EXCHANGE

Issue 86-146

### **CRIMINAL PROCEEDINGS**

### LEE SHROUT, OTHERS PLEAD GUILTY

The Los Angeles Regional Office and the U.S. Attorney's Office for the Central District of California announced that on July 11 Ralph Ernstsen pleaded guilty to one count of securities fraud and E. Michael Furlong pleaded guilty to one count each of securities and mail fraud. In addition, on July 28, Lee Shrout, of Newport Beach, California, pleaded guilty to three counts of violations of the securities and mail fraud statutes.

According to the indictment, which is the result of a joint investigation by the Commission and the U.S. Attorney's Office, from October 1983 to January 1984 the defendants manipulated the over-the-counter market for the common stock of ABEX, Ltd. from \$.10 to over \$5 a share. According to the indictment and the guilty pleas, Shrout, president of Certificate Transfer Company, a stock transfer agency in Newport Beach, disseminated false and misleading information to the public regarding ABEX. Moreover, Furlong, a stockbroker at Union Securities in Pasadena, and Ernstsen, an investor, generated fictitious trading activity for ABEX common stock by placing matched orders for the stock and purchasing shares without making payment.

The defendants are scheduled to appear before Judge Manuel E. Real on September 8 for sentencing. (U.S. Lee Shrout, et al., USDC CA, Cr. 85-628). (LR-11173)

### **INVESTMENT COMPANY ACT RELEASES**

#### WESTPAC BANKING CORPORATION

An order has been issued conditionally exempting Westpac Banking Corporation, an Australian bank, from all provisions of the Investment Company Act and permitting it to sell American Depositary Shares, evidenced by American Depositary Receipts that will represent shares of its common stock, and make future offerings of its equity securities in the United States. (Rel. IC-15217 - July 23)

### JIB I LIMITED PARTNERSHIP

An order has been issued on an application by JIB I Limited Partnership (Partnership), and its general partner, Harbor Group, Inc., exempting the Partnership from all provisions of the Investment Company Act. (Rel. IC-15218 - July 23)

#### SECURITY MORTGAGE ACCEPTANCE CORPORATION - 1

An order has been issued granting Security Mortgage Acceptance Corporation - 1, on its own behalf and on behalf of future entities under common control, an exemption from all provisions of the Investment Company Act. (Rel. IC-15220 - July 23)

# LISTING, DELISTING AND UNLISTED TRADING ACTIONS

#### DELISTING GRANTED

An order has been issued granting the application of the American Stock Exchange to strike all put and call option contracts of CROWN ZELLERBACH CORPORATION from listing and registration thereon. (Rel. 34-23465)

#### WITHDRAWAL GRANTED

An order has been issued granting the application of PERINI CORPORATION to withdraw its common stock, \$1.00 par value, from listing and registration on the <a href="Boston Stock Exchange">Boston Stock Exchange</a>. (Rel. 34-23466)

A notice has been issued giving interested persons until August 14 to comment on the application of the Philadelphia Stock Exchange for unlisted trading privileges in two issues which are listed and registered on one or more other national securities exchanges and are reported in the consolidated transaction reporting system. (Rel. 34-23467)

### **SELF-REGULATORY ORGANIZATIONS**

ACCELERATED APPROVAL OF PROPOSED RULE-CHANGE

The Commission granted accelerated approval of a proposed rule change filed by the Philadelphia Stock Exchange (SR-Phlx-86-20) to extend its Allocation and Specialist Evaluation pilot program through December 1, 1986. (Rel. 34-23464)

### SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-18 WINE MASTERS INTERNATIONAL INC /DE/+ 5986 E SLAUSON AVE. LOS ANGELES, CA 90040 (213) 724-1933 805.000 (\$3.622.500) COMMON STOCK. 805.000 (\$3.220.000) COMMON STOCK. 1 (\$10) WARRANTS. OPTIONS OR RIGHTS. 70.000 (\$378.000) COMMON STOCK. 70.000 (\$280.000) COMMON STOCK. UNDERWRITER: BEURET 6 CO LTD. (FILE 33-7170-LA JUL. 09) (BR. 11 NFW ISSUE)
- S-18 KISPIOX INC. 8632 E ROSE LN. SCOTTSDALE. AZ 85253 (602) 951-1029 15.000.000 (\$300.000) COMMON STOCK. 15.000.000 (\$900.000) COMMON STOCK. 15.000.000 (\$1.800.000) COMMON STOCK. (FILE 33-7204-LA JUL. 11) (BR. 12 NEW ISSUE)
- S-18 OIA INC. 5266 S DICKENS DR. LAS VEGAS. NV 89119 (702) 798-5791 55.000.000 (\$550.000) COMMON STOCK. 27.500.000 (\$550.000) COMMON STOCK. (FILE 33-7205-LA JUL. 11) (BR. 11 NEW ISSUE)
- S-1 GUEST SUPPLY INC. 720 U S HWY ONE. NORTH BRUNSWICK. NJ 08902 (201) 246-3011 45.000 (\$1.248.750) COMMON STOCK. 1.047.500 (\$29.068.125) COMMON STOCK. (FILE 33-7246 JUL. 22) (BR. 9)
- S-18 TINTORFITO INC. 675 THIRD AVE. NEW YORK. NY 10017 (212) 867-6570 690.000 (\$4.140.000) COMMON STOCK. UNDERWRITER: GILFORD SECURITIES INC. (FILE 33-7334-NY JUL. 17) (BR. 12 NEW ISSUE)
- \$-18 NEW RETAIL CONCEPTS INC. 1407 BROADWAY STE 2809. NEW YGRK. NY 10019 (212) 944-2220 10.000.000 (\$1.000.000) COMMON STOCK. 10.000.000 (\$1.700.000) COMMON STOCK. 1.000.000 (\$100) WARRANTS. OPTIONS OR RIGHTS. 1.000.000 (\$120.000) COMMON STOCK. 1.000.000 (\$170.000) COMMON STOCK. UNDERWRITER: BROOKS WEINGER ROBBINS & LEEDS INC. (FILE 33-7373-NY JUL. 18) (BR. 11 NEW ISSUE)
- S-1 NEW LINE CINEMA CORP. 575 FIGHTH AVE. NEW YORK. NY 10018 (212) 239-8880 413.000 (\$5.369.000) COMMON STOCK. 1.441.950 (\$18.745.350) COMMON STOCK. UNDERWRITER: OREXFL BURNHAM LAMBERT. (FILE 33-7399 JUL. 22) (BR. 11 NEW ISSUE)
- S-) CRITERION GROUP INC /DE/ 814.133 (\$19.539.192) COMMON STOCK. 1.025.867 (\$24.620.808) COMMON STOCK. UNDERWRITER: GOLDMAN SACHS & CO. PIPER JAFFRAY & HOPWOOD. (FILE 33-7400 Jul. 22) (BR. 12 NEW ISSUE)
- S-6 PRUDENTIAL UNIT TRUSTS INSURED MULTISTATE TAX EXEMPT SER 18.

  ONE SEAPORT PL7 199 WATER ST. C/O PRUDENTIAL BACHE SECURITIES INC. NEW YORK. NY 10292

   2.475 (\$2.500.000) UNIT INVESTMENT TRUST. (FILE 33-7401 JUL. 22) (BR. 16

   NEW ISSUE)
- S-6 PRUDENTIAL UNIT TRUSTS INSURED TAX EXEMPT SERIES 19. ONE SEAPORT PLZ 199 WATER ST. C/O PRUDENTIAL BACHE SECURITIES INC. NEW YORK. NY 10292 2.475 (\$2.500.000) UNIT INVESTMENT TRUST. DEPOSITOR: PRUDENTIAL BACHE SECURITIES INC. (FILE 33-7402 JUL. 22) (BR. 16 NEW ISSUE)

- S-6 PRUDENTIAL UNIT TRUSTS INSURED TAX EXEMPT SERIES 20. ONE SEAPORT PLZ 199 WATER ST. C/O PRUDENTIAL BACHE SECURITIES INC. NEW YORK. NY 10292 2.475 (\$2.500.000)
  UNIT INVESTMENT TRUST. DEPOSITOR: PRUDENTIAL BACHE SECURITIES INC. (FILE 33-7403 JUL. 22) (BR. 16 NEW ISSUE)
- N-1A BT TAX FRFE INVESTMENT TRUST, 60 BROAD ST. NEW YORK, NY 10004 (212) 480-4155 INDEFINITE SHARES. (FILE 33-7404 JUL. 22) (BR. 18 NEW ISSUE)
- S-3 AAR CORP. 1111 NICHOLAS BLVD. ELK GROVE VILLAGE. IL 60007 (312) 439-3939 1.500.000 (\$33.660.000) COMMON STOCK. (FILE 33-7405 JUL. 22) (BR. 9)
- S-8 AMBASSADOR FINANCIAL GROUP INC. 8201 N UNIVERSITY DR. TAMARAC. FL 33320 (305) 722-3330 25.000 (\$132.500) COMMON STOCK. (FILE 33-7406 JUL. 22) (BR. 1)
- S-6 CORPORATE INCOME FUND 244 MONTHLY PAYMENT SERIES. ONE LIBERTY PLZ 21ST FL.

  C/O MFRRILL LYNCH PIERCE FENNER & SMITH. NEW YORK, NY 10080 INDEFINITE SHARES.

  DEPOSITOR: MERRILL LYNCH PIERCE FENNER & SMITH INC. PAINEWEBBER INC.

