

# sec news digest

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U.S. SECURITIES AND  
EXCHANGE COMMISSION

## ADMINISTRATIVE PROCEEDINGS

### REGULATION A EXEMPTIONS OF FOUR UTAH ISSUERS PERMANENTLY SUSPENDED

The Commission ordered that conditional exemptions from registration under Regulation A claimed by four Utah issuers be permanently suspended under Rule 261(b) of Regulation A. The issuers are Brian V. Hanson Enterprises, Inc., of Murray, Utah; and Thomas J. Kearn Enterprises, Inc., Ramras, Inc. and Robin F. Cowley, Inc., all of Salt Lake City. Each claimed the exemption for its common stock in a blank check offering under Section 3(b) of the Securities Act of 1933.

The orders are based upon failures to identify within Forms 1-A, Part I-Notifications and Part II-Offering Circulars, George I. Norman, III as an additional promoter and affiliate exercising control over the issuers, and sharing the power to vote securities. The orders regarding Kearn, Inc. and Hanson, Inc. are also based upon the failure of Bion J. Kirk, a promoter and principal shareholder, to cooperate with the Commission's inquiry. (Rel. 33-6667-Kearn; 33-6668-Hanson; 33-6669-Ramras; and 33-6670-Cowley)

### ROONEY, PACE INC. AND RANDOLPH K. PACE SANCTIONED

The Commission imposed sanctions on Rooney, Pace Inc., a New York City brokerage firm, and its president, Randolph K. Pace. The firm was prohibited from making any new underwriting commitment, as underwriter or selling group member, for two months, and from making any commitment involving an "all or none" or other conditional offering for six months, the prohibitions to run concurrently. Pace was suspended from association with any broker or dealer for three months. The sanctions are effective November 24.

In October 1981, the firm was underwriter of an "all or none," best efforts offering of 12 million units (consisting of common stock and warrants) of Sequential Information Systems, Inc. The prospectus provided that, unless all of the securities were sold by October 14, 1981, investors' money would be refunded. The Commission found that respondents fraudulently treated the offering as successfully completed although all of the units had not been sold in bona fide transactions by that date. It also found that respondents made fraudulent representations to customers who purchased shares of the offering.

In imposing sanctions, the Commission reiterated its commitment to vigorous enforcement "where the facade of a successful offering is created in derogation of responsibilities owed to public investors." (Rel. 34-23763)

## CIVIL PROCEEDINGS

### COMPLAINT NAMES ROBERT E. DeBIASSE, OTHERS

The Philadelphia Regional Office today filed a complaint in the U.S. District Court for the Eastern District of Pennsylvania for injunctive and other relief against Robert E. DeBiasse, Mary Lee Oberdorfer, Stephen Grossman, and Harvey Goldsmith. Simultaneously, Oberdorfer and Grossman, without admitting or denying the allegations, consented to Final Judgments of Permanent Injunction, which were entered by Judge James McGirr Kelly.

The complaint alleges violations of the securities laws in connection with the initial public offering of Computer Input Services, Inc. (CISI) in April 1982. Specifically, the complaint alleges that DeBiasse, Oberdorfer and Grossman caused CISI to prepare, file with the Commission, and disseminate to shareholders and others materially false and misleading filings including CISI's initial S-1, effective April 22, 1982, Form 10-K for the fiscal year ending July 31, 1982, and Form 10-Q's for the quarters ending

April 30, 1982 and October 31, 1982. The complaint also alleges that these defendants caused CISI to overstate its revenue by more than \$3,000,000 and net income by more than \$1,900,000 for the fiscal year ended July 31, 1982. Goldsmith is alleged to have aided DeBlasse in a false billing scheme.

The complaint seeks disgorgement of DeBlasse's illegally obtained profits. DeBlasse received proceeds of approximately \$5,000,000 from sales of CISI stock during the time the violations occurred. (SEC v. Robert E. DeBlasse, et al., EDPA, Civil Action No. 86-6440). (LR-11283)

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## CRIMINAL PROCEEDINGS

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### ORDER TO SHOW CAUSE ISSUED IN CRIMINAL CONTEMPT ACTION AGAINST DAVID R. YEAMAN AND JERRY W. PETERSON

The New York Regional Office announced that on November 7 U.S. District Judge Vincent L. Broderick, Southern District of New York, issued an Order to Show Cause Why David R. Yeaman and Jerry W. Peterson Should Not Be Punished for Criminal Contempt and Appointing Attorneys to Prosecute.

