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## DECISIONS IN ADMINISTRATIVE PROCEEDINGS

U.S. SECURITIES AND  
EXCHANGE COMMISSION

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### BOSTON STOCK EXCHANGE GRANTED UNLISTED TRADING PRIVILEGES IN STOCK OF LUDLOW CORPORATION

The Commission has granted the application of the Boston Stock Exchange (BSE) for unlisted trading privileges in the common stock of Ludlow Corporation. Ludlow had opposed the BSE's request. The company's stock has been listed on the New York Stock Exchange (NYSE) since 1965 but, until now, has neither been listed nor traded on any other exchange.

The Commission found that there was a considerable amount of local interest in Ludlow, a Massachusetts corporation with its principal offices located near Boston, that the BSE will provide an appropriate medium for trading the company's stock, and that certain competitive and other factors exist which could lead to transactions in Ludlow being executed on the BSE rather than the NYSE.

The Commission rejected Ludlow's argument that, before the BSE's application could be granted, the BSE had to show that an active trading market would be established for Ludlow. The Commission stated: "It is abundantly clear that, when it [amended the Securities Exchange Act] in 1975, Congress did not intend to erect new barriers to the granting of unlisted trading privileges. Rather, it appears that the governing standards were simply recast to reflect the Act's new focus on the development of a national market system. And Congress wanted to foster competition within that system, not to deter it." (Rel. 34-13359)

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## COMMISSION ANNOUNCEMENTS

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### FAI INVESTMENT ANALYSTS, INC., FINANCIAL ANALYSTS, INC., RICHARD F. BRIDGES

Administrative Law Judge Ralph Hunter Tracy has filed an initial decision suspending the registration as a broker-dealer of FAI Investment Analysts, Inc., Atlanta, Georgia, for one year, and suspending Richard F. Bridges and Financial Analysts, Inc., from association with a broker-dealer for the same period of time. The decision may be appealed to the Commission.

The Administrative Law Judge found that in connection with certain limited partnership offerings which were not registered with the Commission, FAI Investment Analysts, Inc., Richard F. Bridges and Financial Analysts, Inc., had failed to escrow investors' funds and to return such funds when conditions of the offerings were not met, in violation of the Securities Exchange Act of 1934; that they had violated the antifraud provisions of the securities laws in connection with such offerings; and that FAI Investment Analysts, Inc. aided and abetted by Richard F. Bridges and Financial Analysts, Inc., had violated recordkeeping and net capital provisions of the securities laws and rules and regulations thereunder.

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## COURT ENFORCEMENT ACTIONS

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### COMPLAINT NAMES L & S PETROLEUM, INC., OTHERS

The Fort Worth Regional Office announced that on March 23 the Federal District Court at Oklahoma City, Oklahoma, filed a civil injunctive action against L & S Petroleum, Inc., Oklahoma City; Thomas A. Laumann, Oklahoma City; Peter R. Maupin, Universal City, Texas; Kenneth G. Hungerford II, Grand Rapids, Michigan; and Courtland Rand Michaels, Hartville, Ohio, charging them with violations of the registration provisions of the securities laws in the offer and sale of fractional undivided working interests in Oklahoma oil and gas leases. The complaint also charged L & S Petroleum, Inc., and Laumann with violations of the antifraud provisions of the securities laws. (SEC v. L & S Petroleum, Inc., et al., CA-77-0268-D, W.D. Oklahoma). (LR-7848)

## DONALD EDWARD HELMS INDICTED

The Atlanta Regional Office announced that on February 8 a federal grand jury in Birmingham, Alabama returned a five count indictment against Donald Edward Helms of Memphis, Tennessee. The indictment charges Helms with securities fraud in the offer and sale of municipal securities in the nature of industrial revenue bonds issued by the City of Haysville, Kansas. (N.D. Alabama, Indictment No. CR77H00064-NE). (LR-7849)

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## INVESTMENT COMPANY ACT RELEASES

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### FUND FOR FEDERAL SECURITIES

An order has been issued on an application of Fund for Federal Securities, Inc., declaring that it has ceased to be an investment company. (Rel. IC-9697 - Mar. 28)

### MERCURY GROWTH FUNDS

A notice has been issued giving interested persons until April 25 to request a hearing on a proposal by the Commission to declare by order on its own motion, pursuant to Section 8(f) of the Act, that Mercury Growth Funds, Inc., has ceased to be an investment company. (Rel. IC-9698 - Mar. 28)

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## TRUST INDENTURE ACT RELEASES

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### AMERICAN AIRLINES, INC.