  PRUDENTIAL BACHE SECURITIES INC. SHEARSON LEHMAN BROTHERS INC.

  WITTER DEAN REYNOLDS INC. (FILE 33-7409 JUL. 23) (BR. 17 NEW ISSUE)
- S-6 MUNICIPAL INVESTMENT TRUST FUND 84 INTERMEDIATE TERM SERIES.

  ONE LIBERTY PLZ 21ST FL. C/O MERRILL LYNCH PIERCE FENNER & SMITH, NEW YORK, NY 10080 INDEFINITE SHARES. DEPOSITOR: DEAN WITTER REYNOLDS INC.

  MERRILL LYNCH PIERCE FENNER & SMITH INC. PAINEWEBBER INC.

  PRUDENTIAL BACHE SECURITIES INC. SHEARSON LEHMAN BROTHERS INC. (FILE 33-7410 JUL. 23) (8R. 17 NEW ISSUE)
- S-6 MUNICIPAL INVESTMENT TRUST FUND EIGHTIETH INSURED SERIES, ONE LIBERTY PLZ 21ST FL, C/O MFRRILL LYNCH PIERCE FENNER & SMITH, NEW YORK, NY 10080 INDEFINITE SHARES, DEPOSITOR: DEAN WITTER REYNOLDS INC, MERRILL LYNCH PIERCE FENNER & SMITH INC, PRUDENTIAL BACHE SECURITIES INC, SHEARSON LEHMAN BROTHERS INC. (FILE 33-7411 JUL. 23) (BR. 17 NEW ISSUE)
- S-8 GEICO CORP. GEICO PLZ. WASHINGTON. DC 20076 (301) 986-2027 500.000 (\$44.031.250) COMMON STOCK. (FILE 33-7412 JUL. 23) (BR. 13)
- S-6 GOVERNMENT SECURITIES INCOME FUND FREDDIE MAC SERIES 2. ONE LIBERTY PLZ 21ST FL.
  C/O MFRRILL LYNCH PIERCE FENNER & SMITH. NEW YORK. NY 10080 (212) 530-4150 INDEFINITE SHARES. DEPOSITOR: DEAN WITTER REYNOLDS INC.
  MERRILL LYNCH PIERCE FENNER & SMITH INC. PAINEWEBBER INC.
  PRUDENTIAL BACHE SECURITIES INC. SHEARSON LEHMAN BROTHERS INC. (FILE 33-7413 JUL. 23) (BR. 22 NEW ISSUE)
- S-8 SCIENTIFIC SOFTWARE INTERCOMP INC. 1801 CALIFORNIA ST 4TH FLR. DENVER. CD 80202 (303) 292-1111 100.000 (\$481.000) COMMON STOCK. (FILE 33-7433 JUL. 22) (BR. 10)
- S-4 BANK OF NEW ENGLAND CORP, 28 STATE ST, BOSTON, MA 02109 (617) 742-4000 289,200 (\$6,940,800) COMMON STOCK. (FILE 33-7434 JUL. 22) (BR. 1)
- S-3 AMERICAN EXPRESS CO. AMERICAN EXPRESS TWR. WORLD FINANCIAL CTR. NEW YORK. WY 10285 (212) 640-2000 461.510 (\$27.229.090) COMMON STOCK. (FILE 33-7435 JUL. 22) (BR. 12)
- S-8 CNC HOLDING CORP/DE, 29001 CEDAR RD, CLEVELAND, OH 44124 (216) 449-4100 4,000.000 (\$20.040.000) COMMON STOCK. (FILE 33-7436 Jul. 22) (BR. 2)
- S-1 TELECOMMUNICATIONS NETWORK INC. 146 MIDLAND AVE. KEARNEY. NJ 07032 (201) 997-8400 20.000.000 (\$20.000.000) CONVERTIBLE DEBENTURES AND NOTES. (FILE 33-7438 JUL. 23) (BR. 7)
- S-1 PROGRESS FINANCIAL CORP. 600 W GERMANTOWN PIKE. PLYMOUTH MEETING. PA 19462 (215) 825-8800 258.750 (\$4.657.500) COMMON STOCK. (FILE 33-7439 JUL. 23) (BR. 1)
- S-3 NEWELL CO /IL/. 29 EAST STEPHENSON ST. NEWWLL CENTER. FREEPORT. IL 61032 (815) 235-4171 1.730.000 (\$47.575.000) COMMON STOCK. (FILE 33-7440 JUL. 23) (BR. 6 NFW ISSUE)
- S-1 REUTER LABORATORIES INC /MI/. 8450 NATURAL WAY. MANASSAS PARK. CA 22111 (703) 361-2500 300.000 (\$1.650.000) COMMON STOCK. 690.000 (\$3.795.000) COMMON STOCK. UNDERWRITER: MARANTETTE & CO. (FILE 33-7441 JUL. 23) (BR. 7 NEW ISSUE)
- S-1 NORTHERN EMPIRE BANCSHARES. BO1 FOURTH ST. SANTA ROSA. CA 95404 (707) 529-2265 40.619 (\$406.190) COMMON STOCK. (FILE 33-7442 JUL. 23) (BR. 1)

