

PART I - A

PART I: SUMMARY INFORMATION AND JUSTIFICATION
In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.
 OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section A: Overview (All Capital Assets)
<i>I.A.1) Date of Submission (mm/dd/yyyy)</i>
Oct 17, 2008
<i>I.A.2) Agency</i>
029 - Department of Veterans Affairs
<i>I.A.3) Bureau</i>
00 - Agency Wide Initiatives
<i>I.A.4) Name of this Investment:(SHORT ANSWER)</i>
Health Admin Center (HAC) IT Operations-2010
<i>I.A.5) Unique Project(Investment) Identifier: Update the UPI using the Exhibit 53 tab.</i>
029-00-01-01-01-1040-00
<i>I.A.6) What kind of investment will this be in FY2010? (Please NOTE: Investments moving to O&M in FY2010, with Planning/Acquisition activities prior to FY2010 should not select O&M. These investments should indicate their current status.)</i>
Operations and Maintenance
<i>I.A.7) What was the first budget year this investment was submitted to OMB?</i>
FY2002
<i>I.A.8) Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: (LONG ANSWER)</i>
<p>The objective of HAC is to be VA's expert in health plan management with a mission to efficiently administer health plans. The HAC establishes benefits policy, determines eligibility, processes claims and checks for fraud, waste and abuse. The HAC provides a variety of critical programs mandated by Congress and delivers quality services to veterans and their family members. HAC's IT operations are closely linked with the business and support the Center's goals through the use of leading IT solutions. The 3 key components of these operations that this project supports are: (1) telecom support; (2) technical support and; (3) purchase and maintenance of capital equipment. HAC IT operations are considered "steady state." The role of the HAC has expanded from its original mission of supporting the CHAMPVA to also include administration of the Department's Foreign Medical Program, Spina Bifida Healthcare Program, Children of Women Vietnam Veterans Health Care Program, VA Diagnostic Related Grouping Recovery Audit, and VHA Mail Management Office. HAC's IT operations have also expanded to meet the broader role to support its mission. These operations are required to support the HAC's automated claims processing system, the eligibility and authorization systems, the call center, interactive intranet and internet web pages for beneficiaries and providers and various other HAC activities. IT operations assist the HAC with administrative functions that are provided to several VHA CFO Field Offices and to the Health Enrollment Center in Atlanta. The focus of this project is maintaining and enhancing the three key components of HAC's IT operations: (1) Telecom Support-The HAC IT telecom component includes the establishment and maintenance of a call center that handles all beneficiary inquiries. Telecom links to the Department of Defense (DoD), Centers for Medicare and Medicaid Services (CMS), EDI clearing houses, VA Medical Centers (support of the CHAMPVA in-house Treatment Initiative (CITI) program) and with the Consolidated Mail Out Pharmacy (Meds by Mail Program). (2) Technical Support: IT technical assistance is necessary to support many HAC systems, including: Claims processing system, Eligibility and authorization systems, Comprehensive intranet/internet websites for stakeholders - (3) Purchase & Maintenance of Capital Equipment: HAC IT department continually upgrades and improves the capital equipment assets to support the HAC's mission and various programs.</p>
<i>I.A.9) Did the Agency's Executive/Investment Committee approve this request?</i>
Yes
<i>I.A.9.a) If "yes," what was the date of this approval?</i>
Jun 28, 2007

I.A.10) Did the Project Manager review this Exhibit?

Yes

I.A.11) Contact information of Program/Project Manager?

	Project Managers Names (SHORT ANSWER)	PM Phone	E-mail (SHORT ANSWER)
Primary in-house	Joe Williams	720-889-2346	joseph.williams@va.gov

I.A.11.a) What is the current FAC-P/PM (for civilian agencies) or DAWIA (for defense agencies) certification level of the program/project manager?

Senior/Expert-level

I.A.11.b) When was the Program/Project Manager Assigned?

Oct 1, 2004

I.A.11.c) What date did the Program/Project Manager receive the FACP/PM certification? If the certification has not been issued, what is the anticipated date for certification?

