

# sec news digest

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Issue 77-34

(SEC Docket, Vol. 11, No. 10 - March 1)

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## DECISIONS IN ADMINISTRATIVE PROCEEDINGS

## U.S. SECURITIES AND EXCHANGE COMMISSION

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### CONTEMPLATED SHORT FORM REGISTRATION FOR BUSINESS COMBINATION TRANSACTIONS

The SEC has announced that, in light of comments received on proposed Form S-14A, a short registration form under the Securities Act of 1933 for certain reclassifications and business combination transactions as proposed in Securities Act Release No. 5744 (September 27, 1976), it does not intend to adopt the form at this time. Instead, the Commission is considering proposing a new optional short registration form for the same purpose.

The new form, as contemplated, would consist of a single, abbreviated prospectus (and proxy or information statement) which would incorporate by reference reports filed under the Securities Exchange Act of 1934 and/or the issuer's annual report to shareholders. The contemplated new form is intended to result in cost savings to registrants and to provide disclosure to investors in a simpler, more understandable form.

The Commission is requesting comments by interested persons on the advisability of proceeding with the contemplated new form, although it is not publishing specific rulemaking provisions at this time. Comments should be sent in triplicate to George A. Fitzsimmons, Secretary, Securities and Exchange Commission, Washington, D.C. 20549 on or before April 18, 1977 and should refer to File No. S7-655. (Rel. 33-5806)

### PROPOSAL TO AMEND RULE 17a-4 TO REQUIRE FILINGS BY OUTSIDE SERVICE BUREAUS

The SEC announced the proposed amendment of Rule 17a-4 under the Securities Exchange Act of 1934 by adding a new paragraph (i) which would provide for the filing with the Commission of a written agreement entered into between a member, broker or dealer and an outside service bureau, specifying that the records prepared or maintained for the member, broker or dealer are the property of such member, broker or dealer, that such records are subject to examination by the Commission or its designee, and that copies of such records shall be promptly furnished upon request to the Commission or its designee. All interested persons are invited to submit in triplicate written statements of views and comments on the proposed amendment to George A. Fitzsimmons, Secretary, Securities and Exchange Commission, 500 North Capitol Street, Washington, D.C. 20549, on or before May 1, 1977. They should refer to File No. S7-676. All comments will be available for public inspection. (Rel. 34-13273)

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## ORDERS FOR PUBLIC PROCEEDINGS

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### R. L. WHITNEY SECURITIES, INC., OTHERS

The Commission has ordered public administrative proceedings involving: R. L. Whitney Securities, Inc. (Registrant), a New York City registered broker-dealer presently in liquidation pursuant to the Securities Investor Protection Act of 1970 (SIPA); Sanford Rosen, its president and chairman of the board of directors; Harvey Fenichel, a vice-president, secretary, treasurer, cashier, and director; and Peter E. Guardino, Jr., a vice-president.

The Commission's order is based upon staff allegations that: (1) Registrant and Fenichel wilfully violated the antifraud provisions of the securities laws in the purchase and sale of bank certificates of deposit; (2) Registrant wilfully violated, and Fenichel wilfully aided and abetted the violation of, the net capital and bookkeeping provisions of the securities laws; (3) Rosen failed reasonably to supervise Fenichel with a view to preventing the securities law violations committed by him; (4) Fenichel and Guardino have been convicted of offenses included in Sections 15(b)(4)(B) and (6) of the Securities Exchange Act of 1934 (Exchange Act) as grounds for remedial sanctions; (5) Registrant, upon its consent, was permanently enjoined by a U.S. District Court from further violations of the net capital provisions; and (6) Rosen, Fenichel, and Guardino were officers and/or directors of Registrant on the date that a trustee

was appointed for the liquidation of Registrant pursuant to SIPA, and it is in the public interest to impose sanctions pursuant to Section 10(b) of SIPA upon Fenichel for his malfeasance and upon Rosen and Guardino for their nonfeasance in the conduct of Registrant's affairs.

