

# sec news digest

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Issue 77-172

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September 6, 1977

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## RULES AND RELATED MATTERS

U.S. SECURITIES AND  
EXCHANGE COMMISSION

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### RULE 17Ac3-1 AND FORM TA-W ADOPTED

The Commission announced the adoption of Rule 17Ac3-1 and Form TA-W under the Securities Exchange Act of 1934, prescribing the procedure to be used for withdrawing the registrations of transfer agents registered with the Commission. (Rel. 34-13914)

### STATEMENT OF POLICY GOVERNING

#### MUTUAL FUND SALES LITERATURE AMENDED: COMMENTS REQUESTED

The Commission amended its Statement of Policy governing mutual fund sales literature to allow certain additional charts and tables reflecting compound rates of total return and adopted an interpretive letter procedure under which the staff can express its opinion relative to the proposed use of charts and tables not included in the Statement. The Commission also requested comments on certain proposed changes in the requirements relating to charts and tables currently approved by the Statement. Comments should be submitted in triplicate to George A. Fitzsimmons, Secretary, Securities and Exchange Commission, Washington, D.C. 20549, and refer to File No. S7-537. All material should be designated "Program to Revise the Statement of Policy." Such communications will be available for public inspection. (Rel. 33-5862)

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## COMMISSION ANNOUNCEMENTS

### FILES ON CORPORATE PAYMENTS MADE PUBLIC

The Commission, pursuant to requests under the Freedom of Information Act, has made available to the public certain previously non-public files in its possession relating to questionable corporate payments. Files concerning the following corporation are made available beginning today: Monsanto Company. These files, and others made available previously, may be inspected at the Commission's Public Reference Room, Room 6101, 1100 L Street, N.W., Washington, D.C., between the hours of 9 a.m. and 5 p.m. Persons wishing further information may call the Public Reference Room at (202) 523-5360.

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## COURT ENFORCEMENT ACTIONS

### ROBERT WALDMAN AND DAVID E. DICK SENTENCED

The Boston Regional Office announced that on August 30 Chief Federal Judge Andrew J. Caffrey of the U.S. District Court for the District of Massachusetts sentenced David E. Dick of Newton, Massachusetts to ten years in prison and Robert Waldman of Brookline, Massachusetts to five years in prison for violating the securities laws. Waldman and Dick were found guilty by a jury on July 14 of 15 counts of securities fraud in the offer and sale of interest in three Massachusetts limited partnerships. (U.S. v. Robert Waldman, et al., U.S.D.C. Mass., Criminal Action No. 77-69-C). (LR-8094)

### RESTRAINING ORDER ENTERED IN BEISINGER INDUSTRIES CASE

The Boston Regional Office announced that on August 12 following an evidentiary hearing, the Honorable Frank J. Murray of the U.S. District Court for the District of Massachusetts issued an order temporarily restraining the First National Bank of Boston from paying, releasing or transferring any funds of Beisinger Industries Corporation to or for the benefit of Beisinger Industries of Canada, Inc., Court J. Beisinger or Jeanette H. Beisinger. Judge Murray scheduled a further hearing for August 25. (SEC v. Beisinger Industries Corp., et al., Civil Action No. 75-4660-M, D. Mass.). (LR-8095)

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## TRUST INDENTURE ACT RELEASES

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### THE STANDARD OIL COMPANY AND SOHIO PIPE LINE COMPANY

An order has been issued on an application of The Standard Oil Company, an Ohio corporation (Sohio) and Sohio Pipe Line Company, a Delaware corporation (Sohio Pipe Line), pursuant to Section 310(b)(1)(ii) of the Trust Indenture Act of 1939, declaring that the trusteeship of Morgan Guaranty Trust Company of New York under an indenture dated as of December 1, 1974 with Sohio/BP Trans Alaska Pipeline Finance Inc. (which name has since been changed to Sohio/BP Trans Alaska Pipeline Capital Inc.) and three indentures each dated as of December 1, 1976 with Sohio, all of which are qualified under the Act, and under a new indenture dated as of July 1 with the City of Valdez, Alaska, which is not qualified under the Act, are not so likely to involve a material conflict of interest as to make it necessary in the public interest or for the protection of investors to disqualify Morgan Guaranty from acting as trustee under any of these indentures. (Rel. TI-483)

