

sec news digest

Issue 77-36
(SEC Docket, Vol. 11, No. 11 - March 8)

February 23, 1977

DECISIONS IN ADMINISTRATIVE PROCEEDINGS

CONTEMPORARY SECURITIES CORPORATION REVOKED; OTHERS BARRED

Contemporary Securities Corporation, a registered broker-dealer, John F. McCarthy, a former salesman in its San Diego branch office and Ted England, a former branch manager, trader and salesman in the Salt Lake City office of John Stephens & Company, failed to answer the order that instituted proceedings on September 29, 1976, and are therefore in default. Accordingly, the registration as a broker-dealer of Contemporary Securities Corporation has been revoked; and John F. McCarthy and Ted A. England have been barred from being associated with any broker-dealer. (Rel. 34-13261)

WALTER E. PARKER, OTHERS BARRED

The Commission has entered an order barring Walter E. Parker, Hubert E. Lay, and Gerald H. Lowther from association with a broker-dealer, investment adviser and investment company in the capacities specified in Section 9(b) of the Investment Company Act of 1940. In addition, Lay and Lowther, both practicing attorneys, submitted their voluntary resignations from appearance and practice before the Commission, which the Commission accepted. The sanctions were based on findings that Parker, Lay and Lowther, all former officers and/or directors of Founders of American Investment Corporation, a registered investment company, violated the antifraud provisions of the securities laws and various provisions of the Investment Company Act of 1940. The Commission's action was taken pursuant to offers of settlement of Parker, Lowther and Lay in which the respondents, without admitting or denying the allegations in the order for proceedings, consented to the above findings and sanctions. (Rel. IC-9642)

COMMISSION ANNOUNCEMENTS

JUSTIN P. KLEIN NAMED DIRECTOR, OFFICE OF CONSUMER AFFAIRS

Chairman Roderick M. Hills today announced the appointment of Justin P. Klein as Director of the Office of Consumer Affairs.

Mr. Klein joined the Commission in February, 1974 as a staff attorney in the Division of Market Regulation. In February, 1976 he was named Acting Branch Chief for the Branch of Compliance and Examinations and more recently was named a full Branch Chief.

He is a graduate of the University of Pennsylvania and received his J.D. in June, 1972 from the George Washington University Law School, with honors. Prior to joining the Commission, Mr. Klein practiced law in Washington, D.C. He is a member of the Bars of the State of Maryland and the District of Columbia.

Mr. Klein also brings with him to the Office experience in the consumer field. In 1971-1972 he was the Director of the Consumer Protection Center, which is affiliated with George Washington University Law School. In addition, he has served as a Visiting Assistant Lecturer in Law at the George Washington University Law School and in this capacity taught "Problems of the Consumer."

As one of his primary duties as Director of the Office of Consumer Affairs, Mr. Klein will make recommendations to the Commission on the establishment of a nationwide system for the resolution of investor disputes. Mr. Klein will continue to explore the possibilities of improving the Commission's consumer protection program as set forth in the Commission's release of May 20, 1976.

COURT ENFORCEMENT ACTIONS

INDICTMENT AGAINST KENT M. KLINEMAN DISMISSED

The Fort Worth Regional Office announced that on February 7 on motion of the Department of Justice, an indictment against Kent M. Klineman, New York, New York, was dismissed.

On December 21, 1976, Federal District Judge Allen E. Barrow at Tulsa, Oklahoma, sentenced Robert S. Trippet, Frank E. Sims, and Harry L. Fitzgerald, all of Tulsa, to three years probation, a fine of \$19,000 and a requirement to deposit \$100,000 in an investors' restitution fund; one year probation and a requirement to deposit \$5,000 in the restitution fund; and one year unsupervised probation, respectively. Sentencing followed pleas of nolo contendere by Trippet and Sims to one count of conspiracy and nine counts of mail fraud in the sale of securities. Fitzgerald initially entered a plea of guilty to two counts of illegal sale of securities, but later was allowed to change his guilty plea to nolo contendere.

