

SEC NEWS DIGEST

Issue 2001-11

January 17, 2001

COMMISSION ANNOUNCEMENTS

COMMISSION STAFF PROVIDES WRITTEN GUIDANCE ON THE APPLICATION OF REVISED RULES ON AUDITOR INDEPENDENCE

The staff of the Securities and Exchange Commission today published guidance responding to questions the staff has received from registrants and auditors on the application of the Commission's recently revised rules on auditor independence, which become effective on February 5, 2001. The guidance, in the form of a Frequently Asked Questions (FAQ) document, is available on the SEC's Internet site at <http://www.sec.gov/offices/account/audinfaq.htm>

The guidance is informal in nature and does not necessarily represent the views of the Commission. As the staff receives additional questions, it may add to or revise the guidance. Questions and related correspondence regarding auditor independence should be directed to Sam Burke or Esmeralda Rodriguez, Assistant Chief Accountants in the Office of the Chief Accountant, Mail Stop 1103, 450 Fifth Street, N.W., Washington, D.C. 20549 (202-942-4400). Questions regarding disclosure in proxy statements should be directed to the Office of Chief Counsel in the Division of Corporation Finance at 202-942-2900 (Press Rel 2001-13).

CLOSED MEETING – TUESDAY, JANUARY 23, 2001 – 10:00 A.M.

The subject matter of the closed meeting will be Institution and settlement of injunctive actions, and Institution and settlement of administrative proceedings of an enforcement nature.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact The Office of the Secretary at (202) 942-7070.

RULES AND RELATED MATTERS

SEC ADOPTS RULE PROHIBITING MISLEADING MUTUAL FUND NAMES

The Commission announced today that it has adopted a new rule to prohibit the use of mutual fund names that may mislead investors about a fund's investments and risks. The rule requires that a mutual fund with a name suggesting that it focuses on a particular type of investment invest at least 80% of its assets in accordance with its name. The text of the rule will be available on the Commission's website at <http://www.sec.gov/rules/finrindx.htm>

Paul Roye, Director of the Commission's Division of Investment Management, said, "Today's Commission action will contribute greatly to 'truth in labeling' of mutual funds. It will benefit investors significantly, by giving them greater assurance that a fund's investments will be consistent with its name. We caution investors, however, that a mutual fund's name cannot tell the whole story about the fund and that, before investing, investors should consult other sources of information, particularly the fund's prospectus."

The significant aspects of the fund names rule include the following:

- *New 80% Investment Standard* -- The rule requires a fund with a name suggesting that the fund focuses on a particular type of investment (e.g., "stocks" or "bonds") to invest at least 80% of its assets accordingly. Under Commission staff positions, these funds previously were subject to a 65% investment requirement.
- *Truth in Labeling* -- By tightening the investment requirement, the rule is intended to provide an investor greater assurance that the fund's investments will be consistent with its name and to help reduce confusion when an investor selects a fund for specific investment needs and asset allocation goals.
- *Prior Shareholder Approval or Notice Required to Change Investment Policy* -- A fund may not change the 80% investment policy suggested by its name unless it obtains the prior approval of shareholders or notifies shareholders of the change at least 60 days in advance.
- *Fund Names Suggesting Guarantee or Approval by the U.S. Government* -- The rule prohibits the use of any name suggesting that a fund or its securities are guaranteed or approved by the U.S. government.

- *Funds That Desire More Investment Flexibility* – A fund whose name does not connote a particular investment emphasis is not required to invest 80% of its assets in a particular type of investment

For further information, contact Susan Nash at (202) 942-0630 (Press Rel 2001-14, Rel IC-24828)

ENFORCEMENT PROCEEDINGS

COMMISSION SANCTIONS CLARENCE WURTS

On January 16, the Commission found that Clarence Z Wurts failed reasonably to supervise a registered representative employed by Wurts's registered broker-dealer firm, Philadelphia Investors, Ltd, with a view to preventing violations of the federal securities laws. Wurts had accepted the findings of failure-to-supervise made by the law judge, paid the monetary fine imposed, and complied with the requirement to hire an outside consultant. The Division of Enforcement, however, had appealed the failure of the law judge to impose a suspension on Wurts.

The facts were not disputed. At the time Wurts hired the registered representative, he was aware that the individual had an extensive disciplinary history, and he accordingly established special supervisory procedures of the individual to prevent further misconduct. Wurts then failed, over a period of several months, to enforce the procedures he had established. He also failed to respond to numerous red flags that should have alerted him to possible misconduct. Wurts ultimately discovered that the representative was possibly engaging in misconduct and contacted the National Association of Securities Dealers, Inc promptly. On investigation, it was learned that the individual had defrauded investors who bought limited partnership units in a series of entities he had formed and was operating outside the scope of his employment at Wurts's firm.