A hearing will be scheduled to take evidence on the staff's allegations and to afford the respondents an opportunity to offer any defenses. The purpose of the hearing is to determine whether the staff allegations are true, and if any action of a remedial nature should be ordered by the Commission. (Rel. 34-13279)

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## COMMISSION ANNOUNCEMENTS

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### ADVISORY COMMITTEE ON CORPORATE DISCLOSURE MEETING ANNOUNCED

The Advisory Committee on Corporate Disclosure will conduct an open meeting on April 14 and 15 at 500 North Capitol Street, Washington, D.C. 20549 in Room 776 beginning at 10:00 a.m.

The summarized agenda for the meeting is as follows: (1) discussion of recommendations with respect to the contents of disclosure documents; (2) discussion of recommendations with respect to the dissemination of information in disclosure documents.

Further information may be obtained from Mary E.T. Beach, Staff Director, Advisory Committee on Corporate Disclosure, Securities and Exchange Commission, Washington, D.C. 20549.

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## COURT ENFORCEMENT ACTIONS

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### JOHN L. HAWKINS & CO., INC., OTHERS ENJOINED

The Miami Branch Office of the Atlanta Regional Office announced the filing of a civil injunctive action on February 7 and the entry of order of permanent injunction on February 9 in the Federal District Court at Miami, Florida against John L. Hawkins & Co., Inc. (JLH Co.), John Lee Hawkins (Hawkins) and Elmer F. Sipe (Sipe) all of Fort Lauderdale, Florida. The complaint alleged that JLH Co., Hawkins and Sipe violated, aided and abetted violations of the registration and antifraud provisions of the securities laws and that JLH Co. violated and Hawkins and Sipe aided and abetted violations of the net capital, recordkeeping and financial reporting provisions of the securities laws. Simultaneously with the filing of the complaint, the defendants JLH Co., Hawkins and Sipe without admitting or denying the allegations in the Commission's complaint consented to the entry of orders of permanent injunction enjoining such activity. (SEC v. John L. Hawkins & Co., Inc., et al., 77-6054-Civ-JE). (LR-7781)

### COMPLAINT NAMES WASHINGTON COUNTY UTILITY DISTRICT, OTHERS

The Atlanta Regional Office announced the filing of a civil injunctive action on February 1 in Federal District Court at Greeneville, Tennessee, against Washington County Utility District, Washington County, Tennessee, and Wade H. Patrick, Paul G. Puckett, Henry C. Miller, and Stella B. Harwood, all of Johnson City, Tennessee, and Diversified Securities, Inc., a New York corporation, Thomas R. Alcock, of Hingham, Massachusetts, and Hertz N. Henkoff, of Boston, Massachusetts. The complaint alleged that all of the defendants violated the antifraud provisions of the securities laws in the offer and sale of revenue bonds of Washington County Utility District, by making untrue statements of material facts and omitting to state material facts concerning, among other things, the use of proceeds obtained from the sale of the securities and the purposes for which they were issued. In addition to injunctive relief, the complaint requests an accounting, establishment of a trust upon the assets of the individual defendants, and disgorgement of illegally obtained benefits. (SEC v. Washington County Utility District, et al., E.D. Tenn., Northwestern Division, CA-2-77-15). (LR-7782)

### COMPLAINT NAMES AMERICAN INVESTORS, OTHERS

The San Francisco Branch Office announced the filing of a complaint in the U.S. District Court for the Northern District of California alleging violations of the antifraud provisions of the securities laws by American Investors Management, Inc. (American Investors), Harry M. Weenig and William L. Nixon, all of Salt Lake City, Utah. The complaint seeks preliminary and permanent injunctions against American Investors and Weenig, and a permanent injunction against Nixon. The Commission's

complaint alleges that the defendants made false and misleading statements and omitted to state material facts in the offer and sale of corporate debentures of American Investors and of interests in real estate limited partnerships. (SEC v. American Investors Management Inc., et al., N.D. CA., C-77-0285 AJZ). (LR-7783)