Apr 30, 2004

I.A.12) Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes

I.A.12.a) Will this investment include electronic assets (including computers)?

Yes

I.A.12.b) Is this investment for construction or retrofit of a federal building or facility? (Answer applicable to non-IT assets only)

No

I.A.12.b.1) If "yes," is an ESPC or UESC being used to help fund this investment?

I.A.12.b.2) If "yes," will this investment meet sustainable design principles?

I.A.12.b.3) If "yes," is it designed to be 30% more energy efficient than relevant code? (Answer applicable to non-IT assets only)

I.A.13) Does this investment directly support one of the PMA initiatives?

Yes

I.A.13.a) If "yes," check all that apply:

	PMA Initiatives for XML Submission	PMA Initiatives
		- Human Capital
		- Budget Performance Integration
		- Financial Performance
Yes	Expanded E-Government	- Expanded E-Government
		- Competitive Sourcing
		- Faith Based and Community
		- Real Property Asset Management
		- Eliminating Improper Payments
		- Privatization of Military Housing

		- Research & Development Investment Criteria
		- Housing & Urban Development Management & Performance
		- Broadening Health Insurance Coverage through State Initiatives
		- "Right Sized" Overseas Presence
Yes	Coordination of VA and DoD Programs and Systems	- Coordination of VA & DoD Programs and Systems

I.A. 13.b) Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)(MEDIUM ANSWER)

Expanded Electronic Government: The current percentage of electronic claims submitted for HAC programs is 51%, and the plan is to grow to 65% by FY 10. A number of CHAMPVA and other program forms will be available to be filled out by beneficiaries through web-based applications. Coordination of VA and DoD Programs and Systems: Telecom aspects of HAC project allow links to the DoD. Web applications are available to facilitate the exchange of information with the regional offices.

I.A. 14) Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

No

I.A. 14.a) If "yes," does this investment address a weakness found during a PART review?

I.A. 14.b) If "yes," what is the name of the PARTed program? (SHORT ANSWER)

I.A. 14.c) If "yes," what rating did the PART receive?

I.A. 15) Is this investment information technology? (See section 53.8 for definition)

Yes

I.A. 16) What is the level of the IT Project? (per CIO Council PM Guidance)

Level 2

I.A. 17) What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

Qualification Status	Qualification Status for XML Submission	Description
1	(1) Project manager has been validated as qualified for this investment	(1) - Project manager has been validated as qualified for this investment.
		(2) - Project manager qualification is under review for this investment.
		(3) - Project manager assigned to investment, but does not meet requirements.
		(4) - Project manager assigned but qualification status review has not yet started.
		(5) - No Project manager has yet been assigned to this investment.

I.A. 18) Is this investment or any project(s) within this investment identified as "high risk" on the Q4-FY 2008 agency high risk report (per OMB Memorandum M-05-23)

Yes

I.A. 19) Is this project (investment) a Financial Management System? (see section 53.3 for definition)

Yes

I.A. 19.a) If so, does this project (investment) address a FFIA (Federal Financial Managers Integrity Act) compliance area?

No

I.A.19.a.1) If yes, which compliance area?

I.A.19.a.2) If "no," what does it address? (MEDIUM ANSWER)

This system collects, processes, maintains, transmits & reports on data about financial events. Events regarding health care claims processed, amounts paid, and patient responsibility are maintained by this system.

I.A.19.b) If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52 (LONG ANSWER)

Currently, the HAC IT Operations project is not directly mapped to the Agency's financial management system inventory. This project will be placed in the pool to be mapped to the financial management system inventory. Information on this project's status as indicated in the inventory will be provided as it is updated.

I.A.20) What is the percentage breakout for the total FY2010 funding request for the following? (This should total 100%)

Percentage of Total Investment	
% Hardware	
% Software	
% Services	
% Others	

I.A.21) If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

Yes

I.A.22) Contact information of individual responsible for privacy related questions:

Contact Name: (SHORT ANSWER)	Dennis Stewart
Phone Number:	202-461-7456
Title: (SHORT ANSWER)	IT Specialist
E-mail: (SHORT ANSWER)	dennis.stewart2@va.gov

I.A.23) Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

No

I.A.24) Does this investment directly support one of the GAO High Risk Areas?