In its Application for an Order to Show Cause, the Commission alleged that Yeaman, aided and abetted by Peterson, wilfully disobeyed a 1982 Injunction by engaging in a free-riding scheme in contravention of the securities laws' antifraud provisions. Specifically, the Commission alleged that the defendants fraudulently obtained approximately \$269,000 through the use of bank and brokerage accounts opened in one nominee and eight fictitious names. The defendants used these accounts to purchase shares of Business Computing International, Inc. and then used the proceeds from the aftermarket sale of the shares to make payment for the initial shares purchased as well as to retain significant profits.

The Order to Show Cause is returnable on December 11 before Judge Broderick. (U.S. ex rel. SEC v. David R. Yeaman and Jerry W. Peterson, 86 Cr. Misc. 1 (VLB), SDNY November 10, 1986). (LR-11282)

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## INVESTMENT COMPANY ACT RELEASES

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### THE EQUITABLE LIFE ASSURANCE SOCIETY OF THE UNITED STATES

An order has been issued on an application filed by The Equitable Life Assurance Society of the United States, Separate Account Nos. 301-304 of Equitable (300 Series), and Harmony Investment Trust: (1) exempting them from certain provisions of Section 17(a) of the Investment Company Act; and (2) approving certain transactions so as to permit the combination of the 300 Series into Separate Account No. 301 (SA-301), and to permit the reconstruction of SA-301, a management investment company, into a unit investment trust issuing variable annuity contracts funded by the Trust. In addition, an order has been issued on the application exempting Integrity Life Insurance Company, Separate Account INA of Integrity (SA-INA), National Integrity Life Insurance Company, and Separate Account NIA of National Integrity (SA-NIA) from the provisions of Section 26(a)(2) and 27(c)(2) of the Act, so as to permit the deduction of a mortality and expense risk charge by Integrity and National Integrity from their respective separate accounts. (Rel. IC-15406 - Nov. 7)

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## LISTING, DELISTING AND UNLISTED TRADING ACTIONS

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### UNLISTED TRADING GRANTED

Orders have been issued granting the application of the following stock exchanges for unlisted trading privileges in the common stock of the specified number of issues which are listed and registered on one or more other national securities exchanges and are reported in the consolidated transaction reporting system: Philadelphia Stock Exchange - one issue. (Rel. 34-23787); and the Midwest Stock Exchange - six issues. (Rel. 34-23790)

## SELF-REGULATORY ORGANIZATIONS

### APPROVAL OF PROPOSED RULE CHANGES

The Commission approved proposed rule changes filed by: The Options Clearing Corporation (SR-OCC-86-20) amending the definition of "business day" and revising certain OCC rules regarding the margining of, and exercise settlement date for, foreign currency options. (Rel. 34-23781); and The Midwest Securities Trust Company (SR-MSTC-86-5) which provides it to choose its president from outside the members of its Board of Directors, and provides other non-substantive technical changes. (Rel. 34-23782)

