

# sec news digest

Issue 94-176

September 15, 1994

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## COMMISSION ANNOUNCEMENTS

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Following is a schedule of Commission meetings which will be conducted under provisions of the Government in the Sunshine Act. In general, the Commission expects to follow a schedule of holding open meetings on Wednesday mornings. Otherwise, meetings will be scheduled according to the requirements of agenda items under consideration.

### CLOSED MEETING - THURSDAY, SEPTEMBER 22, 1994 - 10:00 A.M.

The subject matter of the closed meeting scheduled for Thursday, September 22, 1994, at 10:00 a.m., will be: Institution of injunctive actions, Institution of administrative proceedings of an enforcement nature, Settlement of administrative proceedings of an enforcement nature, Settlement of injunctive actions.

### CHAIRMAN LEVITT ANNOUNCES TWO APPOINTMENTS IN DIVISION OF INVESTMENT MANAGEMENT

Chairman Levitt today announced the appointments of Jack W. Murphy as Associate Director in the Office of the Chief Counsel and Brenda D. Sneed as the Assistant Director in the Office of Insurance Products, both in the Division of Investment Management. Mr. Murphy will serve as the principal Division official responsible for legal interpretations relating to investment companies and investment advisers. As Assistant Director, Ms. Sneed will oversee and monitor the Division's regulation of variable insurance products and other investment oriented insurance contracts.

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## ENFORCEMENT PROCEEDINGS

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### CEASE-AND-DESIST, DISGORGEMENT ORDERED AGAINST J. PEEK GARLINGTON

The Securities and Exchange Commission announced that it has issued an Order Instituting Public Proceedings pursuant Section 21C of the Securities Exchange Act, Making Findings and Imposing Remedial Sanctions against J. Peek Garlington, Jr. (Garlington) of Atlanta, Georgia. The Commission simultaneously accepted an Offer of Settlement from Garlington in which he consented to the Order without admitting or denying the Commission's findings. The Order directed that he cease and

desist from any violation of the antifraud provisions of the Exchange Act and that he pay \$122,174.36 in disgorgement and interest.

This matter involved manipulation by Garlington of the closing price of the common stock of Cousins Properties, Inc. (Cousins), then traded on NASDAQ, through an active series of trades over a six-month period. The Commission found that Garlington accomplished this by "marking the close," or attempting to influence the closing price of Cousins stock by placing orders to buy small lots at prices from \$0.25 to \$.75 per share higher than the last previously reported trade. These trades were entered at the end of the trading day on seventy-seven days during the period with seventy of the trades executed in the last three minutes of trading.

The Commission further found that Garlington's end-of-day trades increased the closing price of Cousins stock and thereby increased the equity in a margin account in which he held a substantial position in the stock. The increased equity served to satisfy or reduce margin maintenance calls in the account or to prevent additional calls. The Commission found that Garlington profited from his manipulative trading by approximately \$92,750.00. (Rel. 34-34656)

#### COMPLAINT SEEKING PERMANENT INJUNCTIVE AND OTHER RELIEF FILED AGAINST TWELVE DEFENDANTS INVOLVING U.S. ENVIRONMENTAL, INC.

The Commission announced the filing of a complaint in the above-titled action seeking permanent injunctions, disgorgement and prejudgment interest, civil penalties, officer and director bars, and other relief. The Commission's application for an immediate freeze of the assets of Louis and Maria Sepe, and an accounting, is pending.

The complaint charges violations of certain registration, antifraud, and reporting provisions of the securities laws. The complaint also charges that defendants Mark D'Onofrio, Ramon D'Onofrio, Castle Securities Corp., Michael T. Studer, John Romano, Louis J. Sepe, and Mark A. Geller conspired to violate certain registration and antifraud provisions.

The allegations arise out of a classic blind pool offering, subsequent market manipulation fueled by material misrepresentations and omissions relating to U.S. Environmental, Inc. (USE), and the fraudulent sale of the underlying stock of USE to unsuspecting retail customers by broker-dealers who accepted undisclosed kickbacks from promoters in return for retailing the stock.

