

# SEC NEWS DIGEST

Issue 96-202

October 23, 1996

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## ENFORCEMENT PROCEEDINGS

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### COMMISSION ORDERS MIDISOFT CORPORATION TO CEASE AND DESIST FROM SECURITIES VIOLATIONS

The Commission issued an Order pursuant to Section 21C of the Securities Exchange Act of 1934 (Exchange Act) against Midisoft Corporation (Midisoft), directing Midisoft to cease and desist from violating the periodic reporting, books and records and internal accounting controls provisions of the Exchange Act. Midisoft consented to issuance of the Order without admitting or denying the Commission's findings.

The Order finds that for the fiscal year ended December 31, 1994, Midisoft overstated its revenues by \$811,000, or 16.3%. This overstatement resulted primarily from two types of conduct. First, Midisoft recognized revenues on goods that Midisoft did not ship to its customers during the year but, instead, held at an off-site storage location. Second, Midisoft under-reported sales returns it received relating to product shipped during fiscal 1994. In connection with the foregoing activity, certain former Midisoft officers and employees falsified the company's books and records and knowingly circumvented its internal accounting controls.

The Order directs Midisoft to cease and desist from any violation and any future violation of Sections 13(a), 13(b)(2)(A) and 13(b)(2)(B) of the Exchange Act and Rules 12b-20 and 13a-1 thereunder. (Rel. 34-37847; AAE Rel. 846)

### FORMER MIDISOFT CORPORATION CFO SANCTIONED

The Securities and Exchange Commission issued an Order pursuant to Section 21C of the Securities Exchange Act of 1934 (Exchange Act) against Calvin M. Dyer, former Chief Financial Officer of Midisoft Corporation (Midisoft). The Order stems from Midisoft's issuance of a Form 10-K for the year ended December 31, 1994 that overstated its revenues by \$811,000 (16.3%). Dyer consented to the Order without admitting or denying its findings.

The Order finds that Midisoft's 1994 Form 10-K included revenues on goods it did not ship during 1994 but, instead, stored at an off-site location. In addition, Midisoft under-reported returns relating to 1994 sales.

In connection with these activities, Dyer: caused the falsification of Midisoft's books and records; circumvented Midisoft's accounting controls; made material misrepresentations to auditors; and signed Midisoft's 1994 Form 10-K despite knowing it materially misstated Midisoft's financial results.

The Order directs Dyer to cease and desist from committing or causing any violation and any future violation of Sections 10(b) and 13(b)(5) of the Exchange Act and Rules 10b-5, 13b2-1 and 13b2-2 and from causing any violation or any future violation of Sections 13(a), 13(b)(2)(A), 13(B)(2)(B) of the Exchange Act and Rules 12b-20 and 13a-1 thereunder. (Rel. 34-37848; AAE Rel. 847)

#### RICHARD PUCCIO BARRED

The Commission has barred Richard J. Puccio of Syosset, New York, from association with any broker or dealer. The bar was coupled with the proviso that, after five years, Puccio may reapply for such association.

Puccio was a salesman for the brokerage firm of Stratton Oakmont, Inc. In 1992, he was permanently enjoined, with his consent, from further violations of antifraud provisions of the securities acts. As outlined in the injunctive complaint, Puccio's misconduct at Stratton could hardly have been more serious. For more than two years, he engaged in high pressure, fraudulent sales tactics in utter disregard of his obligations to customers and their welfare. The Commission also noted that Puccio's conduct in the securities business both prior and subsequent to his employment at Stratton cast further doubt on his fitness to engage in the securities industry. It concluded that the sanction imposed on Puccio was "more than warranted in the public interest." (Rel. 34-37849)

#### CIVIL INJUNCTIVE COMPLAINT FILED AGAINST JAMES GLEASON, MICHAEL BOOTH, TIMOTHY CHOQUETTE AND JEFF URBACH

The Commission today announced the filing of a complaint with the United States District Court for the District of Oregon against James M. Gleason, former Controller and Vice President of Hollywood Entertainment Corporation (Hollywood), Michael C. Booth, Timothy M. Choquette and Jeff R. Urbach.

The Commission's complaint alleged that Gleason, Booth, Choquette and Urbach engaged in illegal insider trading by purchasing Hollywood options while in possession of material non-public information, and then selling them for a profit after the information became public. The complaint alleged that Gleason, Booth and Choquette together realized \$92,233.63 in illegal profits. The complaint also alleged that Urbach made \$39,088.40 in illegal trading profits.

