

**PART I - A**

**PART I: SUMMARY INFORMATION AND JUSTIFICATION**  
*In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.*  
 OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

<b>Section A: Overview (All Capital Assets)</b>
<i>I.A.1) Date of Submission (mm/dd/yyyy)</i>
Sep 8, 2008
<i>I.A.2) Agency</i>
029 - Department of Veterans Affairs
<i>I.A.3) Bureau</i>
00 - Agency Wide Initiatives
<i>I.A.4) Name of this Investment:(SHORT ANSWER)</i>
Enrollment Enhancements-2010
<i>I.A.5) Unique Project(Investment) Identifier: Update the UPI using the Exhibit 53 tab.</i>
029-00-01-11-01-1191-00
<i>I.A.6) What kind of investment will this be in FY2010? (Please NOTE: Investments moving to O&amp;M in FY2010, with Planning/Acquisition activities prior to FY2010 should not select O&amp;M. These investments should indicate their current status.)</i>
Full-Acquisition
<i>I.A.7) What was the first budget year this investment was submitted to OMB?</i>
FY2008
<i>I.A.8) Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: (LONG ANSWER)</i>
<p>In October 1996, Congress enacted the Veterans' Health Care Eligibility Reform Act of 1996, Public Law 104-262, which required VHA to implement a priority-based enrollment system. The Health Eligibility Center (HEC) Legacy system handles this functionality. In the second quarter of FY2009, the Enrollment System Redesign (ESR) v3.0 project will be completed and will replace the legacy system with the new Health Eligibility Center Management System in order to provide greater flexibility to meet critical requirements on a timely basis, better safeguards to meet security requirements, and improved reliability.</p> <p>This project also encompasses Income Verification Matching (IVM) functionality, which verifies applicable veterans' self-reported income information with the Internal Revenue Service (IRS) and Social Security Administration (SSA) federal tax information to identify veterans' responsibilities for making medical care co-payments and to enhance revenue from first party collections. The Enrollment Database (EDB) was created to house this updated functionality. The ESR v4.0 product, which will replace the EDB system in the second quarter of FY, includes a workflow component to create, assign, view, track, and complete work items.</p> <p>Further enhancements planned for deployment through FY will provide many improvements to Enrollment. The Veteran Financial Assessment initiative will reduce the burden on veterans, who are required to submit income data to update their financial assessments annually, by pulling this information directly from the IRS and SSA. Veterans will have the opportunity to apply for health care benefits and manage existing accounts from the comfort and convenience of their own homes with the advent of a secure online Enrollment portal via the world-wide web with the implementation of Veterans Online Application in early 2009. Expanded electronic data sharing with other government agencies will mean a more rapid and accurate enrollment and eligibility determination based on a more comprehensive and authoritative data suite. All of these improvements equate to timely and seamless access to healthcare for our veterans.</p> <p>Additionally, this project also includes HEC costs for typical IT office support such as network connections, desktop support, and personal computer maintenance and software in FY2009 onward.</p> <p>This project maps to the BRM Health line of business and Access to Care sub-function.</p>

I.A.9) Did the Agency's Executive/Investment Committee approve this request?

Yes

I.A.9.a) If "yes," what was the date of this approval?

Jun 28, 2007

I.A.10) Did the Project Manager review this Exhibit?

Yes

I.A.11) Contact information of Program/Project Manager?

	Project Managers Names (SHORT ANSWER)	PM Phone	E-mail (SHORT ANSWER)
Primary in-house	Gerry Lowe	814-940-6317	gerry.lowe@va.gov

I.A.11.a) What is the current FAC-P/PM (for civilian agencies) or DAWIA (for defense agencies) certification level of the program/project manager?

DAWIA-Level-2

I.A.11.b) When was the Program/Project Manager Assigned?

Mar 1, 2006

I.A.11.c) What date did the Program/Project Manager receive the FACP/PM certification? If the certification has not been issued, what is the anticipated date for certification?

Aug 1, 2005

I.A.12) Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes

I.A.12.a) Will this investment include electronic assets (including computers)?

