

C.2

SEC NEWS DIGEST

Issue 2000-233

December 6, 2000

COMMISSION ANNOUNCEMENTS

COMMISSION MEETINGS

Following is a schedule of Commission meetings which will be conducted under provisions of the Government in the Sunshine Act. Meetings will be scheduled according to the requirements of agenda items under consideration.

Meetings will be held in the Commission Meeting Room, Room 1C30, at the Commission's headquarters building, 450 Fifth Street, N.W., Washington, D.C. Visitors are welcome at all open meetings, insofar as space is available. Persons wishing to photograph or videotape Commission meetings must obtain permission in advance from the Secretary of the Commission. Persons wishing to tape record a Commission meeting should notify the Secretary's office 48 hours in advance of the meeting.

Any member of the public who requires auxiliary aids such as a sign language interpreter or material on tape to attend a public meeting should contact Rochelle Franks, Office of Administrative and Personnel Management, to make arrangements. Ms. Franks can be reached at TTY number (202) 942-9558. If you are calling from a non-TTY number, please call the Relay Service at 1-800-877-8339.

OPEN MEETING – WEDNESDAY, DECEMBER 13, 2000 – 10:00 A.M.

The subject matter of the open meeting scheduled for Wednesday, December 13, at 10:00 a.m. will be:

The Commission will hear oral argument on an appeal by Russo Securities, Inc. (ARSI@), a registered broker-dealer, and Kimberly Kent, RSI's chief financial officer and registered financial and operations principal.

The law judge found that, on four separate dates between December 1995 and March 1996, RSI violated the Commission's net capital rule, failed to keep accurate books and records, and failed to notify the Commission of its net capital and books and records deficiencies. The law judge also found that Kent willfully aided and abetted, and caused,

RSI's violations. The law judge fined RSI \$100,000; suspended Kent for one year from association with a broker-dealer or a member of a national securities exchange or registered securities association, and fined Kent \$25,000; and ordered RSI and Kent to cease and desist from future similar violations.

Among the issues likely to be argued are the following:

- (1) whether the stock due to RSI under its investment banking agreements was "readily convertible into cash," and thus an allowable asset under the net capital rule;
- (2) whether the net capital rule's provision for disallowing assets not "readily convertible into cash" violates due process;
- (3) whether Kent's conduct satisfied the elements of aider and abettor liability; and
- (4) what sanctions, if any, are appropriate.

For further information, contact Joan Loizeaux at (202) 942-0950.

CLOSED MEETING – WEDNESDAY, DECEMBER 13, 2000 – 11:00 A.M.

The subject matter of the closed meeting scheduled for Wednesday, December 13, will be: Post argument discussion

CLOSED MEETING – THURSDAY, DECEMBER 14, 2000 – 11:00 A.M.

The subject matter of the closed meeting scheduled for Thursday, December 14, will be: Institution and settlement of injunctive actions; and Institution and settlement of administrative proceedings of an enforcement nature.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: The Office of the Secretary at (202) 942-7070.

ENFORCEMENT PROCEEDINGS

ORDER PERMITTING ASSOCIATION OF FRANK KLAUS

On December 1, the Commission issued an Order approving the application of NASD Regulation, Inc. for relief from a statutory disqualification to permit the association of Frank J. Klaus (Klaus) with Coastal Securities, L.P., a member of the National Association of Securities Dealers, Inc. (NASD), in a supervisory capacity. The Order states that, based on representations made regarding the supervision of Mr. Klaus, and

the terms and conditions of his association, the Commission will not institute proceedings pursuant to Sections 15(b) or 19(h) of the Securities Exchange Act of 1934 (Exchange Act), and will not direct the NASD to bar the proposed association pursuant to Section 15A(g)(2) of the Exchange Act. It is necessary for the Commission to consent to Klaus' proposed employment because he is subject to a Commission order, dated September 30, 1997 (Exchange Act Release No. 39165), which bars him from association in a supervisory capacity with any broker, dealer, municipal securities dealer, investment company or investment adviser. That order provides that after one year, Klaus may apply to become associated with any such entity in a supervisory capacity. (Rel. 34-43657)

ADMINISTRATIVE SANCTIONS IMPOSED AGAINST NATIONAL STOCK TRANSFER, INC. AND ROGER GREER; PROCEEDINGS DISMISSED AS TO KRISTA CASTLETON NIELSEN

On December 4, the Commission has entered an Administrative Order Making Findings and Imposing Remedial Sanctions against National Stock Transfer, Inc. (NST), of Salt Lake City, Utah, and its president Roger Lee Greer. The Order makes findings that from approximately September 1994 through February 1995, NST and Greer issued stock certificates for more than 98 million shares of PanWorld Minerals International, Inc. (PanWorld), without any restrictive legends, based on representations from the issuer that the stock was registered with the Commission on Form S-8. However, no registration statement on Form S-8 or otherwise was in effect as to this stock. The Order thus finds that NST and Greer willfully aided and abetted and caused violations of the registration provisions of the Securities Act of 1933. The Order, which NST and Greer consented to, censures NST and Greer, orders NST and Greer to cease and desist from aiding and abetting or causing registration violations, and imposes a \$5,000 civil money penalty jointly on NST and Greer.