A notice has been issued giving interested persons until April 20 to request a hearing on an application of American Airlines, Inc. (the company), a Delaware corporation, pursuant to Section 310(b)(1)(ii) of the Trust Indenture Act of 1939, declaring that the trusteeship of Bankers Trust Company under certain existing indentures and under a proposed new indenture is not so likely to involve a material conflict of interest as to make it necessary in the public interest or for the protection of investors to disqualify Bankers Trust Company from acting as trustee under one of these indentures. (Rel. TI-463)

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## SELF-REGULATORY ORGANIZATIONS

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### NOTICE OF PROPOSED RULE CHANGE

The New England Securities Depository Trust Company (NESDTCO) has filed a proposed rule change pursuant to Rule 19b-4 (SR-NESDTCO-77-5) to establish NESDTCO as a participant in The Depository Trust Company. Publication of the proposal is expected to be made in the Federal Register during the week of March 28. (Rel. 34-13408)

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## RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events: <sup>\*/</sup>

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Section (in ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

COMPANY	ITEM NO.	DATE	
ATLANTA & CHARLOTTE AIR LINE RAILWAY CO	7	02/77	*
BIG MEDICAL SCIENCES INC	13	03/77	*
DELTAK CORP	11	02/77	*

RECENT 8K FILINGS CONT.

ELECTRONIC DATA SYSTEMS CORP	13,14	02/77	*
FALSTAFF BREWING CORP	3	02/77	*
GUARDIAN MORTGAGE INVESTORS	6,14	02/77	*
INSTRUMENT SYSTEMS CORP	7,8,13,14	02/77	*
JET AIR FREIGHT	5	02/77	*
MICRO MASK INC	2,6	03/02/77	
RIUGWAYS INC	3,14	02/09/77	*
SCHAAK ELECTRONICS INC	8	01/77	*
SOUTHERN RAILWAY CARGLINA DIVISION	7	02/77	*
TX INDUSTRIES INC	3	02/77	*
WARNER CO	2	02/25/77	*
AIR PRODUCTS & CHEMICALS INC	7,8,14	02/77	*
ALABAMA DRY DOCK & SHIPBUILDING CO	13	02/77	*
ALASKA AIRLINES INC	8	02/77	*
ALLCITY INSURANCE CO	13,14	02/77	*