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## LISTING, DELISTING AND UNLISTED TRADING ACTIONS

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### WITHDRAWAL FROM LISTING SOUGHT

A notice has been issued giving interested persons until September 28 to submit facts bearing upon an application by Hawaiian Telephone Company to withdraw its first mortgage bonds, series G, 3-1/8%, due July 15, 1980 from listing and registration on the Honolulu Stock Exchange. (Rel. 34-13919)

A notice has been issued giving interested persons until September 28 to submit facts bearing upon an application by Frequency Sources, Inc. to withdraw its common stock, \$.10 par value, from listing and registration on the Boston Stock Exchange. (Rel. 34-13920)

Notices have been issued giving interested persons until September 29 to submit facts bearing upon the applications to withdraw from listing and registration on the American Stock Exchange, Inc. the securities of the following companies: Mark Controls Corporation, common stock, \$1.00 par value; and Overhead Door Corporation, common stock, \$1.00 par value. (Rel. 34-13927)

### DELISTING GRANTED

An order has been issued granting the application of the American Stock Exchange, Inc. to strike from listing and registration the common stock, no par value, of Inarco Corporation. (Rel. 34-13926)

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## SELF-REGULATORY ORGANIZATIONS

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### NOTICE OF PROPOSED RULE CHANGE

The New York Stock Exchange, Inc. has filed a proposed rule change under Rule 19b-4 (SR-NYSE-77-24) to establish procedures and standards for evaluating specialist performance in order to permit reallocation of specialty stocks without the institution of a disciplinary proceeding. Publication of the proposed rule change is expected to be made in the Federal Register during the week of September 5. (Rel. 34-13915)

The NYSE has also filed a proposed rule change under Rule 19b-4 (SR-NYSE-77-20) to amend Exchange Rules 390, 395 and 396 to conform those Rules to the provisions of Rule 19c-1 under the Securities Exchange Act of 1934 and NYSE Rule 54 to clarify that the scope of that Rule does not extend to the NYSE limiting or conditioning a member's ability to initiate a trade in the over-the-counter market by transmitting an order from the NYSE floor to the member's office. Publication of the proposal is expected to be made in the Federal Register during the week of September 5. (Rel. 34-13925)

The Midwest Stock Exchange, Inc. has filed a proposed rule change under Rule 19b-4 (SR-MSE-77-31) to clarify the practice that bids for memberships are accepted upon preliminary determination that no statutory disqualification to membership exists. Publication of the proposal is expected to be made in the Federal Register during the week of September 5. (Rel. 34-13916)

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC pursuant to the Securities Act of 1933. The information noted below has been taken from the cover page and the facing sheet of the prospectus and registration statement and will appear as follows: Form; Name, address and phone number (if available) of the issuer of the security; Title and the number or face amount of the securities being offered; Name of the managing underwriter (if applicable); Whether the offering is a rights offering; and File number and date filed.