On December 15, 1976, Judge Barrow granted a motion for acquittal on all counts for Norman C. Cross, Tulsa, who had been charged earlier on February 20, 1976, in a ten-count indictment consisting of conspiracy to violate the securities, tax, and mail fraud statutes, and nine counts of mail fraud by a federal grand jury at Tulsa. (U.S. v. Robert S. Trippet, et al., N/D Oklahoma, 76-CR-23). (LR-7792)

HOLDING COMPANY ACT RELEASES

THE SOUTHERN COMPANY

An order has been issued approving a proposal of Southern Company Services, Inc., subsidiary of The Southern Company, to issue and sell unsecured notes in an amount not to exceed \$30 million to various institutional lenders and exempting Southern Company Services. (Rel. 35-19893 - Feb. 22)

GENERAL PUBLIC UTILITIES CORPORATION

An order has been issued authorizing General Public Utilities Corporation, a registered holding company, to amend its articles of incorporation to provide for granting of stockholder pre-emptive rights in certain circumstances and to solicit proxies from its stockholders in connection with the proposed amendment. (Rel. 35-19894 - Feb. 22)

GENERAL PUBLIC UTILITIES CORPORATION

An order has been issued approving a proposal of General Public Utilities Corporation, to make a total of \$100 million in cash capital contributions to two of its three major operating subsidiaries. (Rel. 35-19895 - Feb. 22)

SELF-REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED RULE CHANGE

The Commission has approved a proposed rule change filed by the Midwest Securities Trust Company under Rule 19b-4 (SR-MSTC-76-15) to provide MSTC with discretion to determine how frequently Pledgee Position Reports will be made available to Pledgee Participants. (Rel. 34-13282)

SECURITIES ACT REGISTRATIONS

(S-6) E.F. HUTTON CORPORATE INCOME FUND, FIRST INTERMEDIATE SERIES

One Battery Park Plaza, New York, N.Y. 10004 - \$15 million of units. The Fund is one of a series of unit investment trusts created under a trust indenture and agreement among E.F. Hutton & Company Inc., as sponsor, New England Merchants National Bank, as trustee, and Telstat Advisory Service, Inc., as evaluator. The Fund's primary objective is providing a high level of current income through investment in a fixed portfolio of long-term corporate debt obligations and fixed dividend sinking fund preferred stocks. (File 2-58236 - Feb. 17)

(S-1) JHIRMACK ENTERPRISES, INC.

4350 Caterpillar Dr., Redding, Cal. 96001 - 350,000 shares of common stock and 5-year warrants, each entitling the holder to purchase one additional share of common stock, to be offered for sale in units, each consisting of one share of common stock and one 5-year warrant, through underwriters headed by Bateman Eichler, Hill Richards Inc., 700 South Flower St., Los Angeles, Cal. 90017. Of such units, 273,000 will be sold by Jhirmack and 77,000 by its shareholders. Jhirmack develops, manufactures and markets a variety of hair and skin cleansing, conditioning and beauty products for use in and resale by the beauty and barber industry. (File 2-58238 - Feb. 17)

(S-7) NATOMAS COMPANY

601 California St., San Francisco, Cal. 94108 - \$50 million of sinking fund debentures, to be offered for sale through underwriters headed by Salomon Brothers, One New York Plaza, New York, N.Y. 10004, and Warburg Paribas Becker Inc., Two First National Plaza, Chicago, Ill. 60603. The company is principally engaged in petroleum exploration, development and production, primarily offshore Indonesia. (File 2-58239 - Feb. 17)

(S-16) REALTY REFUND TRUST (THE TRUST)

402 Capital National Bank Bldg., 1101 Euclid Ave., Cleveland, Ohio 44107 - 1,012,715 shares of beneficial interest, issuable upon the exercise of outstanding warrants of the Trust. Each warrant entitles the holder thereof to purchase one share of beneficial interest at a price of \$20.00, subject to adjustment in certain events. The Trust engages primarily in the refinancing of existing income-producing commercial, industrial and multi-unit residential property by supplementing or replacing existing financing. (File 2-58241 - Feb. 17)

(S-1) AMERICAN AIRLINES, INC.