Simultaneously with the filing of the complaint, defendants USE, Mark D'Onofrio, and Ramon D'Onofrio consented to the entry of Final Judgments of Permanent Injunctive and Other Relief on Consent granting the relief sought in the Commission's complaint. Specifically, defendants Mark D'Onofrio and Ramon D'Onofrio agreed to disgorge, jointly and severally, ill-gotten gains of \$940,374.52 plus prejudgment interest. In addition, they agreed to officer and director bars and to be enjoined from acting as promoters, finders, consultants, agents, or in any other capacity

with a broker, dealer, or issuer for the purposes of the issuance or trading in any security. [SEC. v. U.S. ENVIRONMENTAL, INC., CASTLE SECURITIES CORP., MARK J. D'ONOFRIO, RAMON N. D'ONOFRIO, LOUIS J. SEPE, ERNEST MICCICHE, MARK A. GELLER, MICHAEL T. STUDER, JOHN ROMANO, LESLIE S. ROTH, and DUDLEY MIHRAN FREELAND, Defendants, and MARIA SEPE, Relief Defendant, Civil Action No. 94-6608 PKL, S.D.N.Y.] (LR-14233)

#### PERMANENT INJUNCTION ENTERED AGAINST JAMES BROOKS IN BOILER-ROOM FRAUD

The Commission announced that the Honorable Joseph A. DiClerico, Jr., Chief Judge of the United States District Court for the District of New Hampshire, permanently enjoined James Brooks (a.k.a. David Gertzinger) from violating Sections 5(a) of the Exchange Act and Rule 10b-5 thereunder. The court issued the injunction on the basis of a default judgment entered against Brooks. At the Commission's request, the court did not decide the appropriate amounts of disgorgement and penalties and granted the Commission leave to present additional evidence on those issues.

The Commission has alleged that Brooks and other defendants raised at least \$3.5 million from a fraudulent offering of unregistered securities which resulted in sales to more than 100 investors in 25 states. The Commission also alleged that, although the defendants told investors that the proceeds of their investments would be used to fund consumer automobile loans, most of the money was converted to the defendants' personal benefit.

In a federal indictment, Brooks has been charged with, among other things, securities fraud and perjury in connection with evidence that Brooks submitted in opposition to the Commission's action. Brooks is free on bail pending his criminal trial. [SEC v. Norman L. Brooks, et al., U.S.D.C., Dist. N.H., Civil Action No. 94-167-JD] (LR-14235)

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#### INVESTMENT COMPANY ACT RELEASES

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##### WORLD APPRECIATION FUND, INC.

An order has been issued under Section 8(f) of the Investment Company Act declaring that World Appreciation Fund, Inc. has ceased to be an investment company. (Rel. IC-20546 - September 13)

##### THE PIERPONT CAPITAL APPRECIATION FUND

A notice has been issued giving interested persons until October 7 to request a hearing on an application filed by The Pierpont Capital Appreciation Fund for an order pursuant to Section 8(f) of the Investment Company Act declaring that applicant has ceased to be an investment company. (Rel. IC-20547 - September 13)

THE PIERPONT EQUITY FUND

A notice has been issued giving interested persons until October 7 to request a hearing on an application filed by The Pierpont Equity Fund for an order pursuant to Section 8(f) of the Investment Company Act declaring that applicant has ceased to be an investment company. (Rel. IC-20548 - September 13)

THE PIERPONT INTERNATIONAL EQUITY FUND, INC.

A notice has been issued giving interested persons until October 7 to request a hearing on an application filed by The Pierpont International Equity Fund, Inc. for an order pursuant to Section 8(f) of the Investment Company Act declaring that applicant has ceased to be an investment company. (Rel. IC-20549 - September 13)

THE PIERPONT MONEY MARKET FUND

A notice has been issued giving interested persons until October 7 to request a hearing on an application filed by The Pierpont Money Market Fund for an order pursuant to Section 8(f) of the Investment Company Act declaring that applicant has ceased to be an investment company. (Rel. IC -20550 - September 13)

THE PIERPONT BOND FUND

A notice has been issued giving interested persons until October 7 to request a hearing on an application filed by The Pierpont Bond Fund for an order pursuant to Section 8(f) of the Investment Company Act declaring that applicant has ceased to be an investment company. (Rel. IC-20551 - September 13)