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-8 STERLING DRUG INC, 90 PARK AVE, NEW YORK, NY 10016 (212) 907-2000 - 3,000,000 (\$140,625,000) COMMON STOCK. (FILE 33-9794 - NOV. 04) (BR. 13)
- S-18 EQUINE THERAPEUTIC CENTERS OF AMERICA INC /NY/, RTE 25A, E NORWICH, NY 11732 (516) 922-9810 - 140,000 (\$280,000) COMMON STOCK. 400,000 (\$800,000) COMMON STOCK. UNDERWRITER: BUNKER SECURITIES CORP. (FILE 33-9917-NY - OCT. 31) (BR. 3 - NEW ISSUE)
- S-18 SPECTRON CORP, 4055 SOUTH 700 EAST #110, SALT LAKE CITY, UT 84111 (801) 262-9200 - 14,000,000 (\$350,000) COMMON STOCK. 350,000 (\$3) WARRANTS, OPTIONS OR RIGHTS. 3,500,000 (\$420,000) COMMON STOCK. 3,500,000 (\$525,000) COMMON STOCK. 350,000 (\$40,250) COMMON STOCK. UNDERWRITER: HICKEY KOGER INC. (FILE 33-9918-NY - OCT. 31) (BR. 1 - NEW ISSUE)
- S-18 PBS DEVELOPMENT CORP, 339 WEST 71ST ST UNIT 11, NEW YORK, NY 10023 (212) 732-2665 - 100,000 (\$100,000) COMMON STOCK. 1,000,000 (\$1,250,000) COMMON STOCK. 1,000,000 (\$1,500,000) COMMON STOCK. (FILE 33-9919-NY - NOV. 03) (BR. 12 - NEW ISSUE)
- S-18 ADVANCED IMAGE TECHNOLOGY INC, 100 PARK AVE 34TH FL, NEW YORK, NY 10017 (212) 986-2861 - 40,000,000 (\$400,000) COMMON STOCK. 40,000,000 (\$1,200,000) COMMON STOCK. 40,000,000 (\$2,400,000) COMMON STOCK. 4,000,000 (\$400) WARRANTS, OPTIONS OR RIGHTS. (FILE 33-9962-NY - NOV. 03) (BR. 9 - NEW ISSUE)
- S-1 USL SAVINGS INSTITUTIONS INSURANCE GROUP LTD, 300 DELAWARE AVE STE 1704, WILMINGTON, DE 19899 (312) 644-3100 - 2,000,000 (\$50,000,000) COMMON STOCK. UNDERWRITER: KIDDER PEABODY & CO. (FILE 33-9974 - NOV. 05) (BR. 9 - NEW ISSUE)
- S-1 CONSUMER PLASTICS CORP, 625 W COLUMBIAN BLVD SOUTH, LITCHFIELD, IL 62056 (217) 324-3921 - 1,080,000 (\$10,080,000) COMMON STOCK. 300,000 (\$3,000,000) COMMON STOCK. (FILE 33-9975 - NOV. 05) (BR. 5 - NEW ISSUE)
- S-8 JEFFERSON SMURFIT CORP, 401 ALTON ST, ALTON, IL 62002 (618) 463-6000 - 25,000,000 (\$25,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 33-9976 - NOV. 05) (BR. 8)
- S-8 ANDOVER CONTROLS CORP, YORK & HAVERHILL STS, ANDOVER, MA 01810 (617) 470-0555 - 300,000 (\$3,037,500) COMMON STOCK. (FILE 33-9977 - NOV. 05) (BR. 7)
- S-1 PHYSICIANS HEALTH PLAN OF FLORIDA INC, 3816 LINEBAUGH AVE, TAMPA, FL 33624 (813) 968-9342 - 1,000 (\$1,000,000) COMMON STOCK. (FILE 33-9978 - NOV. 05) (BR. 6)
- S-6 DIRECTIONS UNIT INVESTMENT TRUST FORTY FIFTH SERIES, ONE BATTERY PARK PLZ, NEW YORK, NY 10006 - 1,000,000 (\$10,000,000) UNIT INVESTMENT TRUST. DEPOSITOR: HUTTON E F & CO. (FILE 33-9980 - NOV. 05) (BR. 18 - NEW ISSUE)
- N-1A TEMPLETON GROWTH FUND INC /FL/, 405 CENTRAL AVE, ST PETERSBURG, FL 33731 (813) 823-8712 - INDEFINITE SHARES. (FILE 33-9981 - NOV. 05) (BR. 18 - NEW ISSUE)
- S-4 JACOR COMMUNICATIONS INC, 1300 CENTRAL TRUST CTR, CINCINNATI, OH 45202 (513) 621-1300 (FILE 33-9982 - NOV. 05) (BR. 7)