In determining the sanction, the Commission concluded that Wurts's supervisory failures were serious. In mitigation, however, the Commission considered Wurts's lengthy, unblemished record in the securities industry, that he had promptly notified the NASD after discovery of the problem, and that he appears to have accepted responsibility for his supervisory lapses. The Commission found that it is in the public interest to censure Wurts and suspend Wurts from acting in a supervisory capacity with any broker or dealer for six months. (Rel 34-43842, File No 3-9114)

COMMISSION SANCTIONS H.J. MEYERS & CO., INC. FOR MARKET MANIPULATION

The Commission entered an Order Making Findings and Imposing Remedial Sanctions, and Cease-And-Desist Order Against H J Meyers & Co, Inc (H J Meyers) H J Meyers.

while neither admitting nor denying the Order's findings, consented to the entry of the Order and the imposition of sanctions against it. During the relevant time period, H J Meyers, based in Rochester, NY, was a broker-dealer registered with the Commission, and had 15 offices across the United States, and employed approximately 700 employees

On June 24, 1996, H.J Meyers underwrote the initial public offering (IPO) of Borealis Technology Corporation (Borealis), a small capitalization company. H J Meyers sold approximately 19 million, or 80%, of the Borealis IPO shares to its retail customers. The Order finds that H J Meyers manipulated the price of Borealis stock by effecting a series of transactions in which it purchased a total of approximately 914,405 Borealis shares and sold approximately 1,048,253 Borealis shares, and by raising the price of Borealis from the IPO price of \$5.00 to \$8.49. The Order further finds that H J Meyers fraudulently sold Borealis shares to its customers at undisclosed, excessively marked up prices by basing its markups on inside interdealer ask prices rather than on its contemporaneous cost, and failing to disclose the size of the markups it was charging on the Borealis stock.

The Order finds that H J Meyers's conduct violated Section 17(a) of the Securities Act of 1933 (Securities Act) and Sections 10(b) and 15(c)(1) of the Securities Exchange Act of 1934 (Exchange Act) and Rules 10b-5, 15c1-2, and 15c1-8 thereunder. The Order requires H J Meyers to cease and desist from committing or causing any current or future violations of Section 17(a) of the Securities Act and Sections 10(b) and 15(c)(1) of the Exchange Act and Rules 10b-5, 15c1-2, and 15c1-8 thereunder. The Order further requires disgorgement against H J Meyers in the amount of \$514,000. The obligation of H J Meyers shall be satisfied by a guaranteed dividend of \$50,000 pursuant to a further order of the bankruptcy court in H J Meyers & Co., Inc., No. 99-21107 (Bankr. W.D.N.Y.) (Refs. 33-7934, 34-43844, File No. 3-10140).

NICHOLAS LOBUE BARRED FROM ASSOCIATION WITH ANY BROKER, DEALER OR INVESTMENT ADVISER

An Order Instituting Proceedings, Making Findings and Imposing Remedial Sanctions (Order) was entered, by consent, against Nicholas LoBue (LoBue) barring him from association with any broker, dealer or investment adviser. LoBue neither admitted nor denied the findings of this Order, except as to the entry of an Order of Permanent Injunction against him on December 15, 2000 in SEC v. Nicholas LoBue, Civil Action File No. 99 C 6386 (N.D. Ill.). LoBue consented to the entry of the permanent injunction, without admitting or denying the allegations of the complaint. The bar order against LoBue is based on the injunction against him.

The complaint alleged that LoBue violated Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder and aided and abetted violations of Section 15(b)(7) of the Securities Exchange Act of 1934 and Rule 15b-7 thereunder in that between December 1996 and May 1997 (1) LoBue and another Pavilion Securities Corporation (Pavilion Securities) employee raised more

than \$660,000 through the sale of limited partnership interests in Pavilion LP, a partnership they created, to eight investors; (2) LoBue told the investors that their funds would be used to trade stocks, options and futures on various exchanges, (3) Pavilion Securities was the general partner of Pavilion LP and was responsible for determining how the investors' money was utilized, (4) in connection with the offer, purchase and sale of the limited partnership interests, LoBue made material misrepresentations and omitted to state material facts to investors regarding the use of investor funds and the performance of the investments, (5) another Pavilion Securities employee effected transactions in and induced the purchase and sale of securities while not registered with the Commission nor approved in accordance with the training, experience, competence and other qualification standards of the Commission or of a national securities exchange or association, and (6) LoBue aided and abetted Pavilion Securities' utilization of an unregistered person to effect transactions in and induce the purchase and sale of securities (Rels 34-43845, 1A-1917, File No 3-10405)

HOLDING COMPANY ACT RELEASES

ENTERGY LOUISIANA, INC.

A supplemental notice has been issued giving interested persons until February 6, 2001, to request a hearing on a proposal by Entergy Louisiana, Inc (Entergy Louisiana), a public-utility subsidiary company of Entergy Corporation, a registered holding company, to consent to the execution by River Fuel Company #2, Inc (River Fuel), of a new credit agreement, and any successor agreements, concerning the financing of the acquisition of nuclear fuel by River Fuel for Entergy Louisiana's Waterford 3 nuclear generating unit (Rel 35-27337)

ENTERGY CORPORATION

A notice has been issued giving interested persons until February 6, 2001, to request a hearing on a proposal by Entergy Corporation, a registered holding company, to issue and sell, directly or indirectly, its common stock, preferred stock or long-term debt in aggregate amounts that will not exceed \$2 billion and its short-term debt in an aggregate outstanding amount that will not exceed \$1.5 billion, organize financing subsidiaries and to enter into related transactions, through June 30, 2006 (Rel 35-27337)