THE PIERPONT TAX EXEMPT BOND FUND

A notice has been issued giving interested persons until October 7 to request a hearing on an application filed by The Pierpont Tax Exempt Bond Fund for an order pursuant to Section 8(f) of the Investment Company Act declaring that applicant has ceased to be an investment company. (Rel. IC-20552 - September 13)

THE PIERPONT TAX EXEMPT MONEY MARKET FUND

A notice has been issued giving interested persons until October 7 to request a hearing on an application filed by The Pierpont Tax Exempt Money Market Fund for an order pursuant to Section 8(f) of the Investment Company Act declaring that applicant has ceased to be an investment company. (Rel. IC-20553 - September 13)

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## HOLDING COMPANY ACT RELEASES

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### OHIO VALLEY ELECTRIC CORPORATION

An order has been issued authorizing Ohio Valley Electric Corporation (OVEC), an electric public utility subsidiary company of American Electric Power Company, Inc. and Allegheny Power System, Inc., both registered holding companies, to purchase or lease 515 railcars for approximately \$22.7 million. OVEC proposes to use the railcars to deliver coal to the Clifty Creek Plant of its associate company, Indiana-Kentucky Electric Corporation, and to sublease the railcars to his associate companies and , during periods of non-utilization, to non-affiliates. (Rel. 35-26125)

### NORTHEAST UTILITIES

A supplemental order has been issued granting a proposal by Northeast Utilities, a registered holding company, and its subsidiary companies, Holyoke Water Power Company, Western Massachusetts Electric Company, Quinnehtuk Company, Public Service Company of New Hampshire, North Atlantic Energy Corporation, The Connecticut Light & Power Company (CL&P), Northeast Nuclear Energy Company, the Rocky River Realty Company and HEC Inc. (collectively, Applicants). The Applicants propose that the aggregate amount of short-term debt that CL&P may have outstanding at any one time through December 31, 1994 be increased from its presently authorized level of \$375 million to \$500 million. (Rel. 35-26126)

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## SELF-REGULATORY ORGANIZATIONS

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### APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change submitted by the New York Stock Exchange (SR-NYSE-94-01) under Rule 19b-4 to allow the admission of entities with new organizational structures as members. (Rel. 34-34664)

### ORDER APPROVING PROPOSED RULE CHANGE

The Commission approved a proposed rule change (SR-DTC-94-07) filed by The Depository Trust Company under Section 19(b) of the Securities Exchange Act. The rule change provides for the establishment of the stock loan income-tracking system. (Rel. 34-34665)

#### DELISTING ACTIONS

An order has been issued granting the application of Bio-Dental Technologies Corporation to strike from listing and registration its Common Stock, \$.01 Par Value, on the Boston Stock Exchange. (Rel. 34-34663)

#### UNLISTED TRADING PRIVILEGES GRANTED

A notice has been issued giving interested persons until October 4 to comment on the application of the Philadelphia Stock Exchange for unlisted trading privileges in 13 issues which are listed and registered on one or more other national securities exchanges and are reported in the consolidated transaction reporting system. (Rel. 34-34666)

An order has been issued granting the application of the Cincinnati Stock Exchange for unlisted trading privileges in one issue which is listed and registered on one or more other national securities exchange and is reported in the consolidated transaction reporting system. (Rel. 34-34667)

An order has been issued granting the application of the Chicago Stock Exchange for unlisted trading privileges in the common stock of one issue which is listed and registered on one or more other national securities exchange and is reported in the consolidated transaction reporting system. (Rel. 34-34668)

An order has been issued granting the application of the Philadelphia Stock Exchange for unlisted trading privileges in the common stock of one issue which is listed and registered on one or more other national securities exchange and is reported in the consolidated transaction reporting system. (Rel. 34-34669)

#### UNLISTED TRADING PRIVILEGES SOUGHT

A notice has been issued giving interested persons until October 4 to comment on the application of the Boston Stock Exchange for unlisted trading privileges in 17 issues which are listed and registered on one or more other national securities exchange and are reported in the consolidated transaction reporting system. (Rel. 34-34670)