#### COMPLAINT NAMES RONALD D. GOLLEHON, OTHERS

The Denver Regional Office announced the filing of a civil complaint on February 2 in the U.S. District Court for the District of Colorado against Ronald D. Gollehon, Ronald O. Nestor and Echo Heights Associates, all of Colorado, alleging violations of the registration and antifraud provisions of the securities laws in the offer and sale of investment contracts and interests in profit-sharing agreements of several entities formed to purchase real estate in Colorado, Mississippi and Mexico. In addition to seeking a preliminary and permanent injunction, the complaint seeks ancillary relief requiring an accounting to the Court of all receipts and disbursements of the funds and assets of any kind obtained from the sale of interests in the subject real estate entities and to disgorge all funds wrongfully obtained by them individually. (SEC v. Ronald D. Gollehon, et al., USDC Colo. Civil Action No. 77-140). (LR-7784)

#### BARRY DVORIN CONVICTED

On February 10 Barry Dvorin, Dallas, Texas was convicted on all six counts of a six-count indictment charging violations of the antifraud provisions of the securities laws in the offer and sale of fractional undivided working interests in a Wise County, Texas, oil and gas lease. The conviction followed a non-jury trial before Federal District Judge Sarah T. Hughes at Dallas. (U.S. v. Barry Dvorin, N/D Tex., CR-3-76-287). (LR-7785)

#### HEINZ JURGEN HESSE, OTHERS INDICTED

The Fort Worth Regional Office announced the return on February 10 of a 25-count indictment in Dallas, Texas, charging Heinz Jurgen Hesse, a West German National; Larry N. Cook, John G. Wilson, Ross D. Greenstreet, John P. Poulos and James R. Daffron, all of Dallas, Texas, and Jack L. Deaton of Fort Worth, Texas, with securities fraud, mail fraud, interstate transportation of stolen property and conspiracy in the offer and sale of existing and non-existing oil and gas wells in Texas, Ohio, West Virginia and Kentucky. (SEC v. HJH, Inc., Cappetro, Inc., et al., N/D Tex., CA3-76-1611-F, CA3-77-35). (LR-7786)

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## HOLDING COMPANY ACT RELEASES

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#### METROPOLITAN EDISON COMPANY

A notice has been issued giving interested persons until March 15 to request a hearing on a proposal by Metropolitan Edison Company, a subsidiary of General Public Utilities Corporation, a registered holding company, to sell utility assets to Bethlehem Mines Corporation, a non-affiliated corporation. (Rel. 35-19888 - Feb. 16)

#### PENNSYLVANIA ELECTRIC COMPANY

A supplemental order has been issued approving a proposal by Pennsylvania Electric Company, a registered holding company, to extend the time during which it may acquire short-term notes from two nonaffiliated companies and extending the maturity dates of the notes. (Rel. 35-19889 - Feb. 16)

#### OHIO ELECTRIC COMPANY

A supplemental order has been issued authorizing Ohio Electric Company (Electric), subsidiary of Ohio Power Company, subsidiary of American Electric Power Company, Inc., to issue and sell \$200 million of first mortgage bonds, 9-1/4 Series, due 2002, to institutional investors pursuant to a private offering. (Rel. 35-19890 - Feb. 17)

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## SELF-REGULATORY ORGANIZATIONS

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#### NOTICE OF PROPOSED RULE CHANGE

The Pacific Stock Exchange, Inc. (PSE) has filed proposed rule changes under Rule 19b-4 (SR-PSE-76-38) to permit PSE specialists to trade listed options upon underlying specialty stocks, and to permit PSE members, while on the equity floor of the exchange to trade underlying stocks for which they hold listed options positions. The rule changes have become effective pursuant to Sec. 19(b)(2) of the Securities Exchange Act

of 1934 prior to the thirtieth day after the date of publication of the terms of substance thereof. Publication of the full text of the rule changes is expected to be made in the Federal Register during the week of February 21. (Rel. 34-13269)