AMEREN ENERGY FUELS AND SERVICES COMPANY

A notice has been issued giving interested persons until February 6, 2001, to request a hearing on a proposal by Ameren Energy Fuels and Services Company (Ameren Fuels), an indirect wholly owned nonutility subsidiary of Ameren Corporation (Ameren), a registered holding company, to provide fuel procurement and natural gas supply services to Union Electric Company (Union Electric) and Central Illinois Public Service

Company (CIPS), each of which is an electric and gas utility company and a subsidiary of Ameren (Rel 35-27337)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security, Title and the number and/or face amount of the securities being offered, Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch, and a designation if the statement is a New Issue

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W, Washington, D C 20549 or at the following e-mail box address <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www.sec.gov>

- S-3 HYPERTENSION DIAGNOSTICS INC /MN, 2915 WATERS ROAD SUITE 108,
612-687-9999, EAGAN, MN 55121 (612) 687-9999 - 2,752,192 (\$17,201,200)
COMMON STOCK. (FILE 333-53200 - JAN. 04) (BR. 5)
- SB-2 PCS EDVENTURES COM INC, 1655 FAIRVIEW AVE, #100, BOISE, ID 83702 -
3,232,113 (\$2,370,741) COMMON STOCK. (FILE 333-53458 - JAN. 10)
- SB-2 NICOLE INDUSTRIES INC, 83-228 AVE 51, 83 888 AVENUE 51 BOX 1130,
COACHELLA, CA 92236 (760) 398-9700 - 1,556,000 (\$155,600) COMMON STOCK.
(FILE 333-53460 - JAN. 10) (BR. 9)
- S-8 PROSOFTTRAINING COM, 3001 BEE CAVES ROAD, SUITE 300, AUSTIN, TX
78746
(512) 328-6140 - 114,005 (\$1,353,809.37) COMMON STOCK. (FILE 333-53472
-
JAN. 10) (BR. 8)
- S-8 VALUESTAR CORP, 360-22ND STREET #210, OAKLAND, CA 94612 (510) 808-
1300
- 2,500,000 (\$3,070,250) COMMON STOCK. (FILE 333-53474 - JAN. 10) (BR.
8)
- S-8 OHIO & SOUTHWESTERN ENERGY CO, P.O. 11569,
SUITE #450 - 650 W. GEORGIA ST., VANCOUVER, A1 V6B 4 (604) 684-8662 -
495,023 (\$1,742,481) COMMON STOCK. (FILE 333-53476 - JAN. 10) (BR. 9)
- S-4 ASIA GLOBAL CROSSING LTD, 360 N CRESCENT DR, BEVERLY HILLS, CA 90210
(310) 385-5200 - 408,000,000 (\$408,000,000) STRAIGHT BONDS. (FILE
333-53480 - JAN. 10) (BR. 7)

S-8 HISPANIC TELEVISION NETWORK INC, 6125 AIRPORT FREEWAY, ST 200,
FORT WORTH, TX 76117 (817) 222-1234 - 3,000,000 (\$1,312,500) COMMON
STOCK.
(FILE 333-53482 - JAN. 10) (BR. 9)

S-3 ABAXIS INC, 1320 CHESAPEAKE TERRACE, SUNNYVALE, CA 94089 (408) 734-
0200
- 1,421,710 (\$8,450,897.40) COMMON STOCK. (FILE 333-53484 - JAN. 10)
(BR. 1)

S-3 DEPOMED INC, 366 LAKESIDE DRIVE, FOSTER CITY, CA 94404 (650) 513-
0990 -
1,828,506 (\$6,914,495) COMMON STOCK. (FILE 333-53486 - JAN. 10) (BR.
9,

S-3 VIISAGE TECHNOLOGY INC, VIISAGE TECHNOLOGY INC, 30 PORTER ROAD,
LITTLETON, MA 01460 (617) 952 2200 - 3,343,326 (\$3,552,284) COMMON
STOCK.
(FILE 333-53488 - JAN. 10) (BR. 3)

S-3 GYMBOREE CORP, 700 AIRPORT BLVD STE 200, BURLINGAME, CA 94010
(415) 579-0600 - 3,198,670 (\$42,482,336) COMMON STOCK. (FILE 333-53490
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JAN. 10) (BR. 2)

S-8 BROADCOM CORP, 16215 ALTON PARKWAY, IRVINE, CA 92618 (949) 450-8700
-
1,533,913 (\$56,363,310.12) COMMON STOCK. (FILE 333-53492 - JAN. 10)
BR. 5

S-3 CONOLOG CORP, 5 COLUMBIA RL, SOMERVILLE, NJ 08876 908 722-8081 -
3,000,000 (\$2,062,500) COMMON STOCK. (FILE 333-53496 - JAN. 10) (BR.
5,

S-8 ARVINMERITOR INC, 2135 W MAPLE ROAD, TROY, MI 48064 (248) 435-1000 -
250,000 (\$3,015,625) COMMON STOCK. (FILE 333-53498 - JAN. 10) (BR. 5)

