

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. **Date of Submission:** 2010-03-19 16:07:22
2. **Agency:** 016
3. **Bureau:** 00
4. **Name of this Investment:** DCPS - Disability Case Processing System
5. **Unique Project (Investment) Identifier:** 016-00-01-03-01-2141-00
6. **What kind of investment will this be in FY 2011?:** Mixed Life Cycle
 - Planning
 - Full Acquisition
 - Operations and Maintenance
 - Mixed Life Cycle
 - Multi-Agency Collaboration
7. **What was the first budget year this investment was submitted to OMB? ***
8. **Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.**

The Disability Case Processing System (DCPS) program moves SSA from its current fragmented, multiple-application environment to a modernized common Disability Case Management system. Currently, the Disability Determination Service (DDS) field offices verify basic eligibility requirements before routing claims to the DDSs and federal disability claims processing units, where disability determinations are rendered. The creation of this system will provide common functionality and consistent support to each DDS and federal disability claims processing site. It will be a complex and multiyear effort, however, it ultimately simplifies DDS systems support and maintenance processes and reduces the rate of growth of overall infrastructure costs. A single system will eliminate the complexity of the current environment, which currently constrains the flexibility of the system to accept updates as required by changes in regulations, laws, or business rules. With the move to a new common system across all disability claims processing units and DDSs, the major and minor software updates will be more efficient, provide a more effective use of resources and will achieve updates in a much shorter time frame. DCPS will utilize the HIT system to improve disability case processing through improvements in medical record retrieval. In the new environment, the enhancements will reduce disability case processing times, achieve maximum productivity and improve the consistency accuracy of disability decisions. Additionally, DCPS implementation will allow for the retirement of DDS Automation, the Agency program which maintains the current multiple-application environment. In FY 2010, SSA will begin data migration, implementation and rollout strategies for DCPS as well as start the process of eliciting requirements. In FY 2011, SSA will conduct performance testing and environmental training and continue data migration, conversion and integration efforts in preparation for first site(s) implementation.

 - a. **Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**
9. **Did the Agency's Executive/Investment Committee approve this request? ***
 - a. **If "yes," what was the date of this approval? ***

10. Contact information of Program/Project Manager?

- **Name:** *
- **Phone Number:** *
- **Email:** *

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? *

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): *

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President’s Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1. **Table 1: Contracts/Task Orders Table**

Contract or Task Order Number	Type of Contract/ Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
089/SS00-08-40020	Blanket Purchase Agreement (BPA)	Y	2009-08-13	2009-08-13	2009-08-13	\$0.1	*	*	*	*	*
SS00-09-31410/NN G01DA12 B	Blanket Purchase Agreement (BPA)	Y	2009-09-16	2009-09-16	2010-09-16	\$0.3	*	*	*	*	*
5-410-SS 00056001 1	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Y	2008-09-30	2008-09-30	2009-09-29	\$0.0	*	*	*	*	*
5-880-SS 00056001 1	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Y	2008-09-30	2008-09-30	2009-09-29	\$0.0	*	*	*	*	*
5-890-SS 00056001 1	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Y	2008-09-30	2008-09-30	2009-09-29	\$0.4	*	*	*	*	*
Contractor DV/progr mming - UniForms	Blanket Purchase Agreement (BPA)	Y	2009-10-01	2009-10-01	2011-09-30	\$2.2	*	*	*	*	*
SS00056 0011	Task Based Indefinite	Y	2009-09-30	2009-09-30	2010-09-29	\$1.1	*	*	*	*	*

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Contract or Task Order Number	Type of Contract/ Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
	Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order										
UniForms Conversion	Blanket Purchase Agreement (BPA)	Y	2009-10-01	2009-10-01	2010-09-30	\$0.5	*	*	*	*	*
SS00-08-40029/GS-23F-975 5H	Blanket Purchase Agreement (BPA)	Y	2008-09-16	2008-12-11	2010-12-31	\$1.5	*	*	*	*	*
SS00-08-40004/GS-35F-498 4H	Blanket Purchase Agreement (BPA)	Y	2007-09-27	2009-07-29	2010-06-30	\$3.8	*	*	*	*	*
SS00-09-30200/GS-35F-498 4H	Firm Fixed Price (FFP)	Y	2008-12-09	2008-12-09	2009-12-09	\$0.1	*	*	*	*	*
SS00-09-50355	Firm Fixed Price (FFP)	Y	2009-09-01	2009-09-15	2009-12-15	\$0.1	*	*	*	*	*
SS00-09-31267/NN G07DA12 B	Blanket Purchase Agreement (BPA)	Y	2009-08-25	2009-09-01	2010-08-31	\$0.7	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? *