- (S-1) UNICARE SERVICES INC., 105 West Michigan St., Milwaukee, Wis. 53203 - \$5,540,000 of 12% subordinated debentures, due 1995. Underwriter: Robert W. Biard & Co. Inc. (File 2-59809 - Sept. 2)
- (S-1) NEW ENGLAND BUSINESS SERVICE, INC., South St., Townsend, Mass. 01470 (617) 597-8711 - 50,000 shares of common stock. Underwriter: Tucker, Anthony & R.L. Day, Inc. (File 2-59810 - Sept. 2)
- (S-1) CENTURY 21 REAL ESTATE CORPORATION, 18872 MacArthur Blvd., Irvine, Cal. 92715 - 770,000 shares of common stock. Underwriters: Wedbush, Noble, Cooke, Inc. and Bateman Eichler, Hill Richards Inc. (File 2-59811 - Sept. 2)
- (S-16) PLY-GEM INDUSTRIES, INC., 919 Third Ave., New York, N.Y. 10022 (212) 832-1550 - 2775 shares of common stock. (File 2-59812 - Sept. 2)
- (S-11) THE ST. JOHN INVESTMENT PARTNERS, LTD., 1820 Dain Tower, Minneapolis, Minn. 55402 - 400 units of limited partnership units. Underwriters: Dain, Kalman & Quail, Inc. and Bosworth, Sullivan & Company, Inc. (File 2-59813 - Sept. 2)
- (S-7) MOSTEK CORPORATION, 1215 West Crosby Rd., Carrollton, Tex. 75006 - 500,000 shares of common stock. Underwriter: E. F. Hutton & Company Inc. (File 2-59814 - Sept. 2)
- (S-7) THE MOUNTAIN STATES TELEPHONE AND TELEGRAPH COMPANY, 931 Fourteenth St., Denver, Colo. 80202 (303) 624-2424 - \$225 million of forty-year debentures, due 2017. (File 2-59815 - Sept. 2)
- (S-8) INFOREX, INC., 21 North Ave., Burlington, Mass. 01803 - 140,500 shares of common stock. (File 2-59816 - Sept. 2)
- (S-7) CONSUMERS POWER COMPANY, 212 West Michigan Ave., Jackson, Mich. 49201 (517) 788-1030 - \$100 million of first mortgage bonds, due 2007. (File 2-59817 - Sept. 2)
- (S-8) FALCON PRODUCTS, INC., 9387 Dielman Industrial Dr., St. Louis, Mo. 63132 - 15,400 shares of common stock. (File 2-59818 - Sept. 2)
- (S-8) COX BROADCASTING CORPORATION, White Columns, 1601 West Peachtree St., N.E., Atlanta, Ga. 30309 - 70,928 shares of common stock. (File 2-59819 - Sept. 2)
- (S-8) FALCON PRODUCTS, INC., 9387 Dielman Industrial Dr., St. Louis, Mo. 63132 - 20,000 shares of common stock. (File 2-59820 - Sept. 2)
- (S-14) MID-CONTINENT TELEPHONE CORPORATION, 100 Executive Parkway, Hudson, Ohio 44236 (216) 653-7000 - 25,000 shares of common stock. (File 2-59821 - Sept. 2)
- (S-7) TEXAS INTERNATIONAL COMPANY, 700 National Foundation Center, 3545 N.W. 58th St., Oklahoma City, Okla. 73112 (405) 947-8661 - 600,000 shares of cumulative convertible preferred stock. Underwriter: Drexel Burnham Lambert Inc. (File 2-59822 - Sept. 2) and \$15 million of subordinated debentures, due 1997. Underwriter: same as above. (File 2-59823 - Sept. 2)
- (S-11) HOTEL ASSOCIATES OF ATLANTIC CITY, 85 Washington Ave., Little Ferry, N.J. 07643 - 4,000 interests of limited partnership interests. Underwriter: Hugh Johnson & Co., Inc. (File 2-59824 - Sept. 2)
- (S-16) CALLAHAN MINING CORPORATION, CBT Plaza, 1120 Post Rd., Darien, Conn. 06820 (203) 655-7751 - 17,638 shares of common stock. (File 2-59825 - Sept. 2)
- (S-8) THE OLD STONE BANK THRIFT INCENTIVE PLAN, 150 South Main St., Providence, R.I. 02903 - 20,000 shares of common stock. (File 2-59826 - Sept. 2)
- (S-1) MAY PETROLEUM INC., One Energy Sq., Suite 1000, 4925 Greenville Ave., Dallas, Tex. 75206 - 1,400,000 shares of common stock. (File 2-59827 - Sept. 6)

REGISTRATIONS EFFECTIVE

Aug. 30: Unified Income Fund, Inc., 2-58149.

Aug. 31: Campbell Taggart, Inc., 2-59618; Central Telephone Co. of Virginia, 2-59652; Clabir Corp., 2-59538; The Corporate Income Fund, Sixtieth Monthly Payment Series, 2-59596; Digital Equipment Corp., 2-59724; Guardian Exploration Ltd. 1977-B, 2-59207 (90 days); The Liberty Corp., 2-59706; Management Assistance, Inc., 2-59554; Mobile Communications Corp. of America, 2-58518; Raven Industries, Inc., 2-59527.

