

SEC NEWS DIGEST

Issue 2001-218

November 13, 2001

ENFORCEMENT PROCEEDINGS

SEC CHARGES OFFSHORE HEDGE FUND AND ITS 26 YEAR OLD MANAGER WITH FRAUD, OBTAINS EMERGENCY RELIEF

On November 13, the Commission filed an emergency enforcement action in the U.S. District Court for the Southern District of New York and obtained a temporary restraining order prohibiting Peter W. Chabot (Chabot), manager of a purported offshore hedge fund named Sirens Synergy and The Synergy Fund (Fund), from engaging in the fraudulent offer and sale of securities. The Commission also sued and obtained temporary restraining orders against the Fund and against Chabot Investments, Inc. and Sirens Investments, Inc., two investment entities associated with Chabot and the Fund.

The Commission's complaint alleges that, beginning in 1999, Chabot, individually and through his entities, raised over \$1.2 million from approximately 14 investors by making material misrepresentations and omissions to them concerning the Fund. Chabot claimed he was an experienced trader and that he had developed a mathematical model to predict when to buy stocks and whether to take long or short positions. Chabot then prepared false and misleading account statements for the investors, claiming large returns on the purported investments made by the Fund. By July 2001, account statements sent to investors claimed that the Fund had assets exceeding \$130 million.

As alleged in the Commission's complaint, Chabot did not buy stocks or other securities with investors' funds. Instead, he used their money for his personal expenses. Chabot spent over \$100,000 on consumer goods and services, including computers, clothes (such as Armani suits), travel to Spain, France, England, and the Caribbean, limousine services, New York Knicks basketball games at Madison Square Garden, furniture, oriental rugs, and jewelry. Chabot also made over 130 ATM withdrawals totaling nearly \$60,000 from a bank account containing investor funds. On November 5, 2001, Chabot was arrested in Mississippi on his way to Mexico and charged with one count of securities fraud.

In addition to temporary restraining orders prohibiting further violations of the antifraud provisions, the Court ordered an asset freeze, expedited discovery, an accounting, and other interim relief. The Commission also seeks the following permanent relief: (i) permanent injunctions against future violations of Section 17(a) of the Securities Act of 1933, Section 10(b) of the Exchange Act and Rule 10b-5 thereunder, and Section 206(1)

and (2) of the Investment Advisers Act of 1940; (ii) disgorgement and prejudgment interest; and (iii) the imposition of civil money penalties.

The Commission wishes to thank the U.S. Attorney for the Southern District of New York and the Federal Bureau of Investigation for their cooperation in this matter. [SEC v. Peter W. Chabot, Chabot Investments, Inc., Sirens Investments, Inc., Sirens Synergy and the Synergy Fund, LLC, Civil Action No. 01CV 9976 (AGS)(SDNY)] (LR-17227)

PRELIMINARY INJUNCTION AND CONTINUATION OF ASSET FREEZE AND RECEIVER ORDERED AGAINST ROBERT L. BENTLEY, BENTLEY FINANCIAL SERVICES, INC., AND ENTRUST GROUP

On November 7, United States District Court Judge Jay C. Waldman issued a preliminary injunction and order continuing the appointment of a receiver and asset freeze against Robert L. Bentley, Bentley Financial Services, Inc. and Entrust Group. The preliminary injunction prohibits violations of the antifraud and broker-dealer registrations provisions set forth in Section 17(a) of the Securities Act of 1933 and Sections 10(b) and 15(a) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. The order continues a freeze of the defendants' assets and the appointment of David H. Marion of the Philadelphia law firm Montgomery, McCracken, Walker & Rhoads LLP as a receiver to take control of their assets. The defendants consented to the entry of the preliminary injunction and order without admitting or denying the Commission's allegations in the action. A trial date in the action has not been set.

The Commission's complaint alleged that the defendants claimed to be selling bank-issued, federally insured certificates of deposit (CDs), but that the defendants were in fact selling uninsured securities. Although the defendants were using at least some investor funds to buy CDs, the terms of the CDs often varied substantially from those of the securities defendants were selling. The Commission also alleged that, in many cases, investors had to rely on the defendants' ability to attract new investors in order for previous investors to receive repayment of their principal. Accordingly, investors were not buying the low-risk, federally-insured CDs that they were promised. Rather, they were buying higher risk securities issued by defendants, whose business was uninsured, unaudited and unregulated. When the Commission filed the action, hundreds of financial institutions and individual investors located nationwide had over \$300 million invested with the defendants. [SEC v. Robert L. Bentley, Entrust Group and Bentley Financial Services, Inc., Civ. Action No. 53-66 (Eastern District of Pennsylvania)] (LR-17228)

HOLDING COMPANY ACT RELEASES

ALLEGHENY ENERGY, INC., ET AL.