- S-4 CITIZENS BANCORP /MD/, 6200 BALTIMORE BLVD, RIVERDALE, MD 20737 (301) 699-7000 (FILE 33-9983 - NOV. 05) (BR. 2)
- S-18 MEDICAL ELECTRONIC IMAGING CORP, 80 RIVER ST, HOBOKEN, NJ 07030 (201) 798-3115 - 2,300,000 (\$4,600,000) COMMON STOCK. 200,000 (\$200) WARRANTS, OPTIONS OR RIGHTS. 200,000 (\$440,000) COMMN STOCK. UNDERWRITER: MULLER & CO INC. (FILE 33-9984 - NOV. 05) (BR. 8 - NEW ISSUE)
- S-8 CWENS CORNING FIBERGLAS CORP, FIBERGLAS TOWER, TOLEDO, OH 43659 (419) 248-8000 - \$30,000,000 COMMON STOCK. (FILE 33-9986 - NOV. 05) (BR. 9)
- S-3 CWENS CORNING FIBERGLAS CORP, FIBERGLAS TOWER, TOLEDO, OH 43659 (419) 248-8000 - 500,000 (\$6,000,000) COMMON STOCK. (FILE 33-9987 - NOV. 05) (BR. 9)
- S-8 HENLEY GROUP INC, 11255 N TORREY PINES RD, LA JOLLA, CA 92037 (619) 455-9494 - 6,550,000 (\$139,187,500) COMMON STOCK. (FILE 33-9988 - NOV. 05) (BR. 8)
- S-3 SOUTHMARK CORP /GA/, 1601 LBJ FRWY PARK W STE 800, DALLAS, TX 75234 (214) 241-8787 - 7,500 (\$316,875) PREFERRED STOCK. (FILE 33-9989 - NOV. 06) (BR. 5)
- S-8 AFFILIATED BANKSHARES OF COLORADO INC, 1125 SEVENTEENTH ST, DENVER, CO 80202 (303) 296-7788 - 450,000 (\$5,512,500) COMMON STOCK. (FILE 33-9990 - NOV. 06) (BR. 2)
- S-4 MOUNTAINEER BANKSHARES OF W VA INC, 148 SOUTH QUEEN ST, OLD NATIONAL BANK BLDG, MARTINSBURG, WV 25401 (304) 263-0861 - 142,000 (\$3,940,500) COMMON STOCK. (FILE 33-9991 - NOV. 06) (BR. 2)
- S-3 FIRST HOME CREDIT CORP I, 6251 GREENWOOD PLZ BLVD, ENGLEWOOD, CO 80111 (303) 740-8800 - 50,000,000 (\$50,000,000) MORTGAGE BONDS. (FILE 33-9992 - NOV. 06) (BR. 12 - NEW ISSUE)
- N-1A ROTHSCHILD L F UNTERBERG TOWBIN INVESTMENT TRUST, 55 WATER ST, NEW YORK, NY 10041 (212) 412-1000 - INDEFINITE SHARES. UNDERWRITER: ROTHSCHILD LF UNTERBERG TOWBIN INC. (FILE 33-9994 - NOV. 06) (BR. 18 - NEW ISSUE)
- S-2 VERMONT FINANCIAL SERVICES CORP, 100 MAIN ST, BRATTLEBORO, VT 05301 (802) 257-7151 - 345,000 (\$9,487,500) COMMON STOCK. (FILE 33-9998 - NOV. 06) (BR. 2)
- S-4 BANC ONE CORP/DE/, 100 E BROAD ST, COLUMBUS, OH 43271 (614) 463-5944 - 658,429 (\$11,548,844) COMMON STCK. (FILE 33-10000 - NOV. 06) (BR. 2)

## ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

		FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
AMBRIT INC CLABIR CORP	COM	13D	10/23/86	11,180 88.5	02336310 86.4	UPDATE
ARDEN GROUP INC LACOS LAND COMPANY	CL A	13D	11/ 4/86	0 0.0	03976210 4.4	UPDATE
BANK BLDG & EQUIP CORP INANDA PARTNERS LP	AMERC COM	13D	11/ 4/86	120 7.3	06081510 7.3	UPDATE