The Midwest Stock Exchange, Inc. (MSE) has filed a proposed rule change under Rule 19b-4 (SR-MSE-76-27) to permit MSE members, while on the equity floor of the exchange, to trade underlying stocks for which they hold listed options positions. The rule change has become effective pursuant to Sec. 19(b)(2) of the Securities Exchange Act of 1934 prior to the thirtieth day after the date of publication of the terms of substance thereof. Publication of the full text of the rule change is expected to be made in the Federal Register during the week of February 21. (Rel. 34-13270)

The MSE has also filed a proposed rule change under Rule 19b-4 (SR-MSE-77-1) to permit MSE odd-lot dealers to trade listed options upon underlying stocks in which they are registered as odd-lot dealers. The rule change has become effective pursuant to Sec. 19(b)(2) of the Securities Exchange Act of 1934 prior to the thirtieth day after the date of publication of the terms of substance thereof. Publication of the full text of the rule change is expected to be made in the Federal Register during the week of February 21. (Rel. 34-13275)

The Cincinnati Stock Exchange (CSE) has filed proposed rule changes under Rule 19b-4 to permit CSE odd-lot dealers to trade listed options upon underlying stocks in which they are registered as odd-lot dealers, and to permit CSE members, while on the floor of the exchange, to trade underlying stocks for which they hold listed options positions. The rule changes have become effective pursuant to Sec. 19(b)(2) of the Securities Exchange Act of 1934 prior to the thirtieth day after the date of publication of the terms of substance thereof. Publication of the full text of the rule changes is expected to be made in the Federal Register during the week of February 21. (Rel. 34-13271)

The Boston Stock Exchange, Inc. (BSE) has filed amendments to proposed rule changes under Rule 19b-4 (SR-BSE-76-12) to permit BSE odd-lot dealer-specialists to trade listed options upon underlying stocks in which they are registered as odd-lot dealer-specialists, and to permit BSE members, while on the floor of the exchange, to trade underlying stocks for which they hold listed options positions. The rule changes, as amended, have become effective pursuant to Sec. 19(b)(2) of the Securities Exchange Act of 1934 prior to the thirtieth day after the date of publication of the terms of substance thereof. Publication of the full text of the rule changes is expected to be made in the Federal Register during the week of February 21. (Rel. 34-13272)

#### APPROVAL OF PROPOSED RULE CHANGE

The Commission has approved a proposed rule change (SR-MSRB-77-3) filed by the Municipal Securities Rulemaking Board. The rule change will delay the effective date of the principal substantive provisions of MSRB rule G-7 until September 1, 1977. (Rel. 34-13274)

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## SECURITIES ACT REGISTRATIONS

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### (S-1) PECOS WESTERN CORPORATION OF DELAWARE

11246 South Post Oak Rd., Houston, Tex. 77035 - a minimum of 120,000 and a maximum of 750,000 shares of common stock, which are to be offered for sale through underwriters represented by Jay W. Kaufmann & Co. The company is engaged in the operation of natural gas wells, the exploration for oil, natural gas and minerals and the acquisition and sale of leases permitting the exploration for, removal and sale of natural oil and gas. (File 2-58166 - Feb. 3)

### (S-7) SAN DIEGO GAS & ELECTRIC COMPANY

101 Ash St., San Diego, Cal. 92101 - \$50 million of first mortgage bonds, Series Q, due 2007, to be offered for sale at competitive bidding. The company is an operating public utility supplying gas and electric service. (File 2-58229 - Feb. 16)

### (S-1) TRIO KENWOOD CORPORATION

(Trio Kabushiki Kaisha), a Japanese corporation, 6-17 Aobadai 3-chome, Meguro-ku, Tokyo 153, Japan - 5,000,000 shares of common stock represented by 500,000 American Depositary Shares evidenced by American Depositary Receipts, each American Depositary Share representing 10 shares of common stock, to be offered for sale through underwriters headed by Kuhn Loeb & Co. Inc., 40 Wall St., New York, N.Y. 10005, and The Nikko Securities Co. International, Inc., 140 Broadway, New York, N.Y. 10005. Trio Kenwood Corporation is principally engaged in the production and sale of high fidelity audio products, communication equipment for amateur radio operators and electronic testing instruments. (File 2-58230 - Feb. 16)