633 Third Ave., New York, N.Y. 10017 - 4,000,000 shares of cumulative preferred stock and 4,000,000 warrants to purchase 4,000,000 shares of common stock, to be offered for sale in units, each consisting of one share of preferred stock and one warrant, through underwriters headed by Blyth Eastman Dillon & Co. Inc., One Chase Manhattan Plaza, New York, N.Y. 10005, Lazard Freres & Co., One Rockefeller Plaza, New York, N.Y. 10020, Goldman, Sachs & Co., 55 Broad St., New York, N.Y. 10004, and Salomon Brothers, One New York Plaza, New York, N.Y. 10004. The company is an airline, engaged in transporting passengers and freight. (File 2-58246 - Feb. 18)

(S-B) CAISSE NATIONALE DES AUTOROUTES

56, rue de Lille, 75007 Paris, France - \$5 million of guaranteed bonds, due 1997, guaranteed by The Republic of France, to be offered for sale through underwriters represented by The First Boston Corporation, 20 Exchange Pl., New York, N.Y. 10005, Goldman, Sachs & Co., 55 Broad St., New York, N.Y. 10004 and Smith Barney, Harris Upham & Co. Inc., 1345 Avenue of the Americas, New York, N.Y. 10019. Caisse Nationale des Autoroutes is a public agency of The Republic of France which serves as a vehicle to finance capital requirements for the construction or improvement of toll highways. (File 2-58247 - Feb. 18)

(S-6) THE CORPORATE INCOME FUND,
FIFTIETH MONTHLY PAYMENT SERIES

\$20 million of units of beneficial interest, to be offered for sale through underwriters headed by Merrill Lynch, Pierce, Fenner & Smith Inc., 125 High St., Boston, Mass. 02110. The Fund, a unit investment trust, was created by a trust agreement among Merrill Lynch, Bache Halsey Stuart Inc. and Reynolds Securities Inc., as sponsors, The Bank of New York and Shawmut Bank of Boston, N.A., as trustees, and Interactive Data Services, Inc., as evaluator. The Fund's primary objective is providing a high level of current income through investment in a fixed portfolio of long-term debt obligations, issued primarily by corporations. (File 2-58248 - Feb. 18)

(S-16) CARRIER CORPORATION

Carrier Tower, P.O. Box 1000, Syracuse, N.Y. 13201 - 174,600 shares of common stock, to be offered for sale by a shareholder at prices current at the time of sale. Carrier Corporation's principal business is the manufacture and sale of air conditioning equipment. (File 2-58249 - Feb. 18)

(S-7) VIRGINIA ELECTRIC AND POWER COMPANY

700 East Franklin St., Richmond, Va. 23261 - \$150 million of first and refunding mortgage bonds, to be offered for sale in a negotiated transaction with Morgan Stanley & Co. Inc., 1251 Avenue of the Americas, New York, N.Y. 10020, Merrill Lynch, Pierce, Fenner & Smith Inc., One Liberty Plaza, 165 Broadway, New York, N.Y. 10006 and Wheat, First Securities, Inc., 801 East Main St., Richmond, Va. 23219. The company is an electric and gas utility operating company. (File 2-58250 - Feb. 18)

(S-7) CENTRAL MAINE POWER COMPANY

Edison Dr., Augusta, Maine 04330 - 1,400,000 shares of common stock, to be offered for sale at prices to be determined through underwriters co-managed by Kidder, Peabody & Co. Inc., 10 Hanover Sq., New York, N.Y. 10005, and Paine, Webber, Jackson & Curtis Inc., 140 Broadway, New York, N.Y. 10005. The company is an electric utility company. (File 2-58251 - Feb. 18)

REGISTRATIONS EFFECTIVE

Feb. 18: Acton Corp., 2-57419 & 2-57412; The American Ship Building Co., 2-56326; Convenient Industries of America, Inc., 2-58080; The Highland Malt Ltd., 2-50042; Multiple Maturity Tax-Exempt Bond Trust, Fifth Series, 2-58042; Pennsylvania Tax-Free Income Trust, 2-57624; Petrolane Inc., 2-58171.
 Feb. 22: Bagley Building Co., 2-57905; Coquina 77 Exploration Program, 2-56984; Indiana Bell Telephone Co. Inc., 2-58194; Minnesota Tax-Exempt Income Trust, Series 2, 2-58182; Wetterau Inc., 2-58203.