No

PART I - B

PART I: SUMMARY INFORMATION AND JUSTIFICATION

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Section B: Summary of Funding (All Capital Assets)

I.B.1) FILL IN TABLE IN CURRENT VALUES (in millions)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be EXCLUDED from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Category of Funds	PY-1 and Earlier	PY 2008	CY 2009	BY 2010
Planning Total	0.000	0.000	0.000	0.000
Acquisition Total	0.000	0.000	0.000	0.000
Operations & Maintenance Total	43.036	7.020	16.266	12.020
Total, All Stages (Non-FTE)	43.036	7.020	16.266	12.020
Government FTE Costs	11.950	5.388	0.000	0.000
Govt. FTE Numbers	153	53	0	0
Total (FTE and Non-FTE)	54.986	12.408	16.266	12.020

Government FTE Costs SHOULD NOT be INCLUDED as part of the TOTAL, All Stages Resources represented.

Note: 1) For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). 2) Total, All Stages Resources should equal Total, All Stages Outlays.

I.B.2) Will this project require the agency to hire additional FTE's?

Yes

I.B.2.a) If Yes, How many and in what year? (MEDIUM ANSWER)

Yes. The additional staffing reflects a request we submitted to OI&T for an increase of staff to support EDI and HAC growth and support of the Fee Program Office and Project HERO. OI&T has not seen an augmentation since 2004 despite HAC growth, external entities supported, additional development tasks, increase in EDI volume, etc. The HAC's current FTE level is 53. An additional 19 FTE are needed at the earliest opportunity to maintain organizational and system support levels.

I.B.3) If the summary of spending has changed from the FY2009 President's budget request, briefly explain those changes. (LONG ANSWER)

Additional funding is being requested in FY 10 to begin the implementation of HIPAA mandated electronic transactions in version 5010 and the implementation of the new ICD-10 codes. Both of these efforts are large in scale and will continue into FY 11 and possibly FY12. Funding is also being requested for a Customer Relationship Management (CRM) and Knowledge Management (KM) system in FY 10. Finally, funding to complete the implementation of the Pharmacy Benefits Manager (PBM) contract is needed in FY 11.

PART I - C

PART I: SUMMARY INFORMATION AND JUSTIFICATION

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Section C: Acquisition/Contract Strategy (All Capital Assets)

I.C.1) If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why? (LONG ANSWER)

Purchase orders are fixed price.

I.C.2) Do the contracts ensure Section 508 compliance?

Yes

I.C.2.a) Explain why not or how this is being done? (MEDIUM ANSWER)

I.C.3) Is there an acquisition plan which has been approved in accordance with agency requirements?

Yes

I.C.3.a) If "yes," what is the date?

Apr 3, 2007

I.C.3.a.1) Is it Current?

Yes

I.C.3.b) If "no," will an acquisition plan be developed?

I.C.3.b.1) If "no," briefly explain why: (MEDIUM ANSWER)

PART I - D

PART I: SUMMARY INFORMATION AND JUSTIFICATION

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Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond the next President's Budget.

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvements to the Baseline	Actual Results
2007	Honor & Memorialize	Mission and Business Results	Payments	Administrative Dollars to Benefit Dollars Ratio	Ratio of Administrative dollars to Benefit Dollars is 8%.	Improve (decrease) Ratio of Administrative dollars to Benefit Dollars to 8%.	8.5% was the actual result.
2007	Honor & Memorialize	Customer Results	Response Time	Payments: Reduce time to process claims	95% of CHAMPVA claims processed in 30 days	95% of CHAMPVA claims processed in 30 days despite 3.3% increase in claims volume.	This goal was not met. 44% of claims were processed in 30 days.