Gleason, Booth, Choquette and Urbach have consented, without admitting or denying the allegations of the complaint, to the entry

of an order enjoining each of them from future violations of Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder; requiring them to disgorge their illegal profits, plus prejudgment interest thereon; and imposing one-time civil penalties pursuant to the Insider Trading and Securities Fraud Enforcement Act of 1988. [SEC v. James M. Gleason, et al., USDC for the District of Oregon, Civil Action No. 96-1488 AS] (LR-15131)

PRELIMINARY INJUNCTION ENTERED IN SEC v. BENJAMIN FRANKLIN BUSH, III, ET AL.

On October 4, the Honorable Ronald S.W. Lew, United States District Court Judge for the Central District of California, entered a Preliminary Injunction prohibiting Benjamin Franklin Bush, III (Bush) and his investment firm, Ben Bush Investment Management, Inc. (BBIM) from commingling and misappropriating advisory client assets. The Order also freezes the assets of Bush and BBIM.

The Complaint alleges that defendants violated Section 17(a) of the Securities Act, Section 10(b) of the Exchange Act and Rule 10b-5 thereunder, and Sections 204, 206(1), 206(2), 206(4) and 207 of the Advisers Act and Rules 204-1(b)(2), 204-2(a), 204-2(b) and 206(4)-2 thereunder by commingling and misappropriating client funds, maintaining custody of client assets without complying with the appropriate asset protection provisions, failing to maintain accurate records, and making false representations on various statements filed with the Commission. In addition, Bush also used forged brokerage statements to solicit clients' business. [SEC v. Benjamin Franklin Bush, III, and Ben Bush Investment Management, Inc., Civil Action No. 96-6748, RSWL, Ex, C.D. Cal.] (LR-15132)

SETTLEMENT REACHED WITH ANOTHER DEFENDANT IN INSIDER TRADING CASE

The Commission announced the issuance of a final judgment of permanent injunction and other relief by the U.S. District Court for the Southern District of New York against Darrell Sandy Marsh (Marsh), in connection with alleged illegal trading in the securities of Motel 6, L.P. (Motel 6) prior to the announcement of a tender offer for Motel 6 by Accor S.A. on July 12, 1990.

The Commission alleges that Hugh Thrasher, then vice president in charge of corporate communications at Motel 6, disclosed inside information concerning the tender offer to his friend, Carl V. Harris (Harris). Harris tipped a number of friends and relatives, including Gregg Shawzin, who, in turn, tipped John Anderson, who tipped S. Marsh, among others.

S. Marsh consented to the entry of a final judgment, which permanently enjoins him from violating Section 10(b) and 14(e) and Rules 10b-5 and 14e-3 thereunder, without admitting or denying the allegations contained in the Commission's amended complaint. S. Marsh agreed to pay \$152,272 in disgorgement and prejudgment interest.

S. Marsh is also the subject of a criminal judgment entered on December 15, 1994, which is based on the same conduct as is alleged in the amended complaint. [SEC v. Hugh Thrasher, John H. Anderson, Ezra Chammah, Stanley Elbaum, Scott Forbes, Guillermo Gomez a/k/a William Gomez, Stephen V.R. Goodhue, Jr., Ira Gorman, Gorman Commodities & Securities, Inc., Jonathan S. Hirsh, Ronald Kuznetsky, Darrell Sandy Marsh, Jack P. Marsh, Michael R. Newman, Roger K. Odwak, Angelo Petrotto, Lee Rosenblatt, Robert Sacks, Jeffrey A. Sanker, David Schaen, Leonard Schaen, Julian Schor, Gregg R. Shawzin and Mark R. Shawzin, 92 Civ. 6987, JFK, SDNY] (LR-15133)

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## **INVESTMENT COMPANY ACT RELEASES**

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### **JOHN HANCOCK TAX-EXEMPT INCOME FUND**

A notice has been issued giving interested persons until November 15 to request a hearing on an application filed by John Hancock Tax-Exempt Income Fund for an order under Section 8(f) of the Investment Company Act declaring that applicant has ceased to be an investment company. (Rel. IC-22292 - October 21)

### **VAN KAMPEN AMERICAN CAPITAL EQUITY OPPORTUNITY TRUST, ET AL.**

A notice has been issued giving interested persons until November 15 to request a hearing on an application filed by Van Kampen American Capital Equity Opportunity Trust (Trust), et al., under Section 6(c) of the Investment Company Act for an order exempting applicants from Section 12(d)(1)(F)(ii) of the Act. The order would permit each series of the Trust to offer units with a sales load in excess of the 1.5 % limit contained in Section 12(d)(1)(F)(ii) of the Act. (Rel. IC-22293 - October 21)