NOTE TO DEALERS. When applicable the 90-day period of time dealers are required to use the prospectus is noted above in parentheses after the name of the issuer. As to the other issuers, there may be no such requirement to use a prospectus, or the requirement may be for a period of only 40 days; see Section 4(3) of the Securities Act of 1933 and Rule 174 (17 CFR 230.174) thereunder.

RECENT 8K FILINGS

Form 8K is a report which must be filed with the SEC by the 10th of the month after any of the following important events or changes: changes in control of the registrant; acquisition or disposition of assets; legal proceedings; changes in securities (i.e., collateral for registered securities); defaults upon senior securities; increase or decrease in the amount of securities outstanding; options to purchase securities; revaluation of assets; submission of matters to a vote of security holders.

The companies listed below have filed Form 8-K reports for the month indicated, responding to the item of the form specified. Photocopies may be purchased from the Commission's Public Reference Section (in ordering, please give month and year of report). An index of the captions of the items of the form was included in Monday's News Digest.

COMPANY	ITEM NO.	MONTH
BGP INDUSTRIES INC	9,14	12/76
BROOKVIEW FINANCIAL CORP	13	01/77
BUNKER RAMC CORP	4,13,14	01/77
CAL MAINE FOODS INC	7	01/77
CAMPBELL TAGGART INC	3	01/77
CANADIAN JAVELIN LTD	3,14	01/77
CANADIAN SUPERIOR OIL LTD	7	01/77
CAPITAL RESERVE CORP	13,14	01/77
CAROLINA POWER & LIGHT CO	3	01/77
CATC CORP	2,14	01/77
CB&T BANCSHARES INC	13,14	01/77
CENTRAL ILLINOIS PUBLIC SERVICE CO	3	01/77
CENTRAL MINNESOTA TELEVISION CO	8	01/77
CENTURY FINANCIAL CORP OF MICHIGAN	13	01/77
CHAMPION INTERNATIONAL CORP	13	01/77
CHARLOTTE MOTOR SPEEDWAY INC	11	01/77
CHARTER CO	2,10,14	01/77
CHATTEM DRUG & CHEMICAL CO	13	01/77
CHEMETRON CORP	3,14	01/77
CHEMICAL & POLLUTION SCIENCES INC	11	01/77
CITIES SERVICE CO	3,8	01/77
CITY NATIONAL CORP	10	01/77
COASTAL STATES GAS PRODUING CO	13	01/77
COCA COLA CO	2,14	01/77
CODEX CORP	11,14	01/77
COLEMAN CABLE & WIRE CO	8	01/77
COLONIAL BANCORP INC	2,7,14	01/77
COMBUSTION ENGINEERING INC	13,14	01/77
COMCAST CORP	8	01/77
COMMERCIAL ALLIANCE CORP	7	01/77
COMMONWEALTH EDISON CO	3,7,14	01/77
COMMONWEALTH OIL REFINING CO INC	3,6,13	01/77
COMPUTER OPTICS INC	13,14	01/77
CONAGRA INC DEL	3,13,14	01/77
CONNECTICUT LIGHT & POWER CO	3	12/76
CONSOLIDATED EDISON CO OF NEW YORK INC	3	01/77
CONSOLIDATED EQUITIES CORP	2,12,14	01/77
CONTINENTAL AIR LINES INC	10,14	01/77
CONTINENTAL GROUP INC	3,13,14	01/77

RECENT 8K FILINGS CONT.