At the same time, the Commission entered an order dismissing Krista Castleton Nielsen, former president of NST. Nielsen had been charged with aiding and abetting and causing registration violations in a different transaction involving PanWorld stock. (Rels. 33-7924; 34-43662; File No. 3-9949)

PUBLIC PROCEEDINGS INSTITUTED AGAINST SALVATORE DIAMBROSIO, BEARCAT, INC., D&D SECURITIES, INC. AND THEIR OWNERS IN CONNECTION WITH A \$2.2 MILLION FRAUD

The Commission announced that on December 5 it filed public administrative and cease and desist proceedings against certain members of the Philadelphia Stock Exchange (PHLX) in connection with a \$2.2 million fraud. The Division of Enforcement's (Division) case stems from misconduct by Salvatore DiAmbrosio, a stock execution clerk at the PHLX, who violated the antifraud provisions of the federal securities laws by engaging in a \$2.2 million unauthorized trading scheme from at least April 1999 to September 1999.

At the time of his alleged misconduct, DiAmbrosio was associated with D&D Securities, Inc., a member of the PHLX, where he was supervised by Dominic DiCicco and Nicholas DiCicco, the firm's principals. In addition, DiAmbrosio was also clandestinely associated as a trader with another PHLX member, Bearcat, Inc., where he was supervised by Seth Diamond and Peter Fineberg, the firm's principals. The victim of DiAmbrosio's scheme was Binary Traders, Inc. a third PHLX member and one of D&D's principal customers.

The Division alleges that DiAmbrosio conducted his fraudulent trading scheme by executing unauthorized cross-trades between Binary's trading accounts and either an account at D&D or an account at Bearcat. In essence, DiAmbrosio, without Binary's authorization or approval, entered a pair of cross-trades where: (1) in the first cross trade, Binary bought a large block of stock at an artificially high price either from the D&D account or from the Bearcat account; and (2) shortly thereafter, many times less than a minute later, in a second cross-trade, Binary sold back the exact same large block of stock at an artificially lower price to the same account from which Binary had just bought the stock (i.e., either the D&D account or the Bearcat account). The result was an immediate gain to either the D&D account or the Bearcat account and an immediate loss to Binary. To avoid detection of the \$2.2 million in profits from the unauthorized trades, DiAmbrosio, among other things, did not create certain mandatory records documenting the existence of these trades. DiAmbrosio then shared the profits in the D&D account of at least \$1,071,500 with Dominic DiCicco and Nicholas DiCicco and the profits in the Bearcat account of at least \$1,112,562 with Diamond and Fineberg.

The Division also alleges that DiAmbrosio was able to execute his scheme as a result of the grossly inadequate supervision that Bearcat, D&D and their principals exercised over him. Accordingly, the Division charged that D&D, Nicholas DiCicco, Dominic DiCicco, Bearcat, Diamond and Fineberg failed to exercise reasonable supervision over DiAmbrosio as required by the securities laws. The Division also alleges that they, along with DiAmbrosio, violated or aided and abetted violations of certain record-keeping and registration provisions of the securities laws in connection with this matter.

A hearing will be scheduled before an administrative law judge. As part of its case, the Division is seeking a cease and desist order, disgorgement of all ill-gotten gains, the imposition of civil penalties and other remedial actions against all of the parties. (Rels. 33-7925; 34-43669; File No. 3-10373)

SEC SETTLES FRAUD CHARGES AGAINST WILLIAM NORDVIK, JOHN WRIGHT, JR., AND JON WILLIAMS

On December 5, the Commission entered four settled orders making findings and imposing remedial sanctions against William John Nordvik, John G. "Jack" Wright, Jr., and Jon F. Williams for their actions involving the stock of Orlando Super Card, Inc. (Orlando), a penny stock traded on the OTC Bulletin Board during the summer of 1997. The Commission previously instituted administrative proceedings against Nordvik,

Wright, and Williams on February 22, 2000 (Securities Act of 1933 Release No. 33-7802; Exchange Act of 1934 Release No. 34-42445).

In the Order Making Findings and Imposing Remedial Sanctions Against William J. Nordvik, the Commission finds that Nordvik gave numerous false and misleading documents to the initial market maker for Orlando stock, including: a false and misleading Form D which failed to disclose him as the beneficial owner of a majority of the stock; false documents creating the impression that there were actual shareholders involved in the company; and a false and misleading business plan. In the Orders Making Findings and Imposing Remedial Sanctions Against John G. Wright, Jr. and Jon F. Williams, the Commission finds that Wright and Williams, who had borrowed over 80 percent of the freely trading Orlando shares, manipulated the stock through a series of matched trades in Canadian brokerage accounts of entities that they controlled. The matched trades were part of a complicated "debit kiting" scheme which Wright and Williams orchestrated in order to delay having to pay off mounting debts in the accounts. In the two-month period between June 6, 1997 and August 7, 1997, Wright and Williams ordered at least 20 sets of matched trades of Orlando stock. During this period, the price of Orlando stock increased from \$1.25 to as high as \$4.09.

The Commission found that Nordvik and Wright willfully violated and Williams violated Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder and ordered them to cease and desist from committing or causing any violations and any future violations of the antifraud provisions of the federal securities laws. The Commission also barred Nordvik and Wright from participating in any offering of a penny stock, ordered Wright to pay a penalty of \$25,000 and Nordvik to pay a penalty of \$20,000, and ordered Nordvik to pay disgorgement and interest in the amount of \$29,532.04. Nordvik, Wright, and Williams consented to the Orders without admitting or denying the Commission's findings.