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## **SELF-REGULATORY ORGANIZATIONS**

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### **APPROVAL OF PROPOSED RULE CHANGE**

The Commission approved a proposed rule change filed by the Philadelphia Depository Trust Company (SR-Philadep-96-13) relating to the procedure modifications to implement Commission Rule 17Ad-16. Publication of the approval order is expected in the Federal Register during the week of October 28. (Rel. 34-37846)

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## **SECURITIES ACT REGISTRATIONS**

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of

the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-B FEDERALATIVE REPUBLIC OF BRAZIL, BANCO DO BRASIL - 550 FIFTH AVE,  
C/O JOAQUIM FERREIRA AMARO, NEW YORK, NY 10036 - 750,000,000  
(\$750,000,000) FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 333-5774 -  
OCT. 11) (BR. 9)
- F-6 MULTICANAL HOLDINGS INC, AV RIO BRANCO 1, 6TH FL, RIO DE JANEIRO BRAZIL,  
D5 - 25,000,000 (\$1,250,000)  
DEPOSITARY RECEIPTS LIMITED PARTNERSHIP INTEREST. (FILE 333-5782 -  
OCT. 15) (BR. 3)
- S-3 SUNAMERICA INC, 1 SUNAMERICA CENTER, LOS ANGELES, CA 90067  
(310) 772-6000 - 1,231,500,000 (\$1,231,500,000) STRAIGHT BONDS. (FILE  
333-14201 - OCT. 16) (BR. 1)
- S-8 CHOICE HOTELS HOLDINGS INC, 10750 COLUMBIA PIKE, SILVER SPRING, MD 20901  
(301) 593-5600 - 3,390,000 (\$47,460,000) COMMON STOCK. (FILE 333-14203 -  
OCT. 16) (BR. 5)
- S-1 ERGOBILT INC, 5000 QUORUM DRIVE, SUITE 147, DALLAS, TX 75240  
(972) 233-8540 - 2,300,000 (\$23,000,000) COMMON STOCK. (FILE 333-14205 -  
OCT. 16) (NEW ISSUE)
- S-3 HEFTEL BROADCASTING CORP, 6767 WEST TROPICANA AVE, LAS VEGAS, NV 89603  
(702) 367-3322 - 4,025,000 (\$167,037,500) COMMON STOCK. (FILE 333-14207 -  
OCT. 16) (BR. 3)
- S-3 DUKE POWER CO /NC/, 422 S CHURCH ST, CHARLOTTE, NC 28242 (704) 594-0887  
- 1,000,000,000 PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. (FILE 333-14209  
- OCT. 16) (BR. 4)
- S-8 TECHNE CORP /MN/, 614 MCKINLEY PL N E, MINNEAPOLIS, MN 55413  
(612) 379-8854 - 200,000 (\$4,950,000) COMMON STOCK. (FILE 333-14211 -  
OCT. 16) (BR. 1)
- S-6 NUVEEN TAX FREE UNIT TRUST SERIES 898, 333 W. WACKER, CHICAGO, IL 60606  
(312) 917-7786 - INDEFINITE SHARES. (FILE 333-14213 - OCT. 16) (NEW ISSUE)
- S-3 PARACELSIAN INC /DE/, 222 LANGMUIR LABORATORY, CORNELL TECHNOLOGY PARK,  
ITHACA, NY 14850 (607) 257-4224 - 683,333 (\$1,836,457.44) COMMON STOCK.  
(FILE 333-14215 - OCT. 16) (BR. 1)
- S-4 CORE MARK INTERNATIONAL INC, 395 OYSTER POINT BLVD, SUITE 415,  
SAN FRANCISCO, CA 94080 (415) 589-9445 - 75,000,000 (\$76,687,500)  
STRAIGHT BONDS. (FILE 333-14217 - OCT. 16) (NEW ISSUE)
- S-4 HANCOCK HOLDING CO, ONE HANCOCK PLZ, P.O. BOX 4019, GULFPORT, MS 39502  
(601) 868-4605 - 104,460 (\$3,891,135) COMMON STOCK. (FILE 333-14223 -  
OCT. 16) (BR. 7)
- S-3 SALOMON BROTHERS MORTGAGE SECURITIES VII INC, SEVEN WORLD TRADE CNTR,  
29H FLOOR, NEW YORK, NY 10048 (212) 783-7000 - 2,000,000,000  
(\$2,000,000,000) PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. (FILE  
333-14225 - OCT. 16) (BR. 8)
- S-2 LAZARE KAPLAN INTERNATIONAL INC, 529 FIFTH AVE, NEW YORK, NY 10017  
(212) 972-9700 - 2,530,000 (\$54,395,000) COMMON STOCK. (FILE 333-14227 -  
OCT. 16) (BR. 2)
- S-8 TRACKER CORP OF AMERICA, 180 DUNDAS STREET WEST, SUITE 1502,  
TORONTO ONTARIO CANA, A6 (602) 265-7100 - 1,400,000 (\$364,000)  
COMMON STOCK. (FILE 333-14229 - OCT. 16) (BR. 4)
- S-8 INPUT OUTPUT INC, 12300 PARC CREST DR, STAFFORD, TX 77477 (713) 240-2200  
- 400,000 (\$11,200,000) COMMON STOCK. (FILE 333-14231 - OCT. 16) (BR. 1)