A notice has been issued giving interested persons until October 4, to comment on the application of the Chicago Stock Exchange for unlisted trading privileges in 3 issues which are listed and registered on one or more other national securities exchange and are reported in the consolidated transaction reporting system. (Rel. 34-34671)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-6 GUARDIAN SEPARATE ACCOUNT K, 201 PARK AVENUE SOUTH, NEW YORK, NY 10003  
- INDEFINITE SHARES. (FILE 33-83412 - AUG. 29) (BR. 20 - NEW ISSUE)
- S-1 ATHENA ACQUISITIONS INC, 370 LEXINGTON AVE, 19TH FLR, NEW YORK, NY 10017  
(212) 687-4230 - 4,000 (\$25,000) COMMON STOCK. 300,000 (\$300)  
WARRANTS, OPTIONS OR RIGHTS. 300,000 (\$2,062,500) COMMON STOCK. (FILE  
33-83716 - SEP. 07) (BR. 14 - NEW ISSUE)
- S-4 CP FUNDING CORP, 936 BROADWAY, C/O CHELSEA PIERS MANAGEMENT INC,  
NEW YORK, NY 10010 (212) 995-7600 - 44,094,491 (\$44,094,491.07)  
MORTGAGE BONDS. (FILE 33-83762 - SEP. 07) (BR. 11 - NEW ISSUE)
- S-1 GENERAL CHEMICAL GROUP INC, LIBERTY LANE, HAMPTON, NH 03842  
(603) 929-2606 - 100 (\$200,000,000) COMMON STOCK. UNDERWRITER:  
DONALDSON LUFKIN & JENRETTE SECURITIES C, LAZARD FRERES & CO,  
MORGAN STANLEY & CO INC, SALOMON BROTHERS INC. (FILE 33-83766 - SEP. 07)  
(BR. 2 - NEW ISSUE)
- F-1 INDONESIAN SATELLITE CORP, INDOSAT BLDG, JALAN MEDAN MERDEKA BARAT 21,  
JAKARTA 10110 INDONESIA, K8 (021) 380-2614 - 362,425,000 (\$1,058,281,000)  
FOREIGN COMMON STOCK. (FILE 33-83772 - SEP. 07) (BR. 7 - NEW ISSUE)
- S-8 FBC FINANCIAL CORP, 1698 SOUTH LYNN RIGGS BLVD, CLAREMORE, OK 74017  
(918) 341-7100 - 16,000 (\$345,280) COMMON STOCK. (FILE 33-83776 - SEP. 07)  
(BR. 1)
- F-6 P T INDONESIAN SATELLITE CORP, 48 WALL ST, C/O BANK OF NEW YORK,  
NEW YORK, NY 10286 (212) 495-1727 - 150,000,000 (\$7,500,000)  
DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-83778 - SEP. 07) (BR. 99  
- NEW ISSUE)
- N-2 NUVEEN MUNICIPAL GROWTH FUND, 333 WEST WACKER DR, CHICAGO, IL 60606  
(312) 917-7700 - 100,000 (\$2,000,000) COMMON SHARES OF BENEFICIAL INTEREST.  
UNDERWRITER: JOHN NUVEEN & CO INC. (FILE 33-83780 - SEP. 07) (BR. 18  
- NEW ISSUE)

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## ACQUISITIONS OF SECURITIES