In a separate proceeding, the Commission entered an order barring Wright from association with any broker or dealer. This order was based upon the entry of a judgment against Wright in the United States District Court for the Northern District of California, SEC v. Jon F. Williams, John G. Wright, et al. In that action, the Commission alleged that Wright and others engaged in a fraudulent scheme to sell "stock repurchase agreements" by falsely portraying the risks of the investment and the use of investor funds. The district court enjoined Wright from future violations of Section 17(a) of the Securities Act and Section 10(b) of the Exchange Act and Rule 10b-5. Wright consented to the entry of the district court judgment without admitting or denying the Commission's allegations of fraud. In addition to the injunction, Wright consented to pay a penalty of \$50,000. (Rel. 34-43670 - John F. Williams; Rel. 34-43672 - William J. Nordvik; 34-43673 - John G. Wright, Jr., File Nos. 3-10149; In the Matter of John G. Wright, Jr. - Rel. 34-43674; File No. 3-10375)

INVESTMENT COMPANY ACT RELEASES

VISION GROUP OF FUNDS, ET AL.

A notice has been issued giving interested persons until December 26 to request a hearing on an application filed by Vision Group of Funds, et al. for an order exempting applicants from Section 17(a) of the Investment Company Act. The order would permit the proposed reorganizations of eleven series of Governor Funds with and into eleven series of Vision Funds. Because of certain affiliations, applicants may not rely on Rule 17a-8 under the Act. (Rel. IC-24780 – December 1)

SUMMIT MUTUAL FUNDS, INC, ET AL.

An order has been issued pursuant to Section 6(c) of the Investment Company Act exempting Summit Mutual Funds, Inc. (the Fund), Summit Investment Partners, Inc. (the Adviser), certain life insurance companies (Participating Insurance Companies) and their separate accounts from the provisions of Sections 9(a), 13(a), 15(a) and 15(b) of the Act and Rules 6e-2(b)(15) and 6e-3(T)(b)(15) thereunder (including any comparable provisions of a permanent rule that replaces Rule 6e-3(T) or Rule 6e-2, as subsequently amended) to the extent necessary to permit series of shares of any current or future investment portfolio of the Fund to be sold to and held by (a) variable annuity and variable life insurance separate accounts of both affiliated and unaffiliated life insurance companies, and (b) qualified pension and retirement plans, including, without limitation, those trusts, plans accounts, contracts or annuities described in Sections 401(a), 403(a), 403(b), 408(a), 408(b), 414(d), 457(b), 408(k), or 501(c)(18) of the Internal Revenue Code of 1986, as amended and any other trust, plan, account, contract or annuity that is determined to be within the scope of Treasury Regulation 1.817.5(f)(3)(iii) outside of the separate account context. (Rel. IC-24783 – December 4)

AMERICAN UNITED LIFE INSURANCE COMPANY, ET AL.

A notice has been issued giving interested persons until December 26 to request a hearing on an application filed by American United Life Insurance Company and AUL American Unit Trust (together Applicants). Applicants seek an order approving the substitution of securities issued by certain management investment companies and held by AUL American Unit Trust to support certain variable annuity contracts issued by American United Life Insurance Company. (Rel. IC-24784 – December 4)

AIG LIFE INSURANCE COMPANY, ET AL.

A notice has been issued giving interested persons until December 26 to request a hearing on an application filed by AIG Life Insurance Company (AIG), AIG Life Insurance Company Variable Account I (Variable Account I), American International Life Insurance Company of New York (American), American International Life Assurance Company of New York Variable Account A (Variable Account A), ReliaStar Life Insurance Company of New York, ReliaStar Life Insurance Company of New York Variable Annuity Fund P, ReliaStar Life Insurance Company of New York Variable Annuity Fund Q, and Alliance Variable Products Series Fund, Inc. (AVP) (collectively,

Applicants). Applicants request an order permitting (1) the substitution of shares of AVP's Total Return Portfolio for shares of AVP's Conservative Investors Portfolio and AVP's Growth Investors Portfolio; and (2) the substitution of shares of AVP's Money Market Portfolio and shares of the Oppenheimer Money Fund VA for shares of AVP's Short-Term Multi Market Portfolio. AIG, Variable Account I, American, Variable Account A and AVP also seek relief from Section 17(a) for purposes of effecting certain of the substitutions partially in-kind. (Rel. IC-24785 – December 5)

HOLDING COMPANY ACT RELEASES

KEYSPAN CORPORATION, ET AL.

A supplemental order has been issued correcting certain statements set forth in the Commission's order authorizing KeySpan Corporation, a New York public utility holding company registered under the Act, and its subsidiaries to engage in various financing transactions and intrasystem transactions following the consummation of its proposed merger with Eastern Enterprises, a Massachusetts gas utility holding company, and EnergyNorth, Inc., a New Hampshire gas utility holding company. (Rel. 35-27286)

KEYSPAN CORPORATION, ET AL.

A supplemental order has been issued correcting certain statements set forth in the Commission's order authorizing KeySpan Corporation, a New York public utility holding company registered under the Act, and its wholly owned subsidiary company, ACJ Acquisition LLC, to acquire all of the outstanding common shares of Eastern Enterprises, a Massachusetts public utility holding company claiming exemption from registration under Section 3(a)(1) of the Act by Rule 2. (Rel. 35-27287)

WHEELING POWER COMPANY, ET AL.