- S-1 SOUTHMARK CRCA HEALTH CARE FUND VII LP. 2245 PERIMETER PARK STE 3. ATLANTA. GA 30341 (4)4) 451-4637 300.000 (\$30.000.000) LIMITED PARTNERSHIP CERTIFICATE. (FILE 33-7443 JUL. 23) (BR. 5 NEW ISSUE)
- S-8 UNITED FINANCIAL BANKING COMPANIES INC. 8399 LEESBURG PIKE. VIENNA. VA 22180 (703) 734-0070 108,000 (\$2,106.00C) COMMON STOCK. (FILE 33-7444 JUL. 23) (BR. 1)
- S-1 VTR INC /DE/. RIDC PARK W 173 INDUSTRY DR. PITTSBURGH. PA 15275 (412) 787-8890 250.000 (\$3.500.000) COMMON STOCK. 1.475.000 (\$20.650.000) COMMON STOCK. UNDERWRITER: HUTTON F F & CO INC. PARKER HUNTER INC. (FILE 33-7446 JUL. 23) (BR. 4 NEW ISSUE)
- S-8 VIFUO BANCORP. 26300 LA ALAMEDA. MISSION VIFUO. CA 92691 (714) 770-9000 418,606 (\$837.212) COMMON STOCK. (FILE 33-7449 JUL. 23) (BR. 1)
- N-1A CORPORATE CASH MANAGEMENT PLUS FUND INC. ONE FIRST NATIONAL PLZ. DAYTON. OH 45402 (513) 461-0332 INDEFINITE SHARES. (FILE 33-7450 JUL. 23) (BR. 16 NEW ISSUE)
- S-4 FIRST UNION CORP. FIRST UNION PLZ. CHARLOTTE. NC 28288 (704) 372-6565 280.000 (\$3.796.000) COMMON STOCK. 260.000 COMMON STOCK. (FILE 33-7453 JUL. 23) (BR. 1)

#### REGISTRATIONS EFFECTIVE

May 14: Presidential Life Corporation, 33-3658.

May 23: Coastal Strategies Income Fund A & B, 33-4724-LA.

June 2: Vertex Industries, Inc., 33-897-NY; Whim Creek Consolidated N.L., 33-5671. June 2: June 4: Guaranty Bancshares Corporation, 33-4616; Omniquest, Inc., 33-4580-A. Judy's Group, Inc., 33-6592; Westate Silver Mining, Inc., 33-4147-S. June 10: Crowley Financial Services, Inc., 33-4891-A; Mitchell-Lane, Inc., 33-5053-S. June 13: Brass Galleries, Inc., 2-98988-A; Citibank, N.A., 33-6368; Cliff Engle Ltd., 33-5612-NY; Extended Health Care Services, Ltd., 33-3896-A; Neolems, Inc., 33-2473-A. June 16: Candela Laser Corporation, 33-5448-B; Fitzgerald DeArman & Roberts, Inc., 33-4562-FW; Innova/Pure Water, Inc., 33-4688-A. June 17: Galileo Electro-Optics Corporation, 33-5474; National Sanitary Supply Company, 33-5604; NAC Re Corp., 33-5198. June 18: ATN, Inc., 33-5099-D; Cytogen Corporation, 33-5533; Mint Condition, Inc. 33-5678-NY; National Can Corporation, 33-3020; Pilgrim Venture Corporation, 33-4720-A; Sheppard Resources, Inc., 33-4812-A; Sunbelt Professional Services, Inc., 33-4465-D; Sun Life of Canada (U.S.) Variable Account D, 2-99958; Sun Life Insurance & Annuity Company of New York, 33-1079; Sun Life (N.Y.) Variable Account C, 33-1080.

