260 19 23 03 0 REACTOR CONTAINMENT STRUCTURAL INTEGRITY

Includes research to improve NRC's understanding of the ability of the containment to withstand pressure and temperature loads generated in a severe accident.

260 19 24 00 0 ASSESSING THE SAFETY OF LOW-LEVEL WASTE
DISPOSAL

Includes developing and directing research to confirm NRC's understanding of the processes and phenomena, including performance assessment, that affect the safety of low-level waste disposal methods and sites. This research will support not only NRC rulemaking activities and licensing, but also those States that regulate low-level waste disposal under the Agreement States program.

**POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III**

**260 19 25 00 0 RESOLVING SAFETY ISSUES AND DEVELOPING
REGULATIONS**

Includes developing the technical base and related regulatory standards to protect the health and safety of the public from the risk due to generation of electricity by nuclear power plants and the risk from the manufacture, use, transport, and storage of nuclear fuel and other radioactive materials.

260 19 25 01 0 GENERIC SAFETY ISSUE RESOLUTION

Includes research associated with the resolution of generic safety issues related to reactor and plant system design, and to plant operations.

260 19 25 02 0 DEVELOPING AND IMPROVING REGULATIONS

Includes work associated with: (a) developing or modifying regulatory requirements and guidance for the safe transportation of radioactive materials and the safeguarding of strategic special nuclear material and nuclear facilities; (b) managing and coordinating the review of NRC rulemaking activities; (c) supporting NRC regulatory impact analysis requirements through the research and development of generic methodology and guidance; and (d) developing regulations, policy statements, and regulatory guides based on the results of NRC research.

260 19 25 03 0 SEVERE ACCIDENT IMPLEMENTATION

Includes the application of severe accident research results to the NRC's regulations and policies in areas such as siting, emergency planning, and containment design, and closure of severe accident issues.

260 19 25 04 0 RADIATION PROTECTION AND HEALTH EFFECTS

Includes work associated with developing radiation protection standards and guidelines for implementing them. Also includes work associated with planning, developing, and directing safety research studies to provide information necessary for licensing decisions, inspection activities, and the standards development process; and the development of consistent radioactive material release standards.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

260 19 26 00 0 ADVANCED REACTOR RESEARCH

Includes directing research to ensure independent verification of the analytical and experimental information provided by applicants, developing the technical base to license advanced reactor designs, and developing regulations in support of future nuclear power plant licensing activities.

260 19 26 01 0 INTEGRITY OF COMPONENTS

Includes research to improve NRC's understanding of new engineering concepts proposed for advanced reactor designs. This involves demonstrating the adequacy and reliability of the design, and quantifying the margins of safety in structural, electrical, and mechanical components.

260 19 26 02 0 SYSTEMS PERFORMANCE OF LIGHT WATER
 REACTORS

Includes research to improve NRC's understanding of the performance, behavior, and reliability of advanced light water reactor safety systems during normal operations, anticipated operational occurrences, and postulated accidents, including severe core-damage accidents. This involves the AP600, the Simplified Boiling Water Reactor (SBWR), and the Process Inherent Ultimate Safety (PIUS) advanced light water reactor designs.

260 19 26 03 0 SYSTEMS PERFORMANCE OF GAS, LIQUID METAL,
 AND HEAVY WATER REACTORS

Includes research to improve NRC's understanding of the performance, behavior, and reliability of safety systems in advanced light water reactor designs that are sufficiently different from conventional light water reactors. This principally involves the Modular High Temperature Gas-Cooled Reactor (MHTGR), the Advanced Liquid Metal Reactor (ALMR), and the CANDU 3 heavy water reactor designs.

260 19 26 04 0 ADVANCED REACTOR REGULATORY STANDARDS

Includes work associated with developing or modifying regulatory requirements and guidance for the certification and licensing of advanced reactor designs.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

260 21 20 00 0 TRAVEL (PERSONS-REGULAR, XHLW)

Includes all obligations, payments, and costs associated with "Other-Than-High-Level Waste" for domestic travel for transportation of persons, subsistence of travelers, and incidental travel expenses in accordance with the Federal Travel Regulations and Appendix 1501. These costs are chargeable directly to NRC. Specifically includes (a) commercial transportation charges, rental or charter from commercial sources of passenger-carrying motor vehicles, trains, buses, vessels, and airplanes for transportation of persons, and expenses incident to the operation of such rented or chartered conveyances (rentals of all passenger-carrying motor vehicles from commercial sources are included, even though they may be used incidentally for transportation of things); and (b) mileage allowances for use of privately-owned vehicles and related charges which are specifically authorized (such as ferry fares and tolls) and mass transportation and taxi fares (including tips) whether in connection with local travel or travel away from a designated post of duty. Excludes rental of passenger-carrying motor vehicles from Government motor pools.

Includes all obligations, payments, and costs incurred for foreign travel between permanent duty station and foreign destination and return. However, when temporary duty is performed at a U.S. stopover en route to or from a foreign destination, the pertinent one-way costs of the trip between the permanent duty station and the stopover are charged to domestic travel. Such temporary duty at a U.S. stopover must be shown on the Travel Authorization (NRC-279) under remarks (item 27) as necessary for and not just incidental to the foreign trip.

NOTE: If travel covers both High-Level Waste and Other-Than-High-Level Waste activities, all obligations, payments, and costs must be charged to this B&R number.

260 60 00 00 0 REIMBURSABLE WORK

Includes all obligations, payments, and costs incurred under reimbursable agreements with other Federal agencies and outside parties in which NRC provides such goods or services.

260 60 01 00 0 REIMBURSABLE WORK (FEDERAL AGENCIES)

260 60 02 00 0 REIMBURSABLE WORK (NON-FEDERAL PARTIES)

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

269 00 00 00 0 OFFICE OF NUCLEAR REGULATORY RESEARCH
(HLW)

The Office of Nuclear Regulatory Research (RES) is responsible for planning and conducting a comprehensive research program that is responsive to current and future NRC requirements, and for directing the development of improved regulations, standards and regulatory guides. The RES activities related to other-than-high-level waste are funded under B&R 260-00-00-00-0.

269 19 00 00 0 PROGRAM SUPPORT (HLW)

Includes all obligations, payments, and costs incurred by other Federal agencies and contractors, and other organizations in direct support of the programs in RES as follows:

269 19 42 00 0 ASSESSING THE SAFETY OF HIGH-LEVEL WASTE
DISPOSAL

Includes developing and directing research to form the technical basis for the NRC's independent evaluation, including related standards and rulemaking activities, of the plans and license application being developed by DOE for the packaging and permanent disposal of high-level radioactive wastes in a geologic repository.

269 21 40 00 0 TRAVEL (PERSONS-REGULAR, HLW)

Includes all obligations, payments, and costs exclusively related to "High-Level Waste" for domestic travel for transportation of persons, subsistence of travelers, and incidental travel expenses in accordance with the Federal Travel Regulations and Appendix 1501. These costs are chargeable directly to NRC. Specifically includes (a) commercial transportation charges, rental or charter from commercial sources of passenger-carrying motor vehicles, trains, buses, vessels, and airplanes for transportation of persons, and expenses incident to the operation of such rented or chartered conveyances (rentals of all passenger-carrying motor vehicles from commercial sources are included, even though they may be used incidentally for transportation of things); and (b) mileage allowances for use of privately-owned vehicles and related charges which are specifically authorized (such as ferry fares and tolls) and mass transportation and taxi fares (including tips) whether in connection with local travel or travel away from a designated post of duty. Excludes

**POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III**

rental of passenger-carrying motor vehicles from Government motor pools.

Includes all obligations, payments, and costs incurred for foreign travel between permanent duty station and foreign destination and return. However, when temporary duty is performed at a U.S. stopover en route to or from a foreign destination, the pertinent one-way costs of the trip between the permanent duty station and the stopover are charged to domestic travel. Such temporary duty at a U.S. stopover must be shown on the Travel Authorization (NRC-279) under remarks (item 27) as necessary for and not just incidental to the foreign trip.

NOTE: If travel covers both High-Level Waste and Other-Than-High-Level Waste, all obligations, payments, and costs must be charged to B&R 260-21-20-00-0 described above.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

COMMISSION AND STAFF OFFICES

SUMMARY

<u>XXX</u>	<u>XX</u>	<u>XX</u>	<u>XX</u>	<u>X</u>	
270					Commission and Staff Offices
	19				Program Support
		43			Independent Safety Reviews and Advice - Advisory Committee on Nuclear Waste (HLW)
		44			Licensing Support System (LSS)
		53			Advisory Committee on Nuclear Waste (XHLW) and Advisory Committee on Reactor Safeguards
		54			Independent Adjudicatory Reviews - Atomic Safety and Licensing Board Panel
			56		
		61			Commission
		62			Secretariat
		63			General Counsel
		65			Commission Appellate Adjudication
		66			Congressional Affairs
		67			Public Affairs
		68			International Programs
	21				Travel (Persons-Regular)
		43			Advisory Committee on Nuclear Waste (HLW)
		44			Licensing Support System (LSS)
		53			Advisory Committee on Nuclear Waste (XHLW) and Advisory Committee on Reactor Safeguards
		56			Atomic Safety and Licensing Board Panel
		61			Commission
		62			Secretariat
		63			General Counsel
		65			Commission Appellate Adjudication
		66			Congressional Affairs
		67			Public Affairs
		68			International Programs
	23				Representational Funds
	60				Reimbursable Work
		01			Reimbursable Work (Federal Agencies)
		02			Reimbursable Work (Non-Federal Parties)

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

270 00 00 00 0 COMMISSION AND STAFF OFFICES

Includes all Commissioners, their personal staffs, and offices reporting directly to the Commission.

270 19 00 00 0 PROGRAM SUPPORT

Includes all obligations, payments, and costs incurred by other Federal agencies, contractors, and other organizations in direct support of the programs in the Commission and staff offices.

270 19 43 00 0 INDEPENDENT SAFETY REVIEWS AND ADVICE -
ADVISORY COMMITTEE ON NUCLEAR WASTE (HLW)

Provides the Commission with independent technical review of and advice on all aspects of high-level nuclear waste management, as appropriate, within the purview of NRC responsibilities.

270 19 44 00 0 LICENSING SUPPORT SYSTEM (LSS)

Provides for the administration, management, and operation of the licensing support system (LSS).

270 19 53 00 0 ADVISORY COMMITTEE ON NUCLEAR WASTE (XHLW)
AND ADVISORY COMMITTEE ON REACTOR
SAFEGUARDS

The Advisory Committee on Nuclear Waste (XHLW) advises the Commission on selected aspects of low-level nuclear waste management, as appropriate, within the purview of NRC's regulatory responsibilities; examines and reports on areas of concern identified on its own initiative or specifically referred to it by the Commission or Commission-designated representatives. The Advisory Committee on Reactor Safeguards reviews safety studies and applications for construction permits and facility operating licenses and makes reports thereon; advises the Commission with regard to hazards of proposed or existing reactor facilities and the adequacy of proposed reactor safety standards; reviews any generic issues or other matters identified on its own initiative or specifically referred to it by the Commission for advice; and provides resources for managerial, technical, and administrative support to the Advisory Committee on Nuclear Waste.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

270 19 54 00 0 INDEPENDENT ADJUDICATORY REVIEWS

Provides independent review of proposed licensing and other NRC actions through hearings conducted by adjudicatory tribunals pursuant to the Administrative Procedure Act, the Atomic Energy Act, the National Environmental Policy Act, and other statutory authority.