A notice has been issued giving interested persons until December 3, 2001, to request a hearing on proposals by Allegheny Energy, Inc. (Allegheny), a registered holding

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company, The Potomac Edison Company, Monongahela Power Company, West Penn Power Company, Allegheny Energy Supply Company, LLC, all wholly owned direct public-utility company subsidiaries of Allegheny, Allegheny Energy Service Corporation, a wholly owned direct service company subsidiary of Allegheny, and Allegheny Generating Company, an indirect public-utility company subsidiary of Allegheny, to extend the authorization period to operate a system money pool and issue short-term debt. (Rel. 35-27466)

SCANA CORPORATION

A notice has been issued giving interested persons until December 3, 2001, to request a hearing on a proposal by SCANA Corporation (SCANA), a registered holding company; SCANA's public utility subsidiary companies, Public Service Company of North Carolina, Inc. (PSNC), South Carolina Electric & Gas Company, and South Carolina Generating Company, Inc.; and SCANA's nonutility subsidiary companies; through February 11, 2003, to increase the SCANA system's overall financing authority to \$3.85 billion, by increasing PSNC's authority to issue and sell long-term debt from \$150 million to \$450 million. (Rel. 35-27466)

AGL RESOURCES, INC. AND VIRIGNIA NATURAL GAS, INC.

A notice has been issued giving interested persons until December 3, 2001, to request a hearing on a proposal by AGL Resources, Inc. (AGL Resources), a registered holding company, and Virginia Natural Gas, Inc. (VNG) (collectively, Declarants). Declarants request authority for VNG to repurchase its common stock from AGL Resources and for AGL Resources to sell that common stock to VNG. (Rel. 35-27466)

ALLEGHENY ENERGY, INC.

A notice has been issued giving interested persons until December 3, 2001, to request a hearing on a proposal by Allegheny Energy, Inc. (Allegheny), a registered holding company, and Allegheny Energy Supply Company, LLC (AE Supply), Allegheny's wholly owned utility subsidiary company. Allegheny and AE Supply propose to: (i) create a wholly owned subsidiary of AE Supply, (ii) enter AE Supply and the new subsidiary into a leaseback transaction with an unaffiliated third party and (ii) create a wholly-owned finance subsidiary that will, among other things, make intercompany loans to AE Supply. (Rel. 35-27466)

SELF-REGULATORY ORGANIZATIONS

ACCELERATED APPROVAL OF PROPOSED RULE CHANGES

A proposed rule change filed by the American Stock Exchange relating to currency and index warrant listing standards (SR-Amex-2001-89) has become effective under Section 3 NEWS DIGEST, November 13, 2001

19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of November 12. (Rel. 34-45036)

A proposed rule change filed by the National Association of Securities Dealers extending temporary access to certain proprietary systems of the Nasdaq Stock Market, Inc. to UTP Exchanges (SR-NASD-2001-81) has been granted accelerated approval under Section 19(b)(2) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of November 12. (Rel. 34-45048)

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change filed by the Pacific Exchange and Amendment No.1 thereto relating to the Exchange's delisting criteria (SR-PCX-2001-43) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of November 12. (Rel. 34-45038)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

S-8 GUCCI GROUP NV, RODENRIJSELAAN 23B, 3037 XB ROTTERDAM, NETHERLANDS,
P8
(201) 867-8800 - 1,500,000 (\$128,047,500) FOREIGN COMMON STOCK. (FILE
333-14064 - NOV. 02) (BR. 2)

S-8 DYNACQ INTERNATIONAL INC, 10304 INTERSTATE 10 EAST, SUITE 369,
HOUSTON,
TX 77029 (713) 673-6639 - 2,500,000 (\$42,375,000) COMMON STOCK. (FILE
333-72756 - NOV. 05) (BR. 1)