June 19: Alberto-Culver Company, 33-4913; Choice Drug Systems, Inc., 33-5249; Computer Components Corporation, 33-3935-A; Global Health Systems, Inc., 33-4532; The Louisiana Lana and Exploration Company, 33-6593; Macy Merger Corp., 33-3448; Magenta Corporation, 33-5628-NY; Maxam Technologies Incorporated, 33-4708; The Navigators Group, Inc., 33-5667; Nusource Investments, Inc., 33-2572-D; Philip Morris Companies Inc., 33-6525; St. Joseph Bancorporation, Inc., 33-6085; Tenneco Inc., 33-6371; United Insurance Companies, Inc., 33-6411; United Water Resources Inc., 33-5741. June 20: AP Industries, Inc., 33-5119; Asterisk, Inc., 33-3349; Beeper Plus, Inc., 33-5516-LA; Beres Industries, Inc., 33-3987-NY; Dime Funding Corporation I, 33-4978; Entertainment Marketing, Inc., 33-5618; Falcon Capital & Ventures, Inc., 33-3444-LA; First Colonial Group, Inc., 33-4908; First Interstate Bancorp, 33-6421; First Wachovia Corporation, 33-6280; FreeDort-McMoRan Resource Partners, 33-5561; Gracoomore Ventures Inc., 33-3387-LA; Health Management Associates, Inc., 33-5341, 33-5342; HPSC, Inc., 33-5510; Interleaf, Inc., 33-5734; Ivy Medical, Inc., 33-5091; Keystone Medical Corporation, 33-4375; Louisiana Gas Service Co., 33-5457; Mark IV Industries, Inc., 33-6282; Rochester Gas and Electric Corporation, 33-5926, 33-5927; RJ Financial Corporation, 33-6262; Sizzler Restaurants International Inc., 33-6423; Sons and Daughters Company Limited Partnersip, 33-2822-NY; Teltone Corporation, 33-1703; Transmedia Network, Inc., 33-5036; Werner Enterprises, Inc., 33-5245; Worlds of Wonder, Inc., 33-5627.

## **ACQUISITIONS OF SECURITIES**

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

| · · · ·  |           | FORM  | EVENT<br>DATE | SHRS (000) /         |                          | FILING<br>STATUS |
|--|-----------|-------|---------------|----------------------|--------------------------|------------------|
| BRAINTREE SYGS BK MASS<br>MUTUAL SHARES CORP ET AL   | COM       | 13D   | 7/16/86       | 100<br>7.0           | 10502710<br>0.0          | NEW              |
| BRAND INSULATIONS INC<br>HARRIS IRVING B ET AL       | COM       | 13D   | 7/ 9/86       |                      | 10525010<br>42.1         | UPDATE           |
| CAPITALCARE CORP<br>HOSPITAL CAPITAL CORP            | COM       | 13D   | 7/13/86       | 5,667<br>20.0        |                          | UPDATE           |
| CAPITALCARE CORP<br>KHALIFA RIDA H ET AL             | COM       | 13D   | 7/13/86       |                      | 14054910<br>30.5         | UPDATE           |
| CARDIAC RESUSITATOR CORP<br>FLUM PARTNERS ET AL      | COM       | 13D   | 6/10/86       |                      | 14140910<br>9.2          | UPDATE           |
| CHAMPION PARTS REBUILDERS<br>GRAY SHELDON ET AL      | COM       | 13D   | 7/15/86       | 139<br>7.1           | 15860910<br>0.0          | HEM              |
| COMPUTER COMMUNICATIONS INC<br>SARLO GEORGE S ET AL  | COM       | 13D   | 6/20/86       | 574<br>10.2          | 2048 <b>85</b> 10<br>0.0 |                  |
| DIAGNOSTIC INC<br>CILCO INC                          | COM       | 13D   | 7/23/86       | 1,135<br>28.1        | 25244010<br>15.5         | UPDATE           |
| DUCOMMUN INC DEL<br>CLARK ESTATES INC                | COM       | 13D   | 7/21/86       | 484<br>14.4          |                          | UPDATE           |
| E M F CORP<br>B T ACQUISITION ET AL                  | COM       | 14D-1 | 7/25/86       | 3,003<br>95.1        |                          | UPDATE           |
| FLORAFAX INTL INC<br>CLARK EST INC ET AL             | COM       | 13D   | 7/18/86       | 899<br>20.8          | 33982510<br>0.0          | NEW              |
| FOODARAMA SUPERMARKETS INC<br>SAKER JOHN E           | COM       | 13D   | 7/ 1/86       | 123<br>9.2           | _                        | UPDATE           |
| FOODARAMA SUPERMARKETS INC<br>SAKER JOSEPH J         | COM       | 13D   | 7/ 1/86       | 191<br>1 <b>4.</b> 3 | _                        | UPDATE           |
| FOODARAMA SUPERMARKETS INC<br>SAKER MARY             | COM       | 13D   | 7/ 1/86       | 73<br><b>5.</b> 5    | 34482010<br>5.8          | UPDATE           |
| FOODARAMA SUPERMARKETS INC<br>SAKER RICHARD ET AL    | COM       | 13D   | 7/ 1/86       | 261<br>19.5          | 34482010<br>19.4         | UPDATE           |
| GULF UNION INDUSTIRES INC<br>COCODRIE FINANCIAL CORP | COM       | 13D   | 7/ 4/86       | 75<br>59.4           |                          | UPDATE           |
| GULF UNION INDUSTIRES INC<br>TAYLOR CLARK W          | COM       | 13D   | 7/ 4/86       | 75<br>59.4           |                          | UPDATE           |
| HAMMERMILL PAPER CD<br>BILZERIAN & MACK ASSOC ET     | COM<br>AL | 14D-1 | 7/25/86       | 3,281<br>20.2        | 40830610<br>0.0          |                  |
| HARWYN INDS CORP<br>INTERMARK GAMING INTL            | COM       | 13D   | 7/15/86       | 2,451<br>52.7        | 41802030<br>0.0          | NEW              |
| HOSPLEX SYSTEMS INC<br>FLUM PARTNERS ET AL           | COM       | 13D   | 5/16/86       |                      | 44090310<br>20.7         | UPDATE           |
| NATIONAL CTLS INC<br>STAVELEY INC ET AL              | COM       | 13D   | 7/15/86       |                      | 63556110<br>38.5         | UPDATE           |