270 19 54 56 0 ATOMIC SAFETY AND LICENSING BOARD PANEL

Atomic Safety and Licensing Boards conduct hearings required by statute and such other hearings as the Commission may authorize or direct, make such intermediate or final decisions as the Commission may authorize in proceedings to grant, suspend, revoke, or amend licenses or authorizations, and perform such other regulatory functions as the Commission deems appropriate. Develops policies and procedures, applicable to activities of various hearing Boards and makes appropriate recommendations to the Commission relating to the conduct of hearings, hearing procedures, and policies for the guidance of the Boards. Makes recommendations to the Commission relating to licensing, inspection, compliance, and enforcement procedures, policies, and practices, as appropriate.

270 19 61 00 0 COMMISSION

Governing body which has overall responsibilities of the Energy Reorganization Act of 1974 and the Atomic Energy Acts of 1946 and 1954, as amended; provides fundamental policy guidance and the administration and management direction necessary to ensure that the civilian use of nuclear energy is developed in a manner consistent with the public health and safety, environmental quality, national security, and antitrust laws.

270 19 62 00 0 SECRETARIAT

Provides executive management services to support the Commission and to implement Commission decisions; advises and assists the Commission and staff on planning, scheduling, and conducting Commission business, including preparation of internal procedures; prepares the Commission's meeting agenda; codifies Commission decisions in memoranda directing staff action and monitors compliance; manages the Staff Paper and COMSECY systems; processes and controls Commission correspondence; maintains the Commission's official records and acts as Freedom of Information coordinator for Commission records; maintains the official adjudicatory and rulemaking dockets of the Commission; processes and controls motions and

**POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III**

pleadings filed with the Commission and the Licensing Boards; issues and serves adjudicatory orders on behalf of the Commission; files and distributes public comments in rulemaking proceedings, issues proposed and final rules on behalf of the Commission; directs and administers the headquarters' NRC Historical Program, NRC Public Document Room, and its Bibliographic Retrieval System; integrates office automation initiatives into the Commission's administrative system; and functions as the NRC Federal Advisory Committee Management Officer.

270 19 63 00 0 GENERAL COUNSEL

Directs matters of law and legal policy, providing opinions, advice, and assistance to the agency with respect to all of its activities; reviews draft Commission opinions on public petitions seeking direct Commission action, and rulemaking proceedings; monitors adjudicatory proceedings and reviews draft Commission adjudicatory decisions; provides interpretation of laws, regulations, and other sources of authority, and the legal form and content of proposed official actions; represents and advises staff offices in all programmatic activities and administrative litigation in connection with licensing and enforcement; prepares or concurs in all contractual documents, interagency agreements, delegations of authority, regulations, orders, licenses, and other legal documents and prepares legal interpretations thereof; represents NRC in administrative proceedings related to such matters as personnel, procurement and EEO; provides legal support to the Office of the Inspector General; reviews and directs intellectual property work; and represents and protects the interests of the NRC in legal matters and in court proceedings, and in relation to other government agencies, administrative bodies, committees of Congress, foreign governments, and members of the public; provides legal advice to the Commission (including staff) and represents the Commission in courts of appeals proceedings to review Commission orders and rules, and, in cooperation with the Department of Justice, represents the Commission in court proceedings affecting the agency's programs in the Federal district courts and the Supreme Court.

270 19 65 00 0 COMMISSION APPELLATE ADJUDICATION

The Office of Commission Appellate Adjudication reviews administrative proceedings and keeps the Commission advised of decisions which must be made. The Office monitors cases

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

pending before licensing boards and associated matters, provides analyses and options to the Commission, and drafts decisions, if necessary.

270 19 66 00 0 CONGRESSIONAL AFFAIRS

Provides advice and assistance to the Commission and NRC staff on all NRC relations with Congress and views of Congress toward NRC policies, plans, and activities; maintains liaison with Congressional Committees and members of Congress on matters of interest to NRC; serves as primary contact point for all NRC communications with Congress, reviewing and concurring in all outgoing correspondence to members of Congress; and participates in planning and developing NRC's legislative programs.

270 19 67 00 0 PUBLIC AFFAIRS

Develops policies and procedures for Commission approval for informing the public and the news media of NRC policies, programs, and activities; keeps NRC management informed on media coverage of activities of interest to the agency; and plans, directs, and coordinates the activities of public information staffs located at regional offices.

270 19 68 00 0 INTERNATIONAL PROGRAMS

Plans, develops, and implements programs of international cooperation and licensing of nuclear exports and imports. Establishes regulatory relationships with foreign nations and international organizations; develops policies on NRC export-import, international safeguards, and nonproliferation matters; issues export-import licenses as the Commission directs; and represents NRC in consultation with other U.S. government agencies having interest in export-import of nuclear systems, components and special nuclear material.

270 21 00 00 0 TRAVEL (PERSONS-REGULAR)

Includes all obligations, payments, and costs for domestic travel for transportation of persons, subsistence of travelers, and incidental travel expenses in accordance with the Federal Travel Regulations and Appendix 1501. These costs are chargeable directly to NRC. Specifically includes (a) commercial transportation charges, rental or charter from commercial sources of passenger-carrying motor vehicles, trains, buses, vessels, and airplanes for transportation of persons, and expenses incident to the operation of such rented

**POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III**

or chartered conveyances (rentals of all passenger-carrying motor vehicles from commercial sources are included, even though they may be used incidentally for transportation of things); and (b) mileage allowances for use of privately-owned vehicles and related charges which are specifically authorized (such as ferry fares and tolls) and mass transportation and taxi fares (including tips) whether in connection with local travel or travel away from a designated post of duty. Excludes rental of passenger-carrying motor vehicles from Government motor pools.

Includes all obligations, payments, and costs incurred for foreign travel between permanent duty station and foreign destination and return. However, when temporary duty is performed at a U.S. stopover en route to or from a foreign destination, the pertinent one-way costs of the trip between the permanent duty station and the stopover are charged to domestic travel. Such temporary duty at a U.S. stopover must be shown on the Travel Authorization (NRC-279) under remarks (item 27) as necessary for and not just incidental to the foreign trip.

270 21 43 00 0 ADVISORY COMMITTEE ON NUCLEAR WASTE (HLW)

Includes all obligations, payments, and costs for travel exclusively related to "High-Level Waste" as described in B&R 270-21-00-00-0. NOTE: If travel covers both High-Level Waste and Other-Than-High-Level Waste activities, all obligations, payments, and costs must be charged to B&R 270-21-53-00-0 described below.

270 21 44 00 0 LICENSING SUPPORT SYSTEM (LSS)

Includes all obligations, payments, and costs for travel exclusively related to "High-Level Waste" as described in B&R 270-21-00-00-0.

270 21 53 00 0 ADVISORY COMMITTEE ON NUCLEAR WASTE (XHLW)
AND ADVISORY COMMITTEE ON REACTOR
SAFEGUARDS

Includes all obligations, payments, and costs for travel associated with "Other Than High Level Waste" as described in B&R 270-21-00-00-0. Note: If travel covers both High-Level-Waste and Other-Than-High-Level-Waste activities, all obligations, payments, and costs must be charged to this B&R number.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

270 21 56 00 0 ATOMIC SAFETY AND LICENSING BOARD PANEL

See description under B&R 270-21-00-00-0.

270 21 61 00 0 COMMISSION

See description under B&R 270-21-00-00-0.

270 21 62 00 0 SECRETARIAT

See description under B&R 270-21-00-00-0.

270 21 63 00 0 GENERAL COUNSEL

See description under B&R 270-21-00-00-0.

270 21 65 00 0 COMMISSION APPELLATE ADJUDICATION

See description under B&R 270-21-00-00-0.

270 21 66 00 0 CONGRESSIONAL AFFAIRS

See description under B&R 270-21-00-00-0.

270 21 67 00 0 PUBLIC AFFAIRS

See description under B&R 270-21-00-00-0.

270 21 68 00 0 INTERNATIONAL PROGRAMS

See description under B&R 270-21-00-00-0.

270 23 00 00 0 REPRESENTATIONAL FUNDS

Includes expenditures involving official entertainment.

270 60 00 00 0 REIMBURSABLE WORK

Includes all obligations, payments, and costs incurred under reimbursable agreements with other Federal agencies and outside parties in which NRC provides such goods or services.

270 60 01 00 0 REIMBURSABLE WORK (FEDERAL AGENCIES)

270 60 02 00 0 REIMBURSABLE WORK (NON-FEDERAL PARTIES)

POLICIES AND PRACTICES GOVERNING
 NRC LONG-RANGE PLANNING, BUDGET
 FORMULATION, AND RESOURCE MANAGEMENT
 APPENDIX 1301 PART III

EXECUTIVE DIRECTOR FOR OPERATIONS AND STAFF OFFICES

SUMMARY

<u>XXX</u>	<u>XX</u>	<u>XX</u>	<u>XX</u>	<u>X</u>	
280					Executive Director for Operations and Staff Offices
	11				Personnel Compensation
		01			Personnel Compensation (XHLW)
		40			Personnel Compensation (HLW)
	12				Personnel Benefits
		01			Personnel Benefits (XHLW)
		40			Personnel Benefits (HLW)
	13				Benefits for Former Personnel
		01			Benefits for Former Personnel (XHLW)
		40			Benefits for Former Personnel (HLW)
	19				Program Support
		58			External Investigations
		59			Enforcement
		61			Executive Director for Operations
		62			Small and Disadvantaged Business Utilization and Civil Rights
		63			Controller
		64			State Programs
			01		State, Local, and Indian Relations
			02		State Agreements Program
			03		Federal Liaison
		65			Policy Planning
	20				Administrative Support
		40			Administrative Services for High-Level Waste
		49			Offset for High-Level Waste Posting
		63			Office of the Controller
			21		Transportation of Persons
			22		Transportation of Things
			25		Other Services and Miscellaneous Charges
	21				Travel (Persons-Regular)
		58			External Investigations
		59			Enforcement
		61			Executive Director for Operations
		62			Small and Disadvantaged Business Utilization and Civil Rights
		63			Controller
		64			State Programs
		65			Policy Planning

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

XXX XX XX XX X

42

Insurance Claims and Indemnities

44

Refunds to Licensees

60

Reimbursable Work

01

Reimbursable Work (Federal Agencies)

02

Reimbursable Work (Non-Federal
Parties)

**POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III**

**280 00 00 00 0 EXECUTIVE DIRECTOR FOR OPERATIONS AND
STAFF OFFICES**

Includes the Office of the Executive Director for Operations and staff offices reporting directly to the EDO which do not receive separate funding allotments.

280 11 00 00 0 PERSONNEL COMPENSATION

Comprises gross compensation (before deductions for taxes and other purposes) for services of individuals, including terminal leave payments. This classification covers all payments (salaries, wages, fees) for personal services rendered to the Government by its officers or employees, and compensation for special services rendered by consultants or others. Includes monetary awards for employee suggestions and efficiency.