A notice has been issued giving interested persons until December 27 to request a hearing on a proposal by Wheeling Power Company (Applicant), a public utility subsidiary company of American Electric Power Company, Inc., a registered holding company. Applicant seeks authority to: (1) issue from time to time through June 30, 2005, unsecured promissory notes in the amount of \$20 million; and (2) enter into hedging transactions, including anticipatory hedges. (Rel. 35-27289)

SELF-REGULATORY ORGANIZATIONS

IMMEDIATE EFFECTIVENESS OF A PROPOSED RULE CHANGES

The Options Clearing Corporation filed a proposed rule change (SR-OCC-00-11) under Section 19(b)(1) of the Exchange Act. The proposed rule change, which took effect upon

filing, allows OCC to amend its By Laws to expand the types of investments that OCC may make with funds in excess of those needed for working capital and to update the procedures applicable to the safeguarding of such investments. Publication of the proposal is expected in the Federal Register during the week of December 4. (Rel. 34-43637)

A proposed rule change filed by the New York Stock Exchange (SR-NYSE-00-52) extending the pilot regarding shareholder approval of stock option plans until February 28, 2001 has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of December 4. (Rel. 34-43647)

PROPOSED RULE CHANGES

A proposed rule change (SR-CBOE-00-57) has been filed by the Chicago Board Options Exchange seeking to add an interpretation to CBOE Rule 6.8A regarding what constitutes an electronically generated order. Publication of the notice in the Federal Register is expected during the week of December 4. (Rel. 34-43638)

The Philadelphia Stock Exchange filed a proposed rule change (SR-Phlx-00-92) to institute certain reporting, examination, recordkeeping, and disclosure requirements with respect to off-floor trading organizations and their affiliated traders. (Rel. 34-43645)

The Chicago Board Options Exchange filed a proposed rule change (SR-CBOE-00-53) to adopt on a permanent basis the live ammo to RAES system. Publication of the notice in the Federal Register is expected during the week of December 4. (Rel. 34-43646)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-8 FRISBY TECHNOLOGIES INC, 3195 CENTRE PARK BLVD, WINSTON SALEM, NC
27107
(336) 784-7754 - 200,000 (\$744,000) COMMON STOCK. (FILE 333-50850 -
NOV. 29) (BR. 9)
- S-4 INTEGRA BANK CORP, 227 MAIN ST P O BOX 868, EVANSVILLE, IN 47705
(812) 464-9677 - 1,500,000 (\$21,370,734) COMMON STOCK. (FILE 333-50852
NOV. 29) (BR. 7)
- S-8 ADVANTAGE LIFE PRODUCTS INC / CO, 1562 FIRST AVENUE SUITE 235, STE
3,
NEW YORK, NY 10028 (212) 288-6060 - 1,450,000 (\$145,000) COMMON STOCK.
(FILE 333-50854 - NOV. 29) (BR. 5)
- S-8 VIKING CAPITAL GROUP INC, TWO LINCOLN CENTRE STE 300, 5420 LBJ FRWY,
DALLAS, TX 75240 (214) 386-9996 - 1,250,000 (\$212,500) COMMON STOCK.
(FILE 333-50858 - NOV. 29) (BR. 1)
- S-8 WORLDWIDE PETROMOLY INC, 1300 POST OAK BOULEVARD, SUITE 1985,
HOUSTON,
TX 77056 (713) 892-5823 - 1,750,000 (\$245,000) COMMON STOCK. (FILE
333-50860 - NOV. 29) (BR. 2)
- SB-2 CAMBEX CORP, 360 SECOND AVE, WALTHAM, MA 02154 (617) 890-6000 -
83,939
(\$146,127.96) COMMON STOCK. (FILE 333-50862 - NOV. 29) (BR. 3)
- S-8 SPORTSNUTS COM INTERNATIONAL INC, TOWERS AT S TOWNE #2 STE 550,
10421 S 400 W, SALT LAKE CITY, UT 84095 (801) 967-0777 - 150,000
(\$112,500) COMMON STOCK. 450,000 (\$337,500) WARRANTS, OPTIONS OR
RIGHTS.
(FILE 333-50864 - NOV. 29) (BR. 9)
- N-2 OPPENHEIMER SENIOR FLOATING RATE FUND, TWO WORLD TRADE CENTER, STE
3400,
NEW YORK, NY 10048 (212) 323-0200 - 35,000,000 (\$347,850,000)
COMMON SHARES OF BENEFICIAL INTEREST. (FILE 333-50866 - NOV. 29) (BR.
16)
- S-8 GREENBRIAR CORP, 4265 KELLWAY CIRCLE, ADDISON, TX 75244 (214) 407-
8400
- 500,000 (\$187,500) COMMON STOCK. (FILE 333-50868 - NOV. 29) (BR. 8)
- S-8 SOUTHWEST GEORGIA FINANCIAL CORP, 201 FIRST ST SE, P O BOX 849,
MOULTRIE, GA 31768 (912) 985-1120 - \$2,268,750 COMMON STOCK. (FILE
333-50870 - NOV. 29) (BR. 7)
- S-3 GENZYME TRANSGENICS CORP, 175 CROSSING BLVD, FRAMINGHAM, MA 01701
(508) 620--970 - 333,334 (\$5,593,345) COMMON STOCK. (FILE 333-50874 -
NOV. 29) (BR. 1)
- SB-2 COMMUNITY HOME MORTGAGE CORP, 510 BROAD HOLLOW RD, MELVILLE, NY
11747
(631) 391-9100 - 500,000 (\$235,000) COMMON STOCK. (FILE 333-50876 -
NOV. 29) (NEW ISSUE)