| NATIONAL ENTERPRISES INC                                | COM       |                 |                 |               | 63581910          |        |
|---|-----------|-----------------|-----------------|---------------|-------------------|--------|
| AMLI REALTY CO  |           |                 | 7/15/86         | 0.0           | 7.2               | UPDATE |
| OSMONICS INC<br>CELANESE CORP                           | COM       | 13D             | 7/ 9/86         | 872<br>25.0   | 68835010<br>20.7  | UPDATE |
| PENN PACIFIC CORP<br>PALLISER INTL ENERGY               | PFD ST    | K SERIES<br>13D | 8 A<br>4/1,8/86 | 0<br>N∕A      | 70757699<br>N∕A   | NEW    |
| ROLLINS COMMUNICATIONS INC<br>GAMCO INVESTORS INC ET AL | COM       | 13D             | 7/22/86         | 2,979<br>20.4 | 77570810<br>11.8  | UPDATE |
| SANDERS ASSOC INC<br>JAMIE SECURITIES CO                | COM       | 13D             | 7/22/86         |               | 79985010<br>7.4   |        |
| SEA LD CORP<br>CSX CORP ET AL                           | COM       | 13D             | 7/22/86         |               | 81140810<br>100.0 |        |
| SEA LD CORP<br>SIMMONS HAROLD C.ET AL                   | COM       | 13D             | 7/22/86         | 0.0           | 81140810<br>39.2  | UPDATE |
| STANSBURY MNG CORP<br>HELLER FINL INC                   | COM NE    | ₩<br>13D        | 4/ 7/86         |               | 85472620<br>0.0   |        |
| SYRACUSE SUPPLY CO<br>BARCLAY H DOUGLAS ET AL           | COM       | 13D             | 7/ 1/86         |               | 87178610<br>52.7  | UPDATE |
| TECHSCIENCE INDS<br>HAFESH JOSEPH W                     | COM       | 13D             | 10/31/80        |               | 87890010<br>0.0   |        |
| TORO CO<br>STATE OF WISCONSIN INVEST                    | BD<br>BD  | 13D             | 7/23/86         |               | 89109210<br>5.0   | UPDATE |
| U S INTEC INC<br>MERCURY WARBURG INVMNT MG              | COM<br>MT | 13D             | 7/16/86         |               | 91208410<br>0.0   |        |
| WICHITA INDS INC<br>DAVIS J MORTON                      | COM       | 13D             | 6/23/86         |               | 96723110<br>5.5   |        |

## **RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events:

- Changes in Control of Registrant. Item 1.
- Item 2. Acquisition or Disposition of Assets.
- Item 3.
- Bankruptcy or Receivership.
  Changes in Registrant's Certifying Accountant.
  Other Materially Important Events.
  Resignations of Registrant's Directors. Item 4.
- Item 5.
- Item 6.
- Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

| COMPANY                               | ITEMS NO. | DATE           |
|---------------------------------------|-----------|----------------|
| ACROBE AUTOMATION TECHNOLOGY INC /FL/ | 4         | 07/01/86       |
| ALCO INTERNATIONAL GROUP INC          | 7         | 01/15/86       |
| ALPHA INDUSTRIES INC                  | 5         | 07/14/86       |
| AMERICAN FURNITURE CO INC             | 5         | 07/16/86       |
| AMERICAN SOUTHWEST FINANCIAL CORP     | 5.7       | 04/30/86 AMEND |
| AMFAC INC                             | 5         | 08/25/86       |
| ANGELES PARTNERS XV                   | 2.7       | 07/10/86       |