280 11 01 00 0 PERSONNEL COMPENSATION (XHLW)

See description under B&R 280-11-00-00-0. This classification covers all payments related to other-than-high-level waste activities.

280 11 40 00 0 PERSONNEL COMPENSATION (HLW)

See description under B&R 280-11-00-00-0. This classification covers all payments related to high-level waste activities.

280 12 00 00 0 PERSONNEL BENEFITS

Includes cash allowances paid to employees incident to their employment and payments to other funds for the benefit of such employees.

280 12 01 00 0 PERSONNEL BENEFITS (XHLW)

See description under B&R 280-12-00-00-0. This classification covers all payments related to other-than-high-level waste activities.

280 12 40 00 0 PERSONNEL BENEFITS (HLW)

See description under B&R 280-12-00-00-0. This classification covers all payments related to high-level waste activities.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

280 13 00 00 0 BENEFITS FOR FORMER PERSONNEL

Includes personnel benefits paid to former NRC personnel such as severance pay and unemployment compensation.

280 13 01 00 0 BENEFITS FOR FORMER PERSONNEL (XHLW)

See description under B&R 280-13-00-00-0. This classification covers all payments related to other-than-high-level waste activities.

280 13 40 00 0 BENEFITS FOR FORMER PERSONNEL (HLW)

See description under B&R 280-13-00-00-0. This classification covers all payments related to high-level waste activities.

280 19 00 00 0 PROGRAM SUPPORT

Includes all obligations, payments, and costs incurred by other Federal agencies, contractors, and other organizations in direct support of the programs in the Executive Director for Operations and staff offices.

280 19 58 00 0 EXTERNAL INVESTIGATIONS

Conducts, supervises, and controls investigations of licensees, applicants, contractors, or vendors (including investigations of all allegations of wrong doing by other than NRC employees and NRC contractors); develops policies, procedures and quality control standards to accomplish the above and advises NRC and specifically the Commission, the EDO, and Regional Administrators with regard to investigatory matters.

280 19 59 00 0 ENFORCEMENT

Includes the development, appraisal, and implementation of the Enforcement Program and the assessment of the effectiveness and uniformity of regional implementation of the program.

280 19 61 00 0 EXECUTIVE DIRECTOR FOR OPERATIONS

Coordinates and directs for the Commission the day-to-day activities of the offices which report directly to the EDO; coordinates the development of policy options generated by the offices, and provides the Commission with assistance on policy, management, and operational matters, including the coordination or conduct of related studies and analyses and the development and maintenance of policies and standards for the appraisal of

**POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III**

NRC operations; and coordinates licensee communications and requirements activities.

**280 19 62 00 0 SMALL AND DISADVANTAGED BUSINESS
UTILIZATION AND CIVIL RIGHTS**

Responsible for the implementation and execution of the functions and duties under sections 8 and 15 of the Small Business Act of 1958, as amended, and the functions and duties relating to equal employment opportunity and civil rights matters within NRC; and provides for NRC employees activities related to Federal Women's Program.

280 19 63 00 0 CONTROLLER

Includes technical assistance in support of the Controller, the Division of Accounting and Finance, and the Division of Budget and Analysis.

280 19 64 00 0 STATE PROGRAMS

Recommends policy and directs NRC's program of cooperation and liaison with States, local governments, and Indian tribe organizations; consults with other U.S. Government agencies and NRC offices having functional or operational State, and interstate programs; provides training to local, State, and U.S. Government agencies in radiological health matters; and ensures effective liaison with State and local jurisdictions and quasi-governmental and private organizations having interest in NRC policies.

280 19 64 01 0 STATE, LOCAL, AND INDIAN TRIBE RELATIONS

Manages programs for coordinating and integrating State and Federal regulatory activities involving nuclear facilities siting and control of nuclear materials; maintains liaison and provides appropriate support for State, interstate, regional, quasi-governmental, and Indian tribe organizations, and other U.S. Government agencies; maintains an information exchange and analysis program on State legislative and regulatory activities; participates in formulation of policy regarding NRC state and intergovernmental activities; and provides general support and guidance to other NRC offices whose functional responsibilities require liaison with state organizations and other U.S. Government agencies.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

280 19 64 02 0 STATE AGREEMENTS PROGRAM

Negotiates, implements, and manages the State Agreements Program under the provisions of Section 274 of the Atomic Energy Act of 1954, as amended; monitors State Agreements Program to evaluate continued adequacy and compatibility with NRC regulatory programs. Includes all training of state personnel within the provisions of the State Agreements Program.

280 19 64 03 0 FEDERAL LIAISON

Provides advice to the Commission on policy matters involving other federal agencies such as DOE, EPA, and FEMA.

280 19 65 00 0 POLICY PLANNING

Evaluates long-range policy issues of relevance to NRC. Conducts broad scope analyses and identifies factors the Commission should be aware of in its consideration of the agency's long-range policies and plans.

280 20 00 00 0 ADMINISTRATIVE SUPPORT

Includes all obligations, payments, and costs for administrative support services as follows:

280 20 40 00 0 ADMINISTRATIVE SERVICES FOR HIGH-LEVEL
WASTE

This B&R number was established to provide a mechanism for keeping track of resources associated with administrative support services for NRC's high-level waste activities. Funds posted against this B&R number are a subset of the resources allocated to B&R number 280-20-63.

280 20 49 00 0 OFFSET FOR HIGH-LEVEL WASTE POSTING

B&R number 280-20-40-00-0 was established to provide a mechanism for keeping track of resources associated with administrative support services for NRC's high-level waste activities. Funds posted against that B&R number are a subset of the resources allocated to B&R number 280-20-63. Thus, B&R number 280-20-49-00-0 was established to provide a mechanism for an offset posting to ensure that there is no net effect on the financial plans, allotments, and financial status shown in the accounting reports for the EDO.

**POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III**

280 20 63 00 0 CONTROLLER

Includes the transportation and storage costs related to a permanent change-of-station and employee relocation services.

280 20 63 21 0 TRANSPORTATION OF PERSONS

Includes the transportation of persons (including family travel expenses) related to a permanent change-of-station as allowed under 5 U.S.C. 5724 and 5724a.

280 20 63 22 0 TRANSPORTATION OF THINGS

Includes the transportation of household goods related to a permanent change-of-station as allowed under the Federal Travel Regulations.

280 20 63 25 0 OTHER SERVICES AND MISCELLANEOUS CHARGES

Includes the storage of household goods and employee relocation services.

280 21 00 00 0 TRAVEL (PERSONS-REGULAR)

Includes all obligations, payments, and costs for domestic travel for transportation of persons, subsistence of travelers, and incidental travel expenses in accordance with the Federal Travel Regulations and Appendix 1501. These costs are chargeable directly to NRC. Specifically includes (a) commercial transportation charges, rental or charter from commercial sources of passenger-carrying motor vehicles, trains, buses, vessels, and airplanes for transportation of persons, and expenses incident to the operation of such rented or chartered conveyances (rentals of all passenger-carrying motor vehicles from commercial sources are included, even though they may be used incidentally for transportation of things); and (b) mileage allowances for use of privately-owned vehicles and related charges which are specifically authorized (such as ferry fares and tolls) and mass transportation and taxi fares (including tips) whether in connection with local travel or travel away from a designated post of duty. Excludes rental of passenger-carrying motor vehicles from Government motor pools.

Includes all obligations, payments, and costs incurred for foreign travel between permanent duty station and foreign destination and return. However, when temporary duty is

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

performed at a U.S. stopover en route to or from a foreign destination, the pertinent one-way costs of the trip between the permanent duty station and the stopover are charged to domestic travel. Such temporary duty at a U.S. stopover must be shown on the Travel Authorization (NRC-279) under remarks (Item 27) as necessary for and not just incidental to the foreign trip.

280 21 58 00 0 EXTERNAL INVESTIGATIONS

See description under B&R 280-21-00-00-0.

280 21 59 00 0 ENFORCEMENT

See description under B&R 280-21-00-00-0.

280 21 61 00 0 EXECUTIVE DIRECTOR FOR OPERATIONS

See description under B&R 280-21-00-00-0.

280 21 62 00 0 SMALL AND DISADVANTAGED BUSINESS
UTILIZATION AND CIVIL RIGHTS

See description under B&R 280-21-00-00-0.

280 21 63 00 0 CONTROLLER

See description under B&R 280-21-00-00-0.

280 21 64 00 0 STATE PROGRAMS

See description under B&R 280-21-00-00-0.

280 21 65 00 0 POLICY PLANNING

See description under B&R 280-21-00-00-0.

280 42 00 00 0 INSURANCE CLAIMS AND INDEMNITIES

Includes indemnities for damage or injury to persons or property (tort claims) and payments for other losses.

280 44 00 00 0 REFUNDS TO LICENSEES

Includes refunds of annual fees previously received from licensees which NRC decided to eliminate based upon recent U.S. Supreme Court decisions.

**POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III**

280 60 00 00 0 REIMBURSABLE WORK

Includes all obligations, payments, and costs incurred under reimbursable agreements with other Federal agencies and outside parties in which NRC provides such goods or services.

280 60 01 00 0 REIMBURSABLE WORK (FEDERAL AGENCIES)

280 60 02 00 0 REIMBURSABLE WORK (NON-FEDERAL PARTIES)

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

OFFICE FOR ANALYSIS AND EVALUATION OF OPERATIONAL DATA

SUMMARY

XXX XX XX XX X

282				Office for Analysis and Evaluation of Operational Data
	19			Program Support
		51		Special Safety Reviews
			01	Diagnostic Evaluations
			02	Incident Investigation
			03	NRC Incident Response
			04	Technical Training Center
		52		Operational Experience Evaluation
			01	Operational Data Analysis
			02	Performance Indicators
			03	Operational Data Collection and Dissemination
	20			Administrative Support
		40		Administrative Services for High-Level Waste
		49		Offset for High-Level Waste Posting
		51		Technical Training Center
			22	Transportation of Things
			23	Rent, Communications, Utilities, and Miscellaneous Charges
			24	Printing and Reproduction
			25	Other Services and Miscellaneous Charges
			26	Supplies and Materials
			31	Capital Equipment
	21	50		Travel (Persons-Regular)
	60			Reimbursable Work
			01	Reimbursable Work (Federal Agencies)
			02	Reimbursable Work (Non-Federal Parties)

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

282 00 00 00 0 OFFICE FOR ANALYSIS AND EVALUATION OF
OPERATIONAL DATA

The Office for Analysis and Evaluation of Operational Data performs diagnostic evaluations of nuclear power plant safety and maintains the Incident Investigation Program for investigation of events of a potentially major significance. Manages the NRC Incident Response and Technical Training Programs. Analyzes and evaluates operational safety data associated with commercial nuclear power plant licensees and fuel cycle and radioactive materials licensees to identify significant events or situations that warrant detailed evaluation and to identify safety concerns that warrant regulatory attention. Develops and implements performance indicator program which provides ready information concerning nuclear power plant performance trends.