- S-8 CONNECTICUT BANCSHARES INC/DE, 923 MAIN STREET, MANCHESTER, CT 06040
(860) 646-1700 - 1,572,480 (\$26,633,880) COMMON STOCK. (FILE 333-50878
-
NOV. 29) (BR. 7)
- S-8 MGM MIRAGE, 3600 LAS VEGAS BLVD S, LAS VEGAS, NV 89109 (702) 891-
3333 -
8,500,000 (\$222,062,500) COMMON STOCK. (FILE 333-50880 - NOV. 29) (BR.
5)
- S-4 FRONTIER FINANCIAL CORP /WA/, 332 SW EVERETT MALL WAY, EVERETT, WA
98204
(425) 514-0700 - 738,661 (\$6,549,459) COMMON STOCK. (FILE 333-50882 -
NOV. 29) (BR. 7)
- S-8 SERVICEMASTER CO, ONE SERVICEMASTER WAY, DOWNERS GROVE, IL 60515
(630) 271-1300 - 5,000,000 (\$48,450,000) COMMON STOCK. (FILE 333-50886
-
NOV. 29) (BR. 8)
- S-8 MEDICAL TECHNOLOGY & INNOVATIONS INC /FL/, 615 CENTERVILLE ROAD,
LANCASTER, PA 17601 (717) 892-6770 - 2,000,000 (\$220,000) COMMON STOCK.
(FILE 333-50888 - NOV. 29) (BR. 5)
- S-8 MAIN STREET TRUST INC, 100 WEST UNIVERSITY AVENUE, CHAMPAIGN, IL
61820
(217) 351-6500 - 2,000,000 (\$34,250,000) COMMON STOCK. (FILE 333-50890
-
NOV. 29) (BR. 7)
- S-8 HARLAND JOHN H CO, 2939 MILLER RD, DECATUR, GA 30035 (770) 981-9460
-
1,000,000 (\$12,343,500) COMMON STOCK. (FILE 333-50892 - NOV. 29) (BR.
5)
- S-1 TENG TU INTERNATIONAL CORP, FIRST CANADIAN PL 100 KING ST WEST, STE
3825,
TORONTO ONTARIO CANA, A1 90026 (416) 368-8400 - 11,719,420
(\$25,178,375)
COMMON STOCK. (FILE 333-50894 - NOV. 29) (BR. 1)
- S-8 SPEEDFAM IPEC INC, 305 NH 54TH ST, CHANDLER, AZ 85226 (480) 705-2100
-
300,000 (\$2,062,500) COMMON STOCK. (FILE 333-50896 - NOV. 29) (BR. 5)
- S-4 KINDER MORGAN ENERGY PARTNERS L P, 500 DALLAS ST SUITE 1000,
HOUSTON, TX
77002 (713) 844-9500 - 250,000,000 (\$250,000,000) STRAIGHT BONDS.
(FILE
333-50898 - NOV. 29) (BR. 4)
- S-8 SPEEDFAM IPEC INC, 305 NH 54TH ST, CHANDLER, AZ 85226 (480) 705-2100
-
2,000,000 (\$13,750,000) COMMON STOCK. (FILE 333-50900 - NOV. 29) (BR.
5)

S-3 INGERSOLL RAND CO, 200 CHESTNUT RIDGE RD, PO BOX 8738, WOODCLIFF LAKE, NJ 07675 (201) 573-0123 (FILE 333-50902 - NOV. 29) (BR. 5)

S-1 CURIS INC, 61 MOULTON ST, CAMBRIDGE, MA 02138 (617) 876-0086 - 5,200,000 (\$52,364,000) COMMON STOCK. (FILE 333-50906 - NOV. 29) (BR. 1)

S-8 LIFEPOINT INC, 1205 S. DUPONT ST., ONTARIO, CA 91761 (909) 418-3052 - 2,000,000 (\$9,875,000) COMMON STOCK. (FILE 333-50910 - NOV. 29) (BR. 5)

S-8 EDGEWATER TECHNOLOGY INC/DE/, 234 EAST MILLSAP, FAYETTEVILLE, AR 72703 (501) 973-6000 - 4,000,000 (\$22,000,000) COMMON STOCK. (FILE 333-50912 - NOV. 30) (BR. 8)

S-4 NUEVO ENERGY CO, 1021 MAIN SUITE 2100, HOUSTON, TX 77002 (713) 652-0706 - 150,000,000 (\$150,000,000) STRAIGHT BONDS. (FILE 333-50918 - NOV. 30) (BR. 4)