- N-1A LIFEUSA FUNDS INC, 3700 FIRST BANK PLACE, 601 SECOND AVE SOUTH,  
MINNEAPOLIS, MN 55402 (612) 376-2790 - INDEFINITE SHARES. (FILE 333-14233  
- OCT. 16) (BR. 20)
- S-4 ELECTROMAGNETIC SCIENCES INC, PO BOX 7700, TECHNOLOGY PARK/ATLANTA,  
NORCROSS, GA 30091 (404) 263-9200 - 773,583 (\$12,119,725) COMMON STOCK.  
(FILE 333-14235 - OCT. 16) (BR. 3)
- S-1 NETSOURCE COMMUNICATIONS INC, 1304 SOUTH POINT BOULEVARD, PETALUMA, CA  
94954 (707) 762-9600 - \$57,500,000 COMMON STOCK. (FILE 333-14237 -  
OCT. 16) (NEW ISSUE)
- S-1 EXPRESSPOINT TECHNOLOGY SYSTEMS INC, 11401 RUPP DR, BURNSVILLE, MN 55377  
(612) 944-9448 - 2,300,000 (\$23,000,000) COMMON STOCK. (FILE 333-14239 -  
OCT. 16) (NEW ISSUE)
- S-8 ADAPTEC INC, 691 S MILPITAS BLVD, M/S25, MILPITAS, CA 95035  
(408) 945-8600 - 400,000 (\$24,700,000) COMMON STOCK. (FILE 333-14241 -  
OCT. 16) (BR. 3)
- S-8 BRANDYWINE REALTY TRUST, TWO GREENTREE CENTRE, STE 100, MARLTON, NJ  
08053 (215) 251-9111 - 870,000 (\$5,002,500) COMMON STOCK. (FILE 333-14243  
- OCT. 16) (BR. 8)
- S-4 UAL CORP /DE/, 1200 ALGONQUIN ROAD, ELK GROVE TOWNSHIP, IL 60007  
(847) 952-4000 - 156,669,525 (\$209,153,815.88) PREFERRED STOCK. (FILE  
333-14245 - OCT. 16) (BR. 5)
- S-1 CONOLOG CORP, 5 COLUMBIA RD, SOMERVILLE, NJ 08876 (908) 722-8081 -  
1,775,000 (\$4,770,313) COMMON STOCK. (FILE 333-14247 - OCT. 16) (BR. 6)
- S-8 INTERLINK COMPUTER SCIENCES INC, 47370 FREMONT BLVD, FREMONT, CA 94538  
- 2,755,000 (\$17,176,424.47) COMMON STOCK. (FILE 333-14249 - OCT. 16)  
(BR. 3)
- S-8 FEDERAL SIGNAL CORP /DE/, 1415 W 22ND ST STE 1100, OAK BROOK, IL 60521  
(708) 954-2000 - 1,000,000 (\$24,690,000) COMMON STOCK. (FILE 333-14251 -  
OCT. 16) (BR. 5)
- S-3 MLCC MORTGAGE INVESTORS INC, 4802 DEER LAKE DRIVE EAST, JACKSONVILLE, FL  
32246 (904) 928-6000 - 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES.  
(FILE 333-14253 - OCT. 16) (BR. 8)
- S-3 LOWES COMPANIES INC, PO BOX 1111, NORTH WILKESBORO, NC 28656  
(919) 651-4000 (FILE 333-14257 - OCT. 16) (BR. 6)
- S-1 ARISTO INTERNATIONAL CORP, 152 W 57TH ST, 29TH FL, NEW YORK, NY 10019  
(212) 586-2400 - 2,300,000 (\$20,987,500) COMMON STOCK. (FILE 333-14259 -  
OCT. 16) (BR. 3)
- S-8 BLOUNT INTERNATIONAL INC, PO BOX 949, 4520 EXECUTIVE PK DR, MONTGOMERY,  
AL 36109 (334) 244-4000 - 1,050,000 (\$37,275,000) COMMON STOCK. (FILE  
333-14261 - OCT. 17) (BR. 6)
- N-1A HIGHLAND FAMILY OF FUNDS, 1248 POST RD, FAIRFIELD, CT 06430  
(203) 319-3310 - INDEFINITE SHARES. (FILE 333-14263 - OCT. 16)
- S-8 THERMO ELECTRON CORP, 81 WYMAN ST, P O BOX 9046, WALTHAM, MA 02254  
(617) 622-1000 - 150,000 (\$5,709,375) COMMON STOCK. (FILE 333-14265 -  
OCT. 16) (BR. 1)
- S-8 US TRANSPORTATION SYSTEMS INC, 33 WEST MAIN STREET, ELMSFORD, NY 10523  
(914) 345-3339 - 2,000,000 (\$3,875,000) COMMON STOCK. (FILE 333-14267 -  
OCT. 16) (BR. 5)
- S-4 BAY NETWORKS INC /DE/, 4401 GREAT AMERICA PKWY, P O BOX 58185,  
SANTA CLARA, CA 95054 (408) 988-2400 - 7,000,000 (\$175,397,625)  
COMMON STOCK. (FILE 333-14269 - OCT. 16) (BR. 3)
- S-3 NOBLE AFFILIATES INC, 110 W BROADWAY, P O BOX 1967, ARDMORE, OK 73402  
(405) 223-4110 - 6,275,579 (\$276,125,476) COMMON STOCK. (FILE 333-14275 -  
OCT. 17) (BR. 4)