282 19 00 00 0 PROGRAM SUPPORT

Includes all obligations, payments, and costs incurred by other Federal agencies, contractors and other organizations in direct support of the programs in AEOD as follows:

282 19 51 00 0 SPECIAL SAFETY REVIEWS

Includes technical assistance for the identification and evaluation of potentially significant events and safety concerns involving U.S. commercial nuclear power reactors and non-reactor facilities. Includes technical assistance to support the diagnostic evaluations of nuclear power plant safety and the Incident Investigation Program for events of major significance. Provides support to the NRC Incident Response program, including the NRC Operations Center and the NRC Technical Training program, including the Technical Training Center.

282 19 51 01 0 DIAGNOSTIC EVALUATIONS

Includes technical assistance to support the diagnostic evaluation of individual nuclear power plant safety.

282 19 51 02 0 INCIDENT INVESTIGATION

Includes technical assistance to support the investigation and analysis of particularly significant operational events involving nuclear power reactors and non-reactor facilities and to support the Incident Investigation Teams (IIT).

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

282 19 51 03 0 NRC INCIDENT RESPONSE

Includes technical assistance to support maintenance of the NRC incident response capability, including such activities as the operation of the NRC Operations Center, the Emergency Response Data System (ERDS), and the development and maintenance of analytical and consequence assessment tools. In addition, this includes support for development, maintenance, and integration of NRC agencywide response plans, procedures, and training of personnel and organizations and conduct of exercises to achieve and test readiness objectives.

282 19 51 04 0 TECHNICAL TRAINING CENTER

Includes technical assistance to support training of NRC personnel and other Federal, state, and foreign government employees on nuclear power plant technology, system design and operation, and other specialized technical areas such as engineering support, safeguards, and health physics. Supports development of new or revised technical training programs.

282 19 52 00 0 OPERATIONAL EXPERIENCE EVALUATION

Includes technical assistance to support the collection, analysis, and dissemination of information about operational safety data associated with commercial nuclear power reactor licensees and non-reactor licensees, and supports studies of significant events or situations as warranted. Includes evaluation of indicators of nuclear power plant safety and regulatory performance.

282 19 52 01 0 OPERATIONAL DATA ANALYSIS

Includes technical assistance to support the analysis of operational experience of nuclear power reactor and non-reactor facilities to identify trends and patterns that indicate potential safety problems, and to identify plant specific and generic safety items and recommend NRC actions when appropriate.

282 19 52 02 0 PERFORMANCE INDICATORS

Includes technical assistance to support the development, review, analysis, and evaluation of indicators of nuclear power plant safety and regulatory performance.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

282 19 52 03 0 OPERATIONAL DATA COLLECTION AND
DISSEMINATION

Includes technical assistance to support the maintenance of operational data storage and retrieval systems for selected operational experience data. Supports analysis of information from data bases and the reporting of U.S. events to other countries through the Nuclear Energy Agency's Incident Reporting System. Supports review of U.S. operational safety data and coordination of further dissemination through periodic publications, technical reports, and incident reports.

282 20 00 00 0 ADMINISTRATIVE SUPPORT

Includes all obligations, payments, and costs for administrative support services for the AEOD-managed Technical Training Center only as follows:

282 20 40 00 0 ADMINISTRATIVE SERVICES FOR HIGH-LEVEL
WASTE

This B&R number was established to provide a mechanism for keeping track of resources associated with administrative support services for NRC's high-level waste activities. Funds posted against this B&R number are a subset of the resources allocated to B&R number 282-20-51.

282 20 49 00 0 OFFSET FOR HIGH-LEVEL WASTE POSTING

B&R number 282-20-40-00-0 was established to provide a mechanism for keeping track of resources associated with administrative support services for NRC's high-level waste activities. Funds posted against that B&R number are a subset of the resources allocated to B&R number 282-20-51. Thus, B&R number 282-20-49-00-0 was established to provide a mechanism for an offset posting to ensure that there is no net effect on the financial plans, allotments, and financial status shown in the accounting reports for AEOD.

282 20 51 00 0 TECHNICAL TRAINING CENTER

Includes administrative support to the Technical Training Center in Chattanooga, Tennessee.

282 20 51 22 0 TRANSPORTATION OF THINGS

Includes the transportation of government-owned or leased property incident to the relocation of offices or equipment;

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

and shipment of items by common carrier and contract carrier, including freight and express, demurrage, switching, re-crating, etc.

282 20 51 23 0 RENT, COMMUNICATIONS, UTILITIES AND
MISCELLANEOUS CHARGES

Includes the provision for direct charges for rental and space and rent-related services assessed by GSA as standard level user charges; includes space rented from sources other than GSA; and, rental of conference rooms, auditoriums, and halls for use in NRC business.

282 20 51 24 0 PRINTING AND REPRODUCTION

Includes contractual printing and reproduction, including photography services, composition, and binding operations, performed by commercial printers or photographers.

282 20 51 25 0 OTHER SERVICES AND MISCELLANEOUS CHARGES

Includes the following: support for advisors and consultants; space alterations, and supplementary HVAC; parking; maintenance of office machines, security, printing, reproduction, graphics, and photography equipment; graphics orders and audiovisual services; translations; conference, copyright, and page charges; technical editing of NRC Issuances (NRCIs); contract typing and maintenance of motor vehicles.

282 20 51 26 0 SUPPLIES AND MATERIALS

Includes commodities whether acquired by formal contract or other form of purchase (a) that are ordinarily consumed or expended within one year after they are put into use, (b) that are converted in the process of construction or manufacture, or (c) that are used to form a minor part of equipment or fixed property. This classification includes office supplies, paper, ADP/word processing supplies, pamphlets and documents, automotive fuel and tires, supplies, and materials and parts. Includes operational costs for simulator maintenance and support, such as spare parts and consumables. Includes the purchase of non-capital general office, security, graphics, audiovisual, printing, and reproduction equipment. Includes the purchase of office furniture and fixtures.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

282 20 51 31 0 CAPITAL EQUIPMENT

Includes security, printing, reproduction, and graphics equipment purchase that meet the following definition: personal property of a durable nature -- that is, property that normally may be expected to have a period of service of one year or more after being put into use without material impairment of its physical condition. Includes charges for services in connection with the initial installation of equipment when performed under contract. This equipment generally: (1) is complete in itself, (2) does not lose its identity or become a component part of another item when placed in use, (3) has repeated use, (4) has a service life of two or more years, and (5) costs \$5,000 or more. Excludes commodities that are converted in the process of construction or manufacture, or that are used to form a minor part of equipment or fixed property.

282 21 50 00 0 TRAVEL (PERSONS-REGULAR)

Includes all obligations, payments, and costs for domestic travel for transportation of persons, subsistence of travelers, and incidental travel expenses in accordance with the Federal Travel Regulations and Appendix 1501. These costs are chargeable directly to NRC. Specifically includes (a) commercial transportation charges, rental or charter from commercial sources of passenger-carrying motor vehicles, trains, buses, vessels, and airplanes for transportation of persons, and expenses incident to the operation of such rented or chartered conveyances (rentals of all passenger-carrying motor vehicles from commercial sources are included, even though they may be used incidentally for transportation of things); and (b) mileage allowances for use of privately-owned vehicles and related charges which are specifically authorized (such as ferry fares and tolls) and mass transportation and taxi fares (including tips) whether in connection with local travel or travel away from a designated post of duty. Excludes rental of passenger-carrying motor vehicles from Government motor pools.

Includes all obligations, payments, and costs incurred for foreign travel between permanent duty station and foreign destination and return. However, when temporary duty is performed at a U.S. stopover en route to or from a foreign destination, the pertinent one-way costs of the trip between the permanent duty station and the stopover are charged to domestic travel. Such temporary duty at a U.S. stopover must be shown on the Travel Authorization (NRC-279) under remarks

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

(item 27) as necessary for and not just incidental to the foreign trip.

282 60 00 00 0 REIMBURSABLE WORK

Includes all obligations, payments, and costs incurred under reimbursable agreements with other Federal agencies and outside parties in which NRC provides such goods or services.

282 60 01 00 0 REIMBURSABLE WORK (FEDERAL AGENCIES)

282 60 02 00 0 REIMBURSABLE WORK (NON-FEDERAL PARTIES)

THIS PAGE INTENTIONALLY LEFT BLANK

POLICIES AND PRACTICES GOVERNING
 NRC LONG-RANGE PLANNING, BUDGET
 FORMULATION, AND RESOURCE MANAGEMENT
 APPENDIX 1301 PART III

OFFICE OF PERSONNEL

SUMMARY

<u>XXX</u>	<u>XX</u>	<u>XX</u>	<u>XX</u>	<u>X</u>	
284					Office of Personnel
	20				Administrative Support
		40			Administrative Services for High-Level Waste
		49			Offset for High-Level Waste Posting
		61			Personnel
			23	2	Rental of Space for Recruitment
			25		Other Services
				2	Recruitment Advertising
				3	Employee Health Services
				4	Personnel Related Hearings
				5	Other Support
			26	6	Awards, Medals, and Certificates
	62				Training and Development
			25	7	Training and Development
	63				NRC-Wide Support
			25	8	Private Sector Temporary Services
21	60				Travel (Persons-Regular)
60					Reimbursable Work
	01				Reimbursable Work (Federal Agencies)
	02				Reimbursable Work (Non-Federal Parties)

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

284 00 00 00 0 OFFICE OF PERSONNEL

Plans and implements NRC policies, programs, and services to provide for the effective organization, utilization, and development of the agency's human resources.

284 20 00 00 0 ADMINISTRATIVE SUPPORT

Includes all obligations, payments, and costs for administrative support services as follows:

284 20 40 00 0 ADMINISTRATIVE SERVICES FOR HIGH-LEVEL
WASTE

This B&R number was established to provide a mechanism for keeping track of resources associated with administrative support services for NRC's high-level waste activities. Funds posted against this B&R number are a subset of the resources allocated among B&R numbers 284-20-61 through 284-20-63.

284 20 49 00 0 OFFSET FOR HIGH-LEVEL WASTE POSTING

B&R number 284-20-40-00-0 was established to provide a mechanism for keeping track of resources associated with administrative support services for NRC's high-level waste activities. Funds posted against that B&R number are a subset of the resources allocated among B&R numbers 284-20-61 through 284-20-63. Thus, B&R number 284-20-49-00-0 was established to provide a mechanism for an offset posting to ensure that there is no net effect on the financial plans, allotments, and financial status shown in the accounting reports for OP.

284 20 61 00 0 PERSONNEL

Administers and directs policies, standards, and programs for organizations and personnel management activities, including recruitment, staffing services and information, organization and management analysis, Federal labor-management relations, and employee services and assistance programs.

284 20 61 23 2 RENTAL OF SPACE FOR RECRUITMENT

Includes the rental of space directly related to recruitment activities, such as booths, conference rooms, floor space at job fairs, etc.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

284 20 61 25 0 OTHER SERVICES

Includes all other services in support of the personnel functions as follows:

284 20 61 25 2 RECRUITMENT ADVERTISING

Includes advertising for the purpose of recruitment for employment with NRC.

284 20 61 25 3 EMPLOYEE HEALTH SERVICES

Includes the operation of the Employee Health Services Unit, and other health services made available to NRC employees.

284 20 61 25 4 PERSONNEL RELATED HEARINGS

Includes all services associated with hearings related to personnel actions.