(S-7) INEXCO OIL COMPANY

Suite 1900, 1100 Milam Bldg., Houston, Tex. 77002 - 44,000 shares of common stock, which may be offered for sale from time to time by certain shareholders and officers of Inexco Oil Company at the prices current at the time of sale. Inexco Oil Company is an oil and gas exploration and production company whose activities are conducted primarily on-shore in the United States. Inexco Oil Company also conducts exploration for uranium in the United States and Canada. (File 2-58232 - Feb. 16)

(S-14) TRUST COMPANY OF GEORGIA

Trust Company of Georgia Bldg., Atlanta, Ga. 30303 - 109,648 shares of common stock. It is proposed to issue these shares in connection with the merger of Central Bankshares Corporation, a one-bank holding company located in Jonesboro, Georgia, into Trust Company of Georgia at a merger exchange ratio of 1.1 Trust Company shares for each outstanding Central Bankshares share. Trust Company of Georgia is a multi-bank holding company which owns all of the outstanding stock of Trust Company Bank, Atlanta, Georgia, a majority of the outstanding stock of six other commercial banks located in Georgia, two real estate holding companies and two mortgage companies. (File 2-58234 - Feb. 16)

REGISTRATIONS EFFECTIVE

Feb. 16: Alco Standard Corp., 2-58093; American District Telegraph Co., 2-58001; City of Stockholm, 2-58073; Corporate Income Fund Forty-Eighth Monthly Payment Series, 2-58144; Mid-West Steel Fabricating Corp., 2-57720; Oklahoma Morris Plan Co., 2-55956; The Unimax Group Inc., 2-57879; United Parcel Service of America Inc., 2-57811.  
Feb. 17: Associates First Capital Corp., 2-58004; Corporate Securities Trust Preferred Stock Series 3, 2-58075; General Telephone & Electronics Corp., 2-57688; Nexus Industries Inc., 2-55623; Phoenix Candy Co., Inc., 2-57764; South Carolina Electric & Gas Co., 2-58127 and 2-58128; Trilog Associates Inc., 2-57462.

NOTE TO DEALERS. When applicable the 90-day period of time dealers are required to use the prospectus is noted above in parentheses after the name of the issuer. As to the other issuers, there may be no such requirement to use a prospectus, or the requirement may be for a period of only 40 days; see Section 4(3) of the Securities Act of 1933 and Rule 174 (17 CFR 230.174) thereunder.

RECENT 8K FILINGS

Form 8K is a report which must be filed with the SEC by the 10th of the month after any of the following important events or changes: changes in control of the registrant; acquisition or disposition of assets; legal proceedings; changes in securities (i.e., collateral for registered securities); defaults upon senior securities; increase or decrease in the amount of securities outstanding; options to purchase securities; revaluation of assets; submission of matters to a vote of security holders.

The companies listed below have filed Form 8-K reports for the month indicated, responding to the item of the form specified. Photocopies may be purchased from the Commission's Public Reference Section (in ordering, please give month and year of report). An index of the captions of the items of the form was included in Monday's News Digest.

COMPANY	ITEM NO.	MONTH
OCEANEERING INTERNATIONAL INC	7,14	01/77
OLYSEY INC	13	01/77
OHIO SEALY MATTRESS MANUFACTURING CO	3	01/77
OKC CORP	3	01/77
OLIN CORP	7,14	01/77
PACIFIC POWER & LIGHT CO	3,7,14	01/77
PALOMAR FINANCIAL	6,13,14	01/77
PANNATIONAL GROUP INC	3	01/77
PARK PREMIER MINING CO	13	01/77
PAY N PAK STORES INC	11	01/77
PENN VIRGINIA CORP	10,14	12/76
PENNSYLVANIA POWER & LIGHT CO	7,13	01/77
PENNZOIL CO	3,7,8,14	01/77
PITTSBURGH CO	3	01/77
PLANTRONICS INC	1,7,9,14	01/77
PLASTICS DEVELOPMENT CORP OF AMERICA	12,14	01/77
POWERS REGULATOR CO	10,14	01/77

RECENT 8K FILINGS CONT.