S-8 HILFIGER TOMMY CORP, 6/F PRECIOUS INDUSTRIAL CENTRE, 18 CHEUNG YUE
ST,
CHEUNG SHA WAN KOWLO, K3 (852) 274-7798 - 3,500,000 (\$37,135,000)
FOREIGN COMMON STOCK. (FILE 333-72758 - NOV. 05) (BR. 2)

- S-3 FREEPORT MCMORAN COPPER & GOLD INC, 1615 POYDRAS ST, NEW ORLEANS, LA 70112 (504) 582-4000 - 605,750,000 (\$602,995,312.50) CONVERTIBLE DEBENTURES AND NOTES. (FILE 333-72760 - NOV. 05) (BR. 4)
- S-8 FIRSTENERGY CORP, 76 SOUTH MAIN ST, AKRON, OH 44308 (330) 384-5100 - 707,000 (\$24,045,070) COMMON STOCK. (FILE 333-72764 - NOV. 05) (BR. 2)
- S-8 FIRSTENERGY CORP, 76 SOUTH MAIN ST, AKRON, OH 44308 (330) 384-5100 - 1,730,000 (\$46,790,798.89) COMMON STOCK. (FILE 333-72766 - NOV. 05) (BR. 2)
- S-8 FIRSTENERGY CORP, 76 SOUTH MAIN ST, AKRON, OH 44308 (330) 384-5100 - 75,000,000 (\$75,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-72768 - NOV. 05) (BR. 2)
- S-8 BRIO SOFTWARE INC, 4980 GREAT AMERICA PARKWAY, SANTA CLARA, CA 95054 (408) 496-7400 - 3,000,000 (\$4,125,000) COMMON STOCK. (FILE 333-72770 - NOV. 05) (BR. 3)
- S-8 NBT BANCORP INC, 52 S BROAD ST, NORWICH, NY 13815 (607) 337-2265 - 20,000 (\$264,800) COMMON STOCK. (FILE 333-72772 - NOV. 05) (BR. 7)
- S-8 CREE INC, 4600 SILICON DR, DURHAM, NC 27703 (919) 313-5300 - 3,600,000 (\$62,928,000) COMMON STOCK. (FILE 333-72774 - NOV. 05) (BR. 36)
- S-8 EMERGENCY FILTRATION PRODUCTS INC/ NV, 4334 S INDUSTRIAL RD, STE 440, LAS VEGAS, NV 89103 (702) 798-4541 - 406,965 (\$313,363) COMMON STOCK. (FILE 333-72776 - NOV. 05) (BR. 7)
- S-8 RENAL CARE GROUP INC, 2100 WEST END AVENUE, SUITE 800, NASHVILLE, TN 37203 (615) 345-5500 - 2,119,000 (\$61,204,380) COMMON STOCK. (FILE 333-72778 - NOV. 05) (BR. 1)
- S-8 TRILUCENT TECHNOLOGIES CORP, 24843 DEL PRADO, STE 318, DANA POINT, CA 92629 (949) 248-9561 - 1,000,000 (\$10,000) COMMON STOCK. (FILE 333-72782 - NOV. 05) (BR. 5)
- S-3 ALABAMA POWER CO, 600 N 18TH ST, P O BOX 2641, BIRMINGHAM, AL 35291 (205) 257-1000 (FILE 333-72784 - NOV. 05) (BR. 2)
- S-8 INVESTORS FINANCIAL SERVICES CORP, 200 CLARENDON ST, PO BOX 1537, BOSTON, MA 02116 (617) 330-6700 - 1,500,000 (\$79,845,000) COMMON STOCK. (FILE 333-72786 - NOV. 05) (BR. 7)
- S-8 CAPITAL ONE FINANCIAL CORP, 2980 FAIRVIEW PARK DRIVE, SUITE 1300, FALLS CHURCH, VA 22042 (703) 205-1000 - 2,967,318 (\$24,035,275.80) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-72788 - NOV. 05) (BR. 7)

S-4 CARTER WILLIAM CO /GA/, 1590 ADAMSON PARKWAY, MORROW, GA 30260
 (770) 961-8722 - 175,000,000 (\$173,692,750) STRAIGHT BONDS. (FILE
 333-72790 - NOV. 05) (BR. 2)