284 20 61 25 5 OTHER SUPPORT

Includes all other services of personnel functions not otherwise classified, such as childcare center and wellness/fitness center.

284 20 61 26 6 AWARDS, MEDALS, AND CERTIFICATES

Includes the purchase of items related to employee performance, length of service and other special recognition, such as distinguished and meritorious service medals, high quality award certificates, length of service pins, retirement and special achievement plaques, award frames, etc.

284 20 62 00 0 TRAINING AND DEVELOPMENT

Includes training to ensure continuity of the NRC executive workforce, improve incumbent managerial performance, support upward mobility and equal employment opportunity principles, and develop the skills, knowledge, and abilities that will best enable employees to perform duties more effectively.

284 20 62 25 7 TRAINING AND DEVELOPMENT

Includes all other services in support of the training function, which include: group training, individual training, probabilistic risk assessment training, supervisory/management

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

development, executive development, Individual Learning Center, Information Technology Services Training Laboratory, and other.

284 20 63 00 0 NRC-WIDE SUPPORT

Includes utilization of private sector temporary services.

284 20 63 25 8 PRIVATE SECTOR TEMPORARY SERVICES

Includes the contractual hiring of temporary services personnel to meet short term staffing shortages in NRC headquarters offices.

284 21 60 00 0 TRAVEL (PERSONS-REGULAR)

Includes all obligations, payments, and costs for domestic travel for transportation of persons, subsistence of travelers, and incidental travel expenses in accordance with the Federal Travel Regulations and Appendix 1501. These costs are chargeable directly to NRC. Specifically includes (a) commercial transportation charges, rental or charter from commercial sources of passenger-carrying motor vehicles, trains, buses, vessels, and airplanes for transportation of persons, and expenses incident to the operation of such rented or chartered conveyances (rentals of all passenger-carrying motor vehicles from commercial sources are included, even though they may be used incidentally for transportation of things); and (b) mileage allowances for use of privately-owned vehicles and related charges which are specifically authorized (such as ferry fares and tolls) and mass transportation and taxi fares (including tips) whether in connection with local travel or travel away from a designated post of duty. Excludes rental of passenger-carrying motor vehicles from Government motor pools.

Includes all obligations, payments, and costs incurred for foreign travel between permanent duty station and foreign destination and return. However, when temporary duty is performed at a U.S. stopover en route to or from a foreign destination, the pertinent one-way costs of the trip between the permanent duty station and the stopover are charged to domestic travel. Such temporary duty at a U.S. stopover must be shown on the Travel Authorization (NRC-279) under remarks (item 27) as necessary for and not just incidental to the foreign trip.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

284 60 00 00 0 REIMBURSABLE WORK

Includes all obligations, payments, and costs incurred under reimbursable agreements with other Federal agencies and outside parties in which NRC provides such goods or services.

284 60 01 00 0 REIMBURSABLE WORK (FEDERAL AGENCIES)

284 60 02 00 0 REIMBURSABLE WORK (NON-FEDERAL PARTIES)

THIS PAGE LEFT INTENTIONALLY BLANK

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

REGIONS

SUMMARY

XXX XX XX XX X

291	Region I	- King of Prussia, PA
292	Region II	- Atlanta, GA
293	Region III	- Glen Ellyn, IL
294	Region IV	- Arlington, TX
295	Region V	- Walnut Creek, CA

(Note: The following numbers apply for allottees 91 to 95.)

29X		Region
	19	Program Support
	11	Reactor Licensing - Application Reviews and Inspections
	01	Power Reactor Applications and Inspections
	14	Reactor Operations and Safeguards Inspections
	02	Region-Based Inspections
	31	Nuclear Material Safety
	02	Licensing and Inspection of Nuclear Material Users
	33	Low-Level Waste
	02	Uranium Recovery Licensing and Inspection
	64	Governmental and Public Affairs Programs
	05	State Activities
	20	Administrative Support
	60	Transportation of Persons and Things
	21	Transportation of Persons
	22	Transportation of Things
	61	Rental of Space
	23	Rental of Buildings, Rental of Space
	62	Printing and Reproduction
	24	Printing and Reproduction
	63	Security Costs
	25	Guards
	64	Supplies, Materials, Postage, and Equipment
	23	Equipment Rentals, Postage, and Miscellaneous Rents
	26	Supplies and Materials
	31	Capital Equipment

POLICIES AND PRACTICES GOVERNING
 NRC LONG-RANGE PLANNING, BUDGET
 FORMULATION, AND RESOURCE MANAGEMENT
 APPENDIX 1301 PART III

<u>XXX</u>	<u>XX</u>	<u>XX</u>	<u>XX</u>	<u>X</u>	
		65			Other Facility Costs
			25		Other Facility Costs
				1	Other Services
				2	Private Sector Temporary Services
		66			Telecommunications Services
			23		Equipment Rental and Communications Services
				25	Technical Support and Other Services
			26		Non-Capital Equipment, Supplies, and Materials
				31	Capital Equipment
		67			Information Systems Development and Maintenance
				25	Systems Development, Maintenance, Data Entry, and Other Services
		68			Office Automation and Network Development
			26		Hardware and Software Acquisition and Upgrade
				31	Capital Equipment
		69			Information Technology Services
			23		Equipment Rental
			25		Technical Support and Other Services
	21				Travel (Persons-Regular)
	60				Reimbursable Work
			01		Reimbursable Work (Federal Agencies)
			02		Reimbursable Work (Non-Federal Parties)

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

291 00 00 00 0 REGION I - KING OF PRUSSIA, PA
292 00 00 00 0 REGION II - ATLANTA, GA
293 00 00 00 0 REGION III - GLEN ELLYN, IL
294 00 00 00 0 REGION IV - ARLINGTON, TX
295 00 00 00 0 REGION V - WALNUT CREEK, CA

The Regional offices perform inspection, enforcement, and licensing activities of NRC regulated facilities.

(Note: The following numbers apply for allottees 91 to 95.)

29X 19 00 00 0 PROGRAM SUPPORT

Includes all obligations, payments, and costs incurred by other Federal agencies, contractors, and other organizations in direct support of the programs in the Offices of Nuclear Reactor Regulation, Nuclear Material Safety and Safeguards, and Governmental and Public Affairs, as follows:

29X 19 11 00 0 REACTOR LICENSING - APPLICATION REVIEWS
AND INSPECTIONS

Includes technical assistance to ensure that nuclear power plants and non-power reactors are designed and constructed properly and are ready for safe operation.

29X 19 11 01 0 POWER REACTOR APPLICATIONS AND INSPECTIONS

Includes technical assistance related to evaluating a utility's readiness to proceed to the next major milestone in the construction and pre-operational testing processes and analytical services for environmental monitoring, and the contractor technical support to NRC Regional Offices for inspection activities.

29X 19 14 00 0 REACTOR OPERATIONS AND SAFEGUARDS
INSPECTIONS

Includes technical assistance and support to ensure that licensees operate nuclear power plants safely in accordance with NRC regulations. The primary focus of this support is on plant operations and maintenance. Also includes technical assistance to evaluate activities designed to deter, detect,

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

and protect against threats, radiological sabotage, and theft or diversion of special nuclear materials.

29X 19 14 02 0 REGION-BASED INSPECTIONS

Includes technical assistance for specialized expertise in areas such as electrical and mechanical engineering, metallurgy, and instrumentation in support of inspection of power reactors, aerial radiological surveys, environmental monitoring at reactor sites, and other independent confirmatory analyses and measurements. Also includes technical assistance to conduct regulatory effectiveness reviews and reactor safeguards inspections.

29X 19 31 00 0 NUCLEAR MATERIAL SAFETY

Includes technical assistance for activities which ensure that the public health and safety, worker safety, and the environment are protected during the handling and use of radioactive materials in both normal operations and abnormal events.

29X 19 31 02 0 LICENSING AND INSPECTION OF NUCLEAR
MATERIAL USERS

Includes technical assistance for activities related to the licensing and inspection of medical, academic, industrial, and commercial users of nuclear and other radioactive material. These uses include medical diagnosis and therapy; medical and biological research; academic training and research; industrial gauging and nondestructive testing; production of radiopharmaceuticals; fabrication of commercial products such as smoke detectors; and the evaluation of sealed sources and devices.

29X 19 33 00 0 LOW-LEVEL WASTE

Includes technical assistance for activities related to the licensing, inspection, and environmental reviews for the safe management and disposal of low-level radioactive wastes, uranium recovery activities and related remedial actions, and the decontamination and decommissioning of facilities and sites associated with NRC licensed activities.

29X 19 33 02 0 URANIUM RECOVERY LICENSING AND INSPECTION

Includes technical assistance for activities related to the licensing and inspection of those facilities under agency

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

jurisdiction that are engaged in uranium extraction activities (other than conventional mining) and ore milling activities.

29X 19 64 00 0 GOVERNMENTAL AND PUBLIC AFFAIRS PROGRAMS

Includes all obligations incurred by Federal agencies and contractors in direct support of the Governmental and Public Affairs programs as follows:

29X 19 64 05 0 STATE ACTIVITIES

Includes funds to assist in carrying out State Agreements functions and training to local, State, and U.S. government agencies in radiological health matters.

29X 20 00 00 0 ADMINISTRATIVE SUPPORT

Includes all obligations, payments, and costs for administrative support services as follows:

29X 20 60 00 0 TRANSPORTATION OF PERSONS AND THINGS

Includes providing for contractual services for shuttles and passenger cars in connection with carrying persons from place to place. Includes the rental or lease of motor vehicles from commercial vendors and government motor pools. Includes the shipment of items by common carrier and contract carrier, including freight and express, and transportation of household goods related to a permanent change-of-station.

29X 20 60 21 0 TRANSPORTATION OF PERSONS

Includes the transportation of people by providing for contractual services for shuttles and passenger cars. Also includes the rental or lease of motor vehicles from commercial vendors and government interagency motor pools.

29X 20 60 22 0 TRANSPORTATION OF THINGS

Includes the transportation of government-owned or leased property incident to the relocation of offices or equipment; and shipment of items by common carrier and contract carrier, including freight and express, demurrage, switching, re-crating, etc.

**POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III**

29X 20 61 00 0 RENTAL OF SPACE

Includes the provision for direct charges for rental and space and rent-related services assessed by GSA as standard level user charges; includes space rented from sources other than GSA; and rental of conference rooms, auditoriums, and halls for use in NRC business.

29X 20 61 23 0 RENTAL OF BUILDINGS, RENTAL OF SPACE

Includes the provision for direct charges for rental and space and rent-related services assessed by GSA as standard level user charges; includes space rented from sources other than GSA; and rental of conference rooms, auditoriums, and halls for use in NRC business.

29X 20 62 00 0 PRINTING AND REPRODUCTION

Includes printing and reproduction costs as follows:

29X 20 62 24 0 PRINTING AND REPRODUCTION

Includes contractual printing and reproduction, including photography services, composition, and binding operations, performed by commercial printers or photographers and the Government Printing Office. Includes providing for standard forms, printed envelopes, and letterhead. Includes printing NRC issued items in the Federal Register.

29X 20 63 00 0 SECURITY COSTS

Ensures the physical protection of NRC buildings; provides advice and assistance on security matters; administers the NRC security program in the Regions.