| APACHE PETROLEUM CO LP   | 2,7              | 06/30/86 AMEND       |
|--|------------------|----------------------|
| ARGOSY ENERGY INC  | 5                | 07/11/86             |
| APACHE PETROLFUM CO LP ARGOSY ENERGY INC BARCLAYS AMERICAN CORP BEI HOLDINGS LTD /DE/ BRISTOL INVESTMENTS INC CABLE ADVERTISING SYSTEMS INC CABLE ADVERTISING SYSTEMS INC CAMBRIDGE VENTURES INC CARLYLE REAL ESTATE LTD PARTNERSHIP IX CCES SYSTEMS INC CCNB CORP CENTENNIAL CAPITAL FUND CENTENNIAL GROUP INC CENTRAL FIDELITY BANKS INC CENTRAL LOUISIANA ELECTRIC CO INC CENTRAL LOUISIANA ELECTRIC CO INC CENTRAL LOUISIANA ELECTRIC CO INC COMBINED INTERNATIONAL CORP COMBINED INTERNATIONAL CORP COMBINED INTERNATIONAL CORP COMPUTER & COMMUNICATIONS TECHNOLOGY COR CONIFER GROUP INC /MA/ CONNECTICUT NATURAL GAS CORP COMPET LASERSONICS INC CORPORATE REALTY INCOME FUND I L P DANA CORP DOMINION BANKSHARES CORP DOMINION BANKSHARES CORP DUNOIL RESOURCES LTD F TRON CORP EL CAMIND BANCORP ELECTRO FUNDS CORP GRAHAM MCCORMICK OIL & GAS PARTNERSHIP GUARANTY BANCSHARES CORP HARPFER INTERNATIONAL INC HOLDER INC HOLDER INTERNATIONAL INC HOLDER INTERNAL INC HOLDER HOLDER HOLDER HOLDER HOLDER HOLDER HOLDER HOLDER HOL | 5•7              | 07/23/86             |
| BEI HOLDINGS LTD /DE/  | 4.5.7            | 07/01/86             |
| BRISTOL INVESTMENTS INC  | 1                | 07/16/86             |
| CABLE ADVERTISING SYSTEMS INC  | 5                | 07/08/86             |
| CAMBRIDGE VENTURES INC   | 1.4.7            | 07/15/86             |
| CARLYLE REAL ESTATE LTD PARTNERSHIP IX   | 2.7              | 07/11/86             |
| CC&S SYSTEMS INC   | 5•7              | 07/01/86             |
| CCNB CORP  | 2•7              | 07/09/86             |
| CENTENNIAL CAPITAL FUND  | 2.7              | 36/02/86             |
| CENTENNIAL GROUP INC   | 5                | 07/01/86             |
| CENTRAL FIDELITY BANKS INC   | 6                | 07/17/86             |
| CENTRAL LOUISIANA ELECTRIC CO INC  | 5                | 07/21/86             |
| CENTURY LABORATORIES INC   | 4.7              | 07/08/86             |
| CIS HIGH TECHNOLOGY INCOME FUND A  | 5                | 06/24/86             |
| CLINI THERM CORP   | 7                | 05/16/86 AMEND       |
| COAST MANUFACTURING CO INC   | NO ITEMS         | 06/03/86             |
| COMBINED INTERNATIONAL CORP  | 7                | 06/18/86 AMEND       |
| COMPUTER & COMMUNICATIONS TECHNOLOGY COR   | 2.5.7            | 07/02/86             |
| CONTEER GROUP INC /MA/   | 2.7              | 07/10/86             |
| CONNECTICUT NATURAL GAS CORP   | 5                | 07/14/86             |
| COOPER LASERSONICS INC   | 2.7              | 07/10/86             |
| CORPORATE REALTY INCOME FUND I L P   | 2.7              | 07/10/86             |
| DANA CORP  | 2                | 07/23/86 AMEND       |
| DOMINION BANKSHARES CORP   | 5.7              | 07/16/86             |
| DUNCIL RESOURCES LTD   | 5.7              | 04/07/86             |
| F TRON CORP  | 5                | 07/12/86             |
| FL CAMINO BANCORP  | 5                | 07/22/86             |
| FLECTRO FUNDS CORP   | 4                | 06/30/86             |
| FIMERS RESTAURANTS INC   | 2.7              | 07/28/86             |
| FRC INTERNATIONAL INC /NEW   | 5                | 07/16/86             |
| FABULOUS INNS OF AMERICA   | 5                | 07/22/86             |
| FARLEY NORTHWEST INDUSTRIES INC /IL/   | 7                | 06/24/86 AMEND       |
| FIRST BANC SECURITIES INC  | 2.7              | 06/30/86             |
| FIRST NATIONAL BANCORP /GA/  | 5                | 07/17/86             |
| FLEET EINANCIAL GROUP INC  | 5                | 07/17/86             |
| FRANKFORD CORP   | 4                | 07/15/86             |
| GRAHAM MCCORMICK OIL & GAS PARTNERSHIP   | 5•7              | 07/01/86             |
| CHARANTY BANCSHARES CORP   | 2.7              | 07/11/86             |
| HARPER INTERNATIONAL INC   | 7                | 07/21/86             |
| HOLDER INTERNATIONAL INDUSTRIES INC  | 2.7              | 07/15/86             |
| INTRAMECT ETNANCIAL CORP   | 5                | 07/15/86             |
| IND DEALTY TOUCT   | 2.7              | 07/11/86             |
| VEHEREL & ESCER CO /CA/  | 5                | 97/24/86             |
| I ALITHER THE  | 1.4.7            | 07/11/86             |
| LORI CORP  | 5.7              | 07/03/86             |
| MAINE PUBLIC SERVICE CO  | 3,5              | 07/01/86             |
| MARTIN LAWRENCE LTD EDITIONS INC   | 5,7              | 05/28/86             |
| MATERIAL SCIENCES CORP   | 2.7              | 07/12/86             |
| MAXICARE HEALTH PLANS INC  | 5,7              | 07/07/86             |
| MCNFIL REAL ESTATE FUND IV LTD   | 2                | 07/11/86             |
| MONFIL REAL ESTATE FUND VIII LTD   | 2                | 97/11/86             |
| MCNEIL REAL ESTATE FUND X LTD  | 2                | 07/11/86             |
| MCNEIL REAL ESTATE FUND XI LTD   | 2                | 07/11/86             |
| MCNEIL REAL ESTATE FUND XI LTD   | 2                | 07/11/86             |
| MCNEIL REAL ESTATE FUND XII LTD  | 2                | 07/11/86             |
| MCNEIL REAL ESTATE FUND XII LTD  | 2                | 07/11/86             |
| MCNFIL REAL ESTATE FUND XII LTD  | 2                | 07/11/86             |
| MERCK & CO INC   | 5.7              | 07/22/86             |
| MESABI TRUST   | 5,7              | 07/22/86             |
|  | 5                | 06/30/86             |
| MINNESOTA POWER & LIGHT CO   | 5                | 74/21/86             |
| MONARCH BANCORP  | 5                | 07/21/86             |
| MOUNTAINEER BANKSHARES OF W VA INC   | 5.7              | 06/30/86             |
| MSF CABLE SYSTEMS INC  |                  | 07/10/86             |
| NATHANS FAMOUS INC   | 4<br>5,7         | 07/10/86             |
| NATIONAL PATENT DEVELOPMENT CORP   | 5+1<br>7         | 07/11/86             |
| NIAGARA MOHAWK POWER CORP /NY/   | 2.7              | 11/26/85             |
| NRM 84-B INCOME LTD  |                  |                      |
|  | — <del>*</del> · |                      |
| OLD SECOND BANCORP INC<br>ONE VALLEY BANCORP OF WEST VIRGINIA INC  | 2 5              | 07/14/86<br>07/16/86 |