AMERICAN CONSOLIDATED CORP	1,2,8,14	02/77	*
AMERICAN DISTRICT TELEGRAPH CO	2,3,7,13,14	02/77	*
AMERICAN EXPORT LINES INC	3	02/77	*
AMERICAN FINANCIAL CORP	7	02/77	*
AMERICAN INDEMNITY FINANCIAL CORP	7	02/77	*
AMERICAN INVESTORS LIFE INSURANCE CO INC	1,11	02/77	*
AMERICAN SCIENCE & ENGINEERING INC	6,13	02/77	*
AMERITEL ENTERPRISES INC	13	11/76	*
AMERITEL ENTERPRISES INC	3,14	02/77	*
APECO CORP	13	02/77	*
AVNET INC	2,14	02/77	*
AZTEC MANUFACTURING CO	3	02/77	*
BANCSHARES OF NEW JERSEY	14	02/77	*
BAYLY CORP	7	02/77	*
BAZAR INC	2,14	02/77	*
BECTON DICKINSON & CO	11,13,14	02/77	*
BENDIX CORP	11,14	02/77	*
BISHOP GRAPHICS INC	13,14	02/77	*
BLACK HILLS POWER & LIGHT CO	4,14	02/77	*
BRISTOL SILVER MINES CO	4,6	03/01/77	
BURNHAM AMERICAN PROPERTIES	2	02/77	*
CAL MAINE FOODS INC	7,8	02/77	*
CALIFORNIA COMPUTER PRODUCTS INC	3	02/77	*
CANADIAN JAVELIN LTD	3,13,14	02/77	*
CENTURY OIL & GAS CORP	13	02/77	*
CHARTER MEDICAL CORP	4,7,8,11,14	02/77	*
CHATEAU DE VILLE INC	1,13	02/77	*
CIC FINANCIAL CORP	13	02/77	*
COMPUTER CONSOLES INC	9,13,14	02/77	*
CONGRESS LIFE CORP	5	03/02/77	
CONSOLIDATED FREIGHTWAYS INC	3	02/77	*
CURTIS HELENE INDUSTRIES INC	3	02/77	*
CUTLER MANNER INC	3	02/77	*
DAN RIVER INC	13,14	02/77	*
DATRONIC RENTAL CORP	8,14	02/77	*
DEAN FOODS CO	3,13	02/77	*
DECISION DATA COMPUTER CORP	9,13	11/76	*
DECISION DATA COMPUTER CORP	13,14	02/77	*
DEXTRA CORP	13,14	02/77	*
DIVERSIFIED MORTGAGE INVESTORS	3	02/77	*
DOCTOR PEPPER BOTTLING CO OF SOUTHERN CA	1,2,5,7,8,11,14	02/77	*
DONNKENNY INC	7	02/77	*
DPE INC	7,8,13,14	02/77	*
DRICO INDUSTRIAL CORP	1	09/76	*
EDUCATIONAL DEVELOPMENT CORP	2,13	02/77	*
EDUCATIONAL READING AIDS CORP	13	02/77	*
ERG INDUSTRIES INC	2	02/77	*
FALCON PRODUCTS INC	12	02/77	*
FIRST VIRGINIA MORTGAGE & REAL ESTATE IN	5	03/07/77	
FIRSTMARK CORP	7,8,10,14	02/77	*
FLORAFAX INTERNATIONAL INC	7	02/77	*
FORD MOTOR CREDIT CO	7,11	02/77	*
FOSTER WHEELER CORP	4,14	03/77	*
FOTGMAT CORP	13	02/77	*
GAC CORP	3,6,13,14	02/77	*
GAMBLE SKOGMO INC	13	02/77	*
GAZ METROPOLITAIN INC	14	02/77	*
GCA CORP	7,11,13,14	02/77	*