CONTINENTAL INVESTMENT CORP	13	01/77
CONTINENTAL REAL ESTATE PARTNERS LTD	3	01/77
COORS ADLPH CO	3	01/77
CRELITHKIFT FINANCIAL CORP	3	01/77
CROMPTON & KNOWLES CORP	7,8,13,14	01/77
CROWLEY MILNER & CO	10,14	01/77
CUTLER HAMMER INC	3,13,14	01/77
DATAPoint CORP	2,7,13,14	01/77
DAYTON POWER & LIGHT CE	3,13	01/77
DC TRANSIT SYSTEM INC	13,14	01/77
DEL MONTE PROPERTIES CO	13	01/77
DIAL FINANCIAL CORP	7	01/77
DIAMOND INTERNATIONAL CORP	7,13	01/77
DOMINION HOLDINGS INC	6,10	01/77
DOWNE COMMUNICATIONS INC	10,13,14	01/77
DPF INC	7,13,14	01/77
EDWARDS INDUSTRIES INC	13	01/77
ELDERADO INTERNATIONAL INC	13	01/77
ELECTRO NUCLEONICS INC	11	01/77
ELECTRONIC ASSOCIATES INC	10,14	01/77
ENERGY RESOURCES CORP	4,7,13,14	01/77
ENFLC CORP	13	01/77
ENSENCH CORP	13,14	01/77
EPSCO INC	13	02/77
EXPLOSIVE FABRICATORS INC	2	01/77
EXXON CORP	3	01/77
EXXON PIPELINE CO	3	01/77
FAIRCHILD INDUSTRIES INC	13,14	01/77
FANSTEEL INC	13,14	01/77
FARAH MANUFACTURING CO INC	4,6,14	01/77
FEDERAL REAL ESTATE INVESTMENT TRUST	2	01/77
FEDERAL REALTY INVESTMENT TRUST	2,14	01/77
FIDELITY CORP	3	01/77
FIELDS PLASTICS & CHEMICALS INC	13	01/77
FIRST EXECUTIVE CORP	7,8,14	01/77
FIRST HARTFORD CORP	13	01/77
FIRST MARYLAND BANCORP	7,14	01/77
FIRST RAILROAD & BANKING CO OF GEORGIA	13	01/77
FIRST SEL SHARES INC	10,14	01/77
FLAGSHIP BANKS INC	13	01/77
FLORIDA GAS CO	13	01/77
FLORIDA GAS TRANSMISSION CO	13	01/77
FLORIDA POWER & LIGHT CO	3,13	01/77
FLOWERS INDUSTRIES INC	7,13,14	01/77
FOLIC ONE PRODUCTIONS LTD	1,14	01/77
FORTUNA CORP	3,11,13	01/77
GAC PROPERTIES CREDIT INC	13,14	02/77
GENERAL FELT INDUSTRIES INC	8,11	01/77
GENERAL MOTORS CORP	3	01/77
GENERAL RECREATION INC	2,6,11,13,14	01/77
GENERAL RESOURCES CORP	3,14	01/77
GENERAL TELEPHONE & ELECTRONICS CORP	3	01/77
GENERAL TELEPHONE CO OF MICHIGAN	13	01/77
GEORGIA POWER CO	2,3,14	01/77
GIFFORD HILL & CO INC	3	01/77
GILBERT RUBINSON INC	3	01/77
GLOBE LIFE & ACCIDENT INSURANCE CO	1	01/77
GRANITEVILLE CO	13	01/77
GREY ADVERTISING INC	8	01/77
GUARANTEE FINANCIAL CORP OF CALIFORNIA	13	01/77
GUARDIAN CORP	13	01/77
GUARDSMAN CHEMICALS INC	7,13,14	01/77
GULF & WESTERN INDUSTRIES INC	3,7,14	01/77
HAMMOND CORP	2,8,11,14	01/77
HARTFORD ELECTRIC LIGHT CO	3	12/76
HEILEMAN BREWING CO INC	3	01/77
HERS APPAREL INDUSTRIES INC	8	01/77
HOFMANN INDUSTRIES INC	10	01/77
HOCKER CHEMICAL & PLASTICS CORP	3	01/77
HORN & MARLART CO	3	01/77
HOSPITAL CORP OF AMERICA	7	01/77
HOTEL INVESTORS	3	01/77
HOUSTON LIGHTING & POWER CO	13	01/77
HYDROPHILICS INTERNATIONAL INC	1,2,8,9,13,14	01/77

RECENT 8K FILINGS CONT.