Yes

I.A.12.b) Is this investment for construction or retrofit of a federal building or facility? (Answer applicable to non-IT assets only)

No

I.A.12.b.1) If "yes," is an ESPC or UESC being used to help fund this investment?

I.A.12.b.2) If "yes," will this investment meet sustainable design principles?

I.A.12.b.3) If "yes," is it designed to be 30% more energy efficient than relevant code? (Answer applicable to non-IT assets only)

I.A.13) Does this investment directly support one of the PMA initiatives?

Yes

I.A.13.a) If "yes," check all that apply:

	PMA Initiatives for XML Submission	PMA Initiatives
		- Human Capital
		- Budget Performance Integration
		- Financial Performance
Yes	Expanded E-Government	- Expanded E-Government
		- Competitive Sourcing
		- Faith Based and Community

		- Real Property Asset Management
		- Eliminating Improper Payments
		- Privatization of Military Housing
		- Research & Development Investment Criteria
		- Housing & Urban Development Management & Performance
		- Broadening Health Insurance Coverage through State Initiatives
		- "Right Sized" Overseas Presence
Yes	Coordination of VA and DoD Programs and Systems	- Coordination of VA & DoD Programs and Systems

I.A.13.b) Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)(MEDIUM ANSWER)

Expanded Electronic Government: The online "Application for Health Benefits" enables veterans to apply for health care benefits electronically in the privacy and comfort of their homes.  
 Coordination of VA and DoD: It will enable VHA to effectively interact with the One VA Registration and Eligibility system currently in the requirements phase at the agency level. The One VA system will increase data sharing with DoD so as to obtain more detailed information on the veteran.

I.A.14) Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit [www.whitehouse.gov/omb/part](http://www.whitehouse.gov/omb/part).)

Yes

I.A.14.a) If "yes," does this investment address a weakness found during a PART review?

I.A.14.b) If "yes," what is the name of the PARTed program? (SHORT ANSWER)

I.A.14.c) If "yes," what rating did the PART receive?

I.A.15) Is this investment information technology? (See section 53.8 for definition)

Yes

I.A.16) What is the level of the IT Project? (per CIO Council PM Guidance)

Level 3

I.A.17) What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

Qualification Status	Qualification Status for XML Submission	Description
1	(1) Project manager has been validated as qualified for this investment	(1) - Project manager has been validated as qualified for this investment.
		(2) - Project manager qualification is under review for this investment.
		(3) - Project manager assigned to investment, but does not meet requirements.
		(4) - Project manager assigned but qualification status review has not yet started.
		(5) - No Project manager has yet been assigned to this investment.

I.A.18) Is this investment or any project(s) within this investment identified as "high risk" on the Q4-FY 2008 agency high risk report (per OMB Memorandum M-05-23)

No

I.A.19) Is this project (investment) a Financial Management System? (see section 53.3 for definition)

No

I.A.19.a) If so, does this project (investment) address a FFMA (Federal Financial Managers Integrity Act) compliance area?

I.A.19.a.1) If yes, which compliance area?

I.A.19.a.2) If "no," what does it address? (MEDIUM ANSWER)

I.A.19.b) If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52 (LONG ANSWER)

I.A.20) What is the percentage breakout for the total FY2010 funding request for the following? (This should total 100%)

Percentage of Total Investment	
% Hardware	
% Software	
% Services	
% Others	

I.A.21) If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

NA

I.A.22) Contact information of individual responsible for privacy related questions:

Contact Name: (SHORT ANSWER)	Dennis Stewart
Phone Number:	(202) 461-7456
Title: (SHORT ANSWER)	IT Specialist
E-mail: (SHORT ANSWER)	Dennis.Stewart2@va.gov

I.A.23) Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

Yes

I.A.24) Does this investment directly support one of the GAO High Risk Areas?