RECENT 8K FILINGS CONT.

GENERAL COMPUTER SYSTEMS INC	13	02/77	*
GENERAL DEVELOPMENT CORP	7	02/77	*
GENERAL FELT INDUSTRIES INC	13	02/77	*
GLOBE INDUSTRIES INC	13	02/77	*
HAVATAMPA CORP	13	02/77	*
HMM INDUSTRIES INC	6,13	02/77	*
HOE R & CO INC	13,14	02/77	*
HOSPITAL FINANCIAL CORP	10,14	02/77	*
ILLINGIS CENTRAL GULF RAILROAD CO	3,7,14	02/77	*
IMC MAGNETICS CORP	2,13,14	02/77	*
INTERCONTINENTAL DIVERSIFIED CORP	2,3,13	02/77	*
INTERNATIONAL BUSINESS MACHINES CORP	3,13	02/77	*
INTERNATIONAL INVESTORS LIFE INSURANCE C	11	02/77	*
INTERSTATE UNITED CORP	5,6	03/15/77	*
INVESTMENT CORP OF FLORIDA	13	02/77	*
IVAC CORP	13	02/77	*
JAMES FRED S & CO INC	4	03/02/77	*
JOHNSON CONTROLS INC	7,8,13,14	02/77	*
KEY PHARMACEUTICALS INC	9	02/77	*
KOBACKER STORES INC	8	02/77	*
LANCER PACIFIC INC	2,6	03/01/77	*
LEATH & CO	7	02/77	*
LOVE OIL CO INC	5	02/28/77	*
LRC INC	2,3,14	02/77	*
LRC INC	5	03/08/77	*
MADISON DOLLY INDUSTRIES INC	13,14	02/77	*
MAGIC MARKER CORP	4,5	02/77	*
MARINE RESOURCES INC	13	02/77	*
MCNEIL PACIFIC INVESTORS FUND 1972	13	02/77	*
MCNEIL REAL ESTATE FUND FOUR LTD	13	02/77	*
MCNEIL REAL ESTATE FUND V LTD	13	02/77	*
MCNEIL REAL ESTATE FUND VI LTD	2,7,13	02/77	*
MCRAE CONSOLIDATED OIL & GAS INC	1	03/77	*
MEDISCIENCE TECHNOLOGY CORP	12,14	02/77	*
MICROWAVE ASSOCIATES INC	11,14	02/77	*
MIDWEST MANAGEMENT CORP	6	02/77	*
MISTER STEAK INC	5	02/77	*
MOUNTAIN FUEL SUPPLY CO	3	02/77	*
MOUNTAIN VIEW FUTURES FUND	13	06/76	*
MUSIC FAIR ENTERPRISES INC	10,14	02/77	*
NATIONAL FACTORS INC	3	02/77	*
NATIONAL INVESTMENT CORP INC	2,14	02/77	*
NATIONAL PRESTO INDUSTRIES INC	13,14	02/77	*
NATIONAL RURAL UTILITIES COOPERATIVE FIN	7,13	02/77	*
NEMBERRY J J CO	3	02/77	*
NEWPORT GENERAL CORP	3,13	03/10/77	*
NIAGARA MOHAWK POWER CORP	3,13	02/77	*
NICOLET INSTRUMENT CORP	9	02/77	*
NORTH LILY MINING CO	7	02/77	*
NORTH SHORE GAS CO ILLINOIS	7,11,13,14	02/77	*
NORTHWESTERN BELL TELEPHONE CO	8	02/77	*
OCEANOGRAPHY INTERNATIONAL CORP	13	02/77	*
ODDEN CORP	3	02/77	*
OLSON FARMS INC	5	02/04/77	*
OMNI WAVE ELECTRONICS CORP	13,14	02/77	*
USB CORP	13	02/77	*
PACIFIC AMERICAN REAL ESTATE FUND 1971B	2,6	03/77	*
PACIFIC PLAN INVESTORS FUND NC ONE	13	02/77	*
PANAX CORP	2	02/77	*
PATRICK PETROLEUM CO 1976 77 DRILLING PR	7	02/77	*
PATRICK PETROLEUM CO 77 COMBINATION PROG	7	02/77	*
PECKHAM INDUSTRIES INC	2,14	02/77	*
PEOPLES GAS CO	7,11,13,14	02/77	*
PEOPLES GAS LIGHT & COKE CO	13	02/77	*
PERFORMANCE SYSTEMS INC	13	01/77	*
PETRO LEWIS OIL INCOME PROGRAM VI	13	02/77	*
PHARMACAPS INC	7	02/77	*
PHILADELPHIA ELECTRIC CO	13	02/77	*
PLASTILINE INC	2,14	02/77	*
PRESTON MINES LTD	3	02/77	*
QUANTER CORP	7	02/77	*
RATH PACKING CO	11	02/77	*
RAYMOND PRECISION INDUSTRIES INC	3	02/77	*
REPUBLIC FRANKLIN LIFE INSURANCE CO	1,3,14	02/77	*

RECENT 8K FILINGS CONT.