S-3 METROMEDIA FIBER NETWORK INC, C/O METROMEDIA FIBER NETWORK SER INC,
1 NORTH LEXINGTON AVE, WHITE PLAINS, NY 10601 (914) 421-6700 (FILE
333-53500 - JAN. 10) (BR. 7)

S-8 TAYLOR ANN STORES CORP, 142 WEST 57TH ST, NEW YORK, NY 10019
(212) 541-3300 - 50,000 (\$1,330,000) COMMON STOCK. (FILE 333-53502 -
JAN. 10) (BR. 2)

S-8 TRANSACTION SYSTEMS ARCHITECTS INC, 224 SOUTH 108TH AVE, OMAHA, NE
68154
(402) 390-7600 - 370,000 (\$3,700) COMMON STOCK. (FILE 333-53504 - JAN.
10)
(BR. 3)

S-8 NAVIGANT CONSULTING INC, 615 N WABASH, CHICAGO, IL 60611 (312) 573-
5650
- 300,000 (\$1,162,500) COMMON STOCK (FILE 333-53506 - JAN. 10) (BR.
8,

S-8 SPORTSNUTS COM INTERNATIONAL INC, TOWERS AT S TOWNE #2 STE 550,
 10421 S 400 W, SALT LAKE CITY, UT 84095 (801) 967-0777 - 600,000
 (\$150,000) COMMON STOCK. (FILE 333-53508 - JAN. 11) (BR. 9)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant
- Item 5 Other Materially Important Events
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits
- Item 8 Change in Fiscal Year
- Item 9 Regulation FD Disclosure