No

## PART I - B

### PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

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**Section B: Summary of Funding (All Capital Assets)**

*I.B.1) FILL IN TABLE IN CURRENT VALUES (in millions)*

*(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)*

*Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be EXCLUDED from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.*

<b>Category of Funds</b>	<b>PY-1 and Earlier</b>	<b>PY 2008</b>	<b>CY 2009</b>	<b>BY 2010</b>
<b>Planning Total</b>	0.000	0.000	0.000	0.000
<b>Acquisition Total</b>	29.610	14.526	19.773	20.173
<b>Operations &amp; Maintenance Total</b>	0.000	0.000	0.000	0.000
<b>Total, All Stages (Non-FTE)</b>	29.610	14.526	19.773	20.173
<b>Government FTE Costs</b>	7.403	2.780	2.118	2.430
<b>Govt. FTE Numbers</b>	71	19	32	32
<b>Total (FTE and Non-FTE)</b>	37.013	17.306	23.451	24.163

*Government FTE Costs SHOULD NOT be INCLUDED as part of the TOTAL, All Stages Resources represented.*

*Note: 1) For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). 2) Total, All Stages Resources should equal Total, All Stages Outlays.*

*I.B.2) Will this project require the agency to hire additional FTE's?*

No

*I.B.2.a) If Yes, How many and in what year? (MEDIUM ANSWER)*

*I.B.3) If the summary of spending has changed from the FY2009 President's budget request, briefly explain those changes. (LONG ANSWER)*

There are several reasons for the Summary of Spending changes from the FY2009 President's budget request.

- 1) Additional funds to support the Priority Group 8 initiative have been incorporated into the Exhibit. Priority Group 8 is Congressional mandated, high-priority work that arose in June 2008.
- 2) There is an increase in FTE dollars, but additional funds are still needed. VA has been notified of the \$4.694 million that is needed for 42 FTE. The \$1.952 million for FTE in the FY2009 President's budget request is significantly lower than the amount that was requested in the FY2009 Exhibit.
- 3) Prior to FY2008, costs for various business functions associated with the Health Eligibility Center (HEC) IT costs were either paid in full (costs for routine letter processing) or supplemented by the Chief Finance Office. In 2008, the Exhibit was restructured and the HEC IT costs as well as associated VBA reimbursements became the sole responsibility of the HEC within the guise of the Exhibit. Because this was not readily known at the time, the early estimates submitted to cover HEC IT costs associated with 2008 and beyond were inadequate. For FY2008, money ear-marked for ESR 3.0 start-up was used to supplement and meet the excess HEC IT costs since ESR was not implemented. A revised estimate for 2009 and 2010 was submitted.
- 4) Due to a passback reduction in the requested FY2010 non-pay dollars from \$18.937 million to \$17.287 million, the ESR 4.0 deployment will need to be pushed. The funding changes to support the delay in full operational capability will be reflected in the FY

Exhibit 300 Summary of Spending table.

## PART I - C

### PART I: SUMMARY INFORMATION AND JUSTIFICATION

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### Section C: Acquisition/Contract Strategy (All Capital Assets)

I.C.1) If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why? (LONG ANSWER)

All of the contracts not requiring EVM are well below the EVM requirement threshold of \$5.0 million. Additionally, these particular contracts are for information technology supplies, not for services. There is no reason to perform earned value analysis on this type of contract.

I.C.2) Do the contracts ensure Section 508 compliance?

Yes

I.C.2.a) Explain why not or how this is being done? (MEDIUM ANSWER)

All contracts associated with this Exhibit 300 require Section 508 compliance.

I.C.3) Is there an acquisition plan which has been approved in accordance with agency requirements?

Yes

I.C.3.a) If "yes," what is the date?

Jul 20, 2008

I.C.3.a.1) Is it Current?

Yes

I.C.3.b) If "no," will an acquisition plan be developed?

I.C.3.b.1) If "no," briefly explain why: (MEDIUM ANSWER)

## PART I - D

### PART I: SUMMARY INFORMATION AND JUSTIFICATION

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### Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at [www.egov.gov](http://www.egov.gov). The table can be extended to include performance measures for years beyond the next President's Budget.