KEX PLASTICS INC	1,2,6	03/01/77
REXHAM CORP	3	02/77 *
RIVERSIDE METAL PRODUCTS CO	4,7,8,13,14	02/77 *
ROANWELL CORP	8	02/77 *
RUMR INDUSTRIES INC	6	02/77 *
RUSCO INDUSTRIES INC	13	02/77 *
SANTAS VILLAGE	12,14	02/77 *
SCHAEFER F & M CORP	13	02/77 *
SCOPE INDUSTRIES	10	02/77 *
SCOTCO DATA COM INC	13,14	02/77 *
SEA PINES CO	3,13	03/10/77 *
SENTRY FINANCE CO	13	02/28/77 *
SHEKWOOD DIVERSIFIED SERVICES INC	12,14	02/77 *
SIMONE MONICA COSMETICS INC	12,14	02/77 *
SONDERLING BROADCASTING CORP	3	02/77 *
SONGMA INTERNATIONAL	2,11	02/77 *
SOUTHERN CONTAINER CORP	5	02/77 *
SOUTHWESTERN PUBLIC SERVICE CO	2,11,14	02/77 *
STANDARD CONTAINER CO	7,14	02/77 *
STANDARD OIL CO OF OHIO	3	02/77 *
SUBARU OF AMERICA INC	11,14	02/77 *
SUMMIT ENERGY INC	13	02/77 *
TAPPAN CO	10,14	02/77 *
TDA INDUSTRIES INC	13	02/77 *
TENNESSEE FORGING STEEL CORP	3,11,14	02/77 *
TEXAS FIRST MORTGAGE REIT	3	02/77 *
TEXAS OIL & GAS CORP	7,8,14	02/77 *
TRANS SERVICES CORP	7	02/77 *
TRANS WORLD AIRLINES INC	2,6	03/01/77
TRANSCANADA PIPELINES LTD	3,7,8	02/77 *
TRANSCONTINENTAL GAS PIPE LINE CORP	7,8,14	02/77 *
TRANSTECHNOLOGY CORP	2,14	02/77 *
TRIANGLE PACIFIC CORP	2,14	02/77 *
TRINITY MANAGEMENT CO INC	4,6	03/04/77
TRINITY MANAGEMENT CO TWO INC	4,6	03/04/77 *
UNION OIL CO OF CALIFORNIA	13,14	02/77 *
UNITED AMERICAN LIFE INSURANCE CO	3,13,14	02/77 *
UNITED BRANDS CO	10,13,14	02/77 *
UNITED FINANCIAL CORP OF CALIFORNIA	13	02/77 *
UNITED NUCLEAR CORP	14	02/77 *
UNITED STATES HOMES INC	2,7,13,14	02/77 *
UNIVAR CORP	13	02/77 *
UNIVERSITY REAL ESTATE TRUST	7	02/77 *
USP REAL ESTATE INVESTMENT TRUST	2,7,14	02/77 *
VALHI INC	5,6	03/02/77
VANDYK RESEARCH CORP	13,14	02/77 *
WESPAC INVESTORS TRUST	7	02/77 *
WEST TEXAS UTILITIES CO	3	02/77 *
WESTCALIND CORP	13	02/77 *
WESTERN BANCORPORATION	3	02/77 *
WESTVACO CORP	3,11,14	02/77 *
WILLIAMS W M CO	7	02/77 *
WINSLOW TECHNOLOGY INC	2,13	02/77 *
WORLD JAI ALAI INC	8,13	02/77 *
WURLITZER CO	7,9,10,14	02/77 *
WYLY CORP	8,13,14	02/77 *
XGMOX CORP	4,7,14	02/77 *
AGE PLASTIK PAK CO INC	2,14	03/77 *
ADDBE BUILDING CENTERS INC	3	02/77 *
AVCO COMMUNITY DEVELOPERS INC	3	02/77 *
BROCKS BOBBIE INC	4,8,13	02/77 *
CHRISTENSEN INC	1	03/03/77
CRYSTAL GIL CO	2,6	03/09/77
DEAN FOODS CO	3,13	02/77 *
ECP RESOURCES INC	13,14	02/77 *
FIRST UNION REAL ESTATE EQUITY & MORTGAG	5,6	03/01/77
GREAT YELLOWSTONE CORP	2,6	03/01/77
GRUEN INDUSTRIES INC	3,10,13,14	02/77 *
GULFCO INVESTMENT INC	7,12,14	02/77 *
FARVEY GROUP INC	5,6	03/01/77
HUNT BUILDING CORP	1	02/28/77 *
HUYCK CORP	10	02/77 *
INDIANA FLORIDA REALTY TRUST	13	03/77 *
INTERNATIONAL MINING CORP	5	03/15/77

RECENT 8K FILINGS CONT.