NOTE TO DEALERS. When applicable the 90-day period of time dealers are required to use the prospectus is noted above in parentheses after the name of the issuer. As to the other issuers, there may be no such requirement to use a prospectus, or the requirement may be for a period of only 40 days; see Section 4(3) of the Securities Act of 1933 and Rule 174 (17 CFR 230.174) thereunder.

**ACQUISITIONS OF SECURITIES**

Companies and individuals must report to the Commission within 10 days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds 5%. The following companies or individuals filed Schedule 13D's during the period August 22 - 26, 1977. The number of shares of the security which are beneficially owned, and the number of shares concerning which there is a right to acquire are set forth for each beneficial owner.

<u>REPORTING COMPANY OR INDIVIDUAL</u>	<u>ISSUER &amp; NUMBER OF SHARES</u>	<u>DATE FILED</u>
Raynor E. Baldwin	Falcon Products, Inc. (St. Louis, MO) Common Stock - 47,200 shs.	8-22-77
J. D. Mason	Love Oil Company, Inc. (Sioux Falls, SD) Common Stock - 515,000 shs. (13%)	8-22-77
Richard D. Hibbard, Individually, Joint Tenants, Partner & Co-Trustee	Pacific-Southern Mortgage Trust (San Diego, CA) Shares of Beneficial Interest - 43,950	8-22-77
Bateman Eichler Hill Richards, Inc.	Vernitron Corp. (Great Neck, NY) Common Stock - 487,900 shs. (11.3%)	8-22-77
Lynn F. Min John H. Freeman	Jamesbury Corp. (Worcester, MA) Common Stock - 47,511 shs. (Mrs. Min and John Freeman have agreed to form a corporation to acquire 220,000 shares of Jamesbury Common Stock from their father, Howard G. Freeman.)	8-22-77
Centennial Industries, Inc.	Barth-Spencer Corp. (Valley Stream, NY) Common Stock - 427,771 shs. (37.35%) (Included above are shares owned by Centennial Industries, Inc. Pension Plan.)	8-22-77
Volunteer Natural Gas Co. Inc.	Colonial Natural Gas Co. (Pulaski, VA) Common Stock - 422,269 shs. (89.46%) (Above shares were acquired pursuant to the Tender Offer.)	8-22-77
* Canadian Imperial Bank of Commerce Trust Company (Bahamas) Ltd. (Bahamas)	Hyatt International Corp. (Chicago, IL) Class A Common Stock - 85,500 shs.	8-22-77
* Robert W. Wilson	C. I. Realty Investors (Boston, MA) Common Stock - 154,000 shs.	8-22-77
* Neuberger & Berman	National Aviation & Technology Corp. (NYC) Capital Stock - 175,100 shs.	8-22-77
* Hawkeye Bancorporation Employee Stock Ownership Plan	Hawkeye Bancorporation (Des Moines, IA) Common Stock - 290,771 shs.	8-22-77