<b>Fiscal Year</b>	<b>Strategic Goal(s) Supported</b>	<b>Measurement Area</b>	<b>Measurement Grouping</b>	<b>Measurement Indicator</b>	<b>Baseline</b>	<b>Planned Improvements to the Baseline</b>	<b>Actual Results</b>
2008	Quality of Life	Processes and Activities	Productivity	IVM cases worked per year	IVM closes 40% of available cases	IVM operations will close 2% more potential cases in FY2008 than in FY2007	Goal has been met with a per month average increase of 36% this fiscal year.
2008	Ensure Smooth Transition	Mission and Business Results	Information Management	Average time to return field query	Average time to return field query in FY2007	5% decrease in average time to return field query in FY2008 than in FY2007	Results not yet available due to delays with ESR 3.0 implementation.
2008	Honor & Memorialize	Technology	Availability	Average system uptime	Average ESR uptime in 4th quarter FY2007	Average ESR uptime will increase to 99.5% in FY2008	Results not yet available due to delays with ESR 3.0 implementation.
2008	Honor & Memorialize	Customer Results	Response Time	Calendar days to return decision	Application submission to enrollment determination cycle time is 4 calendar days	In FY2008, application submission to enrollment determination cycle time will be reduced to 3 calendar days	Results not yet available due to delays with ESR 3.0 implementation.
2009	Quality of Life	Processes and Activities	Productivity	IVM cases worked per year	IVM closes 40% of available cases	IVM operations will close 2% more potential cases in FY2009 than in FY2008.	Results should be available the 1st quarter of FY09.
2009	Ensure Smooth Transition	Mission and Business Results	Information Management	Average time to return field query	Average time to return field query in September 2007	5% decrease in average time to return field query in FY2009 than in September 2007.	Results should be available 3 to 6 months after ESR 3.0 Phase II activation.
2009	Honor & Memorialize	Technology	Availability	Average system uptime	ESR uptime at beginning of Phase II	Average ESR uptime will increase to 99.5% in FY2009	Results should be available 3 to 6 months after ESR 3.0 Phase II activation.
2009	Honor & Memorialize	Customer Results	Response Time	Calendar days to return decision	Application submission to enrollment determination cycle time is 4 calendar days	In FY2009, application submission to enrollment determination cycle time will be reduced to 3 calendar days	Results should be available 3 to 6 months after ESR 3.0 Phase II activation.
2010	Quality of Life	Processes and Activities	Productivity	IVM cases worked per year	IVM closes 40% of available cases	IVM will close 50% of available cases	Results should be available the 1st quarter of FY10.
2010	Ensure Smooth Transition	Mission and Business Results	Information Management	Average time to return field query	Average time to return field query in September 2007	5% decrease in average time to return field query in FY2010 than in FY2009	Results should be available the 1st quarter of FY10.
2010	Honor & Memorialize	Technology	Availability	Average system uptime	ESR uptime at beginning of Phase II	Average ESR uptime will increase to 99.6% in FY2010	Results should be available the 1st quarter of FY10.

2010	Honor & Memorialize	Customer Results	Response Time	Calendar days to return decision	Application submission to enrollment determination cycle time is 4 calendar days	In FY2010, application submission to enrollment determination cycle time will be reduced to 1 calendar day for applications submitted via the web	Results should be available the 1st quarter of FY10.

**PART I - F**

**PART I: SUMMARY INFORMATION AND JUSTIFICATION**

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**Section F: Enterprise Architecture (EA) (IT Capital Assets only)**

*In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.*

*I.F. 1) Is this investment included in your agency's target enterprise architecture?*

Yes

*I.F. 1.a) If "no," please explain why? (LONG ANSWER)*

*I.F.2) Is this investment included in the agency's EA Transition Strategy?*

Yes

*I.F.2.a) If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. (MEDIUM ANSWER)*

**One VA Eligibility and Registration**

*I.F.2.b) If "no," please explain why? (LONG ANSWER)*

*I.F.3) Is this investment identified in a completed (contains a target architecture) and approved segment architecture?*



Yes

I.F.3a) If "yes," provide the six digit code corresponding to the agency segment architecture. The segment architecture codes are maintained by the agency Chief Architect.