F-6 BRAZIL TELECOM CO /ADR/, CT CORPORATION SYSTEMS,
 111 EIGHTH AVE 13TH FLOOR, NEW YORK, NY 10011 (212) 894-8940 -
 100,000,000
 (\$5,000,000) DEPOSITARY RECEIPTS FOR PREFERRED STOCK. (FILE 333-14058
 -
 OCT. 31) (NEW ISSUE)

F-1 ALUMINUM CORP OF CHINA LTD, NO. B12 FUXING ROAD,
 PEOPLES REPUBLIC OF CHINA, BEIJING, HAIJEN DIST, F5 10081 (011) 852-
 2978 -
 \$600,000,000 FOREIGN COMMON STOCK. (FILE 333-14068 - NOV. 05) (BR. 6
 - NEW ISSUE)

F-6 ALUMINUM CORP OF CHINA LTD/ADR, 620 AVENUE OF THE AMERICAS 6TH
 FLOOR,
 NEW YORK, NY 10011 (212) 462-6682 - 50,000,000 (\$2,500,000)
 DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-14070 - NOV. 05)
 (NEW ISSUE)

S-3 HNC SOFTWARE INC/DE, 5935 CORNERSTONE CT W, SAN DIEGO, CA 92121
 (858) 546-8877 - 150,000,000 (\$150,000,000) COMMON STOCK. (FILE 333-
 72804
 - NOV. 06) (BR. 3)

S-8 HIGH STREET CORP, 1310 HENDERSONVILLE RD, ASHEVILLE, NC 28803
 (828) 277-5001 - 262,621 (\$2,158,879) COMMON STOCK. (FILE 333-72806 -
 NOV. 06)

S-8 ROCKWELL COLLINS INC, 400 COLLINS ROAD NE, CEDAR RAPIDS, IA 52498
 (319) 295-1000 - 9,000,000 (\$122,490,000) COMMON STOCK. (FILE 333-
 72814 -
 NOV. 06) (BR. 7)

S-8 VITESSE SEMICONDUCTOR CORP, 741 CALLE PLANO, CAMARILLO, CA 93012
 (805) 388-3700 - 7,992,906 (\$70,657,289) COMMON STOCK. (FILE 333-72816
 -
 NOV. 06) (BR. 5)

S-8 CAPITAL ONE FINANCIAL CORP, 2980 FAIRVIEW PARK DRIVE, SUITE 1300,
 FALLS CHURCH, VA 22042 (703) 205-1000 - 300,000 (\$12,720,000) COMMON
 STOCK.
 (FILE 333-72820 - NOV. 06) (BR. 7)

S-8 CAPITAL ONE FINANCIAL CORP, 2980 FAIRVIEW PARK DRIVE, SUITE 1300,
 FALLS CHURCH, VA 22042 (703) 205-1000 - 15,000,000 (\$636,000,000)
 COMMON STOCK. (FILE 333-72822 - NOV. 06) (BR. 7)

S-8 WELLCO ENTERPRISES INC, GEORGIA AVE & PINE ST, PO BOX 188,
 WAYNESVILLE,
 NC 28786 (704) 456-3545 - 289,000 (\$3,017,499) COMMON STOCK. (FILE
 333-72824 - NOV. 06) (BR. 2)