S-8 CIRCUIT RESEARCH LABS INC, 2522 W GENEVA DR, TEMPE, AZ 85282 (602) 438-0888 - 1,182,500 (\$1,478,125) COMMON STOCK. (FILE 333-50920 - NOV. 30) (BR. 7)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
ABIGAIL ADAMS NATIONAL BANCORP INC	DE				X							12/01/00	
AGRIBRANDS INTERNATIONAL INC	MO				X		X					12/04/00	
AIMCO PROPERTIES LP	DE				X		X					09/20/00	AMEND
AIR PRODUCTS & CHEMICALS INC /DE/	DE									X		12/05/00	
ALASKA AIR GROUP INC	DE									X		12/06/00	
ALCAN ALUMINIUM LTD /NEW						X	X					12/04/00	
ALL STAR GAS CORP	MO					X	X					12/04/00	
ALPHA TECHNOLOGIES GROUP INC	DE		X				X					11/17/00	
ALPHARMA INC	DE		X				X					05/02/00	AMEND
AMERICAN ENTERPRISE COM CORP	FL			X								12/01/00	
AMERISOURCE HEALTH CORP/DE	DE					X	X		X			12/04/00	
AMG OIL LTD	NV		X									12/05/00	
ANADIGICS INC	DE					X	X					12/04/00	
APARTMENT INVESTMENT & MANAGEMENT C O	MD					X	X					09/20/00	AMEND
APPLIED DIGITAL SOLUTIONS INC	MO		X					X				11/20/00	
ARCH CAPITAL GROUP LTD	DE					X	X					12/05/00	
ARTESIAN RESOURCES CORP	DE		X									12/05/00	
ARTIFICIAL LIFE INC						X	X					12/04/00	
ASSET SECURITIZATION CORP COM MOR P S THR CERT SER 1999-C2	DE		X									11/17/00	
ASSOCIATES CORPORATION OF NORTH AME RICA	DE					X						11/30/00	
ASSOCIATES FIRST CAPITAL CORP	DE					X						11/30/00	
AXYN CORP	CO						X					11/22/00	
BALANCED CARE CORP	DE					X	X					12/01/00	
BB&T CORP	NC					X						12/05/00	
BIOMUNE SYSTEMS INC	NV		X		X		X					11/03/00	
BLUE DOLPHIN ENERGY CO	DE							X				12/02/99	AMEND
BRILL MEDIA CO LLC	VA		X					X				11/17/00	
B2BSTORES COM INC	DE					X	X					12/01/00	
CABLEVISION SYSTEMS CORP /NY	DE					X	X					12/01/00	
CALLON PETROLEUM CO	DE					X	X					12/04/00	
CENTENNIAL FIRST FINANCIAL SERVICES	CA					X	X					12/04/00	
CENTRAL CAPITAL VENTURE CORP	DE							X				11/28/00	
CENTRAL EUROPEAN DISTRIBUTION CORP	DE					X	X					12/05/00	
CHASE FUNDING INC	NY					X	X					11/28/00	
CHEC FUNDING LLC	DE					X	X					12/04/00	
CHELL GROUP CORP	NY		X				X					09/19/00	AMEND
CHESAPEAKE ENERGY CORP	OK						X		X			12/04/00	
CITICORP	DE					X						11/30/00	
CITIGROUP INC	DE					X						11/30/00	
CITIGROUP INC	DE							X				11/30/00	
COMMONWEALTH EDISON CO	IL					X		X				11/28/00	
COMMUNITY WEST BANCSHARES /	CA		X					X				12/05/00	
COMPAQ COMPUTER CORP	DE					X	X					12/01/00	
COOPER INDUSTRIES INC	OH					X	X					12/01/00	AMEND
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE					X	X					12/05/00	
CWABS INC	DE					X	X					11/30/00	
CWABS INC	DE					X	X					11/30/00	
CYBERTEL COMMUNICATIONS CORP	NV					X						11/30/00	
DARLING INTERNATIONAL INC	DE					X	X					12/01/00	
DELUXE CORP	MN					X	X					11/30/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
DEVX ENERGY INC	DE				X	X					11/29/00	
DLJ MORTGAGE ACCEPTANCE CORP	DE				X	X					06/29/00	
DRUCKER INC	DE	X									12/01/00	
EAGLE CAPITAL INTERNATIONAL LTD	NV			X							12/04/00	
ESPERION THERAPEUTICS INC/MI	DE					X					09/21/00	AMEND
EVEREST RE GROUP LTD	C8					X					09/19/00	AMEND
EVEREST REINSURANCE HOLDINGS INC	DE					X					09/19/00	AMEND
FARMER MAC MORTGAGE SECURITIES CORP	DE	X									11/29/00	
FEDERAL MOGUL CORP	MI				X						12/04/00	
FILM ROMAN INC	DE				X	X					12/04/00	
FIRST HORIZON ASSET SECURITIES INC	DE				X	X					11/21/00	
FIRST LITCHFIELD FINANCIAL CORP	DE				X						11/30/00	
FLEXSTECH CORP	NV		X	X	X	X	X				06/15/00	
FOODARAMA SUPERMARKETS INC	NJ	X									11/29/00	
FOUNDERS FOOD & FIRKINS LTD /MN	MN				X	X					12/01/00	
FOUNDERS FOOD & FIRKINS LTD /MN	MN				X	X					12/04/00	
FRONT PORCH DIGITAL INC	NV					X	X				11/30/00	
GATX CORP	NY				X						12/04/00	
GATX RAIL CORP	NY				X						12/04/00	
GENESCO INC	TN							X			12/04/00	
GENUITY INC	DE							X			12/05/00	
GERALD STEVENS INC/	FL				X	X					12/04/00	
GLOBAL ENTERTAINMENT HOLDINGS/EQUIT IES INC	CO			X							08/30/00	AMEND
GRAND COURT LIFESTYLES INC	DE				X						11/30/00	
GULFMARK OFFSHORE INC	DE					X		X			12/05/00	
HANOVER DIRECT INC	DE				X	X					12/05/00	
HANSEN NATURAL CORP	DE		X								12/04/00	AMEND
HARLEY DAVIDSON CUSTOMER FUNDING CO RP	NV				X	X					11/22/00	
HARMONY TRADING CORP	NY	X			X	X					11/22/00	
HOCKEY CO	DE				X						12/04/00	
HOME FINANCIAL BANCORP	IN				X	X					11/09/00	
HOME PROPERTIES OF NEW YORK INC	MD		X			X					06/30/00	AMEND
HORIZON HEALTH CORP /DE/	DE				X						12/05/00	
HOUSEHOLD CREDIT CARD MASTER NOTE T RUST I	DE				X	X					11/16/00	
HOUSEHOLD DIRECT COM INC	DE	X									11/20/00	
HTTP TECHNOLOGY INC	UT		X			X					09/20/00	AMEND
ICG COMMUNICATIONS INC /DE/	DE				X	X					12/14/00	
ICG FUNDING LLC	DE				X	X					12/14/00	
ICG HOLDINGS CANADA CO /CO/	DE				X	X					12/14/00	
ICG HOLDINGS INC	CO				X	X					12/14/00	
IGEN INTERNATIONAL INC /DE	CA				X	X					11/29/00	
IGI INC	DE				X						11/28/00	
IL FORNAIO AMERICA CORP	DE				X	X					11/16/00	
IMP INC	DE				X	X					11/28/00	
INCHORUS COM					X						11/30/00	
INTELECT COMMUNICATIONS INC	DE				X	X					11/30/00	