## ACQUISITIONS REPORTS CONT.

CPC INTL INC MACANDREWS & FORBES HLDG ET AL	COM ET AL	13D	10/27/86	0 0.0	12614910 0.0	NEW
FLORIDA COML BKS INC CULVERHOUSE HUGH F	COM	13D	10/21/86	1,467 40.8	34060710 40.7	UPDATE
GAF CORP SIMMONS HAROLD C. ET AL	COM	13D	11/ 5/86	2,714 7.7	36142810 8.8	UPDATE
LD BRINKMAN CORP FIDELITY INTL LTD	COM	13D	8/13/86	624 10.4	50182310 11.4	UPDATE
LD BRINKMAN CORP FMR CORP	COM	13D	9/29/86	624 10.4	50182310 11.4	UPDATE
LAURENTIAN CAP CORP LAURENTIAN GROUP CORP ET AL	COM ET AL	13D	10/23/86	971 23.0	51925610 0.0	NEW
LAURENTIAN CAP CORP LOYAL AMERICAN LIFE INS ET AL	COM ET AL	13D	10/23/86	0 0.0	51925610 73.2	UPDATE
MCM CORP TWEEDY BROWNE INC ET AL	COM ET AL	13D	10/ 1/86	352 7.5	55267410 0.9	UPDATE
NATIONAL CONVENIENCE STORES AMERICAN FINANCIAL CORP ET AL	COM ET AL	13D	10/29/86	2,910 12.7	63557010 9.9	UPDATE
PHYSID TECHNOLOGY INC CASTEL JOHN C ET AL	COM ET AL	13D	9/ 3/86	626 35.0	71943310 45.7	UPDATE
RAYMOND ENGR INC KAMAN CORP ET AL	COM ET AL	14D-1	11/ 6/86	1,975 88.1	75470810 88.1	UPDATE
REVERE COPPER & BRASS INC JAMIE SECURITIES CO ET AL	COM ET AL	13D	11/ 5/86	3,185 41.7	76140610 16.9	RVISION
SHOWBOAT INC FIDELITY INTL LTD	COM	13D	6/27/86	300 4.9	82539010 0.0	RVISION
SHOWBOAT INC FMR CORP	COM	13D	6/27/86	300 4.9	82539010 0.0	RVISION
SYSTEMS & COMPUTER TECH CORP ALLEN & CO INC ET AL	COM ET AL	13D	10/23/86	716 5.2	87187310 0.0	NEW
TENDER LOVING CARE HLTH INC KOSCHITZKI EPHRAIM	COM	13D	9/21/86	453 29.9	88032910 18.2	UPDATE
TENDER LOVING CARE HLTH INC SAVITSKY DAVID	COM	13D	9/21/86	460 30.4	88032910 18.5	UPDATE
TENDER LOVING CARE HLTH INC SAVITSKY STEPHEN	COM	13D	9/21/86	458 30.3	88032910 18.4	UPDATE
WESTERN FINANCIAL CORP MORRIS JOE C	COM	13D	10/29/86	117 5.8	95790610 5.8	UPDATE
ADVANCED SYS INC BLUM RICHARD C & ASSOC	COM	13D	10/27/86	222 4.0	00754710 5.4	UPDATE
ALFIN FRAGRANCES INC ALFIN IRWIN	COM	13D	10/29/86	1,696 25.4	01542610 44.4	UPDATE
ALFIN FRAGRANCES INC REICH SAM	COM	13D	10/29/86	1,468 22.0	01542610 26.8	UPDATE
AMALGAMAED AUTOMOTIVE INDS PAUL BRUCE	COM	13D	10/15/86	70 7.1	02265910 5.6	UPDATE
AMERICAN REALTY TRUST SOUTHMARK CORP	SH BEN INT	13D	10/31/86	8,084 93.3	02917710 31.1	UPDATE