- S-3 CAPITAL ONE FINANCIAL CORP, 2980 FAIRVIEW PARK DRIVE, SUITE 1300,
FALLS CHURCH, VA 22042 (703) 205-1000 - 3,738,830 (\$158,526,392)
COMMON STOCK. (FILE 333-72832 - NOV. 06) (BR. 7)
- S-8 AMERICANWEST BANCORPORATION, 9506 N NEWPORT HWY, SPOKANE, WA 99218
06) (509) 467-6949 - 5,000 (\$55,975) COMMON STOCK. (FILE 333-72834 - NOV.
(BR. 7)
- S-3 BOSTON PRIVATE FINANCIAL HOLDINGS INC, 10 POST OFFICE SQ, BOSTON, MA
72836 02109 (617) 556-1900 - 226,236 (\$4,250,975) COMMON STOCK. (FILE 333-
- NOV. 06) (BR. 7)
- S-3 PRIMEDIA INC, 745 FIFTH AVE, NEW YORK, NY 10151 (212) 745-0100 -
5) 6,115,200 (\$12,413,856) COMMON STOCK. (FILE 333-72838 - NOV. 06) (BR.
- S-3 NUCENTRIX BROADBAND NETWORKS INC, 200 CHISOLM PLACE, SUITE 200,
PLANO, TX 75075 (972) 423-9494 - 183,819 (\$1,954,915.10) COMMON STOCK. (FILE
333-72840 - NOV. 06) (BR. 7)
- S-8 HARRIS INTERACTIVE INC, 135 CORPORATE WOODS, ROCHESTER, NY 14623
NOV. 06) (716) 272-8400 - 500,000 (\$1,000,000) COMMON STOCK. (FILE 333-72842 -
(BR. 8)
- S-3 ALLIANCE PHARMACEUTICAL CORP, 6175 LUSK BLVD, SAN DIEGO, CA 92121
72844 (858) 410-5200 - 9,513,524 (\$30,728,682.67) COMMON STOCK. (FILE 333-
- NOV. 06) (BR. 1)
- S-3 UNIVERSAL DISPLAY CORP \PA\, THREE BALA PLAZA, SUITE 104E, BALA
CYNWYD, PA 19004 (610) 617-4010 - 2,737,620 (\$21,846,207) COMMON STOCK. (FILE
333-72846 - NOV. 06) (BR. 3)
- S-8 DAVOX CORP, 6 TECHNOLOGY PARK DR, WESTFORD, MA 01886 (508) 952-0200
- 20,000 (\$185,200) COMMON STOCK. (FILE 333-72850 - NOV. 06) (BR. 3)
- S-8 NASDAQ STOCK MARKET INC, ONE LIBERTY PLAZA, NEW YORK, NY 10006
- (212) 858-4750 - 200,676 (\$2,133,188.86) COMMON STOCK. (FILE 333-72852
NOV. 06) (BR. 7)
- S-3 APPLERA CORP, 301 MERRITT 7, NORWALK, CT 06851 (203) 840-2000 -
748,513 (\$43,869,497) COMMON STOCK. (FILE 333-72858 - NOV. 06) (BR. 5)
- S-3 JMAR TECHNOLOGIES INC, 3956 SORRENTO VALLEY BLVD STE D, SAN DIEGO,
CA 92121 (619) 535-1706 - 881,970 (\$2,778,206) COMMON STOCK. (FILE 333-
72860 - NOV. 06) (BR. 5)

S-3 SHIRE PHARMACEUTICALS GROUP PLC, HAMPSHIRE INTL BUSINESS PARK,
CHINEHAM BASINGSTOKE, HAMPSHIRE ENGLAND RG24 8EP, X0 (126) 433-3455 -
400,000,000 (\$400,000,000) STRAIGHT BONDS. (FILE 333-72862 - NOV. 06)
(BR. 1)

S-8 INVISION TECHNOLOGIES INC, 7151 GATEWAY BLVD, NEWARK, CA 94560
(510) 739-2400 - 150,000 (\$2,062,875) COMMON STOCK. (FILE 333-72864 -
NOV. 06) (BR. 5)

S-3 BIOMARIN PHARMACEUTICAL INC, 371 BEL MARIN KEYS BLVD, STE 210,
NOVATO,
CA 94949 (415) 884-6700 - 814,647 (\$8,822,627) COMMON STOCK. (FILE
333-72866 - NOV. 06) (BR. 1)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ACCENTURE LTD						X			X		11/09/01	
ACE SECURITIES CORP	DE					X			X		10/25/01	
ACE SECURITIES CORP	DE					X			X		11/08/01	
ADVANCEPCS	DE					X			X		11/01/01	
AF BANKSHARES INC						X			X		08/03/01	AMEND
ALLIANT ENERGY CORP	WI					X			X		11/09/01	
ALTRIMEGA HEALTH CORP	NV		X								11/01/01	
AMERICAN ACCESS TECHNOLOGIES INC	FL					X		X	X		10/10/01	
AMERIGAS FINANCE CORP	DE					X					09/09/01	
AMERIGAS PARTNERS LP	DE					X					09/09/01	
AMX CORP /TX/	TX					X		X			11/02/01	
ANTS SOFTWARE INC	DE					X					11/09/01	