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
AAIPHARMA INC	DE						X	X				01/11/01	
ADVANTA BUSINESS SERVICES CORP	DE							X				01/10/01	
ADVANTA BUSINESS SERVICES CORP	DE							X				01/10/01	
ADVANTA EQUIPMENT LEASING RECEIVABL ES SERIES 2000-1 LLC	NV							X				01/10/01	
ADVANTA LEASING RECEIVABLES CORP IV	NV							X				01/10/01	
ADVANTA LEASING RECEIVABLES CORP IX	NV							X				01/10/01	
ADVANTA LEASING RECEIVABLES CORP V	NV							X				01/10/01	
ADVANTA LEASING RECEIVABLES CORP VI	NV							X				01/10/01	
II													
ADVANTICA RESTAURANT GROUP INC	DE						X					01/16/01	
AIRCRAFT FINANCE TRUST	DE						X					01/16/01	
ALLBERRY INC	DE						X					01/04/01	
ALLEN TEST DR III							X					05/02/98	
ALLEN TEST DR III							X					05/02/98	
ALLEN TEST DR III		X										05/02/98	
ALLEN TEST DR III		X										03/01/99	
ALLEN TEST DR III		X										03/01/99	
ALLEN TEST DR III		X										03/01/99	
ALLEN TEST DR III		X										03/01/99	
ALLEN TEST DR III		X										03/01/99	
ALLEN TEST DR III							X					12/31/99	
ALLEN TEST DR III		X										12/31/99	
ALLEN TEST DR III		X										03/01/00	
ALLEN TEST DR III		X										03/01/00	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
ALLEN TEST DR III			X									03/01/00	
ALLEN TEST DR III			X									03/01/00	
ALLEN TEST DR III			X									10/01/00	
ALLEN TEST DR III			X									10/01/00	
ALLEN TEST DR III			X									10/01/00	
ALLEN TEST DR III			X									10/01/00	
ALLEN TEST DR III			X									12/01/00	
ALLEN TEST INC NEW NAME 2	IN		X									01/16/98	
ALLEN TEST INC NEW NAME 2	IN			X								12/31/98	
ALLEN TEST INC NEW NAME 2	IN			X								12/31/98	
ALLEN TEST INC NEW NAME 2	IN			X								12/31/98	
ALLEN TEST INC NEW NAME 2	IN			X								12/31/98	
ALLEN TEST INC NEW NAME 2	IN		X									12/31/98	
ALLEN TEST INC NEW NAME 2	IN	X										12/10/99	
ALLEN TEST INC NEW NAME 2	IN	X										12/31/99	
ALLEN TEST INC NEW NAME 2	IN	X										12/31/99	
ALLEN TEST INC NEW NAME 2	IN					X						12/31/99	
ALLEN TEST INC NEW NAME 2	IN					X						12/31/99	
ALLEN TEST INC NEW NAME 2	IN					X						12/31/99	
ALLEN TEST INC NEW NAME 2	IN					X						12/31/99	
ALLEN TEST INC NEW NAME 2	IN					X						12/31/99	
ALLIANCE PHARMACEUTICAL CORP	NY		X					X				12/29/00	
ALLIED RESEARCH CORP	DE					X						01/11/01	
ALTERRA HEALTHCARE CORP	DE					X						01/05/01	
AMEDISYS INC	DE					X	X					12/28/00	
AMERICAN BIO MEDICA CORP	NY					X	X					01/16/01	
AMERICAN BIOGENETIC SCIENCES INC	DE					X	X					01/11/01	
AMERICAN EXPRESS CENTURION BANK	UT					X	X					01/16/01	
AMERICAN EXPRESS RECEIVABLES FINANC ING CORP	DE					X	X					01/16/01	
AMERICAN EXPRESS RECEIVABLES FINANC ING CORP II	DE					X	X					01/16/01	
AMERICAN INDUSTRIAL PROPERTIES REIT INC	TX					X	X					11/15/00	
AMERICAN ITALIAN PASTA CO	DE					X						10/20/00	
AMERICAN TOWER CORP /MA/	DE					X	X	X				01/05/01	
ANDERSEN GROUP INC	DE			X			X					01/01/01	
ANGELAUDIO COM INC /DE/	NV					X						01/09/01	
ARMITEC INC	DE	X	X			X	X	X				12/29/00	
AT TRACK COMMUNICATIONS INC	DE					X	X					01/09/01	
ATLAS PIPELINE PARTNERS LP	DE		X				X					01/05/01	
AURORA FOODS INC /DE/	DE					X	X					01/16/01	
AUTOTRADECENTER COM INC	AZ		X				X					12/29/00	
AVATEX CORP	DE					X	X					01/12/01	
BAB HOLDINGS INC	IL						X					10/30/00	AMEND
BALANCED CARE CORP	DE					X						01/12/01	
BANC ONE CREDIT CARD MASTER TRUST	NY					X	X					01/16/01	
BANK OF AMERICA CORP /DE/	DE					X	X	X				01/16/01	
BANK OF NEW YORK CO INC	NY					X	X					12/31/00	
BANYAN CORP /OR/	OF		X									12/31/01	
BIRMAN MANAGED CARE INC	DE			X								12/29/00	
BROADBAND WIRELESS INTERNATIONAL CO RP	NV				X							12/28/00	
BURNHAM PACIFIC PROPERTIES INC	MD		X					X				12/27/00	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
CADIZ INC	DE					X					01/02/01	
CALENERGY MINERALS LLC	DE					X	X				09/30/00	
CALENERGY OPERATING CORP	DE					X	X				09/30/00	
CALIFORNIA COASTAL COMMUNITIES INC	DE					X	X				01/12/01	
CALLMATE TELECOM INTERNATIONAL INC/ WY	FL		X					X			01/05/01	
CAPITAL ONE FINANCIAL CORP	VA					X	X				01/16/01	
CAPITAL ONE MASTER TRUST	VA					X	X				01/16/01	
CARDIAC SCIENCE INC	DE					X	X				01/10/01	
CC MASTER CREDIT CARD TRUST II	MD						X				01/16/01	
CE GENERATION LLC	DE					X	X				01/12/01	
CE SALTON SEA INC	DE					X	X				09/30/00	
CE TURBO LLC	DE					X	X				09/30/00	
CGB&L FINANCIAL GROUP INC	DE						X				01/16/01	
CHEMICAL FINANCIAL CORP	MI						X	X			01/16/01	
CHIQUITA BRANDS INTERNATIONAL INC	NJ					X	X				01/16/01	
CHL MORTGAGE PASS THROUGH 2000-1	DE					X	X				09/25/00	
CHL MORTGAGE PASS THROUGH 2000-1	DE					X	X				10/25/00	
CHROMCRAFT REVINGTON INC	DE	X									12/22/00	
CIRCUIT CITY CREDIT CARD MASTER TRU ST	DE					X	X				01/16/01	
CITADEL BROADCASTING CO	NV					X	X				01/15/00	
CITADEL COMMUNICATIONS CORP	NV					X	X				01/15/00	
CMGI INC	DE					X					01/16/01	
COLLINS & AIKMAN CORP	DE					X	X				01/12/01	
COLUMBUS NETWORKS CORP	NV	X	X			X	X				12/08/00	AMEND
COMMONWEALTH BIOTECHNOLOGIES INC	VA					X	X				01/16/01	
COMMONWEALTH EDISON CO	IL					X					01/12/01	
CONEJO ENERGY CO	CA					X	X				09/30/00	
CONNECTIVITY TECHNOLOGIES INC	DE					X					12/27/00	
CONSECO FINANCE CORP	DE						X				12/28/00	
CONSECO FINANCE SECURITIZATIONS COR P	DE						X				12/28/00	
CORAM HEALTHCARE CORP	DE					X	X				12/22/00	
CORNERSTONE MINISTRIES INVESTMENTS INC	GA					X					12/29/00	
CORVIS CORP	DE						X				01/09/01	