100-000

Segment Architecture Mapping Reference Table:

BUSINESS SEGMENT NAME	SEGMENT ARCHITECTURE CODE
1) Health Business Segment	100-000
2) Benefits Business Segment	200-000
3) Memorial, Burials & HQ Segment	300-000
4) Material Management Segment	400-000
5) Financial Segment	500-000
6) Human Resources Segment	600-000
7) Security Management Segment	700-000
8) Information Management Segment	800-000
9) Education & Training Segment	900-000

**I.F.3) FEA SERVICE REFERENCE MODEL:**

I.F.3) Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

**SERVICE COMPONENT TABLE:**

	Agency Component Name (SHORT ANSWER)	Agency Component Description (MEDIUM ANSWER)	FEA SRM Service Type	FEA SRM Component (a*)	FEA Service Component Reused : Component Name (b*)	FEA Service Component Reused : UPI (b*)	Internal or External Reuse? (c*)	BY Funding Percentage (d*)
1	EA40-001	Common Veteran Registration Process	Customer Initiated Assistance	Reservations / Registration	Reservations / Registration		No Reuse	
2	EA40-001	Common Veteran Registration Process	Knowledge Management	Information Retrieval	Information Retrieval		No Reuse	
3	EA40-001	Common Veteran Registration Process	Knowledge Management	Information Sharing	Information Sharing		No Reuse	
4	EA40-001	Common Veteran Registration Process	Knowledge Management	Knowledge Capture	Knowledge Capture		No Reuse	

<b>5</b>	EA40-001	Common Veteran Registration Process	Reporting	Standardized / Canned	Standardized / Canned		No Reuse	
<b>6</b>	EA40-001	Common Veteran Registration Process	Management of Processes	Business Rule Management	Business Rule Management		No Reuse	
<b>7</b>	EA40-001	Common Veteran Registration Process	Data Management	Data Exchange	Data Exchange		No Reuse	
<b>8</b>								
<b>9</b>								
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**NOTE:**

(a\*) - Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

(b\*) - A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

(c\*) - 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

(d\*) - Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

**I.F.4) FEA TECHNICAL REFERENCE MODEL:**

I.F.4) To demonstrate how this major IT investment aligns with Reference Model (TRM), please list the Service Areas, Service Specifications supporting this IT investment.

**TECHNICAL REFERENCE MODEL TABLE:**

	<b>FEA SRM Component (a*)</b>	<b>FEA TRM Service Area</b>	<b>FEA TRM Service Category</b>	<b>FEA TRM Service Standard</b>
<b>1</b>	Reservations / Registration	Service Access and Delivery	Access Channels	Web Browser
<b>2</b>	Reservations / Registration	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers
<b>3</b>	Reservations / Registration	Service Platform and Infrastructure	Support Platforms	Independent Platform
<b>4</b>	Reservations / Registration	Service Platform and Infrastructure	Software Engineering	Modeling

5	Reservations / Registration	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment
6	Reservations / Registration	Service Platform and Infrastructure	Software Engineering	Software Configuration Management
7	Reservations / Registration	Service Platform and Infrastructure	Software Engineering	Test Management
8	Reservations / Registration	Component Framework	Business Logic	Platform Independent Technologies
9	Reservations / Registration	Component Framework	User Presentation / Interface	Static Display
10	Reservations / Registration	Component Framework	User Presentation / Interface	Dynamic Server-Side Display
11	Reservations / Registration	Component Framework	User Presentation / Interface	Content Rendering
12	Reservations / Registration	Component Framework	Security	Supporting Security Services
13	Reservations / Registration	Service Interface and Integration	Interface	Service Description / Interface
14	Business Rule Management	Component Framework	Business Logic	Platform Independent Technologies
15	Business Rule Management	Service Interface and Integration	Interface	Service Description / Interface
16	Information Retrieval	Component Framework	Data Management	Database Connectivity
17	Information Retrieval	Service Interface and Integration	Integration	Middleware
18	Information Sharing	Service Access and Delivery	Access Channels	Other Electronic Channels
19	Information Sharing	Service Access and Delivery	Delivery Channels	Intranet
20	Information Sharing	Service Access and Delivery	Service Requirements	Legislative / Compliance
21	Information Sharing	Service Access and Delivery	Service Transport	Supporting Network Services
22	Information Sharing	Service Access and Delivery	Service Transport	Service Transport
23	Information Sharing	Service Access and Delivery	Access Channels	Collaboration / Communications
24	Information Sharing	Component Framework	Data Interchange	Data Exchange
25	Information Sharing	Service Interface and Integration	Interoperability	Data Format / Classification
26	Information Sharing	Service Platform and Infrastructure	Hardware / Infrastructure	Wide Area Network (WAN)
27	Knowledge Capture	Service Platform and Infrastructure	Database / Storage	Storage
28	Knowledge Capture	Service Platform and Infrastructure	Delivery Servers	Application Servers
29	Knowledge Capture	Service Platform and Infrastructure	Delivery Servers	Web Servers
30	Knowledge Capture	Service Platform and Infrastructure	Database / Storage	Database
31	Data Exchange	Service Interface and Integration	Integration	Middleware
32	Data Exchange	Service Interface and Integration	Interoperability	Data Format / Classification