## ACQUISITION REPORTS CONT.

* Banister Continental Ltd. (Canada)	Raymond International Inc. (Houston, TX) Common Stock - 757,654 shs.	8-22-77
* Williamson-Dickie Manufacturing Company	Blessings Corporation (NYC) Common Stock - 482,750 shs. (59.7%)	8-22-77
Rexham Corporation	Graphic Arts Packaging Corp. (Garden, CA) Common Stock - 402,000 shs. (67%) (Rexham has agreed to purchase above shares from Max Factor & Co. and George Arkin, Trustee under Myers 1971 Trust.)	8-22-77
William R. Boles Clarke M. Williams O. D. Westbrook J. L. Cook, et al	Palomar Financial (Monroe, LA) Common Stock - 196,212 shs. (Each of the persons filing this statement proposes to acquire approximately 59,695.6 shares.)	8-22-77
Goldman, Sachs & Co.	Tishman Realty & Construction Co. Inc. (NYC) Common Stock - 329,500 shs.	8-22-77
Goldman, Sachs & Co.	U. S. Natural Resources, Inc. (Menlo Park, CA) Common Stock - 144,600 shs.	8-22-77
Goldman, Sachs & Co.	International Couriers Corp. (Chicago, IL) Common Stock - 155,400 shs.	8-22-77
Wilmer J. Thomas, Jr.	Halco Products Corporation (NYC) Common Stock - 140,000 shs.	8-22-77
* Thomas F. Stroock	Century Oil & Gas Corp. (Denver, CO) Class A Common Stock - 1,884,942 shs. (17.14%) (In addition, Mr. Stroock owns 388,889 shares Class B Common Stock (38.89%). These shares are held in escrow.)	8-22-77
* Jim Pattison Enterprises, Ltd. (Canada) and Jim Pattison Industries, Ltd. (Canada) (Wholly-owned subsidiary of Jim Pattison Enterprises, Ltd.)	Neonex International Ltd (Vancouver, British Columbia, Canada) Common Stock - 3,158,297 shs. (43.8%)	8-22-77
* First Continental Life Group, Inc. and its wholly-owned subsidiary First Continental Life and Accident Insurance Co.	Ideal National Insurance Co. (Salt Lake City, UT) Common Stock - 198,474 shs. (40.2%)	8-22-77
* Paul I. Stevens	Campbell Industries Inc. (San Diego, CA) Common Stock - 186,084 shs. (24.51%)	8-22-77
* ADC Corporation (Wholly-owned subsidiary of Genevco Capital Services Ltd.) Standard Security Life Insurance Co. of N. Y. Stannet Capital Corporation	First Texas Financial Corp. (Dallas, TX) Common Stock - 155,000 shs.	8-22-77
Jacques Amsellem (France)	Shopwell, Inc. (Bronx, NY) Common Stock - 96,700 shs.	8-23-77
Carrier Corporation	Inmont Corporation (NYC) Common Stock - 747,200 shs. (Above shares were acquired from Esmark, Inc. Pursuant to Plan of Reorganization, Inmont will be merged into a wholly-owned subsidiary of Carrier.)	8-23-77

## ACQUISITION REPORTS CONT.

Herbert J. Rowe, Individually and as Trustee Ann Muter Rowe, Individually and as Trustee Continental Illinois National Bank & Trust Co. of Chicago, as Trustee	Pencor, Inc. (Westchester, IL) Common Stock - 226,367 shs.	8-23-77
* Stanley Marsh, 3 Tom F. Marsh Michael C. Marsh Estelle Marsh Wattington	Kingstip Communications, Inc. (Austin, TX) Common Stock - 27,530 shs.	8-23-77
American General Insurance Company	Allright Auto Parks, Inc. (Houston, TX) Common Stock - 99,400 shs.	8-24-77
* Rockefeller Center, Inc.	Bio-Medical Sciences, Inc. (Fairfield, NJ) Common Stock - 14,400 shs. (Center also has the right to acquire an additional 60,000 shares upon conversion of Notes and exercising of Warrants.)	8-24-77
* Pocantico Fund	Bio-Medical Sciences, Inc. (Fairfield, NJ) Common Stock - 13,920 shs. (Fund also has the right to acquire an additional 73,920 shares upon conversion of Notes.)	8-24-77
* Rockefeller University	Bio-Medical Sciences, Inc. (Fairfield, NJ) Common Stock - 310,714 shs.	8-24-77
* John D. Rockefeller, 3rd Blanchette H. Rockefeller	Bio-Medical Sciences, Inc. (Fairfield, NJ) Common Stock - 16,800 shs. (Above registrants also have the right to acquire an additional 60,000 shares upon conversion of Notes.)	8-24-77
* David Rockefeller	Bio-Medical Sciences, Inc. (Fairfield, NJ) Common Stock - 9,600 shs. (Mr. Rockefeller also has the right to acquire an additional 45,000 shares on conversion of Notes.)	8-24-77
A.M.W., Inc.	Wirtz Productions, Ltd. (Chicago, IL) Common Stock - 655,273 shs. (68.9%) (653,273 of above shares were acquired pursuant to an exchange of 653,273 shares of Common Stock of A.M.W., Inc.)	8-25-77
Johan Dammers (Netherlands)	Atwood Oceanics, Inc. (Houston, TX) Common Stock - 238,802 shs. (Mr. Dammers owns all outstanding stock in Holding and Investment Co. "Oceanica" Inc. and Compania Financiera "Soleada" S.A. (Panama) which own above shares.)	8-25-77
Sebastian Carcioppolo J. Roy Morris	Scanforms, Inc. (Bristol, PA) Convertible Debentures - \$250,000 Promissory Note - \$150,000 (Debentures will be converted into 40% of outstanding Common Stock after recapitalization plan. Registrants also hold proxies for approximately 42% of outstanding shares of Common Stock, which terminate upon effectiveness of recapitalization. A reverse split on a 1 for 5 basis is proposed. A new class of Preferred Stock (500,000) is also proposed.)	8-25-77
AMEV Holdings Inc. (Wholly-owned subsidiary of N.V. AMEV (Netherlands) N.V. AMEV (Netherlands)	Telegeneral Corporation (NYC) Common Stock - 125,000 shs. (13.23%) Common Stock - 5,000 shs. on exercise of a Warrant	8-25-77