CROWN CASTLE INTERNATIONAL CORP	DE						X				01/16/01	
CURAGEN CORP	DE					X	X				01/16/01	
CWABS INC ASSET BACKED CERTIFICATES SERIES 2000-1	DE					X	X				09/25/00	
CWABS INC ASSET BACKED CERTIFICATES SERIES 2000-1	DE					X	X				10/25/00	
CWABS INC ASSET BACKED CERTIFICATES SERIES 2000-2	DE					X	X				09/25/00	
CWABS INC ASSET BACKED CERTIFICATES SERIES 2000-2	DE					X	X				10/25/00	
CWMBS INC RESIDENTIAL ASSET SC TR 2 000-A6	DE					X	X				09/25/00	
CWMBS INC RESIDENTIAL ASSET SC TR 2 000-A6	DE					X	X				10/25/00	
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 2000-A4	DE					X	X				09/25/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
CWMBS INC RESIDENTIAL ASSET SECURITIZATION TRUST 2000-A4	DE					X	X					10/25/00	
CWMBS INC RESIDENTIAL ASSET SECURITIZATION TRUST 2000-A5	DE					X	X					09/25/00	
CWMBS INC RESIDENTIAL ASSET SECURITIZATION TRUST 2000-A5	DE					X	X					10/25/00	
CWMBS RESIDENTIAL ASSET SECURITIZATION TRUST 2000-A1	DE					X	X					09/25/00	
CWMBS RESIDENTIAL ASSET SECURITIZATION TRUST 2000-A1	DE					X	X					10/25/00	
CYBERCASH INC	DE					X	X					01/11/01	
DATA CRITICAL CORP	DE					X	X					11/29/00	
DEL RANCH LP	CA					X	X					09/30/00	
DENMANS COM INC						X						01/17/01	
DIAL CORP /NEW/	DE	X										01/16/01	
DISCOVER BANK	DE					X	X					01/16/01	
DISCOVER BANK	DE					X	X					01/16/01	AMEND
DISCOVER CARD MASTER TRUST I	DE					X	X					01/16/01	
DISCOVER CARD MASTER TRUST I	DE					X	X					01/16/01	AMEND
DYNEGY INC /IL/	IL					X	X					01/12/01	
EASTWARD ACQUISITION CORP	DE	X					X					12/29/00	
ECOLAB INC	DE							X	X			01/15/01	
EDISON INTERNATIONAL	CA					X						01/15/01	
EEX CORP	TX					X	X					12/19/00	
EFFICIENT NETWORKS INC	DE					X	X					09/27/00	
EGAMES INC	PA					X	X					01/10/01	
ELMORE LP	CA					X	X					09/30/00	
EMPIRE OF CAROLINA INC	DE					X	X					01/10/01	
ENGAGE INC	DE					X	X					01/04/01	
EURBID COM INC	DE	X				X	X					12/31/00	
EWRX INTERNET SYSTEMS INC	NV					X						01/12/01	
EXCO RESOURCES INC	TX								X			01/16/01	
EXELON CORP	PA					X						01/12/01	
EXPEDITORS INTERNATIONAL OF WASHINGTON INC	WA								X			01/15/01	
FARMER MAC MORTGAGE SECURITIES CORP	DE	X										01/16/01	
FARMERS NATIONAL BANC CORP /OH/	OH					X						01/16/01	
FEDERAL EXPRESS CORP	DE					X	X					01/10/01	
FEDERAL SIGNAL CORP /DE/	DE								X			01/16/01	
FEDEX CORP	DE					X	X					01/10/01	
FIDELITY NATIONAL FINANCIAL INC /DE/	DE					X	X					01/16/01	
FINANCIAL PERFORMANCE CORP	NY					X	X					01/16/01	
FINGERHUT RECEIVABLES INC	DE		X									01/16/01	
FIRST CHARTER CORP /NC/	NC					X	X					01/16/01	
FIRST CHICAGO MASTER TRUST II	DE					X	X					01/16/01	
FIRST INTERNATIONAL BANCORP INC	DE					X	X					12/15/01	
FIRST NBC CREDIT CARD MASTER TRUST									X			01/10/01	
FIRST USA BANK NATIONAL ASSOCIATION						X	X					01/10/01	
FIRST USA BANK NATIONAL ASSOCIATION						X	X					01/16/01	
FIRST USA CREDIT CARD MASTER TRUST	DE					X	X					01/10/01	
FISH LAKE POWER LLC	DE					X	X					09/30/00	
FLEET CREDIT CARD MASTER TRUST II	NY	X										01/16/01	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
FNANB CREDIT CARD MASTER TRUST						X	X				01/16/01	
FOCUS ENHANCEMENTS INC	DE					X	X				01/16/01	
FRD ACQUISITION CO	DE					X					01/16/01	
FRISCHS RESTAURANTS INC	OH					X					01/09/01	
FUSION NETWORKS HOLDINGS INC	DE							X			11/09/00	AMEND
GENCORP INC	OH					X	X				01/12/01	
GENERAL MOTORS CORP	DE								X		01/16/01	
GLENBOROUGH REALTY TRUST INC	MD		X					X			12/29/00	
GLOBALSTAR LP	DE					X	X				01/16/01	
GLOBALSTAR TELECOMMUNICATIONS LTD	DE					X	X				01/16/01	
GOLDEN STAR RESOURCES LTD						X					01/11/01	
GOLDMAN SACHS GROUP INC/	DE							X			10/31/00	AMEND
GREATER BAY BANCORP	CA					X	X				01/11/01	
GREENWICH CAPITAL ACCEPTANCE INC	DE					X	X				12/18/00	
HARRAHS ENTERTAINMENT INC	DE					X	X				01/16/01	
HARVEYS CASINO RESORTS	NV					X	X				01/12/01	
HOLT GROUP INC	DE					X	X				01/16/01	
HOME PROPERTIES OF NEW YORK INC	MD		X					X			06/30/00	AMEND
HUGHES ELECTRONICS CORP	DE								X		01/16/01	
IMP INC	DE					X	X				12/18/00	
IMPERIAL SUGAR CO /NEW/	TX		X					X			01/16/01	
IMS HEALTH INC	DE					X	X				01/16/01	
INDIANA GAS CO INC	IN							X			01/16/01	
INSILCO HOLDING CO	DE					X	X				01/11/01	
INSILCO TECHNOLOGIES INC	DE					X	X				01/11/01	
INSURANCE MANAGEMENT SOLUTIONS GROU P INC	FL					X	X				01/16/01	
INTEGRATED SPATIAL INFORMATION SOLU TIONS INC /CO/	CO							X			01/16/01	
INTEGRATED TECHNOLOGY GROUP	NV					X					12/01/00	
INTERCHANGE FINANCIAL SERVICES CORP /NJ/	NJ		X								01/16/01	
INTERWOVEN INC	CA		X					X			10/31/00	AMEND
INTEST CORP	DE					X					01/16/01	
INTRAWARE INC	DE					X	X				01/12/01	
INVESTAMERICA INC	NV					X					12/28/00	AMEND
INVESTAMERICA INC	NV					X					12/28/00	AMEND
ION NETWORKS INC	DE					X	X				01/16/01	
J JILL GROUP INC	DE					X					12/15/00	
JACKSON PRODUCTS INC						X					01/16/00	
JUDGE GROUP INC	PA					X	X				01/15/01	
JUNIPER GROUP INC	NY		X								01/09/01	
KEY PRODUCTION CO INC	DE					X					01/15/00	
KEYCORP /NEW/	OH						X	X	X		01/16/01	
LEATHERS L P	CA					X	X				09/30/00	
LEHMAN ABS CORP	DE					X	X				01/16/01	
LIFEPOINT HOSPITALS INC	DE							X	X		12/20/00	
LINC CAPITAL INC	DE		X								01/16/01	
LOG ON AMERICA INC	DE					X	X				01/16/01	
LSB INDUSTRIES INC	DE		X								10/31/00	AMEND
LTV CORP	DE		X								12/29/00	
MACROVISION CORP	DE						X	X	X		01/10/01	
MANUGISTICS GROUP INC	DE					X					12/22/00	AMEND