PRECISION CASTPARTS CORP	7	01/77
PROCESS SYSTEMS INC	13,14	01/77
PRUDENT REAL ESTATE TRUST	3,7,14	01/77
QUAKER STATE OIL REFINING CORP	3	01/77
RAMADA INNS INC	3,13	01/77
REPUBLIC CORP	13,14	01/77
RESORT OF THE WORLD N V	13,14	01/77
REYNOLDS R J INDUSTRIES INC	13,14	01/77
ROHM & HAAS CO	8,14	01/77
ROHR INDUSTRIES INC	3,11,13,14	01/77
ROM AMER PHARMACEUTICALS LTD	3	01/77
ROYAL INDUSTRIES INC	3,13,14	01/77
RUSSELL STOVER CANDIES INC	3	01/77
SANYO MANUFACTURING CORP	13	01/77
SAVANNAH FOODS & INDUSTRIES INC	3	01/77
SB PARTNERS	13,14	01/77
SCHILLER INDUSTRIES INC	14	01/77
SEDCO INC	7,13,14	01/77
SERVO CORP OF AMERICA	7,8,12	01/77
SERVOTRONICS INC	3	01/77
SKELLY OIL CO	2,3,11,14	01/77
SOUTHERN CONTAINER CORP	13	01/77
SOUTHERN PACIFIC CO	1	01/77
STRAUSS STORES CORP	12	01/77
SULLAIR CORP	3	01/77
SUNAMERICA CORP	3	01/77
SUPERMARKETS GENERAL CORP	7	01/77
TANDY BRANDS INC	8	01/77
TCC INC	12,14	01/77
TECHNICARE CORP	7	01/77
TELEDYNE INC	3	01/77
TELEPHONE & DATA SYSTEMS INC	7	01/77
TELEX CORP	3	01/77
TENNeco CORP	3	01/77
TEXAS UTILITIES CO	13	01/77
TEXASGULF INC	7,13,14	01/77
THERM AIR MANUFACTURING CO INC	1,7,14	01/77
THOMAS INDUSTRIES INC	2,14	01/77
THRIFTY CORP	11	01/77
TONKA CORP	10	01/77
TOSCO CORP	3,13,14	01/77
TRAILER EQUIPMENT DISTRIBUTORS INC	4,7,14	01/77
TRANSCO COMPANIES INC	10,14	01/77
TRANSCONTINENTAL GAS PIPE LINE CORP	7,8,10,14	01/77
TRUST CO OF GEORGIA	13,14	01/77
TUCKER DRILLING CO INC	11	01/77
TWIN CITY BARGE & TOWING CO	3	01/77
UNION TANK CAR CO	7	01/77
UNITED STATES GYPSUM CO	3	01/77
VETCO INC	1,13,14	01/77
VINDALE CORP	9,14	01/77
WARNACO INC	4	01/77
WASTE MANAGEMENT INC	3	01/77
WEBB RESOURCES INC	7,8	01/77
WEINGARTEN REALTY INC	7,8	01/77
WELLCO ENTERPRISES INC	3	01/77
WELLS FARGO & CO	3,10,14	01/77
WESTATES PETROLEUM CO	2	01/77
WHITE MOTOR CORP	2,10,13,14	01/77
WHITTAKER CORP	8	01/77
WISCONSIN FINANCE CORP	14	01/77
WISCONSIN MICHIGAN POWER CO	14	01/77
ZALE CORP	7	01/77
ZEMARC LTD	2,14	01/77
ACE HARDWARE CORP	7	12/76
ACTION INDUSTRIES INC	8	01/77
AERONCA INC	4,6,10,14	01/77
AMCORD INC	3	01/77
AMERICAN MEDICAL AFFILIATES INC	12,14	01/77
AMERICAN REALTY TRUST	6,13	01/77
AMREP CORP	3,13	01/77
ANHEUSER BUSCH INC	3	01/77
ARKANSAS WESTERN GAS CO	3,13	01/77
ATLANTA NATIONAL REAL ESTATE TRUST	6	01/77

RECENT 8K FILINGS CONT.