BYGAIN ELECTRONICS CORP DELAWARE	7,11	01/77
IDEX MINERALS INC	2,7,14	01/77
IDENTICON CORP	1,2,3,12,13	01/77
IDS MCCULLOUGH OIL EXPLORATION 1969	13	01/77
IMMUNG SCIENCE CORP	1	02/77
INDIAN HEAD BANKS INC	7,8	01/77
INDIANA & MICHIGAN ELECTRIC CO	3	01/77
INDIANA & MICHIGAN POWER CO	3	01/77
INDIANA NATIONAL CORP	10,14	01/77
INDUSTRIAL FUELS CORP	11	01/77
INSTRUMENT SYSTEMS CORP	7,8,13	01/77
INTEGRITY ENTERTAINMENT CORP	3	01/77
INTERISLAND RESORTS LTD	3	01/77
INTERNATIONAL FOODSERVICE CORP	4,14	01/77
INTERNATIONAL HARVESTER CREDIT CORP	7,14	01/77
INTERNATIONAL MINERALS & CHEMICAL CORP	3	01/77
INTERNATIONAL TELEPHONE & TELEGRAPH CORP	10,14	01/77
INVESCO INTERNATIONAL CORP	2,14	01/77
INVESTMENT CORP OF FLORIDA	13	01/77
INVESTMENT PROPERTIES ASSOCIATES	7,8	01/77
IU INTERNATIONAL CORP	3,10	01/77
JETRONIC INDUSTRIES INC	2,14	02/77
JEWELCOR INC	7,14	01/77
KANE MILLER CORP	3	01/77
KENNELOTT COPPER CORP	3,7,10	01/77
KENTUCKY POWER CO	3	01/77
KEUFFEL & ESSER CO	3	01/77
KIDDE WALTER & CO INC	3	01/77
KING RESOURCES CO	3,14	01/77
KIRSCH CO	10	01/77
KNICKERBOCKER TOY CO INC	7,11,14	01/77
KNICKERBOCKER VILLAGE INC	1,13	01/77
LALGASSE COOLER CO	8	01/77
LAMSON & SESSIONS CO	4,7,14	01/77
LANCER PACIFIC INC	13	01/77
LANE BRYANT INC	7,10	01/77
LAWN A MAT CHEMICAL & EQUIPMENT CORP	3,14	01/77
LEGGETT & PLATT INC	8	01/77
LEISURE GROUP INC	3	01/77
LEISURE TECHNOLOGY CORP	3	01/77
LINCOLN AMERICAN CORP	3	01/77
LOCKFEED AIRCRAFT CORP	3,14	01/77
LOEWS THEATRES INC	13,14	01/77
LONGVIEW FIBRE CO	11,14	01/77
MACDERMID INC	3,13	01/77
MAINE CENTRAL RAILROAD CO	3,13	01/77
MANSION INDUSTRIES INC	3	01/77
MANUFACTURERS NATIONAL CORP	13	01/77
MARCLIS HERMAN INC	8	01/77
MAREMONT CORP	13,14	02/77
MARINE CORP	13	01/77
MASTER CRAFT LITHOGRAPHERS INC	1,8	01/77
MCCORMICK & CO INC	13	01/77
MCCULLOUGH OIL CORP	13	01/77
MCNEIL REAL ESTATE FUND VI LTD	2,7,14	01/77
MEDALLION GROUP INC	3	01/77
METROPOLITAN EDISON CO	3	01/77
MICHIGAN SUGAR CO	3	01/77
MID SOUTH CORP	13	01/77
MIDWEST MANAGEMENT CORP	3	01/77
MISSOURI PACIFIC RAILROAD CO	7,8,14	01/77
MISTER STEAK INC	2,3,12	01/77
MOBIL OIL CORP	3	01/77
MCGRATH INDUSTRIES INC	4,13	01/77
MORGAN J P & CO INC	3,7,14	01/77
MOTOROLA INC	13	01/77
MOUNTAIN STATES TELEPHONE & TELEGRAPH CO	8	01/77
MOYCO INDUSTRIES INC	13	01/77
NATIONAL GYPSUM CO	3	01/77
NATIONAL HEALTH ENTERPRISES INC	2,7,8,10,14	01/77
NATIONAL MERIDIAN SERVICES INC	3,14	01/77
NATIONAL PRESTO INDUSTRIES INC	13,14	01/77
NATIONAL RURAL UTILITIES COOPERATIVE FIN	3,13	01/77
NATIONWIDE REAL ESTATE INVESTORS	13,14	01/77

RECENT BK FILINGS CONT.