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Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column - 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
ABEX INC	COM		5,939	00338710	
LIBRA INVEST & TRADE LTD	13D	8/23/94	30.1	30.1	UPDATE
ABEL TELCOM HLDG CORP	COM NEW		982	00371230	
TAYLOR GIDEON	13D	9/ 9/94	12.9	16.1	UPDATE
AMERICAN MOBILE SYS INC	COM PAR \$0.01		2,514	02755950	
NEXTEL COMMUNICATIONS INC	13D	9/ 8/94	34.7	34.7	UPDATE
AUTOMOBILE PROTN CORP - APCO	COM		338	05290510	
GOLDSTEIN ROBERT D ET AL	13D	9/ 1/94	6.0	0.0	NEW
BIO RAD LABS INC	CL B		81	09057210	
EGAN BERNARD A	13D	9/ 9/94	4.4	0.0	NEW
BIO RAD LABS INC	CL A		616	09057220	
EGAN BERNARD A	13D	9/ 9/94	7.6	9.5	UPDATE
CELEBRITY ENTERTAINMENT INC	COM		54	15092410	
EE CORP	13D	8/31/94	4.0	6.8	UPDATE
CHARTER MED CORP	COM		2,510	16124170	
HUFF W R ASSET MGMT LP ET AL	13D	8/30/94	9.4	10.6	UPDATE
FIDELITY BANCORP INC DEL	COM		184	32699710	
FIRST SAVE ASSOCIATES ET AL	13D	8/26/94	4.9	0.0	NEW
SAMSON ENERGY CO LTD	DEPOSITARY UNIT		727	79602210	
SAMSON PPTYS INC ET AL	14D-1	9/ 9/94	15.7	0.0	NEW



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RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			
ADVACARE INC	DE					X					09/07/94	
AFFINITY TELEPRODUCTIONS INC /FL	DE	X							X		08/31/94	
AGRI QUEST MINING INC		X			X	X					09/02/94	
AMDURA CORP	DE				X	X					09/09/94	
AMERICAN EXPLORATION CO	DE	X			X	X					08/31/94	
AMES DEPARTMENT STORES INC	DE				X						09/12/94	
AQUA AUSTRALIS INC	NV	X									09/08/94	
ARROW ELECTRONICS INC	NY	X									08/29/94	
ARVIDA JMB PARTNERS L P	DE				X						09/13/94	
BALL CORP	IN				X	X					09/08/94	
BLACK & DECKER CORP	MD				X	X					09/12/94	
BLACK HILLS CORP	SD	X									09/12/04	
BORDEN INC	NJ				X	X					07/31/94	
BRANDYWINE REALTY TRUST	MD	X				X					08/08/94	
CAMBRIDGE NEUROSCIENCE INC	DE				X	X					09/02/94	
CARDINAL HEALTH INC	OH				X						09/12/94	
CARLYLE REAL ESTATE LTD PARTNERSHIP XV	IL				X	X					09/12/94	
CBC BANCORP INC	CT				X						09/01/94	
CHARTER MEDICAL CORP	DE	X				X					06/30/94AMEND	
CHIEF CONSOLIDATED MINING CO	AZ				X						09/08/94	
CHIQUITA BRANDS INTERNATIONAL INC	NJ				X						09/02/94	
CLARK EQUIPMENT CO /DE/	DE				X	X					12/31/93	
CMC SECURITIES CORP II	DE				X	X					08/25/94	
COLUMBIA LABORATORIES INC	DE				X	X					09/06/94	
COMPREHENSIVE CARE CORP	DE	X									08/25/94	
COMPUTER COMMUNICATIONS INC	DE	X						X			09/09/94	
COMPUTER CONCEPTS CORP /DE	DE				X						09/02/94	
CORESTATES FINANCIAL CORP	PA					X					12/31/93	
CORESTATES FINANCIAL CORP	PA					X					03/16/94AMEND	
CORESTATES FINANCIAL CORP	PA					X					05/05/94AMEND	