ISNI NET INC	DE				X						03/22/00	AMEND
ITURF INC	DE		X			X					11/20/00	
JAYARK CORP	DE				X	X					11/30/00	AMEND
JOURNAL REGISTER CO	DE					X		X			12/05/00	
JPS INDUSTRIES INC	DE		X			X					11/17/00	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
KERR MCGEE CORP	DE					X		X			12/04/00	
KROGER CO	OH					X		X			12/05/00	
LABARGE INC	DE							X		X	12/04/00	
LAMSON & SESSIONS CO	OH							X			09/22/00	AMEND
LANDMARK SYSTEMS CORP	VA					X		X			11/28/00	
LBO CAPITAL CORP	CO	X									12/04/00	
LCA VISION INC	DE					X		X			11/30/00	
LEHMAN ABS CORP	DE					X		X			11/30/00	AMEND
LEVEL JUMP FINANCIAL GROUP INC	FL					X		X			12/03/00	AMEND
LIBERTY CORP	SC									X	12/04/00	
LODESTAR HOLDINGS INC								X			12/05/00	
LYNCH MERRILL MTG INV INC CBAS MTG LN AS BK CRT SER 2000-CB1	DE					X		X			03/27/00	
MAGELLAN TECHNOLOGY INC	UT		X					X			11/22/00	
MANUFACTURERS SERVICES LTD	DE					X		X			10/25/00	
MAVERICK TUBE CORPORATION	DE					X		X			12/04/00	
MCMORAN EXPLORATION CO /DE/	DE					X					12/04/00	
MEDTOX SCIENTIFIC INC	DE									X	12/05/00	
MEREDITH CORP	IA					X		X			12/05/00	
MPHASE TECHNOLOGIES INC	CT					X					12/05/00	
NAVIGANT CONSULTING INC	DE					X					12/05/00	
NEORX CORP	WA					X		X			12/04/00	
NEW ANACONDA CO	UT	X									12/05/00	
NEWMARK HOMES CORP	NV	X									11/22/00	
NEWMONT MINING CORP	DE					X		X			09/30/00	
NEXTEL PARTNERS INC	DE									X	12/05/00	
NEXTPATH TECHNOLOGIES INC	NV									X	12/05/00	
NN INC	DE					X		X			12/04/00	
OPLINK COMMUNICATIONS INC	CA					X					11/23/00	
ORION ACQUISITION CORP II	DE					X		X			12/04/00	
ORION TECHNOLOGIES INC	NV							X			12/12/00	AMEND
PACIFIC GULF PROPERTIES INC	MD					X		X			12/01/00	
PACIFICORP /OR/	OR					X		X			12/04/00	
PANAMSAT CORP /NEW/	DE									X	12/04/00	
PEGASUS COMMUNICATIONS CORP	DE					X		X			12/04/00	
PEOPLES BANCORP INC	OH	X									12/05/00	
PEPSICO INC	NC					X					12/04/00	
PETMED EXPRESS INC	FL	X						X			11/22/00	
PETSEC ENERGY INC	NV		X	X				X			11/21/00	
PH GROUP INC	OH					X		X			11/29/00	
PLANAR SYSTEMS INC	OR					X		X			12/04/00	
POWERCOLD CORP	NV	X									12/04/00	
PRECISION PARTNERS INC	DE					X		X			11/30/00	
PREMIER COMMUNITY BANKSHARES INC	VA		X					X			11/20/00	
PRICE COMMUNICATIONS CORP	NY					X					11/14/00	
PROGRESS ENERGY INC	NC					X		X			12/04/00	
PROVIDENT HOME EQUITY LOAN TRUST 20 00-A	OH					X		X			10/25/00	
QUAKER OATS CO	NJ					X		X			11/21/00	
QUAKER OATS CO	NJ					X					12/05/00	
QUALITY DINING INC	IN	X									12/05/00	
R&B FALCON CORP	DE					X		X			12/04/00	
RAINMAKER SYSTEMS INC	CA					X		X			11/30/00	
RALCORP HOLDINGS INC /MO	MO					X		X			12/01/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
RENT USA INC	NV				X						06/30/00	AMEND
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE								X		11/20/00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE								X		11/20/00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE								X		11/27/00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE								X		11/27/00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE								X		11/27/00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE								X		11/27/00	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES II INC	DE					X					11/20/00	
RIBOZYME PHARMACEUTICALS INC	CO					X		X			11/22/00	
RUBY TUESDAY INC	GA				X			X			11/20/00	
RYANS FAMILY STEAKHOUSES INC	SC			X							11/29/00	
SALEX HOLDING CORP /DE/	DE			X		X					11/28/00	
SALOMON BROTHERS COMMERCIAL MORT TR 2000-C3	DE					X		X			12/04/00	
SAN DIEGO GAS & ELECTRIC CO	CA					X		X			12/05/00	
SAXON ASSET SECURITIES TR 2000-2 MO RT LN AS BK CER SE 2000-2	VA					X		X			10/25/00	
SCB COMPUTER TECHNOLOGY INC	TN					X		X			12/01/00	
SCHOOL SPECIALTY INC	DE		X					X			11/22/00	
SCP POOL CORP	DE					X					12/31/00	
SEMPRA ENERGY	CA					X		X			12/05/00	
SENSAR CORP /NV/	NV					X					12/04/00	
SENSAR CORP /NV/	NV					X					12/05/00	
SEPRAGEN CORP	CA				X						11/28/00	
SERVICE CORPORATION INTERNATIONAL	TX					X		X			12/04/00	
SHERWIN WILLIAMS CO	OH					X		X			12/04/00	
SMITH GARDNER & ASSOCIATES INC	FL					X					11/29/00	
SOFTLOCK COM INC	DE					X					11/29/00	
SOFTNET SYSTEMS INC	DE					X		X			12/05/00	
SOFTQUAD SOFTWARE LTD	DE		X					X			11/20/00	
SPECTRIAN CORP /CA/	CA					X					11/20/00	
STAKE TECHNOLOGY LTD								X			09/22/00	AMEND
STAN LEE MEDIA INC	CO					X		X			11/30/00	
STEMCELLS INC	DE							X			01/14/00	AMEND
SUPERVALU INC	DE								X		12/04/00	AMEND
SURGE COMPONENTS INC	NY				X			X			11/27/00	
SWITCHBOARD INC	DE		X					X			11/24/00	
SYMANTEC CORP	DE					X		X			12/04/00	
SYMBOL TECHNOLOGIES INC	DE		X					X			11/30/00	
T REIT INC	VA		X			X		X			11/17/00	
TALBOT BANCSHARES INC	MD		X								12/01/00	
TALK CITY INC	DE					X		X			11/01/00	
TANDYCRAFTS INC	DE					X		X			12/04/00	
TCF FINANCIAL CORP	DE								X		12/04/00	
TEKGRAF INC	GA					X		X			12/01/00	
THERMODYNETICS INC	DE				X						11/30/00	
TIDEWATER INC	DE							X			12/04/00	