ACQUISITION REPORTS CONT.

AMEV Holdings Inc. (Wholly-owned subsidiary of N.V. AMEV (Netherlands) N. V. AMEV (Netherlands)	Listfax Corporation (NYC) Class A Common Stock - 73,600 shs. Class A Common Stock - 125,000 shs. on exercise of a Warrant	8-25-77
AMEV Holding Inc. (Wholly-owned subsidiary of N.V. AMEV (Netherlands) N. V. AMEV (Netherlands)	Rectisel Corporation (Yonkers, NY) Common Stock - 233,334 shs. (16.27%) Common Stock - 114,200 shs. on conversion of Note	8-25-77
* TRE Corporation	Ohio Brass Company (Mansfield, OH) Common Stock - 44,600 shs.	8-25-77
* Edward Elliman	Douglas L. Elliman & Co. (Inc.) (NYC) Common Stock - 221,306 shs.	8-25-77
* Sigma Limited (British Virgin Islands)	Diamond Shamrock Corp. (Cleveland, OH) Common Stock - 2,320,000 shs.	8-25-77
* Amended Acquisition Report		

The following have made offers to acquire stock of companies listed below pursuant to tender offers as reported to the Commission on Schedule 13D:

<u>OFFER TO PURCHASE BY:</u>	<u>ISSUER &amp; NUMBER OF SHARES TO BE PURCHASED</u>	<u>DATE FILED</u>
Finco Ltd. (Channel Islands) (Subsidiary of Pricel (France)	United Piece Dye Works (NYC) Common Stock - 575,000 shs. (49%) for cash at \$5.25 net per share (Pricel and subsidiaries presently own 566,886 shares (48%))	8-23-77
* J. Ray McDermott & Co. Inc.	Babcock & Wilcox Company (NYC) Common Stock - 4,300,000 shs. for cash at \$62.50 net per share (The amended offer increased the price from \$60.00 net per share.)	8-23-77
* United Technologies Corp.	Babcock & Wilcox Company (NYC) Common Stock - All outstanding shares for cash at \$58.50 net per share (The amended offer increased the price from \$55.00 net per share.)	8-23-77
Allegheny Corporation	Investors Diversified Services, Inc. (Minneapolis, MN) Class A Common Stock - 200,000 shs. for cash at \$28.00 net per share Class B Common Stock - 1,300,000 shs. for cash at \$7.00 net per share (Allegheny presently owns 495,815 shares (10.9%) of Class A and 6,313,660 shares of Class B (59.3%))	8-25-77
Crane Co.	Chemetron Corporation (Chicago, IL) Common Stock - All outstanding shares for cash at \$40.00 net per share (Crane presently owns 175,000 shares of Common Stock.)	8-25-77
* J. Ray McDermott & Co. Inc.	Babcock & Wilcox Company (NYC) Common Stock - 4,800,000 shs. for cash at \$62.50 net per share (The amended offer increased the number of shares from 4,300,000.)	8-25-77
Hanamerica, Inc. (Wholly-owned subsidiary of Franz Haniel & Cie GmbH) (West Germany)	Scrivner, Inc. (Oklahoma City, OK) Common Stock - All outstanding shares for cash at \$18.50 net per share	8-26-77
* Amended Tender Offer		