## 8K FILINGS CONT.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
CORESTATES FINANCIAL CORP	PA								X	06/27/94	AMEND
COUNTRY WORLD CASINOS INC	NV				X				X	09/08/94	
DAIRY MART CONVENIENCE STORES INC	DE				X					08/30/94	
DYNACQ INTERNATIONAL INC	NV	X			X				X	08/04/94	
ECCS INC	NJ				X					09/02/94	
EL CHICO RESTAURANTS INC	TX							X		08/26/94	
FIRST BANCORP /NC/	NC	X							X	08/25/94	
FIRST BRANDS CORP	DE	X							X	08/26/94	
FLEET FINANCIAL GROUP INC /RI/	RI				X				X	09/07/94	
GAME A TRON CORP	DE									NO ITEMS	08/31/94
GAMMA INTERNATIONAL LTD	DE				X				X	08/31/94	
GEN RX INC	NY									NO ITEMS	09/02/94
GENERAL NUTRITION COMPANIES INC	DE	X							X	08/25/94	
GMAC MORTGAGE CORP OF IOWA MORT PAS THR	PA									NO ITEMS	08/23/94
GMAC MORTGAGE CORP OF IOWA MORT PAS THR	PA									NO ITEMS	08/25/94
GMAC MORTGAGE CORP OF IOWA MORT PAS THR	PA									NO ITEMS	08/25/94
GMAC MORTGAGE CORP OF IOWA MORT PAS THR	PA									NO ITEMS	08/25/94
HARNISCHFEGER INDUSTRIES INC	DE							X		09/08/94	
HONDO OIL & GAS CO	DE							X		09/07/94	
INTERNATIONAL ENVIRONMENTAL HOLDINGS INC	AZ	X							X	08/25/94	
LITTLEFIELD ADAMS & CO	NJ				X					09/01/94	
LVI GROUP INC	DE				X				X	08/22/94	
MAPCO INC	DE	X							X	09/01/94	
MARION CAPITAL HOLDINGS INC	IN				X				X	08/16/94	
MALLINCKRODT GROUP INC	NY	X								07/21/94	
MERCER INTERNATIONAL INC	WA								X	07/03/94	AMEND
MERIDIAN POINT REALTY TRUST 83	CA				X				X	08/18/94	
NATIONAL CITY BANCSHARES INC	IN				X					08/19/94	
NATIONAL HEALTH INVESTORS INC	MD									NO ITEMS	08/31/94
NBB BANCORP INC	DE				X				X	08/26/94	
NEVADA ENERGY COMPANY INC	DE				X				X	06/24/94	
NOEL GROUP INC	DE								X	06/29/94	AMEND
NOMURA ASSET SECURITIES CORP	DE	X							X	08/25/94	
OPPENHEIMER INDUSTRIES INC	DE									NO ITEMS	08/01/94
OXIGENE INC					X				X	08/29/94	
PACIFIC GAS & ELECTRIC CO	CA				X					09/12/94	
PACIFIC REHABILITATION & SPORTS MEDICINE	DE	X							X	06/27/94	AMEND
PETROLEUM HEAT & POWER CO INC	MN								X	09/12/94	AMEND
PNC MORTGAGE SEC CORP MORT PASS THRO CER					X				X	08/31/94	
PNC MORTGAGE SEC CORP MORT PASS THRO CER					X				X	08/31/94	
PNC MORTGAGE SECURITIES CORP MOR PAS THR					X				X	08/31/94	
PNC MORTGAGE SECURITIES CORP MOR PASS TH					X				X	08/31/94	
PROTECTION ONE ALARM MONITORING INC	DE	X							X	07/26/94	AMEND
PROTECTION ONE ALARM SERVICES INC	OR	X							X	07/26/94	AMEND
PROTECTION ONE INC	DE	X							X	07/26/94	AMEND
R&G FEDERAL MORTGAGE TRUST 1993-1					X				X	08/25/94	
R&G MORTGAGE CORP					X				X	08/25/94	
ROOMAN & RENSHAW CAPITAL GROUP INC	DE				X					06/24/94	
SANDS REGENT	NV				X				X	09/02/94	
SAXON MORTGAGE SECURITIES CORP	VA				X				X	08/24/94	



8K FILINGS CONT.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
UNITED MORT SEC CORP COND MOR VAR RT PA	DE					X	X			08/25/94	
UPTICK VENTURES INC	CO	X								08/24/94	
UPTICK VENTURES INC	CO	X								08/25/94	
VIDEO LOTTERY TECHNOLOGIES INC/DE	DE				X	X				09/06/94	
WEIRTON STEEL CORP	DE				X					08/29/94	
WESTERN FINANCIAL 1994-1 GRANTOR TRUST					X	X				09/01/94	
WESTMORELAND COAL CO	DE				X	X				09/12/94	
WHITE RIVER CORP	DE	X				X				08/25/94	
WLR FOODS INC	VA	X								09/29/94	
WORLDWIDE FOREST PRODUCTS INC	CO				X	X				08/31/94	