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	CODE	1	2	3	4	5	6	7	8	9		
TIMELINE INC	WA					X		X			12/01/00	
TRICON GLOBAL RESTAURANTS INC	NC		X								12/01/00	
TRITON ENERGY LTD	E9									X	12/05/00	
TRIZETTO GROUP INC	DE					X		X			11/30/00	
TRUE NORTH COMMUNICATIONS INC	DE									X	12/05/00	
TYLER TECHNOLOGIES INC	DE					X					12/04/00	
U S INTERACTIVE INC/EA	DE					X		X			12/05/00	
U S TRUCKING INC	CO			X				X			11/28/00	
UBRANDIT COM	NV		X								12/04/00	
UNITY WIRELESS CORP	DE								X		11/16/00	
UNION CORP	DE					X					12/05/00	
URONET CORP	MA		X								12/31/00	
URSUC TELECOM CORP	FL							X	X		11/30/00	
US ENERGY CORP	WY		X								12/04/00	
USA NETWORKS INC	DE								X		12/04/00	
VALENCE TECHNOLOGY INC	DE					X					12/04/00	
VALUECLICK INC/CA	DE		X					X			11/20/00	
VARI LIFE INTERNATIONAL INC	DE		X					X			11/17/00	
VERICOR INC /NEW	DE					X		X			12/01/00	
VENTAS INC	DE					X		X			12/04/00	
VERDANT BRANDS INC	MN		X								11/20/00	
VERITEC INC	NV		X								12/01/00	
VERITEC INC	NV		X								12/01/00	
VERTEX INTERACTIVE INC	NJ		X					X			09/18/00	AMEND
VIACOM INC	DE								X		12/04/00	
VIRAGE INC	DE					X		X			12/05/00	
VIRAGEN INC	DE					X		X			11/30/00	
VIRTUAL TECHNOLOGY CORP	MD					X		X			11/27/00	
VIRTUALSELLERS COM INC			X					X			11/21/00	
VISION TWENTY ONE INC	FI						X	X			11/19/00	
VITRO DIAGNOSTICS INC	NV									X	12/01/00	
WEEB COOK FINANCIAL CORP	UT					X		X			11/28/00	
WAL MART STORES INC	DE						X	X			11/30/00	
WESTMARK GROUP HOLDINGS INC	DE						X				12/04/00	
WCARE NET INC	DE						X	X			11/08/00	
YELLOW CORP	DE						X				11/13/00	