NATGAS CO	2,7,8,14	01/77
NATURAL GAS PIPELINE CO OF AMERICA	13	01/77
NEW ENGLAND ELECTRIC SYSTEM	7,11	01/77
NEW ENGLAND PATRICIS FOOTBALL CLUB INC	3,8,14	01/77
NEW YORK TIMES CO	10	01/77
NOBLE AFFILIATES INC	7,13	01/77
NORTH PENNSYLVANIA RAILROAD CO	13,14	01/77
NORTH SHORE GAS CO ILLINOIS	13	01/77
NORTHERN STATES MORTGAGE & REALTY INVEST	7,13,14	01/77
NORTHERN TELECOM LTD	13	01/77
NORTHWEST INDUSTRIES INC	3,14	01/77
OAK INDUSTRIES INC	4,7,8,13,14	01/77
OCCIDENTAL PETROLEUM CORP	3	01/77
OGILVY & MATHER INTERNATIONAL INC	8	12/76
OHIO EDISON CO	3	01/77
OHIO POWER CO	3	01/77
OMEGA ALPHA INC	3,4,8	01/77
OMNI SPECTRA INC	10,14	01/77
ONEILA LTD	2,4,7,14	01/77
ORBIT INSTRUMENT CORP	8	01/77
ORION CAPITAL CORP	8,13	01/77
OUTDOOR SPORTS INDUSTRIES INC	7	01/77
OWENS CORNING FIBERGLAS CORP	3	01/77
OZARK AIRLINES INC	7	01/77
PACIFIC GAS & ELECTRIC CO	13	01/77
PACKAGE MACHINERY CO	7,8	01/77
PENN CENTRAL CO	3	01/77
PENNSYLVANIA ELECTRIC CO	3	01/77
PENOBSCOT SHOE CO	10,13	01/77
PERKINS FOODS INC	12,14	01/77
PETROMINERALS CORP	2	01/77
PFIZER INC	3	01/77
PHILADELPHIA ELECTRIC CO	7,13	01/77
PHILLIPS FUSCUE CORP	1,3,10,11,12,14	01/77
PHILLIPS VAN HEUSEN CORP	7	01/77
PHOENIX LEASING PERFORMANCE FUND 1976	2,14	01/77
PPG INDUSTRIES INC	3	01/77
PUEBLO INTERNATIONAL INC	7,14	01/77
PUERTO RICAN CEMENT CO INC	7,14	01/77
PUGET SOUND POWER & LIGHT CO	3,10,14	01/77
QUANTICOR CORP	7	01/77
RATH PACKING CO	12	01/77
READING & BATES OFFSHORE DRILLING CO	13	01/77
REEVES TELETAPE CORP	3,4,8,11	01/77
RELIANCE UNIVERSAL INC	13	01/77
REPUBLIC HOUSING CORP	6	01/77
REPUBLIC NATIONAL LIFE INSURANCE CO	3,10,13,14	01/77
RESERVE OIL & GAS CO	13	01/77
RESOURCE MANAGEMENT CORP	8	01/77
RICHFORD INDUSTRIES INC	3	01/77
ROBROY INDUSTRIES INC	2,7	01/77
ROOSEVELT NATIONAL INVESTMENT CO	13,14	01/77
ROUSE CO	13	01/77
ROYSTER CO	3	01/77
SANDERS ASSOCIATES INC	2,3,4,14	01/77
SANTA FE INDUSTRIES INC	3,10,13,14	01/77
SANTA FE INTERNATIONAL CORP	7,13	01/77
SCHLITZ JOSEPH BREWING CO	3	01/77
SCI SYSTEMS INC	7	01/77
SCOT LAD FOODS INC	9,14	01/77
SEAFIRST CORP	3	01/77
SEAR'S INDUSTRIES INC	13	01/77
SECURITY FINANCE CORP OF SPARTANBURG	3,7,14	01/77
SECURITY MORTGAGE INVESTORS	10,11,14	01/77
SELAS CORP OF AMERICA	8	01/77
SERNCO INC	6	01/77
SEVEN UP CO	3	01/77
SHELL OIL CO	3	01/77
SHELL OIL CO	13	02/77
SHERWIN WILLIAMS CO	10	01/77
SIEMRA RESEARCH CORP	3	01/77
SIMERA CORP	13,14	01/77
SIMMONS PRECISION PRODUCTS INC	2,7,14	01/77
SINGER CREDIT CORP	8,14	01/77