AUTOMATIC MERCHANDISING INC	11	01/77
AVIS INC	8	01/77
BALTIMORE BUSINESS FORMS INC	13,14	01/77
BARWICK E T INDUSTRIES INC	4,7,14	01/77
BAUSCH & LOMB INC	3,10,14	01/77
BERTEA CORP	13,14	01/77
BRADEN INDUSTRIES INC	2,11	01/77
BRENNER INDUSTRIES INC	13	01/77
BROOKS BOBBIE INC	10,14	01/77
BUILDERS INVESTMENT GROUP	3	01/77
CALIFORNIA MICROWAVE INC	13	01/77
CELANESE CORP	7	01/77
CERRO MARMON CORP	2,14	01/77
CHRIS CRAFT INDUSTRIES INC	8	01/77
CIRCLE SEAL CORP	1,7,8,11	01/77
CITIZENS & SOUTHERN REALTY INVESTORS	2,10,14	01/77
COMMERCIAL BANKSHARES CORP	2,7,14	12/76
CONNECTICUT GENERAL MORTGAGE & REALTY IN	13	01/77
CONTINENTAL REAL ESTATE PARTNERS LTD 74A	3	01/77
CRANE CO	13	01/77
DEL MONTE CORP	3,7,13,14	01/77
DELTONA CORP	13	01/77
DETROIT EDISON CO	3,13	01/77
DIGITAL COMMUNICATIONS INC	12	01/77
EDUCATIONAL DEVELOPMENT CORP	12,13	01/77
ELECTRIC HOSE & RUBBER CO	4,7,8,11,13,14	12/76
ESMARK INC	3,7,14	01/77
FIRST BOSTON INC	3	01/77
FIRST GREATWEST CORP	13	01/77
FIRST KANSAS FINANCIAL INC	13	01/77
FIRST MISSISSIPPI NATIONAL CORP	7	12/76
FIRST MORTGAGE INVESTORS	3	01/77
FREDERICK & HERRUD INC	7	01/77
FREEPORT MINERALS CO	3	01/77
FREMONT CORP	2,3,14	02/77
FREQUENCY ELECTRONICS INC	3	01/77
FRONTIER AIRLINES INC	3	01/77
GAYNGR STAFFORD INDUSTRIES INC	6	01/77
GETTY OIL CO	2,3,7,11,14	01/77
GIBSON HOMANS CO	2,7,14	01/77
GRANGER ASSOCIATES	11,12,13,14	01/77
GROW CHEMICAL CORP	7,8,13,14	01/77
HANOVER SQUARE REALTY INVESTORS	13,14	01/77
HEALTH ADVANCEMENT INC	13,14	01/77
HEIN WERNER CORP	10	01/77
HMO INTERNATIONAL	3	01/77
HOUSTON INDUSTRIES INC	13	01/77
HUNGRY TIGER INC	11	01/77
INDIANA GAS CO INC	11	01/77
INFLIGHT SERVICES INC	13	01/77
INTERNATIONAL HARVESTER CO	8	01/77
INTERNATIONAL STANDARD ELECTRIC CORP	10,14	01/77
INTEXT INC	7,8,14	01/77
IROQUOIS BRANDS LTD	2,14	01/77
ITI CORP	11	01/77
JACOBS ENGINEERING GROUP INC	2,7,14	01/77
KEARNEY NATIONAL INC	3,7,14	01/77
KERR MCGEE CORP	3	01/77
KOPPERS CO INC	4,8,14	01/77
LILLY ELI & CO	1,3	01/77
LINCOLN NATIONAL CORP	13	01/77
LOCAL FINANCE CORP	8,14	01/77
LOGISTICS INDUSTRIES CORP	13,14	01/77
LONG ISLAND LIGHTING CO	3,13	01/77
LYLE STUART INC	7	01/77
MCD HOLDINGS INC	13	01/77
MCGRAW HILL INC	8	01/77
MCKEE INCOME REALTY TRUST	2,13,14	01/77
MEAD CORP	3,7,8	01/77
MERRIMACK FARMERS EXCHANGE INC	11	01/77
MESABI TRUST CO	13,14	01/77
METROPOLITAN DEVELOPMENT CORP	1,7,14	01/77
MICHIGAN AVENUE FINANCIAL GROUP INC	3	01/77
MILLERS INTERNATIONAL INC	6	01/77