29X 20 63 25 0 GUARDS

Includes services provided by GSA guards and guards from commercial firms for the protection and security of NRC-occupied buildings.

**29X 20 64 00 0 SUPPLIES, MATERIALS, POSTAGE, AND
EQUIPMENT**

Includes the provision of general office supplies, postage, and the rental of general office, security, printing, reproduction, and audiovisual equipment.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

29X 20 64 23 0 EQUIPMENT RENTALS, POSTAGE, AND
MISCELLANEOUS RENTS

Includes costs associated with postage, as well as the rental of office machines, general equipment, printing and reproduction equipment, audiovisual equipment, and equipment for conference rooms.

29X 20 64 26 0 SUPPLIES AND MATERIALS

Includes commodities whether acquired by formal contract or other form of purchase (a) that are ordinarily consumed or expended within one year after they are put into use, (b) that are converted in the process of construction or manufacture, or (c) that are used to form a minor part of equipment or fixed property. This classification includes office supplies, paper, non-library subscriptions and journals, ADP/word processing supplies, pamphlets and documents, safety shoes and glasses, automotive fuel and tires, and materials and parts. Includes the purchase of non-capital graphics, audiovisual, printing, and reproduction equipment. Includes the purchase of office furniture and fixtures.

29X 20 64 31 0 CAPITAL EQUIPMENT

Includes security, printing, reproduction, technical, and graphics equipment purchase that meet the following definition: personal property of a durable nature - that is, property that normally may be expected to have a period of service of one year or more after being put into use without material impairment of its physical condition. Includes charges for services in connection with the initial installation of equipment when performed under contract. This equipment generally: (1) is complete in itself, (2) does not lose its identity or become a component part of another item when placed in use, (3) has repeated use, (4) has a service life of two or more years, and (5) costs \$5,000 or more. Excludes commodities that are converted in the process of construction or manufacture, or that are used to form a minor part of equipment or fixed property.

29X 20 65 00 0 OTHER FACILITY COSTS

Includes other costs as follows:

**POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III**

29X 20 65 25 0 OTHER FACILITY COSTS

Includes costs such as those associated with employee health services, private sector temporary services, alterations to buildings, machine and equipment maintenance, technical editing, and support to the LPDRs.

29X 20 65 25 1 OTHER SERVICES

Includes the following: storage of household goods and employee relocation services; support for advisors and consultants; space alterations and supplementary HVAC; parking; maintenance of office machines, security, printing, reproduction, graphics, and photography equipment; audit of contracts by DCAA and HHS; assistance to LPDRs; copy-ready preparation of NRC rules and regulations; graphics order and audiovisual services; translations; conference, copyright, and page charges; technical editing of NRC Issuances (NRCIs); contract typing; maintenance of motor vehicles; court reporting services; speaker fees; employee health services (including medicals); and calibration of technical equipment.

29X 20 65 25 2 PRIVATE SECTOR TEMPORARY SERVICES

Includes the contractual hiring of temporary services personnel to meet short term staffing shortages in the NRC regional offices.

29X 20 66 00 0 TELECOMMUNICATIONS SERVICES

Includes transmission of messages from place to place by means such as telephone, teletype, telegraph, cable and radio, including installation of equipment, lease of tie lines, switchboard and service charges, toll charges, contractual message services, etc.

29X 20 66 23 0 EQUIPMENT RENTAL AND COMMUNICATIONS SERVICES

Includes telecommunications services provided by either commercial organizations or other Federal agencies; and rental of telecommunications equipment.

29X 20 66 25 0 TECHNICAL SUPPORT AND OTHER SERVICES

Includes commercial and interagency contracted services not otherwise classified, such as maintenance of telecommunications equipment and telecommunications technical support.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

29X 20 66 26 0 NON-CAPITAL EQUIPMENT, SUPPLIES AND
MATERIALS

Includes commodities whether acquired by formal contract or other form of purchase that are (1) ordinarily consumed or expended within one year after they are put into use, (2) converted in the process of construction or manufacture, or (3) used to form a minor part of equipment or fixed property. Also includes property items of a non-capital nature under \$5,000.

29X 20 66 31 0 CAPITAL EQUIPMENT

Includes telecommunications hardware that meet the following definition: personal property of a durable nature - that is, property that normally may be expected to have a period of service of one year or more after being put into use without material impairment of its physical condition. Includes charges for services in connection with the initial installation of equipment when performed under contract. This equipment generally: (1) is complete in itself, (2) does not lose its identity or become a component part of another item when placed in use, (3) has repeated use, (4) has a service life of two or more years, and (5) costs \$5,000 or more. Excludes commodities that are converted in the process of construction or manufacture, or that are used to form a minor part of equipment or fixed property.

29X 20 67 00 0 INFORMATION SYSTEMS DEVELOPMENT AND
MAINTENANCE

Includes the costs of systems development, data entry, maintenance, other selected services, and capital equipment.

29X 20 67 25 0 SYSTEMS DEVELOPMENT, MAINTENANCE, DATA
ENTRY, AND OTHER SERVICES

Includes the costs of systems development and associated maintenance, all data entry services, and other services.

29X 20 68 00 0 OFFICE AUTOMATION AND NETWORK DEVELOPMENT

Includes evaluation and implementation of options for replacing existing data/word processing and office automation equipment, as well as the procurement of microcomputer hardware and software. Also includes the implementation of local area networking to the agency word processing and personal computer environment.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

29X 20 68 26 0 **HARDWARE AND SOFTWARE ACQUISITION AND
UPGRADE**

Includes initial acquisition and subsequent upgrades of ADP hardware and software. These meet the definition of commodities whether acquired by formal contract or other form of purchase that are (1) ordinarily consumed or expended within one year after they are put into use, (2) converted in the process of construction or manufacture, or (3) used to form a minor part of equipment or fixed property. Also includes property items of a non-capital nature under \$5,000.

29X 20 68 31 0 **CAPITAL EQUIPMENT**

Includes ADP hardware and software that meet the following definition: personal property of a durable nature - that is, property that normally may be expected to have a period of service of one year or more after being put into use without material impairment of its physical condition. Includes charges for services in connection with the initial installation of equipment when performed under contract. This equipment generally: (1) is complete in itself, (2) does not lose its identity or become a component part of another item when placed in use, (3) has repeated use, (4) has a service life of two or more years, and (5) costs \$5,000 or more. Excludes commodities that are converted in the process of construction or manufacture, or that are used to form a minor part of equipment or fixed property.

29X 20 69 00 0 **INFORMATION TECHNOLOGY SERVICES**

Includes automated data processing (ADP) equipment rental; support services, including commercial hardware and software maintenance; and miscellaneous graphics supplies.

29X 20 69 23 0 **EQUIPMENT RENTAL**

Includes the rental of ADP equipment.

29X 20 69 25 0 **TECHNICAL SUPPORT AND OTHER SERVICES**

Includes services provided either by commercial sources or interagency agreement, including agency computer support, and hardware and software maintenance.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

29X 21 00 00 0 TRAVEL (PERSONS-REGULAR)

Includes all obligations, payments, and costs for domestic travel for transportation of persons, subsistence of travelers, and incidental travel expenses in accordance with the Federal Travel Regulations and Appendix 1501. These costs are chargeable directly to NRC. Specifically includes (a) commercial transportation charges, rental or charter from commercial sources of passenger-carrying motor vehicles, trains, buses, vessels, and airplanes for transportation of persons, and expenses incident to the operation of such rented or chartered conveyances (rentals of all passenger-carrying motor vehicles from commercial sources are included, even though they may be used incidentally for transportation of things); and (b) mileage allowances for use of privately-owned vehicles and related charges which are specifically authorized (such as ferry fares and tolls) and mass transportation and taxi fares (including tips) whether in connection with local travel or travel away from a designated post of duty. Excludes rental of passenger-carrying motor vehicles from Government motor pools.

Includes all obligations, payments, and costs incurred for foreign travel between permanent duty station and foreign destination and return. However, when temporary duty is performed at a U.S. stopover en route to or from a foreign destination, the pertinent one-way costs of the trip between the permanent duty station and the stopover are charged to domestic travel. Such temporary duty at a U.S. stopover must be shown on the Travel Authorization (NRC-279) under remarks (item 27) as necessary for and not just incidental to the foreign trip.

29X 60 00 00 0 REIMBURSABLE WORK

Includes all obligations, payments, and costs incurred under reimbursable agreements with other Federal agencies and outside parties in which NRC provides such goods or services.

29X 60 01 00 0 REIMBURSABLE WORK (FEDERAL AGENCIES)

29X 60 02 00 0 REIMBURSABLE WORK (NON-FEDERAL PARTIES)

THIS PAGE LEFT INTENTIONALLY BLANK

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

OTHER COSTS AND CREDITS

SUMMARY

XXX	XX	XX	XX	X	
2YA					Other Costs and Credits
	01				Undistributed Costs
	02				Adjustments to Prior Years Cost of Operations
	03				Plant and Equipment Adjustments
		01	01		Extraordinary Obsolescence
				1	Completed Plant and Equipment
				2	Accumulated Depreciation
		02	01		Abandoned Projects
				3	Construction Work in Progress
		03	01		Extraordinary Losses
				1	Completed Plant and Equipment
				2	Accumulated Depreciation
				3	Construction Work in Progress
		04	01		Net Gain or Loss on Sale or Trade-In of Capital Assets
		09			Miscellaneous
			01		Physical Inventory Adjustments
				1	Completed Plant and Equipment
				2	Accumulated Depreciation
			02		Recovery of Experimental Equipment
				1	Completed Plant and Equipment
				2	Accumulated Depreciation
			03		Service Life Adjustments
				2	Accumulated Depreciation
			04		Other Capitalization of Items Previously Expensed
				1	Completed Plant and Equipment
				2	Accumulated Depreciation
			05		Other Adjustments to Accumulated Depreciation
				2	Accumulated Depreciation
			06		Excess or Surplus Donated Through DHHS
				1	Completed Plant and Equipment
				2	Accumulated Depreciation
			07		Other Expensed Items Previously Capitalized
				1	Completed Plant and Equipment-
					Prior Year Adjustments
			08		Other Adjustments
				1	Completed Plant and Equipment

POLICIES AND PRACTICES GOVERNING
 NRC LONG-RANGE PLANNING, BUDGET
 FORMULATION, AND RESOURCE MANAGEMENT
 APPENDIX 1301 PART III

<u>XXX</u>	<u>XX</u>	<u>XX</u>	<u>XX</u>	<u>X</u>	
					2
					3
2YA	09				Accumulated Depreciation
					Construction Work in Progress
					Miscellaneous Other Costs -- Non-Fund
		01			Depreciation
			01		Undistributed Distribution Expense
			02		Depreciation Distribution Credits
			10		Depreciation Distribution-
					Allottee 10
			20		Depreciation Distribution-
					Allottee 20
			30		Depreciation Distribution-
					Allottee 30
			40		Depreciation Distribution-
					Allottee 40
			50		Depreciation Distribution-
					Allottee 50
			59		Depreciation Distribution-
					Allottee 59
			60		Depreciation Distribution-
					Allottee 60
			69		Depreciation Distribution-
					Allottee 69
			70		Depreciation Distribution-
					Allottee 70
			80		Depreciation Distribution-
					Allottee 80
			82		Depreciation Distribution-
					Allottee 82
			84		Depreciation Distribution-
					Allottee 84
			91		Depreciation Distribution-
					Allottee 91
			92		Depreciation Distribution-
					Allottee 92
			93		Depreciation Distribution-
					Allottee 93
			94		Depreciation Distribution-
					Allottee 94
			95		Depreciation Distribution-
					Allottee 95

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

2YA 00 00 00 0 OTHER COSTS AND CREDITS

Includes all increases or decreases that affect adjustments to prior years' costs of operations, plant and equipment adjustments, donations of community property, and all other costs and losses not identifiable with other programs.