2007	Honor & Memorialize	Technology	Operations and Maintenance Costs	Increased system availability during production hours	99.5 % system uptime	Improve System availability during production hours to 99.6%.	This goal was met. System up time for FY 07 was 99.92%
2007	Ensure Smooth Transition	Processes and Activities	Efficiency	Increase percentage of claims processed electronically	Percentage of claims processed electronically at 45%.	Increase percentage of claims processed electronically to 55%.	This goal was not met. 51% of claims were processed electronically.
2008	Honor & Memorialize	Mission and Business Results	Payments	Administrative Dollars to Benefit Dollars Ratio	Ratio of Administrative dollars to Benefit Dollars is 8%.	Improve (decrease) Ratio of Administrative dollars to Benefit Dollars to 7.9%.	This goal was met. 7.9% was the actual result.
2008	Honor & Memorialize	Customer Results	Response Time	Payments: Reduce time to process claims	95% of CHAMPVA claims processed in 30 days.	95% of CHAMPVA claims processed in 30 days despite 4.5% increase in claims volume.	This goal was met. 98% of claims were processed in 30 days.
2008	Honor & Memorialize	Technology	Operations and Maintenance Costs	Increased system availability during production hours	System availability during production hours to 99.6%.	Improve System availability during production hours to 99.7%+.	This goal was met. System up time was 99.98%
2008	Ensure Smooth Transition	Processes and Activities	Efficiency	Increase percentage of claims processed electronically	Percentage of claims processed electronically at 55%.	Increase percentage of claims processed electronically to 65%.	This goal was not met. 51% of claims were processed electronically.
2009	Honor & Memorialize	Mission and Business Results	Payments	Administrative Dollars to Benefit Dollars Ratio	Ratio of Administrative dollars to Benefit Dollars at 8%.	Improve (decrease) Ratio of Administrative dollars to Benefit Dollars to 7.9% or less.	To be measured at the end of the FY
2009	Honor & Memorialize	Customer Results	Response Time	Payments: Reduce time to process claims	95% of CHAMPVA claims processed in 30 days.	95% of CHAMPVA claims processed in 30 days despite projected increase in claims volume.	To be measured at the end of the FY
2009	Honor & Memorialize	Technology	Operations and Maintenance Costs	Increased system availability during production hours	System availability during production hours at 99.6%+.	Improve System availability during production hours to 99.7%+.	To be measured at the end of the FY
2009	Ensure Smooth Transition	Processes and Activities	Efficiency	Increase percentage of claims processed electronically	Percentage of claims processed electronically at 55%.	Increase percentage of claims processed electronically to 55%.	To be measured at the end of the FY
2010	Honor & Memorialize	Mission and Business Results	Payments	Administrative Dollars to Benefit Dollars Ratio	Ratio of Administrative dollars to Benefit Dollars at 7.9%.	Improve (decrease) Ratio of Administrative dollars to Benefit Dollars to 7.9% or less.	To be measured at the end of the FY

2010	Honor & Memorialize	Customer Results	Response Time	Payments: Reduce time to process claims	95% of CHAMPVA claims processed in 30 days.	95% of CHAMPVA claims processed in 30 days despite projected increase in claims volume.	To be measured at the end of the FY
2010	Honor & Memorialize	Technology	Operations and Maintenance Costs	Increased system availability during production hours	System availability during production hours at 99.7%+.	Improve System availability during production hours to 99.8%.	To be measured at the end of the FY
2010	Ensure Smooth Transition	Processes and Activities	Efficiency	Increase percentage of claims processed electronically	Percentage of claims processed electronically at 65%.	Increase percentage of claims processed electronically to 57%.	To be measured at the end of the FY

PART I - F

PART I: SUMMARY INFORMATION AND JUSTIFICATION

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Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

I.F.1) *Is this investment included in your agency's target enterprise architecture?*

Yes

I.F.1.a) *If "no," please explain why? (LONG ANSWER)*

I.F.2) *Is this investment included in the agency's EA Transition Strategy?*

No

I.F.2.a) *If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. (MEDIUM ANSWER)*

I.F.2.b) *If "no," please explain why? (LONG ANSWER)*

This is a steady state project.