## ACQUISITIONS REPORTS CONT.

AMETEX INC ACS VENTURE CORP USA LTD	COM	13D	10/15/86	16,601 81.6	03111610 0.0	NEW
ANCHOR HOCKING CORP NEWELL CO	COM	13D	11/ 5/86	870 8.3	03304710 6.8	UPDATE
ANCHOR HOCKING CORP NEWELL CO	COM	13D	11/ 5/86	870 8.3	03304710 6.8	RVSIGN
BANKERS NT INC CHOW S K ET AL	COM	13D	10/31/86	570 17.9	06627910 0.0	NEW
BISCAYNE HLDGS INC XCOR PARTNERS LTD PRSHP ET AL	CL A	13D	10/27/86	14,985 75.5	09136010 36.9	UPDATE
CALMAR INC KEBO AB ET AL	COM	13D	11/ 6/86	3,164 62.9	13126210 61.4	UPDATE
CALMAR INC KEBO AB ET AL	COM	13D	11/ 6/86	3,164 62.9	13126210 61.4	UPDATE
CASEYS GEN STORES INC LAMBERTI DONALD F	COM	13D	8/ 6/86	4,846 42.4	14752810 44.1	UPDATE
CENTOCOR FMC CORP	COM	13D	10/31/86	1,350 13.9	15234210 0.0	NEW
CONQUEST EXPL CO DYSON-KISSNER-MORAN CORP	COM	13D	10/30/86	10,000 41.3	20828510 41.3	UPDATE
ENVIRONMENTAL PROCESSING INC ELK TRUST	COM	13D	10/29/86	923 9.7	29390610 0.0	NEW
FORTUNE FINL GROUP INC DYSON-KISSNER-MORAN CORP ET AL	COM	13D	10/30/86	318 6.8	34965110 5.5	UPDATE
GARDENAMERICA CORP FIDELITY INTL LTD	COM	13D	11/ 5/86	253 8.6	36547310 6.4	UPDATE
GARDENAMERICA CORP FMR CORP	COM	13D	9/24/86	253 8.6	36547310 6.4	UPDATE
GELCO CORP MERRILL LYNCH & CO INC	COM	13D	10/31/86	3,400 24.8	36850110 24.8	UPDATE
HAYES ALBION CORP HARVARD INDS	COM	14D-1	11/ 7/86	0 0.0	42075810 0.0	UPDATE
INTERNATIONAL CTLS CORP ARVERON INVESTMENTS INC ET AL	COM	13D	11/ 4/86	1,070 31.7	45936210 21.8	UPDATE
INVESTORS FINANCE INC PP VIDA JOHN	COM	13D	10/30/86	58 6.1	46150410 0.0	NEW
MADISON FINANCIAL CORP BIRBARA CHARLES A	COMMON STOCK	13D	10/27/86	26 6.8	55745410 0.0	NEW
MAYFLOWER GROUP INC COHEN FEIT CO ET AL	COM	13D	10/29/86	555 7.2	57834810 0.0	NEW
MICROS SYSTEMS INC WESTINGHOUSE ELEC CORP	COM	13D	10/30/86	4,849 100.0	59490110 0.0	NEW
MORSE SHOE INC SHUFRO ROSE & EHRMAN	COM	13D	11/ 3/86	1,604 29.5	61907510 28.1	UPDATE
NAVIGATORS GROUP INC TIGER ET AL	COM	13D	10/27/86	187 5.4	63890410 0.0	NEW
PARKWAY CO CAMERON BAIRD FOUNDATION ET AL	COM	13D	10/17/86	92 5.5	70154520 0.0	NEW

## ACQUISITIONS REPORTS CONT.

PARLEX CORP TIGER ET AL	COM	13D	10/ 9/86	248 10.0	70163010 7.7	UPDATE
PASQUALE FOOD INC LABATT JOHN LTD ET AL	CL B	14D-1	11/ 7/86	2,096 84.2	70266510 0.0	NEW
PASQUALE FOOD INC LABATT JOHN LTD ET AL	CL A	14D-1	11/ 7/86	1,837 28.5	70266520 0.0	NEW
PATPICK PETE CO EMERALD PARTNERS ET AL	COM PAR \$0.20	13D	11/ 5/86	481 7.0	70334720 5.8	UPDATE
PIONEER STD ELECTRS INC HARRIS ASSOCIATES INC	COM	13D	10/30/86	380 7.0	72387710 0.0	NEW
PLANNING RESH CORP FIDELITY INTL LTD	COM	13D	11/ 3/86	280 4.2	72710810 9.6	UPDATE
PRINCEVILLE DEV CORP SPINNER CORP ET AL	COM	14D-1	11/10/86	1,804 20.5	74251210 20.8	UPDATE
PRINCEVILLE DEV CORP SPINNER CORP ET AL	COM	14D-1	11/10/86	1,804 20.5	74251210 20.8	RYSION
QUIPP INC NORTH SOUTH INVESTORS II	COM	13D	10/24/86	88 6.4	74880210 5.4	UPDATE
RSI CORP FIDELITY INTL LTD	COM	13D	9/ 3/86	593 11.5	74972210 0.0	NEW
RSI CORP FMR CORP	COM	13D	9/18/86	593 11.5	74972210 0.0	NEW
REALTY REFUND TR CROWLEY JOHN RICHARD	SH BEN INT	13D	10/27/86	73 7.1	75612510 6.0	UPDATE
SMD INDS INC AMERICAN GREETINGS CORP ET AL	COM	14D-1	11/ 7/86	533 29.6	78452710 0.0	NEW
SAFEGUARD BUSINESS SYS INC S A C CORP ET AL	COM	14D-1	11/ 7/86	610 4.8	78644210 0.0	NEW
SERVO CORP AMER BARRE STEPHEN A	COM	13D	10/20/86	62 8.8	81769810 23.8	UPDATE
SYMBOL TECHNOLOGIES INC RELIANCE FINANCIAL ET AL	COM	13D	11/ 4/86	1,477 27.1	87150810 26.1	UPDATE
TGC INDS INC BARRETT WILLIAM J	COM	13D	10/27/86	38 9.6	87241710 0.0	NEW
TGC INDS INC GARDNER HERBERT M	COM	13D	10/17/86	29 7.3	87241710 0.0	NEW
TRE CORP GIANT GROUP ET AL	COM	13D	11/ 6/86	1,167 17.4	87262810 15.7	UPDATE
VESPER CORPORATION ARROWHEAD HOLDINGS CORP	COMMON STOCK	13D	10/29/86	1,814 58.5	92538510 57.4	UPDATE
WESTCORP TIGER ET AL	COM	13D	10/ 1/86	901 5.8	95790710 0.0	NEW
ZIEGLER INC INTEGRITY FUND INC ET AL	COM	13D	10/27/86	45 1.3	98950610 0.3	UPDATE
ZEMEX CORP DENISON MINES LTD ET AL	COM	13D	10/31/86	675 40.0	98991710 0.0	NEW

## RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

COMPANY	ITEMS NO.	DATE	
ALTA ENERGY CORP	2.7	08/22/86	AMEND
ARMSTRONG RUBBER CO	2.7	10/24/86	
AUTOMATIC PHONE CO INC	2.7	10/31/86	
BANC ONE CORP/DE/	NO ITEMS	11/05/86	
BERGEN BRUNSWIG CORP	5	10/28/86	
CABLE TV FUND VIII-B	2.7	08/19/86	AMEND
CABLE TV FUND 10-A	2	08/27/86	
CABLEGUARD INC	5	11/06/86	
CANNON GROUP INC/DE	5.7	09/30/86	
CANNON GROUP INC/DE	5.7	10/15/86	
CENTRAL HUDSON GAS & ELECTRIC CORP	5	11/06/86	
CLATRES STORES INC	7	10/30/86	
COLORADO ENERGY CORP	5.7	10/31/86	
CORPORATE PROPERTY ASSOCIATES 3	2.7	10/24/86	
CORPORATE PROPERTY ASSOCIATES 4	2.7	10/24/86	
CROFF OIL CO	2.7	10/27/86	
CROWN ZELLERBACH CORP	5.7	10/30/86	
FRNST E C INC	5	10/24/86	
F&M NATIONAL CORP	5	11/04/86	
F&M FINANCIAL MANAGEMENT CORP	2.7	10/24/86	
FIRST WESTERN INCOME REALTY TRUST	2	09/08/86	
FISCHBACH CORP	5.7	09/19/86	
FORTUNE FINANCIAL GROUP INC	5.6	11/05/86	
GANNETT CO INC /DE/	5.7	11/05/86	
HE VENTURES INC	4.7	10/29/86	
HOMF SHOPPING NETWORK INC	5	10/27/86	
HUFFY CORP	5.7	10/24/86	
INVESTITECH LTD	5	09/15/86	
JAMES RIVER CORP OF VIRGINIA	5.7	10/30/86	
KOSS CORP	5	10/15/86	
KRUPP CASH PLUS II LTD PARTNERSHIP	2	10/27/86	
LASER PHOTONICS INC	2.7	06/10/86	AMEND
MARSHALL & ILSLEY CORP/WI/	5	10/31/86	
OCCIDENTAL PETROLEUM CORP /DE/	5.7	10/17/86	
PENNEY J C CO INC	5.7	10/31/86	
PRICE T ROWE REALTY INCOME FUND II	2.7	10/22/86	
SEATRAN LINES INC	5.7	10/27/86	
SERVICE RESOURCES CORP	5	10/28/86	
SMITH LABORATORIES INC	5.7	10/24/86	
SOUTHWESTERN BELL TELEPHONE CO	7	10/30/86	
TITAN CORP	5	10/31/86	
TRACKS INTERNATIONAL INC	2.7	10/23/86	
UNITED MOBILE HOMES INC	NO ITEMS	11/06/86	
WHITTAKER CORP	2.7	10/24/86	
WICHITA INDUSTRIES INC	2.7	10/24/86	
WILDCAT MOUNTAIN CORP	2	10/23/86	
WINN ENTERPRISES	2.7	10/24/86	
XYVISTON INC	5	10/20/86	