a. If "yes," what is the date? *

Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2010	Preserve the Public's Trust in Our Programs	*	*	Develop "To Be" business process	70% of the business process will be completed by end of FY09	100% complete by Q2 FY10	Actual results pending
2010	Eliminate Our Hearings Backlog and Prevent Its Recurrence	*	*	Assess organizational impact of business process changes	Analysis has yet to be performed	100% complete by Q4 FY10	Actual results pending
2011	Improve Our Retiree and Other Core Services	*	*	Number of sources for comprehensive management information	Management information is stored at national (two agency wide systems) & local (54 DDS local systems) levels	TBD	Actual Results will be made available in FY12
2012	Improve Our Retiree and Other Core Services	*	*	Number of sources for comprehensive management information	Management information is stored at national (two agency wide systems) & local (54 DDS local systems) levels	TBD	Actual Results will be made available in FY13
2013	Improve Our Retiree and Other Core Services	*	*	Number of sources for comprehensive management information	Management information is stored at national (two agency wide systems) & local (54 DDS local systems) levels	TBD	Actual Results will be made available in FY14
2011	Improve The Speed and Quality of Our Disability Process	*	*	System Availability	99% (obtained from current service level agreement)	99.20%	Actual Results will be made available in FY12
2012	Improve The Speed and Quality of Our Disability Process	*	*	System Availability	99% (obtained from current service level agreement)	99.40%	Actual Results will be made available in FY13
2013	Improve The Speed and Quality of Our Disability Process	*	*	System Availability	99% (obtained from current service level agreement)	99.50%	Actual Results will be made available in FY14
2010	Improve The Speed and Quality of Our Disability Process	*	*	Determine data conversion strategy	No strategy currently exists	100% complete by Q2 FY10	Actual results pending
2010	Improve The Speed and Quality of Our Disability	*	*	Select a contractor to support development	25% of procurement process will be completed at	100% complete by Q2 FY10	Actual results pending

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Process				end of FY09		
2011	Improve Our Retiree and Other Core Services	*	*	Average number of days on a national level required to implement new software at DDS level	540 days	30 days for implementation site(s)	Actual Results will be made available in FY12
2012	Improve Our Retiree and Other Core Services	*	*	Average number of days on a national level required to implement new software at DDS level	540 days	20 days for implementation site(s)	Actual Results will be made available in FY13
2013	Improve Our Retiree and Other Core Services	*	*	Average number of days on a national level required to implement new software at DDS level	540 days	10 days for implementation site(s)	Actual Results will be made available in FY14
2011	Improve The Speed and Quality of Our Disability Process	*	*	Case processing-related task time improvements for optimum productivity	TBD	TBD	Actual Results will be made available in FY12
2012	Improve The Speed and Quality of Our Disability Process	*	*	Case processing-related task time improvements for optimum productivity	TBD	TBD	Actual Results will be made available in FY13
2013	Improve The Speed and Quality of Our Disability Process	*	*	Case processing-related task time improvements for optimum productivity	TBD	TBD	Actual Results will be made available in FY14
2011	Improve The Speed and Quality of Our Disability Process	*	*	Current variance of initial net accuracy	TBD	TBD	Actual Results will be made available in FY12
2012	Improve The Speed and Quality of Our Disability Process	*	*	Current variance of initial net accuracy	TBD	TBD	Actual Results will be made available in FY13
2013	Improve The Speed and Quality of Our Disability Process	*	*	Current variance of initial net accuracy	TBD	TBD	Actual Results will be made available in FY14
2015	Preserve the Public's Trust in Our Programs	*	*	Decrease annual maintenance costs for system	TBD	TBD	Actual Results will be made available in FY16
2011	Improve The Speed and Quality of Our	*	*	Minimize average processing time	FY08 Baseline - 106 Days	141 Days	Actual Results will be made available in

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Disability Process			for initial disability claims to provide timely decisions			FY12
2012	Improve The Speed and Quality of Our Disability Process	*	*	Minimize average processing time for initial disability claims to provide timely decisions	Projected FY11 processing time of 141 days	1 day reduction in processing time (due to limited number of sites with DCPS)	Actual Results will be made available in FY13
2013	Improve The Speed and Quality of Our Disability Process	*	*	Minimize average processing time for initial disability claims to provide timely decisions	Projected FY11 processing time of 141 days	2 days reduction in processing time (due to limited number of sites with DCPS)	Actual Results will be made available in FY14

Part II: Planning, Acquisition And Performance Information

Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY09 - Planning and Analysis	\$0.8	\$0.9	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY10 - DCPS Construction	\$6.3	\$0.0	2009-10-01	2009-10-01	2010-09-30		0.00%	0.00%
FY10 - DCPS National Vendor File	\$0.4	\$0.0	2009-10-01	2009-10-01	2010-09-30		0.00%	0.00%
FY10 - ITS Costs	\$43.3	\$0.6	2009-10-01	2009-10-01	2010-09-30		0.00%	0.00%
FY10 - FY09 ITS Commitments	\$5.4	\$4.1	2009-10-01	2009-10-01	2010-09-30		81.00%	75.00%
FY10 - Management	\$2.4	\$1.2	2009-10-01	2009-10-01	2010-09-30		50.00%	50.00%
FY10 - non-DCS Support	\$0.0	\$0.0	2009-10-01	2009-10-01	2010-09-30		50.00%	50.00%
DCPS FY11	*	*	2010-10-01		2011-09-30		0.00%	0.00%
DCPS FY12	*	*	2011-10-01		2012-09-30		0.00%	0.00%
DCPS FY13	*	*	2012-10-01		2013-09-30		0.00%	0.00%
DCPS FY14	*	*	2013-10-01		2014-09-30		0.00%	0.00%
FY09 - Requirements	\$0.8	\$0.8	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
DCPS FY15	*	*	2014-10-01		2015-09-30		0.00%	0.00%
FY09 - Procurement	\$0.1	\$0.2	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY09 - ITS Costs	\$3.8	\$3.8	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY09 - FY08 ITS Commitments	\$2.2	\$2.2	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY09 - Management	\$0.3	\$0.3	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY09 - non-DCS Support	\$0.0	\$0.0	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY10 - DCPS Requirements	\$4.6	\$2.7	2009-10-01	2009-10-01	2010-09-30		85.00%	76.00%
FY10 - DCPS Procurement Activities	\$1.3	\$0.3	2009-10-01	2009-10-01	2010-09-30		20.00%	17.00%

* - Indicates data is redacted.