### RECENT 8K FILINGS CONT.

| PAC IFICORP                              | 7           | 07/25/86       |
|--|-------------|----------------|
| PLY GEM INDUSTRIES INC                   | 5.7         | 07/17/86       |
| POWER SPECTRA INC/CA                     | 5.6.7       | 07/09/86       |
| PRODEL INC                               | 2.7         | 07/17/86       |
| PS PARTNERS VI LTD                       | 5.7         | 04/10/86       |
| SEARS ROEBUCK & CO                       | 5.7         | 07/01/86       |
| SERVICE MERCHANDISE CO INC               | 2.7         | 05/08/86       |
| SHEARSON MURRAY REAL ESTATE FUND III LTD |             | 07/01/86       |
| SHEARSON MURRAY REAL ESTATE FUND V LTD   | <b>5</b>    | 07/01/86       |
| STAR ANUSEMENT CO INC                    | 5.7         | 07/11/86       |
|  |             | ·              |
| STATE BANCORP INC                        | 2.7         | 407 6 47 00    |
| SUMMCORP                                 | 5.7         | 07/17/86       |
| SUNAIR ELECTRONICS INC                   | 5           | 07/01/86       |
| SUNSAV INC                               | 5.7         | 07/24/86       |
| SYNCOR INTERNATIONAL CORP /DE/           | 5           | 07/16/86       |
| TELEPHONE SPECIALISTS INC                | 2           | 07/17/86       |
| TENNESSEE NATIONAL BANCSHARES INC        | 5<br>2<br>5 | 02/21/86       |
| TEXAS EASTERN CORP                       | 5           | 07/14/86       |
| TMBR DRILLING INC                        | 7           | 05/27/86 AMEND |
| TRANSAMERICA CORP                        | 5.7         | 07/17/86       |
|  |             | 07/28/86       |
| TRAVELERS CORP                           | 5.7         |                |
| TVC IMAGE TECHNOLOGY INC                 | 5           | 07/02/86       |
| UNION CARBIDE CORP                       | 5           | 07/22/86       |
| VERSA TECHNOLOGIES INC                   | 5           | 07/22/86       |
| VITRONICS CORP                           | 5           | 07/01/86       |