S-3 UNITED STATES FILTER CORP, 73 710 FRED WARING DR STE 222, PALM DESERT,  
 CA 92260 (619) 340-0098 - 11,500,000 (\$395,312,500) COMMON STOCK. (FILE  
 333-14277 - OCT. 17) (BR. 6)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			
AAMES CAPITAL CORP	CA					X					10/22/96	
ADVANCED VIRAL RESEARCH CORP	DE					X	X				10/17/96	
ADVANTA CREDIT CARD MASTER TRUST II	DE					X	X				10/18/96	
ADVANTA NATIONAL BANK						X	X				10/18/96	
ADVANTA NATIONAL BANK USA /PA/						X	X				10/18/96	
ALL AMERICAN COMMUNICATIONS INC	DE					X	X				10/11/96	
ALPHA SOLARCO INC	CO		X								10/21/96	
AMERICA SERVICE GROUP INC /DE	DE					X					10/04/96	
AMERICAN ENTERTAINMENT GROUP INC	CO					X					10/18/96	
AMERICAN FINANCIAL GROUP INC /OH/	OH					X					10/21/96	
AMERICAN UNITED GLOBAL INC	DE		X								10/21/96	AMEND
AMERTRANZ WORLDWIDE HOLDING CORP	DE		X					X			10/10/96	
AMLI RESIDENTIAL PROPERTIES TRUST	MD					X					10/15/96	
ASSET SECURITIZATION CORP COMM MORT PASS	NY					X	X				10/21/96	
AUDIOVOX CORP	DE					X	X				10/17/96	
AVITAR INC /DE/	DE					X					10/21/96	
BALCOR REALTY INVESTORS 83	IL		X					X			10/22/96	
BANK OF BOSTON CORP	MA					X	X				10/17/96	
BANK PLUS CORP	DE					X	X				10/21/96	
BANKERS TRUST NEW YORK CORP	NY							X			10/22/96	
BELL ATLANTIC CORP	DE		X								09/30/96	
BIG FLOWER PRESS HOLDINGS INC	DE							X			10/01/96	AMEND
BIOCONTROL TECHNOLOGY INC	PA					X					10/17/96	
BIOLASE TECHNOLOGY INC	DE					X					10/16/96	
BIOSPHERICS INC	DE					X					05/15/96	
BJ SERVICES CO	DE					X	X				10/21/96	
BOSTON LIFE SCIENCES INC /DE	DE					X		X			10/15/96	
BROWN GROUP INC	NY		X					X			10/18/96	
BUREAU OF ELECTRONIC PUBLISHING INC	DE					X					10/11/96	
BURLINGTON NORTHERN SANTA FE CORP	DE					X	X				10/22/96	
CAMDEN PROPERTY TRUST	TX					X	X				10/21/96	
CAMERA PLATFORMS INTERNATIONAL INC	DE		X								10/10/96	
CANADA SOUTHERN PETROLEUM LTD	CT					X	X				10/17/96	
CAPITOL HOME EQU LN TR 1990-1 CA HM EQ L	MD					X					09/30/96	