33	Data Exchange	Component Framework	Data Interchange	Data Exchange
34	Data Exchange	Service Access and Delivery	Access Channels	Other Electronic Channels
35	Standardized / Canned	Component Framework	Data Management	Reporting and Analysis
36	Enterprise Application Integration	Service Interface and Integration	Integration	Middleware
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NOTE:

(a\*) - Service Components identified in the previous question(I.F.3) should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

(b\*) - In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

I.F.5) Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

No

I.F.5.a) If "yes," please describe. (LONG ANSWER)

## PART II - B

### PART II: PLANNING, ACQUISITION AND PERFORMANCE INFORMATION

Part II should be completed only for investments which in FY2008 will be in "Planning" or "Full Acquisition," investments, i.e., selected one of these three choices in response to Question 6 in Part I, Section A above.

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### Section B - RISK MANAGEMENT (All Capital Assets)

II.B.1) Does the investment have a Risk Management Plan?

Yes
<i>II.B.1.a) If "yes," what is the date of the plan?</i>
Feb 8, 2007
<i>II.B.1.b) Has the Risk Management Plan been significantly changed since last year's submission to OMB?</i>
No
<i>II.B.1.c) If "yes," describe any significant changes: (LONG ANSWER)</i>
<i>II.B.2) If there currently is no plan, will a plan be developed?</i>
<i>II.B.2.a) If "yes," what is the planned completion date?</i>
<i>II.B.2.b) If "no," what is the strategy for managing the risks? (LONG ANSWER)</i>
<i>II.B.3) Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule: (LONG ANSWER)</i>
Risks are reviewed on a weekly basis by the project managers. There is a program level Risk Management Plan as well as a plan for each individual project. During milestone reviews, risks are discussed with many levels of management, including portfolio managers who represent other projects that may be affected by Enrollment Enhancements.
Each IT Project Office plan has additional costs and time built into its schedule to account for unexpected costs and delays in case any of the risks occur. Extra costs are built into the schedule using a management/risk reserve, which is an amount of total allocated budget withheld under management control rather than assigned as part of project scope.
In addition, contract vehicles that incorporate EVMS and firm fixed price contracts are used. Unlike time and materials contracts, firm fixed price contracts significantly reduce the risk of cost overruns.

**PART II - C**

**Part II: Planning, Acquisition And Performance Information**

*Part II should be completed only for investments which in FY2008 will be in "Planning" or "Full Acquisition," investments, i.e., selected one of these three choices in response to Question 6 in Part I, Section A above.*

*OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)*

**C) Cost and Schedule Performance:**

*Identify in this section the proposed change to the original or current OMB-approved baseline. What are the new cost and schedule goals for the phase or segment/module (e.g., what are the major investment milestones or events; when will each occur; and what is the estimated cost to accomplish each one)? If this is a new investment in the FY 2008 Budget year or if the agency does not intend to propose a new baseline modification, this section will be blank for your budget submission.*

*II.C.1) Does the earned value management system meet the criteria in ANSI/EIA Standard – 748?*

No

*II.C.3) Has the investment re-baselined during the past fiscal year?*

No

*II.C.3.a) If "Yes", when was it approved by the Agency head?*

**II.C.4) Comparison of Initial Baseline and Current Approved Baseline:**

*II.C.4) Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions).*

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