Form 8-K is used by companies to file current reports on the following events: <sup>\*/</sup>

- Item 1. Changes in Control of Registrant;
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Section (in ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

COMPANY	ITEM NO.	DATE
ASI COMMUNICATIONS INC	2,8	08/77
AZL RESOURCES INC	4	07/29/77
BERGEN BRUNSWIG CORP	5,6	07/77
BROWNING	1,6	08/22/77
CALIFORNIA REALTY FUND	2,5	07/31/77
CAVANAGH COMMUNITIES CORP	2,6	08/18/77
DELTA CALIFORNIA INDUSTRIES	2,5,6	07/29/77
DUNLAP & ASSOCIATES INC	11	07/77 *
FINANCIAL GROUP OF AMERICA	5	08/01/77
GELCO CORP	2,6	08/15/77
GIDDINGS & LEWIS INC	5	07/31/77
GOLDEN WEST FINANCIAL CORP	5	07/27/77
HAHN ERNEST W INC	5	07/31/77
ISC FINANCIAL CORP	5	08/10/77
MACDERMID INC	5	07/31/77
MAYFAIR SUPER MARKETS INC	5	07/15/77
MICRO MASK INC	2,6	07/01/77
NORTHWESTERN PUBLIC SERVICE CO	5	08/09/77
PARAMOUNT LEASING CORP	3	06/30/77
REGENCY NATIONAL LTD	5,6	08/16/77
ROBINO LADD CO	4,6	08/05/77
SANTA ANA VALLEY IRRIGATION CO	5,6	07/77
SAVANNAH ELECTRIC & POWER CO	5	08/01/77

\*/ The Form 8-K was amended (Rel. 34-13156, January 13, 1977) effective for all events which occur subsequent to February 28, 1977. Those companies above which are noted with an asterisk (\*) have filed pursuant to the old Form 8-K; the captions of the item numbers under which such reports are filed are as follows:

- |   |   |
|---|---|
| Item 1. Changes in Control of Registrant              | Item 9. Options to Purchase Securities  |
| Item 2. Acquisition or Disposition of Assets          | Item 10. Extraordinary items, other material charges and credits and capital restatements |
| Item 3. Legal Proceedings                             | Item 11. Submission of Matters to a Vote of Security Holders                              |
| Item 4. Changes in Securities                         | Item 12. Changes in Registrant's Certifying Accountant                                    |
| Item 5. Changes in Security for Registered Securities | Item 13. Other Materially Important Events  |
| Item 6. Defaults upon Senior Securities               | Item 14. Financial Statements and Exhibits  |
| Item 7. Increase in Amount of Securities Outstanding  |   |
| Item 8. Decrease in Amount of Securities Outstanding  |   |

**NOTICE**

Many requests for copies of documents referred to in the SEC News Digest have erroneously been directed to the Government Printing Office. Copies of such documents and of registration statements may be ordered from the Public Reference Section, Securities and Exchange Commission, Washington, D.C. 20549. The reproduction cost is 10¢ per page plus postage (7 days) (\$3.50 minimum); 20¢ per page plus postage for expedited service (4 days) (\$5.00 minimum) and 30¢ per page plus postage for priority service overnight (\$5.00 minimum). Cost estimates are given or request. All other reference material is available in the SEC Docket.

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SEC DOCKET is published weekly. Subscription rates: \$43.70/yr in U.S. first class mail, \$54.65 elsewhere. The News Digest and the Docket are for sale by the Superintendent of Documents, Government Printing Office, Washington, D.C. 20402.