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NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
APARTMENT INVESTMENT & MANAGEMENT C O	MD					X		X			11/08/01	
ARDEN REALTY INC	MD					X		X			11/06/01	
ARDEN REALTY LIMITED PARTNERSHIP	MD					X		X			11/06/01	
ASSET BACKED SEC CORP HOME EQUITY L OAN TRUST SERIES 2001 HE3	DE					X		X			09/27/01	
ATCHISON CASTING CORP	KS					X		X			11/08/01	
ATLAS AIR WORLDWIDE HOLDINGS INC	DE					X		X			10/31/01	
ATMI INC	DE					X		X			11/07/01	
BEAR STEARNS DEPOSITOR INC						X		X			10/26/01	
BICO INC/PA	PA					X					11/08/01	
BIO IMAGING TECHNOLOGIES INC	DE		X					X	X		10/25/01	
BOEING CAPITAL CORP	DE					X		X			11/07/01	
BRIGHAM EXPLORATION CO	DE					X		X			11/06/01	
BUSINESS TRANSLATION SERVICES INC	NV	X				X					10/30/01	
CACI INTERNATIONAL INC /DE/	DE								X		11/09/01	
CARRIER1 INTERNATIONAL S A	N4					X		X			11/08/01	
CASH SYSTEMS INC	DE							X			10/12/01	AMEND
CHURCH & DWIGHT CO INC /DE/	DE							X			09/28/01	AMEND
CH2M HILL COMPANIES LTD	OR					X					11/09/01	
CLAYTON WILLIAMS ENERGY INC /DE	DE							X	X		09/08/01	
CMGI INC	DE					X					11/08/01	
COL CHINA ONLINE INTERNATIONAL INC	DE		X					X			09/24/01	
COMMERCIAL FEDERAL CORP	NE					X					10/30/01	
CORNING INC /NY	NY					X					11/09/01	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE					X		X			11/05/01	
CSFB MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 2001-S23	DE							X			10/29/01	
CURON MEDICAL INC	DE					X		X			11/01/01	
CVEO CORP	MA					X		X			10/30/01	
DOLLAR GENERAL CORP	TN								X		11/09/01	
DRUGMAX COM INC	NV	X						X			10/25/01	
DUKE ENERGY FIELD SERVICES LLC	DE					X		X			11/06/01	
ENRON CORP/OR/	OR							X			11/09/01	
ESPEY MANUFACTURING & ELECTRONICS C ORP	NY					X					10/26/01	
FIRST FINANCIAL CORP /TX/	TX					X					05/04/01	
FIRST WASHINGTON FINANCIAL CORP	NJ					X		X			11/02/01	
FRUIT OF THE LOOM INC /DE/	DE					X		X			11/01/01	
GENERAL MAGIC INC	DE							X			11/09/99	AMEND
GLENBOROUGH REALTY TRUST INC	MD		X					X			10/30/01	
GLOBALNET INC	NV					X		X			10/29/01	
HANOVER COMPRESSOR CO /	DE							X			08/31/01	AMEND
HARTFORD CAPITAL III /DE/	DE					X		X			10/17/01	
HARTFORD FINANCIAL SERVICES GROUP I NC/DE	DE					X		X			10/17/01	
HASTINGS MANUFACTURING CO	MI							X	X		11/08/01	
HAWAIIAN ELECTRIC CO INC	HI					X					11/09/01	
HAWAIIAN ELECTRIC INDUSTRIES INC	HI					X					11/09/01	
HCB BANCSHARES INC	OK					X		X			11/02/01	
HCC INSURANCE HOLDINGS INC/DE/	DE					X		X	X		11/08/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
HEADHUNTER NET INC	GA	X			X	X					11/06/01	
HEALTHCARE REALTY TRUST INC	MD						X	X			11/09/01	AMEND
INDIANTOWN COGENERATION FUNDING CORP	DE					X					11/09/01	
INDIANTOWN COGENERATION LP	DE					X					11/09/01	
INDYMAC BANCORP INC	DE					X	X				10/18/01	
INDYMAC BANCORP INC	DE					X	X				11/09/01	
INTEGRATED INFORMATION SYSTEMS INC	DE					X	X				11/07/01	
INTEGRATED SECURITY SYSTEMS INC	DE					X	X				10/12/01	
INTERNATIONAL GAME TECHNOLOGY	NV					X	X				11/06/01	