JOHNS MANVILLE CORP	13	03/77	*
KIRK C F LABORATORIES INC	11	02/77	*
LENEX INC	3	02/77	*
LIBBEY OWENS FORD CO	5,6	03/16/77	
MAINE SUGAR INDUSTRIES INC	3,6	01/77	*
METRIC RESOURCES CORP	5	02/11/77	
MORGANS RESTAURANTS INC	4,6	03/03/77	
MTS SYSTEMS CORP	7	02/77	*
NFS FINANCIAL SERVICES	3	01/77	*
NORTON CO	2,6	03/03/77	
OLD HOME FOODS INC	3,11	02/77	*
PPG INDUSTRIES INC	13,14	03/77	*
PRECISION POLYMERS INC	2	02/77	*
PROGRESSIVE INDUSTRIES CORP	2	03/01/77	*
RAMALA INNS INC	3,14	02/77	*
RELIANCE ELECTRIC CO	5,6	03/16/77	
RESTAURANT ASSOCIATES INDUSTRIES INC	13	02/77	*
RETRIEVAL CONTROL SYSTEMS INC	3	02/77	*
RSC INDUSTRIES INC	2,14	02/77	*
SERVICEMASTER INDUSTRIES INC	13	03/77	*
TIA MARIA INC	2,10,14	02/77	*
TITAN WELLS INC	1	03/01/77	*
TRANSCONTINENTAL ENERGY CORP	13	12/76	*
UNITED HAWAIIAN INVESTMENT CORP	3	02/77	*
VAHLSING INC	3	01/77	*
WOLGHAN LUMBER CO	7	02/77	*
ALLEGHENY POWER SYSTEM INC	3	02/77	*
ALLIED LEISURE INDUSTRIES INC	3,14	03/77	*
AMERICAN PROPERTY INVESTORS VI	13,14	02/77	*
ANALOGG DEVICES INC	NO ITEMS	02/77	
ARP CORP	5	03/07/77	
CAMPBELL MANUFACTURING CO INC	4,6	03/04/77	
DICKEY W S GLAY MANUFACTURING CO	1,13	02/77	*
DOMINION BANKSHARES CORP	2	03/04/77	
FEDERALS INC	1,7,14	02/77	*
GUARDIAN CORP	5	03/77	*
HI SHEAR INDUSTRIES INC	7	02/77	*
HOSPITAL MORTGAGE GROUP	8	02/77	*
HUSKY OIL CO	10,14	02/77	*
MCNEIL REAL ESTATE FUND VI LTD	2,6	03/01/77	
MEDIATRICS INC	2,14	02/77	*
MGR FLC INDUSTRIES INC	7,13,14	03/77	*
MOUNTAIN STATES RESOURCES CORP	2	02/77	*
NFS FINANCIAL SERVICES	3	02/77	*
OHIO BELL TELEPHONE CO	11	02/77	*
PNEUMG CORP	7	02/77	*
RUPP INDUSTRIES INC	5,6	02/77	*
SEVERSKY ELECTRONATOM CORP	13	02/77	*
SOLARCELL CORP	11	03/77	*
TRANSCONTINENTAL ENERGY CORP	13	06/76	*
TRANSCONTINENTAL ENERGY CORP	2	07/76	*
TRANSCONTINENTAL ENERGY CORP	2	08/76	*
TRANSCONTINENTAL ENERGY CORP	3,7,8	09/76	*
TRANSCONTINENTAL ENERGY CORP	13	10/76	*
TRANSCONTINENTAL OIL CORP	2,6	03/03/77	
UNICITY INC	5	12/07/76	
UNITED CABLE TELEVISION CORP	4	03/02/77	
UNITED REALTY TRUST	7	02/77	*
WABASH INC	2,14	02/77	*
WEST KNITTING CORP	2	03/07/77	
WEST PENN POWER CO	3	02/77	*
AMERICAN BANKSHARES INC	13	03/77	*
ARISTAR INC	2	03/08/77	
AUTOMATIC INDUSTRIES INC	5,6	03/14/77	
BENGS	5	02/77	*
BILLY THE KID INC	2,4,7,8,11,13,14	02/77	*
CANACIAN HYDROCARBONS LTD	10,12	03/77	*
CENTRAL LOUISIANA ELECTRIC CO INC	5	03/21/77	
COLUMBUS MILLS INC	2	03/77	*
COMMODORE CORP	5,6	03/07/77	
ECONO TRAVEL MOTOR HOTEL CORP	12	10/76	*
GAC CORP DEL	3,13,14	02/77	*
GENERAL DYNAMICS CORP	13	02/77	*

RECENT 8K FILINGS CONT.