RECENT 8K FILINGS CONT.

MINNESOTA POWER & LIGHT CO	3,13,14	01/77
MODULAR AMBULANCE CORP	7	01/77
MOOG INC	2,4,7,11,14	01/77
NATIONAL HOMES CORP	8,13,14	01/77
NATIONAL STUDENT MARKETING CORP	3,10,14	01/77
NEW YORK MAGAZINE CO INC	1,14	01/77
NEW YORK STATE ELECTRIC & GAS CORP	7	01/77
NORTHERN INDIANA PUBLIC SERVICE CO	3,13	01/77
NUTRITION WORLD INC	3	01/77
OGDEN CORP	3,13	01/77
OS GOLD SEED CO	1,11	01/77
OXFORD PENDAFLEX CORP	8	01/77
PAN AMERICAN WORLD AIRWAYS INC	10,13,14	01/77
PEOPLES GAS LIGHT & COKE CO	13	01/77
PETRO SEARCH EXPLORATION & DEVELOPMENT P	1	01/77
PIER ONE IMPORTS INC	8	01/77
PLACER DEVELOPMENT LTD	2,14	01/77
PRINTUGS LTD	3	01/77
PUBCO CORP	13,14	01/77
RALSTON PURINA CO	11,14	01/77
RAPID AMERICAN CORP	3	01/77
RAYMOND PRECISION INDUSTRIES INC	4,13,14	01/77
REPUELIC STEEL CORP	3,7,14	01/77
RESPIRATORY CARE INC	13	01/77
REX PRECISION PRODUCTS INC	13,14	01/77
REYNOLDS METALS CO	7,13	01/77
RH MEDICAL SERVICES INC	13	01/77
RIO ALGOM LTD	3	01/77
RIX CORP	4,7,8,14	01/77
RLC CORP	11	01/77
RUCKER CO	1,2,11,14	01/77
SCHEIB EARL INC	13	01/77
SCHICK INC	13	01/77
SCOTT PAPER CO	3	01/77
SHELL PIPE LINE CORP	3	01/77
SHOP & GO INC	13,14	01/77
SIGNAL FINANCE CORP	7,14	01/77
SILU INC	2	01/77
SOUTHERN PACIFIC TRANSPORTATION CO	3	01/77
SOUTHLAND CAPITAL INVESTORS INC	7	01/76
SOUTHWESTERN BELL TELEPHONE CO	13	01/77
SPERTI DRUG PRODUCTS INC	3	01/77
STANDARD OIL CO OF CALIFORNIA	3,7	01/77
STERLING ELECTRONICS CORP	2,3	01/77
SUPERIOR OIL CO	13	01/77
<b>AMENDMENTS TO REPORTS ON FORM 8-K</b>		
AMERICAN BANCORP	10,14	12/76
APPLIED FLUIDICS INC	1	01/77
DEXTER CORP	14	11/76
EVANS PRODUCTS CO	7,8	12/76
GREAT SOUTHERN CORP	3,8,14	01/77
HALLCRAFT HOMES INC	13	12/76
HALLIBURTON CO	3	01/77
PRUDENTIAL GROUP INC	2	12/76
SOUTHERN UNION CO	10,14	12/76
WILTEK INC	3,6,13	01/77

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