JERSEY CENTRAL POWER & LIGHT CO	NJ	X		X							11/07/01	
JLM INDUSTRIES INC	DE					X	X				11/01/01	
JOHNSON CONTROLS INC	WI					X					11/09/01	
KEY PRODUCTION CO INC	DE					X					11/08/01	
KEYPORT LIFE INSURANCE CO	MA	X									11/01/01	
KOGER EQUITY INC	FL						X	X			11/07/01	
KUPPER PARKER COMMUNICATIONS INC	NY		X				X				02/23/01	AMEND
LABARGE INC	DE					X	X				11/08/01	
METROPOLITAN EDISON CO	PA	X		X							11/07/01	
MICRON ENVIRO SYSTEMS INC						X	X				09/14/01	
MID-STATE HOMES INC	FL					X	X				11/08/01	
MILLS CORP	DE						X	X			09/30/01	
MINNESOTA CORN PROCESSORS LLC	CO					X	X				11/09/01	
MORTGAGE PASS THROUGH CERTIFICATES SERIES 2001 12	DE					X	X				10/25/01	
NETRATINGS INC	DE					X					10/17/01	
NEW CENTURY HOME EQUITY LOAN TRUST SERIES 2001-NC2	DE					X	X				10/25/01	
NIAGARA MOHAWK HOLDINGS INC/NY	NY		X			X	X				11/09/01	
NIAGARA MOHAWK POWER CORP /NY/	NY		X			X	X				11/09/01	
NOVEN PHARMACEUTICALS INC	DE					X	X				11/06/01	
NUEVO ENERGY CO	DE						X	X			11/09/01	
OAO TECHNOLOGY SOLUTIONS INC	DE						X				08/31/01	AMEND
ORCHID BIOSCIENCES INC						X	X				11/08/01	
OWOSSO CORP	PA		X				X				10/26/01	
PALM INC	DE					X	X				11/08/01	
PELICAN PROPERTIES INTERNATIONAL CO RP	FL					X	X				10/18/01	
PENNSYLVANIA ELECTRIC CO	PA	X		X							11/07/01	
PEOPLES BANCORP INC	OH					X					12/31/01	
PEOPLES EDUCATIONAL HOLDINGS	MN					X					11/07/01	
PETROQUEST ENERGY INC	DE					X	X				11/07/01	
POORE BROTHERS INC	DE							X			10/25/01	
PRECISE SOFTWARE SOLUTIONS LTD								X			11/09/01	
REFERRAL HOLDINGS CORP	NV		X			X	X				10/23/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			10/25/01	
RESIDENTIAL FUNDING MORTGAGE SECURITIES I INC	DE					X	X				10/30/01	
REWARD ENTERPRISES INC								X			10/27/01	
RGS ENERGY GROUP INC	NY		X					X			11/07/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
RIGHT MANAGEMENT CONSULTANTS INC	PA					X	X					03/22/01	
ROCHESTER GAS & ELECTRIC CORP	NY	X						X				11/07/01	
SALOMON BROTHERS MORTGAGE SECURITIE S VII INC	DE							X				11/09/01	
SCHULER HOMES INC	DE					X	X					11/08/01	
SENSAR CORP /NV/	NV					X						11/07/01	
SHOP AT HOME INC /TN/	TN				X							06/30/02	
SIMCALA INC	DE					X						10/15/01	
STREICHER MOBILE FUELING INC	FL					X						11/07/01	
TEK DIGITEL CORP	WY					X	X					11/09/01	
TENET HEALTHCARE CORP	NV					X	X					11/06/01	
TEXTRON INC	DE					X	X					11/09/01	
TRANSPORTATION SAFETY LIGHTS INC					X		X					11/07/01	
TRIARC COMPANIES INC	DE							X				11/09/01	
UCI MEDICAL AFFILIATES INC	DE	X										11/02/01	
UGI CORP /PA/	PA					X						09/09/01	
USA NETWORKS INC	DE							X	X			11/09/01	
VESTA INSURANCE GROUP INC	DE					X	X					11/08/01	
VIDKID DISTRIBUTION INC	FL				X		X					11/05/01	
WALT DISNEY CO/	DE					X	X					11/08/01	
WASHINGTON MUTUAL MORTGAGE SECURITI ES CORP	DE					X	X					11/09/01	
WEBQUEST INTERNATIONAL INC	NV						X					10/25/01	
WEBVAN GROUP INC	DE	X						X				10/22/01	
WESBANCO INC	WV					X	X					11/07/01	
YORK RESEARCH CORP	DE					X						11/08/01	