2YA 01 00 00 0 UNDISTRIBUTED COSTS

Includes the sum of debit or credit balances in the nominal accounts at the end of the reporting period that have remained undistributed because of the use of standard overhead rates or other distribution methods, and because of items in transit or suspense. This classification is used for interim reporting only since all accounts are distributed at the close of the fiscal year.

2YA 02 00 00 0 ADJUSTMENTS TO PRIOR YEARS COST OF OPERATIONS

Includes increases or decreases in costs of prior years resulting only from major, extraordinary, and significant accounting adjustments during the current year, such as those reflecting changes in policy, correction of errors, etc. Adjustments not of this type are reported as program costs or revenue in the current year. The amount reported in this category should agree with the schedule of adjustments to costs of prior years included in the financial statements. Excludes adjustments to completed plant and equipment, accumulated depreciation, and construction work in progress.

2YA 03 00 00 0 PLANT AND EQUIPMENT ADJUSTMENTS

Includes all adjustments to completed plant and equipment and plant and equipment changes in progress that do not affect funds whether applicable to the current year or prior years.

2YA 03 01 01 0 EXTRAORDINARY OBSOLESCENCE

Includes the charge for extraordinary obsolescence made during the reporting period as the result of decisions to retire from use large groups of facilities comprising an entire process or other function, or comprising a large segment of a process or other function that result from unusual and revolutionary changes in technique or other radical changes approved by NRC. (All charges to extraordinary obsolescence shall be approved by the Controller and the Director of the Allottee Office.)

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

2YA 03 01 01 1 COMPLETED PLANT AND EQUIPMENT

2YA 03 01 01 2 ACCUMULATED DEPRECIATION

2YA 03 02 01 0 ABANDONED PROJECTS

Includes losses caused by the abandonment of uncompleted construction projects, or major portions thereof, arising from changes in program effort or basic engineering plans. All charges to abandoned projects shall be approved by the Controller and the Director of the Allottee Office.

2YA 03 02 01 3 CONSTRUCTION WORK IN PROGRESS

2YA 03 03 01 0 EXTRAORDINARY LOSSES

Includes unusual and nonrecurring losses, such as casualty losses, related to completed plant or plant and equipment changes in progress.

2YA 03 03 01 1 COMPLETED PLANT AND EQUIPMENT

2YA 03 03 01 2 ACCUMULATED DEPRECIATION

2YA 03 03 01 3 CONSTRUCTION WORK IN PROGRESS

2YA 03 04 01 0 NET GAIN OR LOSS ON SALE OR TRADE-IN OF
CAPITAL ASSETS

2YA 03 09 00 0 MISCELLANEOUS

Includes all adjustments to completed plant and equipment and plant and equipment changes in progress not reported above.

2YA 03 09 01 0 PHYSICAL INVENTORY ADJUSTMENTS

2YA 03 09 01 1 COMPLETED PLANT AND EQUIPMENT

2YA 03 09 01 2 ACCUMULATED DEPRECIATION

2YA 03 09 02 0 RECOVERY OF EXPERIMENTAL EQUIPMENT

2YA 03 09 02 1 COMPLETED PLANT AND EQUIPMENT

2YA 03 09 02 2 ACCUMULATED DEPRECIATION

2YA 03 09 03 0 SERVICE LIFE ADJUSTMENTS

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

2YA	03	09	03	2	ACCUMULATED DEPRECIATION
2YA	03	09	04	0	OTHER CAPITALIZATION OF ITEMS PREVIOUSLY EXPENSED
2YA	03	09	04	1	COMPLETED PLANT AND EQUIPMENT
2YA	03	09	04	2	ACCUMULATED DEPRECIATION
2YA	03	09	05	0	OTHER ADJUSTMENTS TO ACCUMULATED DEPRECIATION
2YA	03	09	05	2	ACCUMULATED DEPRECIATION
2YA	03	09	06	0	EXCESS OR SURPLUS DONATED THROUGH DHHS
2YA	03	09	06	1	COMPLETED PLANT AND EQUIPMENT
2YA	03	09	06	2	ACCUMULATED DEPRECIATION
2YA	03	09	07	0	OTHER EXPENSED ITEMS PREVIOUSLY CAPITALIZED
2YA	03	09	07	1	COMPLETED PLANT AND EQUIPMENT--PRIOR YEAR ADJUSTMENTS
2YA	03	09	08	0	OTHER ADJUSTMENTS
2YA	03	09	08	1	COMPLETED PLANT AND EQUIPMENT
2YA	03	09	08	2	ACCUMULATED DEPRECIATION
2YA	03	09	08	3	CONSTRUCTION WORK IN PROGRESS
2YA	09	00	00	0	MISCELLANEOUS OTHER COSTS -- NON-FUND

Includes all costs and losses that do not affect funds and are not reported elsewhere.

2YA 09 01 00 0 DEPRECIATION

Represents undistributed depreciation expense on NRC facilities and transfers received. Direct allocations of current month depreciation to operating programs, inventory, or transfer accounts may be excluded from this budget and reporting classification. Subactivities YA-09-01-01-0 and YA-09-01-02-0 must offset at year end.

Approved: October 1, 1991 III-99

(C/C)

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

2YA 09 01 01 0 UNDISTRIBUTED DEPRECIATION EXPENSE

Includes undistributed depreciation expense incurred during the period on NRC-owned facilities.

2YA 09 01 02 0 DEPRECIATION DISTRIBUTION CREDITS

Represents the distribution credits to operating programs, inventory, or transfer accounts.

2YA 09 01 10 0 DEPRECIATION DISTRIBUTION - ALLOTTEE 10

2YA 09 01 20 0 DEPRECIATION DISTRIBUTION - ALLOTTEE 20

2YA 09 01 30 0 DEPRECIATION DISTRIBUTION - ALLOTTEE 30

2YA 09 01 40 0 DEPRECIATION DISTRIBUTION - ALLOTTEE 40

2YA 09 01 50 0 DEPRECIATION DISTRIBUTION - ALLOTTEE 50

2YA 09 01 59 0 DEPRECIATION DISTRIBUTION - ALLOTTEE 59

2YA 09 01 60 0 DEPRECIATION DISTRIBUTION - ALLOTTEE 60

2YA 09 01 69 0 DEPRECIATION DISTRIBUTION - ALLOTTEE 69

2YA 09 01 70 0 DEPRECIATION DISTRIBUTION - ALLOTTEE 70

2YA 09 01 80 0 DEPRECIATION DISTRIBUTION - ALLOTTEE 80

2YA 09 01 82 0 DEPRECIATION DISTRIBUTION - ALLOTTEE 82

2YA 09 01 84 0 DEPRECIATION DISTRIBUTION - ALLOTTEE 84

2YA 09 01 91 0 DEPRECIATION DISTRIBUTION - ALLOTTEE 91

2YA 09 01 92 0 DEPRECIATION DISTRIBUTION - ALLOTTEE 92

2YA 09 01 93 0 DEPRECIATION DISTRIBUTION - ALLOTTEE 93

2YA 09 01 94 0 DEPRECIATION DISTRIBUTION - ALLOTTEE 94

2YA 09 01 95 0 DEPRECIATION DISTRIBUTION - ALLOTTEE 95

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

REVENUES APPLIED

SUMMARY

<u>XXX</u>	<u>XX</u>	<u>XX</u>	<u>XX</u>	<u>X</u>	
2ZA	19				Other Revenue
		05			Revenue from Nuclear Disaster Indemnification
		09			Revenue from License Fees
			01	0	Material Licenses - Headquarters
				1	Material Licenses - Region I
				2	Material Licenses - Region II
				3	Material Licenses - Region III
				4	Material Licenses - Region IV
				5	Material Licenses - Region V
			02	0	Facility Licenses - Headquarters
				1	Facility Licenses - Region I
				2	Facility Licenses - Region II
				3	Facility Licenses - Region III
				4	Facility Licenses - Region IV
				5	Facility Licenses - Region V
	19				Miscellaneous Revenue from Other Sources
			02		Other

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

2ZA 19 00 00 0 OTHER REVENUE

Includes all revenue not included under categories elsewhere.

2ZA 19 05 00 0 REVENUE FROM NUCLEAR DISASTER
INDEMNIFICATION

Includes all revenue from payments by persons with whom an indemnification agreement is executed as provided in Public Law 94-197.

2ZA 19 09 00 0 REVENUE FROM LICENSE FEES

Includes all revenue from fees for facility and material licenses.

2ZA 19 09 01 0 MATERIAL LICENSES - Headquarters
2ZA 19 09 01 1 Material Licenses - Region I
2ZA 19 09 01 2 Material Licenses - Region II
2ZA 19 09 01 3 Material Licenses - Region III
2ZA 19 09 01 4 Material Licenses - Region IV
2ZA 19 09 01 5 Material Licenses - Region V

2ZA 19 09 02 0 FACILITY LICENSES - Headquarters
2ZA 19 09 02 1 Facility Licenses - Region I
2ZA 19 09 02 2 Facility Licenses - Region II
2ZA 19 09 02 3 Facility Licenses - Region III
2ZA 19 09 02 4 Facility Licenses - Region IV
2ZA 19 09 02 5 Facility Licenses - Region V

2ZA 19 19 00 0 MISCELLANEOUS REVENUE FROM OTHER SOURCES

Includes all revenue from other sources, such as miscellaneous receipts.

2ZA 19 19 02 0 OTHER

Includes revenue from such miscellaneous sources as rentals, sale of publications, service fees, recoveries from Federal agencies resulting from reductions in civilian salaries of military retirees, etc.

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

DEPOSIT ACCOUNTS

SUMMARY

<u>XXX</u>	<u>XX</u>	<u>XX</u>	<u>XX</u>	<u>X</u>	
201	00	00	00	1	Cooperative Research Agreement (CRA) (31X6141)
202	00	00	00	2	Criminal History Program (CHP) (31X6141)
203	00	00	00	3	Material Access Authorization Program (MAAP) (31X6141)
204	00	00	00	4	Investigation Access Authorization Program (IAAP) (31X6141)
299	21	00	00	0	MAAP - Travel (31X0200)

POLICIES AND PRACTICES GOVERNING
NRC LONG-RANGE PLANNING, BUDGET
FORMULATION, AND RESOURCE MANAGEMENT
APPENDIX 1301 PART III

201 00 00 00 1 COOPERATIVE RESEARCH AGREEMENT (CRA)

Includes cooperative research efforts with industry, other federal agencies, States, and with overseas parties to exchange nuclear safety-related information, to conduct joint projects of mutual interest, and to interact with other organizations concerned with nuclear safety to obtain expert review of NRC's research results.