HAMILTON INTERNATIONAL CORP	2,5,6	03/09/77
INTER CONTINENTAL SERVICES CORP	12,14	01/77 *
LEVITZ FURNITURE CORP	5,6	03/16/77
MOTORC&A INC	5	03/25/77
NATIONAL CITY CORP	8	12/76 *
NORTHERN STATES POWER CO	5	03/77
PERRY DRUG STORES INC	11	03/77 *
SEVEN UP CO	5,6	03/09/77
SPRAGUE ELECTRIC CO	12,13,14	02/77 *
STP CORP	5,6	03/11/77
TRUST MORTGAGE CORP	2,5	03/17/77
UNION INVESTMENT CO	5	03/11/77
VALLEY CEMENT INDUSTRIES INC	3	02/77 *
WORLD AIRWAYS INC	2	03/02/77
ZALE CORP	4	03/04/77
AMERICAN INVESTORS LIFE INSURANCE CO INC	5,6	03/77
ANGELES CORP	2,3,14	02/77 *
ASECO INC	2	01/77
ASECO INC	4,6	03/77
AUTONUMERICS INC	7,8,13	02/77 *
BANK SECURITIES INC	13	03/77 *
BMA CORP	11,13,14	02/77 *
CALIFORNIA JOCKEY CLUB	3,14	02/77 *
CAVENHAM USA INC	7,14	02/77 *
CHESAPEAKE INDUSTRIES INC	2,6	02/25/77
COMMUNICATIONS CORP OF AMERICA	12,14	02/77 *
COPPER RANGE CO	3	02/77 *
COTTON PETROLEUM CORP 1975 OIL & GAS PRO	7,14	10/76 *
DATAMATION SERVICES INC	13	02/77 *
DEJUR AMSCO CORP	13,14	03/77 *
ELECTRONIZED CHEMICALS CORP	2,14	02/77 *
ERNST E C INC	4,5,6	03/07/77
ESCALADE INC	13	03/77 *
FINANCIAL LAND CORP	2,6	03/11/77
FLAVORLAND INDUSTRIES INC	5	03/15/77
GEON INDUSTRIES INC	3	01/77 *
GRAPHIDYNE CORP	13	11/06/76 *
HEALTH CHEM CORP	3	03/11/77
HMM INDUSTRIES INC	5	03/21/77
HOLIDAY RESOURCES INC	2,6	02/28/77
IMPERIAL AMERICAN RESOURCES FUND INC	5	02/28/77
INLAND CONTAINER CORP	5	03/09/77
INTER CONTINENTAL COMPUTING INC	12,14	01/77 *
JAMESBURY CORP	7	12/76 *
JEWELCOR INC	3,7,14	02/77 *
KAUFMAN H W FINANCIAL GROUP INC	13	08/75 *
KAUFMAN H W FINANCIAL GROUP INC	13	09/75 *
KAUFMAN H W FINANCIAL GROUP INC	13	12/75 *
MARINE EXPLORATION CO INC	1	02/77
MEDALLION GROUP INC	3	03/11/77
MICROPAC INDUSTRIES INC	1	02/77 *
MILLER FLORENCE COSMETICS INC	12,14	09/76 *
MILLER FLORENCE COSMETICS INC	1	01/77 *
NATIONAL HARDGOODS DISTRIBUTORS INC	8	01/77 *
NATIONWIDE REAL ESTATE INVESTORS	6	03/10/77
NIBCO INC	5,6	03/10/77
NORLIN MUSIC INC	8	06/76 *
ORBIT GAS CO	2,3,13	02/77 *
PACIFIC INVESTORS FUND NUMBER TWO	13	02/77 *
PANTS N STUFF SHED HOUSE INC	2,14	02/77 *
PENN CENTRAL CO	3	03/77 *
PRINTOGS LTD	3	03/11/77
RANDY INTERNATIONAL LTD	11,12,13	02/77 *
RAPID AMERICAN CORP	3	02/77
REALIST INC	10,13	02/77 *
RYERSON & HAYNES INC	13	12/76 *
SEQUENTIAL INFORMATION SYSTEMS INC	7,14	01/77 *
SOLITRON DEVICES INC	5,6	03/11/77
STACO INC	1	03/07/77
STANDARD DREDGING CORP	13	02/77 *
STARR BROADCASTING GROUP INC	3,7,13,14	02/77 *
TENNESSEE FORGING STEEL CORP	5,6	03/09/77
TRC COMPANIES INC	9,14	02/77 *