I.F.3) *Is this investment identified in a completed (contains a target architecture) and approved segment architecture?*

No

I.F.3.a) *If "yes," provide the six digit code corresponding to the agency segment architecture. The segment architecture codes are maintained by the agency*

Chief Architect.

500-000

Segment Architecture Mapping Reference Table:

BUSINESS SEGMENT NAME	SEGMENT ARCHITECTURE CODE
1) Health Business Segment	100-000
2) Benefits Business Segment	200-000
3) Memorial, Burials & HQ Segment	300-000
4) Material Management Segment	400-000
5) Financial Segment	500-000
6) Human Resources Segment	600-000
7) Security Management Segment	700-000
8) Information Management Segment	800-000
9) Education & Training Segment	900-000

I.F.3) FEA SERVICE REFERENCE MODEL:

I.F.3) Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

SERVICE COMPONENT TABLE:

	Agency Component Name (SHORT ANSWER)	Agency Component Description (MEDIUM ANSWER)	FEA SRM Service Type	FEA SRM Component (a*)	FEA Service Component Reused : Component Name (b*)	FEA Service Component Reused : UPI (b*)	Internal or External Reuse? (c*)	BY Funding Percentage (d*)
1	Customer Account Management	Customer and Account management is organized by the HAC IT system for One-Stop service on claim and payment information	Customer Relationship Management	Customer / Account Management	Customer / Account Management		Internal	
2	Call Center Management	HAC IT system provides the Call Center beneficiary advisors with beneficiary and provider claim information.	Customer Relationship Management	Call Center Management	Call Center Management		Internal	

3	Process Tracking	HAC IT system automates the tracking of claims for receipt, certification and payment.	Tracking and Workflow	Process Tracking	Process Tracking		Internal	
4	Payment/Settlement	HAC IT system automates the receipt, certification and payment of specific claims of medical coverage plans	Financial Management	Payment / Settlement	Payment / Settlement		Internal	
5	Data Exchange	HAC IT system facilitates the exchange of beneficiary and eligibility data to business partners	Data Management	Data Exchange	Data Exchange		Internal	
6	System Resource Monitoring	HAC IT system provides daily log reports and other system reports such as storage capacity and status of backup systems.	Systems Management	System Resource Monitoring	System Resource Monitoring		Internal	
7	Software Distribution	HAC IT system distributes software patches, virus updates and new software versions to servers and the desktop users.	Systems Management	Software Distribution	Software Distribution		Internal	
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NOTE:

(a*) - Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

(b*) - A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

(c*) - 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

(d*) - Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

I.F.4) FEA TECHNICAL REFERENCE MODEL:

I.F.4) To demonstrate how this major IT investment aligns with Reference Model (TRM), please list the Service Areas, Service Specifications supporting this IT investment.

TECHNICAL REFERENCE MODEL TABLE:

	FEA SRM Component (a*)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard
1	Customer / Account Management	Service Access and Delivery	Access Channels	Web Browser
2	Customer / Account Management	Service Access and Delivery	Access Channels	Collaboration / Communications
3	Customer / Account Management	Service Platform and Infrastructure	Support Platforms	Independent Platform
4	Customer / Account Management	Service Platform and Infrastructure	Support Platforms	Dependent Platform
5	Customer / Account Management	Service Platform and Infrastructure	Delivery Servers	Application Servers
6	Customer / Account Management	Service Platform and Infrastructure	Database / Storage	Database
7	Customer / Account Management	Service Platform and Infrastructure	Database / Storage	Storage
8	Customer / Account Management	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)
9	Customer / Account Management	Component Framework	User Presentation / Interface	Dynamic Server-Side Display
10	Customer / Account Management	Service Access and Delivery	Delivery Channels	Intranet
11	Customer / Account Management	Service Access and Delivery	Delivery Channels	Intranet
12	Call Center Management	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)
13	Call Center Management	Service Access and Delivery	Delivery Channels	Virtual Private Network (VPN)
14	System Resource Monitoring	Component Framework	Data Management	Database Connectivity
15	System Resource Monitoring	Service Access and Delivery	Service Transport	Service Transport
16	Data Exchange	Service Interface and Integration	Integration	Middleware
17	Data Exchange	Service Interface and Integration	Interoperability	Data Format / Classification
18	Data Exchange	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment