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
MARISA CHRISTINA INC	DE		X					X			12/29/00	
MATTSON TECHNOLOGY INC	DE		X					X			01/01/01	
MCSI INC	OH					X	X				01/16/01	
MEDICONSULT COM INC	DE					X	X				01/09/01	
MEDIQ INC	DE					X	X				01/16/00	
MEDIQ PRN LIFE SUPPORT SERVICES INC	DE					X	X				01/16/01	
MEDIX RESOURCES INC	CO					X					01/01/01	
MERRILL CORP	MN					X	X				01/12/01	
MILLER PETROLEUM INC	TN					X	X				12/12/00	
MOTIENT CORP	DE					X	X				01/12/01	
MULTIMEDIA GAMES INC	TX							X	X		01/16/01	
NATIONWIDE HEALTH PROPERTIES INC	MD							X	X		01/12/01	
NAVIGANT CONSULTING INC	DE					X					01/16/01	
NETWORK COMPUTING DEVICES INC	CA					X		X			01/08/01	
NEW CENTURY HOME EQ LN TR SER 2000 NCB ASSET BACK PS THR CER	DE					X	X				12/31/00	
NEW VALLEY CORP	DE		X					X			12/29/00	
NIGUEL ENERGY CO	CA					X	X				09/30/00	
NOCOPI TECHNOLOGIES INC/MD/	MD							X	X		01/11/01	
NORTHERN TRUST CORP	DE					X					01/16/01	
NORTHPOINT COMMUNICATIONS GROUP INC	DE		X			X	X				01/16/01	
NTL COMMUNICATIONS CORP	DE					X	X				01/15/01	
NTL INC/DE/	DE					X	X				01/11/01	
OLD KENT FINANCIAL CORP /MI/	MI					X	X				01/16/01	
ONYX PHARMACEUTICALS INC	DE								X		11/07/00	
OPTICAL SENSORS INC	DE					X					12/28/00	
PACIFIC GATEWAY EXCHANGE INC	DE					X					12/12/01	
PANORAMIC CARE SYSTEMS INC	CO							X			01/04/01	AMENI
PARA MAS INTERNET INC	NV		X								02/08/01	AMENI
PARA MAS INTERNET INC	NV		X								02/08/01	AMENI
PEACOCK FINANCIAL CORP	CC		X								12/31/00	
PECO ENERGY CO	PA					X					01/12/01	
PETS COM INC						X	X				01/16/01	
PHOENIX INVESTMENT PARTNERS LTD/CT	DE		X					X			01/11/01	
PINNACLE ENTERTAINMENT INC	DE					X	X				01/12/01	
POPULAR INC	PR					X	X				01/10/01	
PTN MEDIA INC	DE					X	X				01/04/01	
RALSTON PURINA CO	MO					X	X				01/15/01	
READERS DIGEST ASSOCIATION INC	DE					X					12/30/00	
REGENCY AFFILIATES INC	DE					X					12/04/00	
REGENCY AFFILIATES INC	DE				X			X			12/04/00	
RELIANT ENERGY RESOURCES CORP	DE		X					X			12/31/00	
RENAL CARE GROUP INC	DE							X	X		01/12/01	
RESIDENTIAL ASSET SECURITIZATION TR UST 2000-A2	DE					X	X				09/25/00	
RESIDENTIAL ASSET SECURITIZATION TR UST 2000-A2	DE					X	X				10/25/00	
RESOURCES ACCRUED MORTGAGE INVESTOR S 2 LP	DE					X					12/21/00	
RFS HOTEL INVESTORS INC	TN		X					X			01/01/01	
ROLLINS TRUCK LEASING CORP	DE					X					01/16/01	
RSL COMMUNICATIONS LTD			X					X			01/02/01	
S&T BANCORP INC	PA		X								01/16/01	