202 00 00 00 2 CRIMINAL HISTORY PROGRAM (CHP)

Includes NRC payments made to the Federal Bureau of Investigation for processing and performing fingerprint examinations and criminal history checks for individuals with unescorted access to nuclear power facilities or individuals granted access to Safeguards Information by power reactor licensees. (Ref: 10 CFR 73)

203 00 00 00 3 MATERIAL ACCESS AUTHORIZATION PROGRAM
(MAAP)

Includes NRC payments made to the Office of Personnel Management for conducting background investigations used by the NRC to determine the eligibility of individuals seeking access authorization to formula quantities of special nuclear material. (Ref: 10 CFR 11)

204 00 00 00 4 INVESTIGATION ACCESS AUTHORIZATION PROGRAM
(IAAP)

Includes NRC payments made to the Office of Personnel Management for conducting background investigations used as a basis for NRC security clearances for designated licensee representatives and other personnel requiring access to classified information. (Ref: 10 CFR 25)

299 21 00 00 0 MAAP - TRAVEL

This is a temporary holding account established to record MAAP travel. Postings to this B&R number under NRC's salaries and expense account (31X0200) are manually transferred by OC/DAF to the MAAP deposit account (31X6141) under B&R 203-00-00-00-3.

PART IV

CONGRESSIONAL REVIEW AND ENACTMENT

A. OVERVIEW AND CALENDAR

1. Overview

Congressional review spans the events between transmission of the President's Budget to Congress and enactment of legislation. The authorization process, the appropriations process, and other Congressional/NRC interactions are described herein.

2. Calendar of Events

Events during Congressional review are based on several major deadlines set by law, beginning with submission of the President's budget and culminating with the final requirement that all money bills are out of order after the 7th day following Labor Day. Within these limits, and the May 15 deadline for reporting authorization bills, hearings and other actions may take place at almost any time. The following schedule portrays these actions:

<u>Action</u>	<u>Approximate Date</u>
a. Call for preparation of budget submission to Congress providing final OMB mark	Early December
b. Budget material due to CON	Early January
c. Press briefing on budget	Mid January
d. Briefing of Congressional Committee staffs on budget	Early to mid January
e. Office Directors Statement due to EDO for coordination	Mid January
f. President's budget message and submittal of budget justification (Green Book) to Congress	Mid to late January
g. Obligations by State due to CON	Mid January
h. Authorization hearings and provide answers to Congressional questions	February/March

- | | | |
|----|---|----------------------|
| i. | Appropriation hearings and provide answers to Congressional questions | March/April |
| j. | Review of hearing transcripts | February/May |
| k. | Authorization committee marks | April to early May |
| l. | Appropriation committee marks | Mid May to late June |
| m. | Authorization bill passed | July |
| n. | Appropriation bill passed | August |

B. MATERIAL REQUIRED FOR CONGRESSIONAL SUBMISSION

1. Overview

Following the designation of a final NRC budget allowance level by the President, the Budget justification, Budget Appendix, and Special Analyses materials are prepared for printing and submission to Congress. The preparation of this material is initiated by a call issued by the Division of Budget. The budget submitted to Congress requires a transition from the ZBB format to the "traditional" format.

2. Budget Justification Book

The budget justification book submitted to Congress (commonly referred to as the Green Book) is sectionalized in a manner similar to that of the Blue Book referred to in Part II, Section B. The first section provides summary data as well as the program statement, followed by a section for each program. Each of the program sections consists of a summary schedule depicting the offices' requirements and accompanying detailed narrative justification.

The summary schedule lists requirements for each office by category of expenditure. As described in Part III, Section B, personnel compensation and benefits are computed and allocated by CON. Also, the total requirements for administrative support as determined by the Office of Administration and the Office of Inspection and Enforcement are allocated to each program by the Office of the Controller. This allocation is based on average man years for full-time permanent positions.

The detailed narrative justification should be short and concise yet adequately justify the resources requested. There should be a detailed narrative for each decision unit. Also, this narrative should provide workload measurements as completely as possible.

The Budget will be transmitted to Congress by the President within 15 days after Congress convenes in January. Prior to transmission

of the budget from the President to Congress, the estimates are considered administratively confidential.

3. Other Required Material

a. Testimony

Each program office Director and the CON must prepare testimony for Congressional hearings. This prepared testimony must be submitted to the EDO for coordination prior to the Congressional hearings, usually by mid-January. In addition, testimony and other communications with Congress on the NRC authorization bill and other substantive legislation must be cleared with OMB. Testimony on appropriation bills is not formally cleared outside NRC, but must be consistent with and support the President's Budget, as specified in OMB Circular A-10.

The Chairman and EDO's prepared testimony are generally in two parts, a long version for the record and a short version for verbal presentation. (The program Office Directors should also be prepared for a short version.) The Chairman's testimony is prepared by OPE with input from appropriate offices. The EDO testimony is prepared by CON.

NRC witnesses testifying in connection with budget estimates, budget policy, and legislative proposals are to observe the procedures as set forth in OMB Circular No. A-10, Revised.

Therefore, following formal transmittal of the budget, an amendment, or a supplemental appropriation request, NRC representatives will be guided by the following policies specified in OMB Circular A-10, Revised, pertaining to budgetary matters when testifying before any congressional committee or communicating with members of Congress:

- (1) "Witnesses will give frank and complete answers to all questions.
- (2) "Witnesses will avoid volunteering personal opinions that reflect positions inconsistent with the program or appropriation requests the President has transmitted to the Congress.
- (3) "If statutory provisions exist for the direct submission of agency budget estimates to the Congress, witnesses will be prepared to explain both the agency submission and the request in the President's budget.
- (4) "In responding to specific questions on program and appropriation requests, witnesses will make clear the extent to which testimony reflects personal opinion, and that such opinion does not constitute a Presidential request for increased or decreased funds. Witnesses, typically, bear

responsibility for the conduct of one or a few programs, whereas the President must weigh carefully all of the needs of the Federal Government, compare them against each other and against the revenues available to meet such needs. Where appropriate, witnesses should call attention to this difference in scope of responsibility.

- (5) "Where there is a request for a written submission that will involve a statement of opinion relating to program and appropriation requests, witnesses will arrange for a reply to be provided through the head of the agency.
- (6) "Agency representatives should be careful that their communications are not perceived to be an estimate or request for an appropriation...an increase in an item of any such estimate or request... (31 U.S.C. 14, 15). Agency representatives are expected to support the President's budgetary decisions and seek adjustments to those decisions through established procedures for budget amendments or supplemental appropriation requests if the Commission determines such action to be necessary."

b. Obligations by State and DOE Lab

Shortly after the President's Budget is submitted to Congress, many congressional committees and individual Congressmen and Senators request information concerning obligations incurred in their states. Data is provided through program office updating of the FIN listing (see Part V, Section F) for the budget year.

c. Program Area Crosscuts

The crosscut data provided on OMB should be updated to reflect the final OMB mark. This data would normally be submitted to CON by mid-January.

C. THE AUTHORIZATION PROCESS

1. Authorization Committees

The NRC is currently responsible to the following three congressional authorization committees:

Authorizations:

House Interior and Insular Affairs Committee
Subcommittee on Energy and the Environment

House Interstate and Foreign Commerce Committee
Subcommittee on Energy and Power

Senate Committee on Environment and Public Works
Subcommittee on Nuclear Regulation

2. General Procedures

Point of Order. Appropriations without authorizing legislation are subject to a point of order in both the House and Senate. In accordance with the Congressional Budget Act of 1974, the authorization process should be completed before appropriation committees report their bills to the full House or Senate. Subcommittees and staffs may begin their review before an authorization bill has been passed.

3. Hearings

- a. General. The purpose of Congressional hearings is to provide the Congress with an opportunity to inquire fully into budget requests. Close critical inquiry is made by subcommittee members and usually every effort is made on the part of the agency and the subcommittee to avoid operating on an adversary basis. Committees have the authority to subpoena witnesses and require sworn testimony if circumstances warrant such action.

Although a verbatim transcript is taken of all testimony for later publication, Committee procedure also provides an opportunity for agencies to clarify, supplement and update their justifications through witness statements and responses to questions on matters of special concern in the Congress.

- b. Procedure. The hearings procedure is essentially the same in each house. After identification of the item under consideration and the witnesses, the person designated as the principal witness is given the opportunity to make an opening statement highlighting and emphasizing the salient features of the budget request. These statements must be prepared and cleared as described in Part IV, Section B.3. The Chairman and then other subcommittee members address questions to the principal witness, first on the opening statement and then on other elements of each request. If the principal witness chooses to do so, he may refer questions to other witnesses present, or offer to supply additional data.
- c. Timing. The Office of Congressional Affairs coordinates with the Division of Budget in developing with subcommittee staff the time schedule for the appearance of witnesses, coordinating changes in the schedule, and for keeping principal witnesses advised.

D. THE APPROPRIATION PROCESS

1. Appropriations Committee

- a. House of Representatives. The NRC appropriation is assigned to the Subcommittee on Energy and Water Development of the Appropriations Committee. This subcommittee, the full committee, and often the House of Representatives will usually complete

action on the NRC appropriation by April or May and before the Senate subcommittee begins its consideration of the bill.

- b. Senate. In the Senate, the NRC appropriation bill is similarly assigned to the Subcommittee on Energy and Water Development of the Appropriations Committee .

Since Senate consideration of the NRC appropriations bill usually follows House action, in the past the option has been provided to NRC for a formal reclama letter of any House reductions NRC believes to be of severe consequences to its program. This letter, from the Chairman of the NRC to the Subcommittee Chairman, requests Senate restoration of each proposed reduction.

- c. General Procedures

- (1) Appropriations Committees may not report bills which include legislative action not relating to appropriation of funds (other committees are similarly restricted from reporting bills which make appropriations of funds).
- (2) Motions to consider appropriations bills are privileged motions having order of precedence along with revenue bills over other bills on the calendar.

2. Hearings

See Part IV, Section C.3.

3. Final Action on Appropriations

According to the Budget and Impoundment Act of 1974 (P.L. 93-344), all appropriations actions must be completed including reconciliation of individual bills (passed or pending) with the Second Concurrent Resolution by September 25 (31 USC 1321 §300 of PL 93-344).

Normally the NRC appropriation acts will pass both the House and Senate, and conference action should be completed within this time frame, often by July.

4. Congressional Questions

Frequently, either during the hearings or subsequent to them, Committees will provide NRC with a list of questions concerning agency programs or policies. There is no standard method for transmittal of these questions to NRC and they may be received by the Commission, the Controller, or the Office of Congressional Affairs. Once received, the questions are assigned to responsible offices for response. Normally, the Office of the Controller is responsible for coordinating responses to questions from Appropriations Committees, and the Office of Management and Program Analysis coordinates responses to questions received from Authorization Committees.

Part V, "Budget Execution," of Appendix 1301 (to become MD 4.7) has been superseded by MD 4.2, "Administrative Control of Funds," and has been removed from the material under Tab 4.7. See MD 4.2 for further information.