19	Payment / Settlement	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers
20	Payment / Settlement	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals
21	Payment / Settlement	Component Framework	Security	Certificates / Digital Signatures
22	Payment / Settlement	Component Framework	Business Logic	Platform Independent Technologies
23	Payment / Settlement	Component Framework	Business Logic	Platform Dependent Technologies
24	Payment / Settlement	Component Framework	Data Interchange	Data Exchange
25	Payment / Settlement	Component Framework	Data Management	Reporting and Analysis
26	Payment / Settlement	Service Platform and Infrastructure	Hardware / Infrastructure	Wide Area Network (WAN)
27	Payment / Settlement	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)
28	Payment / Settlement	Service Platform and Infrastructure	Support Platforms	Dependent Platform
29	Personnel Administration	Service Platform and Infrastructure	Support Platforms	Dependent Platform
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NOTE:				
<i>(a*) - Service Components identified in the previous question(I.F.3) should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications</i>				
<i>(b*) - In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.</i>				
I.F.5) Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?				
No				
I.F.5.a) If "yes," please describe. (LONG ANSWER)				
N/A				

PART III - A

Part III: For "Operation and Maintenance" investments ONLY (Steady State)
<i>Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.</i>
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Section A - RISK MANAGEMENT (All Capital Assets)
<i>In order to successfully address this issue on the business case and capital asset plan, you must have performed a risk assessment at the initial concept, included mandatory risk elements defined below and demonstrate active management of the risk throughout the life-cycle of the investment.</i>
<i>For all investments, both IT and non-IT, you must discuss each of the following risks and present your plans to eliminate, mitigate, or manage risk, with milestones and completion dates. If there is no risk to the investment achieving its goals from a risk category, indicate so. If there are other risks identified, include them. Risk assessments should include risk information from all stakeholders and should be performed at the initial concept stage and then monitored and controlled throughout the life-cycle of the investment. Risk assessments for all investments must include: 1) schedule ; 2) initial costs; 3) life-cycle costs); 4) technical obsolescence; 5) feasibility; 6) reliability of systems; 7) dependencies and interoperability between this investment and others; 8) surety (asset protection) considerations; 9) risk of creating a monopoly for future procurements; 10) capability of agency to manage the investment; and 11) overall risk of investment failure.</i>
<i>In addition, for IT investments, risk must be discussed in the following categories 12) organizational and change management; 13) business; 14) data/info; 15) technology; 16) strategic; 17) security; 18) privacy; and 19) project resources. For security risks, identify under the Description column the level of risk as high, medium, or basic. What aspect of security determines the level of risk, i.e., the need for confidentiality of information, availability of information or the system, reliability of the information or system? Under the Current Status column, list the milestones remaining to mitigate the risk.</i>
<i>Moreover, for each risk category with a probability of occurrence of at least medium and impact of at least medium, please indicate whether or not the costs to mitigate the risk have been incorporated into your lifecycle cost estimates in the summary of spending stages section of this Exhibit 300. If not, please also indicate why in your response.</i>
III.A.1) Does the investment have a Risk Management Plan?
Yes
III.A.1.a) If "yes," what is the date of the plan?
Apr 12, 2008
III.A.1.b) Has the Risk Management Plan been significantly changed since last year's submission to OMB?
No
III.A.1.c) If "yes," describe any significant changes: (LONG ANSWER)
III.A.2) If there currently is no plan, will a plan be developed?
III.A.2.a) If "yes," what is the planned completion date?
III.A.2.b) If "no," what is the strategy for managing the risks? (LONG ANSWER)