RECENT 8K FILINGS CONT.

SKAGGS COMPANIES INC	13	01/77
SMITHKLINE CORP	3	01/77
SNYDER CORP	7,11	01/77
SONDERLING BROADCASTING CORP	2,7,14	01/77
SUNCMA VINEYARDS	7,14	01/77
SURG PRINTING CO INC	7,13,14	01/77
SOUTHCOGN INC	3	01/77
SOUTHERN BELL TELEPHONE & TELEGRAPH CO	8,13	01/77
SOUTHERN CO	2,3,13,14	01/77
SOUTHERN INDIANA GAS & ELECTRIC CO	7,14	01/77
SPERRY & HUTCHINSON CO	3	01/77
STANDARD COOSA THATCHER CO	11,14	01/77
STANDARD OIL CO OF INDIANA	3	01/77
STANDARD OIL CO OF OHIO	3	01/77
STAR LITE INDUSTRIES	2,13	01/77
STEELMET INC	7,13	01/77
STURM RUGEK & CO INC	3	01/77
SULLIVAN COUNTY HARNESS RACING ASSOCIATI	8	01/77
SUN CO INC	7,8,13	01/77
SUSQUEHANNA CORP	6	01/77
TAMPA ELECTRIC CO	3	01/77
TAX CORP OF AMERICA	8	01/77
TAYLOR WINE CO INC	1,8,11,14	01/77
TEJON AGRICULTURAL PARTNERS	3,13,14	01/77
TENNECO INC	3	01/77
TEXAS AMERICAN OIL CORP	3	01/77
THOR CORP	2,14	01/77
TIDELANDS CAPITAL CORP	2,11,14	01/77
TOPPS & INGENERS	13	01/77
TORADOR ROYALTY CORP	3	01/77
TRACOR INC	13	01/77
TREALWAY COMPANIES INC	11,13	12/76
TYLER CORP	7	01/77
UAL INC	3	01/77
UB FINANCIAL CORP	13	01/77
UNION CARBIDE CORP	3,7,13,14	01/77
UNION OIL CO OF CALIFORNIA	3,13	01/77
UNION PACIFIC CORP	3,7,10,11,14	01/77
UNION PLANTERS CORP	14	01/77
UNITED STATES INDUSTRIES INC	7,13	01/77
UNITED STATES STEEL CORP	3	01/77
UNIVERSITY REAL ESTATE TRUST	7,10,14	01/77
URS CORP	2	01/77
USP REAL ESTATE INVESTMENT TRUST	2,7,14	01/77
VEECO INSTRUMENTS INC	11,14	01/77
VERIT INDUSTRIES	7	01/77
VICTORIA STATION INC	7	01/77
VICTORY MARKETS INC	2,14	01/77
WAGNER ELECTRIC CORP	13	01/77
WEBB DEL E CORP	10	01/77
WESPAC INVESTORS TRUST	7	01/77
WEST KNITTING CORP	2	01/77
WESTERN AIR LINES INC	13,14	01/77
WESTERN STANDARD CORP	13	02/77
WESTINGHOUSE ELECTRIC CORP	3	01/77
WINKELMAN STORES INC	7	01/77
WISCONSIN POWER & LIGHT CO	3,13	01/77

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