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		1	2	3	4	5	6	7	8	9		
SAKS CREDIT CARD MASTER TRUST	NV					X	X				01/16/01	
SALTON SEA BRINE PROCESSING L P	CA					X	X				09/30/00	
SALTON SEA FUNDING CORP	DE					X	X				09/30/00	
SALTON SEA MINERALS CORP	DE					X	X				09/30/00	
SALTON SEA POWER GENERATION L P	CA					X	X				09/30/00	
SALTON SEA POWER LLC	DE					X	X				09/30/00	
SALTON SEA ROYALTY CO	DE					X	X				09/30/00	
SAN FELIPE ENERGY CO						X	X				09/30/00	
SHAMAN PHARMACEUTICALS INC	DE			X							01/05/01	
SIBONEY CORP	MD		X				X				01/01/01	
SILVER RAMONA MINING CO	ID	X			X		X				11/16/00	AMEND
SINGLEPOINT SYSTEMS CORP	MN	X	X	X	X	X					01/12/01	
SMARTIRE SYSTEMS INC						X	X				01/12/01	
SOUTHERN CALIFORNIA EDISON CO	CA					X					01/15/01	
SOVEREIGN SPECIALTY CHEMICALS INC	DE		X				X				10/31/00	AMEND
SPECTRIAN CORP /CA/	CA						X				12/29/00	
SPECTRUM BANCORPORATION INC	IA					X	X				08/07/00	
SPEEDUS COM INC	DE					X	X				01/10/01	
STONEHAVEN REALTY TRUST	MD		X			X	X				12/29/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					11/25/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					12/25/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					12/26/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					12/26/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					12/26/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					12/26/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					12/26/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					12/26/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					12/26/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					12/26/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					12/26/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					12/26/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					12/27/00	
STRUCTURED PRODUCTS CORP	DE						X				01/02/01	
STUDENT ADVANTAGE INC	DE		X				X				10/31/00	AMEND
SUMMIT BANCSHARES INC /TX/	CA					X	X				01/16/01	
SUN BANCORP INC	PA					X	X				01/16/01	
SUNOCO INC	PA		X				X				01/16/01	
SURGE COMPONENTS INC	NY								X		01/15/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
SYNBIOTICS CORP	CA	X			X	X	X				12/31/00	
SYSCO CORP	DE				X	X					12/13/00	
TELETECH HOLDINGS INC	DE				X	X					12/20/00	
TENFOLD CORP /UT	DE				X	X					01/11/01	
TIS MORTGAGE INVESTMENT CO	MD				X						01/09/01	
TITAN MOTORCYCLE CO OF AMERICA INC	NV		X			X					01/09/01	
TRUSTCO BANK CORP N Y	NY				X						12/06/00	
TYLER TECHNOLOGIES INC	DE	X			X	X					12/29/00	
TZF INTERNATIONAL INVESTMENTS INC	NV	X									12/31/00	
U S PAWN INC	CO				X	X					01/12/01	
UGLY DUCKLING CORP	DE				X						01/15/01	
UNITED AUTO GROUP INC	DE					X	X				01/12/01	
UNITY WIRELESS CORP	DE	X				X					12/30/00	
VECTREN CORP	IN					X					01/16/01	
VFINANCE COM	DE	X				X					01/04/01	
VISION SCIENCES INC /DE/	DE				X	X					01/16/01	
VITAMINSHOPPE COM INC	DE				X	X					01/12/01	
VPC GEOTHERMAL LLC	DE				X	X					09/30/00	
VULCAN POWER CO /NV	NV				X	X					09/30/00	
VULCAN/BN GEOTHERMAL POWER CO	NV				X	X					09/30/00	
WELLS FARGO & CO/MN	DE				X	X					01/16/01	
WESTERGAARD COM INC	DE					X	X				01/16/01	
WFS FINANCIAL AUTO LOANS INC	CA					X					01/16/01	
WFS RECEIVABLES CORP	CA					X					01/16/01	
WILSHIRE REAL ESTATE INVESTMENT TRU ST INC	MD				X						01/16/01	
WINCO PETROLEUM CORP	CC	X			X	X					08/18/00	AMEND
WORLD ACCESS INC /NEW/	DE	X				X					12/18/00	AMEND
YELLOW CORP	DE				X						01/15/01	
ZALE CORP	DE					X	X				01/16/01	