RECENT 8-K FILINGS CONT.

UNITED STATES HOME CORP	NO ITEMS	02/77	
UNITED STATES SUGAR CORP	5	03/11/77	
VAPORTECH CORP	1,13	02/77	*
WASHBURN WIRE CO	3,14	08/76	*
WASHBURN WIRE CO	13,14	10/76	*
WASHBURN WIRE CO	12,13,14	12/76	*
WESTOURS INC	3,6	03/04/77	
<b>AMENDMENTS TO REPORTS ON FORM 8-K</b>			
CHASE MANHATTAN CORP	14	02/77	*
ANHEUSER BUSCH INC	3	10/76	*
ASI COMMUNICATIONS INC	8,14	02/77	*
CASTLETON INDUSTRIES INC	13,14	02/77	*
CROWLEY MILNER & CO	10,14	01/77	*
DOMINION MORTGAGE & REALTY TRUST	13,14	02/77	*
LACROSSE COOLER CO	8	01/77	*
LONG ISLAND LIGHTING CO	14	12/76	*
RESEDEL INDUSTRIES	13,14	11/76	*
SANTA FE INTERNATIONAL CORP	7,13	01/77	*
SHELLER GLOBE CORP	14	02/77	*
SMC INDUSTRIES INC	8	02/77	*
SPIRAL METAL CO INC	13,14	02/77	*
UNITED STATES BANCORP REALTY & MORTGAGE	7	02/77	*
GREAT AMERICAN INDUSTRIES INC	14	07/76	*
HOLLY CORP	2	12/76	*
TENNESSEE FORGING STEEL CORP	14	02/77	*
CAPITAL RESERVE CORP	10,14	01/77	*
DUTCH BOY INC	2,14	12/76	*
GEORGIA PACIFIC CORP	7	12/76	*
GUARDSMAN CHEMICALS INC	8,13	10/76	*
HI SHEAR INDUSTRIES INC	7	01/77	*
VF CORP	NO ITEMS	12/76	
COASTAL STATES GAS CORP	2,13,14	02/77	*
CULBRO CORP	14	12/76	*
GEGN INDUSTRIES INC	8	12/76	*
MOBIL CORP	5	03/09/77	
TAXTRONICS INC	12	06/76	*
UNITED STATES BANCORP	8	02/77	*
WCMETCO ENTERPRISES INC	14	02/77	*

\*/ The Form 8-K was amended (Rel. 34-13156, January 13, 1977) effective for all events which occur subsequent to February 28, 1977. Those companies above which are noted with an asterisk (\*) have filed pursuant to the old Form 8-K; the captions of the item numbers under which such reports are filed are as follows:

- |   |   |
|---|---|
| Item 1. Changes in Control of Registrant              | Item 9. Options to Purchase Securities  |
| Item 2. Acquisition or Disposition of Assets          | Item 10. Extraordinary items, other material charges and credits and capital restatements |
| Item 3. Legal Proceedings                             | Item 11. Submission of Matters to a Vote of Security Holders                              |
| Item 4. Changes in Securities                         | Item 12. Changes in Registrant's Certifying Accountant                                    |
| Item 5. Changes in Security for Registered Securities | Item 13. Other Materially Important Events  |
| Item 6. Defaults upon Senior Securities               | Item 14. Financial Statements and Exhibits  |
| Item 7. Increase in Amount of Securities Outstanding  |   |
| Item 8. Decrease in Amount of Securities Outstanding  |   |

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