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
CARE CONCEPTS INC	DE				X					09/24/96	
CB BANCSHARES INC/HI	HI	X								02/07/96	
CELL THERAPEUTICS INC	WA			X	X					10/16/96	
CHEVY CHASE AUTO RECEIVABLES TRUST 1995-	MD			X						10/15/96	
CHEVY CHASE AUTO RECEIVABLES TRUST 1995-	MD			X						10/15/96	
CHEVY CHASE HOME LOAN TRUST 1996-1	MD			X						10/15/96	
CHEVY CHASE MASTER CREDIT CARD TRUST	MD			X						10/15/96	
CHEVY CHASE MASTER CREDIT CARD TRUST II	MD			X						10/15/96	
CLAYTON HOMES INC	TN			X	X					10/18/96	
COMMERCE BANCORP INC /NJ/	NJ			X	X					10/15/96	
COMPUTER TRANSCEIVER SYSTEMS INC	NY			X						09/16/96AMEND	
CONRAIL INC	PA			X	X					10/14/96	
CONTINENTAL ORINOCO CO INC	CO			X						07/27/96	
CONTOUR MEDICAL INC	NV				X					08/06/96AMEND	
COOPER INDUSTRIES INC	OH			X	X					10/22/96	
COUNTY SEAT INC	DE			X						10/17/96	
COUNTY SEAT STORES INC	MN			X						10/17/96	
DANIELSON HOLDING CORP	DE			X						10/10/96	
ECOGEN INC	DE			X						10/18/96	
EL PASO NATURAL GAS CO	DE			X	X					10/22/96	
FEDERAL EXPRESS CORP	DE				X					10/17/96	
FGIC SECURITIES PURCHASE INC	DE			X	X					10/18/96	
FIDELITY BANCORP INC /DE/	DE			X						10/21/96	
FINANCIAL SERVICES ACQUISITION CORP /DE/	DE			X	X					08/16/96AMEND	
FINOVA CAPITAL CORP	DE			X	X					10/17/96	
FINOVA CAPITAL CORP	DE			X	X					10/21/96	
FINOVA GROUP INC	DE			X	X					10/21/96	
FIRST ALLIANCE MORTGAGE LOAN TRUST 1995-	CA			X	X					07/22/96	
FIRST ALLIANCE MORTGAGE LOAN TRUST 1995-	CA			X	X					07/22/96	
FIRST ALLIANCE MORTGAGE LOAN TRUST 1995-	CA			X	X					08/20/96	
FIRST ALLIANCE MORTGAGE LOAN TRUST 1995-	CA			X	X					08/20/96	
FIRST ALLIANCE MORTGAGE LOAN TRUST 1996	CA			X	X					07/20/96	
FIRST ALLIANCE MORTGAGE LOAN TRUST 1996	CA			X	X					08/20/96	
FIRST PALM BEACH BANCORP INC	DE			X						10/15/96	
FLORIDA POWER CORP /	FL			X	X					10/17/96	
FLORIDA PROGRESS CORP	FL			X	X					10/17/96	
FREMONT FUNDING INC	DE				X					10/15/96	
FRONTIER CORP /NY/	NY				X					10/21/96	
FRONTIERVISION OPERATING PARTNERS LP	DE		X			X				10/07/96	
FRUEHAUF TRAILER CORP	DE		X	X						10/07/96	
GALILEO ELECTRO OPTICS CORP	DE				X					08/06/96AMEND	
GENERAL PUBLIC UTILITIES CORP /PA/	PA			X	X					10/16/96	
GLOBAL OUTDOORS INC	AK			X	X					10/15/96	
GLOBAL VENTURE FUNDING INC	CO	X								10/21/96	
GRANGES INC				X						10/08/96	
GREENWICH CAPITAL ACCEPTANCE INC	DE			X	X					08/26/96	
HARBOURTON FINANCIAL SERVICES L P	DE		X							12/31/96	
HARLYN PRODUCTS INC	CA			X	X					09/27/96AMEND	
HARTMARX CORP/DE	DE			X	X					10/18/96	
HASBRO INC	RI			X	X					10/21/96	
HEALTH & RETIREMENT PROPERTIES TRUST	MD			X	X					10/07/96	
HOME STATE HOLDINGS INC	DE			X	X					10/04/96	
HUBCO INC	NJ				X					10/22/96	
IBM CREDIT CORP	DE			X						09/30/96	
IMC SECURITIES INC				X	X					10/17/96	
IMMUNE RESPONSE CORP	DE			X	X					07/08/96AMEND	
INDUSTRIAL BANCORP INC	OH			X	X					08/05/96	
INTERCELL CORP	CO	X								10/14/96	
INTERLAKE CORP	DE		X			X				10/21/96	
INTERNATIONAL BUSINESS MACHINES CORP	NY			X						10/21/96	



NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
INTERNATIONAL LEASE FINANCE CORP	CA							X		10/18/96	
INVITRO INTERNATIONAL /	CA			X	X					10/07/96	
ISCO INC	NE					X				08/21/96	
JACKSONVILLE BANCORP INC	DE			X	X					10/18/96	
JERRYS FAMOUS DELI INC	CA					X				06/30/96	AMEND
JERSEY CENTRAL POWER & LIGHT CO	NJ			X	X					10/16/96	
KEYSTONE FINANCIAL INC	PA			X						09/30/96	
KIDDIE ACADEMY INTERNATIONAL INC	DE			X	X					10/18/96	
KNOGO NORTH AMERICA INC	DE			X	X					10/10/96	
LANDMARK BANCSHARES INC	KS			X	X					10/18/96	
LOEWEN GROUP INC				X	X					10/17/96	
LOEWEN GROUP INC				X	X					10/20/96	
MACDERMID INC	CT					X	X			10/21/96	
MEDIQ INC	DE		X			X				10/11/96	
MID WEST SPRING MANUFACTURING CO	DE			X	X					10/01/96	
MIDCOAST ENERGY RESOURCES INC	NV		X							10/07/96	
MOBIL CORP	DE					X				10/21/96	
MONTANA POWER CO /MT/	MT			X	X					10/22/96	
MORRISON KNUDSEN CORP//	DE			X						10/16/96	
MULTICARE COMPANIES INC	DE			X	X					09/30/96	
NCS HEALTHCARE INC	DE					X				08/13/96	AMEND
NEW ENGLAND LIFE PENSION PROPERTIES	MA		X			X				10/10/96	
NEW ENGLAND LIFE PENSION PROPERTIES IV	MA		X			X				10/10/96	
NORTHEAST INDIANA BANCORP INC	DE			X	X					10/18/96	
NORTHWESTERN PUBLIC SERVICE CO	DE		X							10/07/96	
NORWEST CORP	DE				X					10/14/96	
NYER MEDICAL GROUP INC	FL	X								08/07/96	AMEND
ON ASSIGNMENT INC	DE			X	X					10/22/96	
OPAL INC	DE		X			X				10/08/96	
PACIFIC REHABILITATION & SPORTS MEDICINE	DE			X	X					09/16/96	
PAGING NETWORK INC	DE			X						10/16/96	
PALFED INC	SC			X	X					10/21/96	
PAMIDA HOLDINGS CORP/DE/	DE			X						10/16/96	
PAMIDA INC /DE/	DE			X						10/16/96	
PARKWAY PROPERTIES INC	MD					X				08/23/96	AMEND
PATRIOT BANK CORP	DE			X						10/22/96	
PAYCHEX INC	DE			X						09/30/96	
PEGASUS COMMUNICATIONS CORP	DE		X			X				10/08/96	
PEOPLES BANK CREDIT CARD MASTER TRUST	CT			X						10/01/96	
PETROCORP INC	TX		X							10/15/96	
PHYSICIANS CLINICAL LABORATORY INC	DE			X						10/21/96	
PINNACLE MICRO INC	DE			X						10/01/96	
PLYMOUTH RUBBER CO INC	MA		X							10/04/96	
PORTACOM WIRELESS INC/				X						09/30/96	
PRECISION SYSTEMS INC	DE			X	X					10/07/96	
PROTECTION ONE ALARM MONITORING INC	DE			X	X					09/20/96	
PROTECTION ONE INC	DE			X	X					09/20/96	
RESEARCH INC	MN		X			X				10/04/96	
RESPONSE ONCOLOGY INC	TN		X			X				10/04/96	
RICKS CABARET INTERNATIONAL INC	TX			X						10/09/96	
RIVERWOOD HOLDING INC	DE			X	X					10/18/96	
SAFETY COMPONENTS INTERNATIONAL INC	DE					X				08/06/96	AMEND
SALOMON INC	DE			X	X					10/22/96	
SANO CORP	FL			X	X					05/15/96	
SEAFIELD CAPITAL CORP	MO		X			X				10/04/96	
SEARS CREDIT ACCOUNT MASTER TRUST II	IL			X	X					10/17/96	
SECURITY CAPITAL ATLANTIC INC	MD			X	X					10/17/96	
SECURITY CAPITAL PACIFIC TRUST	MD			X	X					10/17/96	
SECURITY CONNECTICUT CORP	DE			X	X					10/15/96	
SETAB ALPHA INC	MO	X	X			X				10/07/96	
SHOLODGE INC	TN			X						10/09/96	

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		1	2	3	4	5	6	7	8		
SILVERADO FOODS INC	OK								X	10/21/96	
SIMON PROPERTY GROUP LP	DE								X	08/09/96AMEND	
SINCLAIR BROADCAST GROUP INC	MD			X	X					10/18/96	
SONOMA INTERNATIONAL INC	NV								X	06/30/96AMEND	
SOUTHERN NEW ENGLAND TELECOMMUNICATIONS	CT			X	X					10/22/96	
SOUTHERN NEW ENGLAND TELEPHONE CO	CT			X	X					10/22/96	
SOUTHLAND NATIONAL INSURANCE CORP	AL			X	X					10/04/96	
SPORT SUPPLY GROUP INC ET AL	DE								X	10/11/96	
STARTER CORP	DE		X						X	08/09/96AMEND	
STRUCTURED ASSET SECURITIES CORPORATION	DE			X	X					10/18/96	
SULLIVAN COMMUNICATIONS INC /DE/	DE			X						10/21/96	
SULLIVAN GRAPHICS INC	NY			X						10/21/96	
SUMMIT BANCORP/NJ/	NJ			X						10/16/96	
SYSTEMS TECHNOLOGY ASSOCIATES INC	FL			X						10/22/96	
TANDYCRAFTS INC	DE			X	X					10/22/96	
TARGETED GENETICS CORP /WA/	WA			X	X					10/18/96	
TCSI CORP	NV	X								10/16/96	
TEXACO INC	DE			X	X					10/21/96	
TITAN CORP	DE			X						10/17/96	
TOUCAN GOLD CORP	DE			X	X					06/30/96	
TRIBUNE CO	DE			X	X					10/18/96	
TRICO MARINE SERVICES INC	DE		X						X	10/10/96	
TRIMARK HOLDINGS INC	DE			X	X					10/09/96	
TRUMP HOTELS & CASINO RESORTS FUNDING IN	DE		X	X	X					10/07/96	
TRUMP HOTELS & CASINO RESORTS FUNDING IN	DE		X	X	X					10/07/96	
TRUMP HOTELS & CASINO RESORTS HOLDINGS L	DE		X	X	X					10/07/96	
TRUMP HOTELS & CASINO RESORTS INC	DE		X	X	X					10/07/96	
TRUMPS CASTLE FUNDING INC	NJ								X	10/07/96	
ULTRAK INC	CO								X	10/11/96AMEND	
UNION PACIFIC CORP	UT		X						X	10/15/96	
UNISON HEALTHCARE CORP	DE				X					10/10/96	
UNITED COMPANIES LIFE INSURANCE CO	LA				X					10/17/96	
UNITED STATIONERS INC	DE				X					10/21/96	
UNITED STATIONERS SUPPLY CO	DE				X					10/21/96	
US HOME & GARDEN INC	DE							X		08/09/96AMEND	
VANDERBILT MORTGAGE & FINANCE INC	TN			X	X					10/18/96	
VANGUARD AIRLINES INC \DE\	DE				X					10/14/96	
VIDEO UPDATE INC	DE				X					10/21/96	
VIRAGEN EUROPE LTD	DE							X		12/08/95AMEND	
WASHINGTON MUTUAL INC	WA				X	X				10/02/96	
WESTERFERD FINANCIAL CORP	DE				X	X				10/14/96	
WINSTAR COMMUNICATIONS INC	DE		X					X		10/08/96	
ZURN INDUSTRIES INC	PA							X		10/22/96	