

# SEC NEWS DIGEST

Issue 2000-18

January 31, 2000

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## COMMISSION ANNOUNCEMENTS

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## COMMISSION MEETINGS

### CLOSED MEETING - THURSDAY, FEBRUARY 3, 2000 - 11:00 A.M.

The subject matters of the closed meeting scheduled for Thursday, February 3, will be: Institution of injunctive actions; Institution and settlement of injunctive actions; Institution of administrative proceedings of an enforcement nature; and Institution and settlement of administrative proceedings of an enforcement nature.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: The Office of the Secretary at (202) 942-7070.

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## RULES AND RELATED MATTERS

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### ORDER DIRECTING DECIMALIZATION IMPLEMENTATION PLAN

The Commission issued an order directing the securities markets to act jointly in discussing, developing, and submitting to the Commission a plan to implement decimal pricing in the equities and options markets beginning no later than July 3, 2000. Publication is expected in the Federal Register during the week of January 31. (Rel. 34-42360; File No. 4-430; Press Rel. 2000-8)

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## ENFORCEMENT PROCEEDINGS

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### IN THE MATTER OF MICHAEL FLANAGAN, RONALD KINDSCHI AND SPECTRUM ADMINISTRATION, INC.

On January 31, Administrative Law Judge James T. Kelly issued an initial decision in Administrative Proceeding No. 3-9784, In the Matter of Michael Flanagan, Ronald Kindschi, and Spectrum Administration, Inc. The Order Instituting Proceedings alleged that the three Respondents engaged in a course of business which operated

as a fraud or deceit on their customers and clients. As part of that course of business, the Respondents were charged with the omission and misrepresentation of material facts in connection with the purchase of mutual funds.

At issue were alleged violations of Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934, Rule 10b-5 thereunder, and Sections 206(1) and 206(2) of the Investment Advisers Act of 1940. The initial decision sustains the charges in part, and dismisses them in part.

The initial decision orders all three Respondents to cease and desist from further violations. Mr. Flanagan is ordered to pay a civil penalty of \$10,000, and to disgorge \$12,469 plus prejudgment interest; he is also suspended from association with a broker or dealer for four months. Mr. Kindschi is ordered to pay a civil penalty of \$7,500, and to disgorge \$3,762 plus prejudgment interest; he is also suspended from association with a broker, dealer, or investment adviser for three months. Spectrum Administration, Inc. is censured. (Initial Decision No. 160; File No. 3-9784)

#### **COMMISSION UPHOLDS NASD DISCIPLINE OF JOSEPH CHIULLI**

The Commission sustained the NASD's disciplinary action against Joseph G. Chiulli. The NASD found that Chiulli failed to preserve the books and records of his former firm, Meridian Dunhill & Co., Inc., in violation of Conduct Rules 2110 and 3110. The NASD further found that Chiulli violated Procedural Rule 8210 as a result of his failure to respond to the NASD's request for information. The NASD censured Chiulli, suspended him for one year, and required him to requalify by examination.

The Commission found that Chiulli failed to assume responsibility for preserving certain Meridian Dunhill records after the Firm's bankruptcy trustee abandoned them. The Commission also found that Chiulli failed to allow the NASD to inspect Meridian Dunhill records that Chiulli had preserved and maintained in his New York home. The Commission sustained the sanctions imposed by the NASD. (Rel. 34-42359; File No. 3-9776)

#### **IN THE MATTER OF SANDRA LOGAY**

In an initial decision released today, Administrative Law Judge Lillian A. McEwen concluded that Respondent Sandra Logay failed reasonably to supervise a registered representative subject to her direct supervision with a view toward preventing the representative's violations of the antifraud provisions. Logay was the manager of the Chesterfield, Missouri branch office of Prudential Securities, Inc., from approximately 1989 through mid-1994. From approximately November 1990 through May 1992, a registered representative subject to Logay's supervision engaged in various fraudulent practices with respect to the securities accounts of customers. Judge McEwen found that Logay repeatedly ignored indications that the representative was defrauding customers, and

failed to follow Prudential's internal compliance policies. Judge McEwen barred Logay from acting in a proprietary or supervisory capacity with any broker, dealer, or municipal securities dealer. (Initial Decision No. 159; File No. 3-8969)

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## INVESTMENT COMPANY ACT RELEASES

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### THE LINCOLN NATIONAL LIFE INSURANCE COMPANY, ET AL.

A notice has been issued giving interested persons until February 23 to request a hearing on an application filed by The Lincoln National Life Insurance Company and Lincoln National Variable Annuity Account C (together, Applicants). Applicants seek an order approving the substitution of securities issued by certain management investment companies and held by Lincoln Variable Annuity Account C to support certain variable annuity contracts issued by The Lincoln National Life Insurance Company. (Rel. IC-24267 - January 28)

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## SELF-REGULATORY ORGANIZATIONS

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### ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated approval to a proposed rule change (SR-CHX-99-26) filed by the Chicago Stock Exchange relating to listing of trust issued receipts. Publication of the proposal is expected in the Federal Register during the week of January 31. (Rel. 34-42348)

### PROPOSED RULE CHANGE

The Pacific Exchange filed a proposed rule change (SR-PCX-99-50) to allow non-agency orders to be executed in the P/COAST system. Publication of the notice is expected in the Federal Register during the week of January 31. (Rel. 34-42357)

### DELISTING GRANTED

An order has been issued granting the application of the American Stock Exchange to strike from listing and registration Specialty Chemical Resources, Inc., Common Stock, par value \$.10 per share. (Rel. 34-42361)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount

of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-8 IONA TECHNOLOGIES PLC, IONA BLDG, 8-10 LOWER PEMBROKE ST,  
DUBLIN 2 IRELAND, L2 (011) 662-5255 - 3,000,000 (\$141,562,500)  
FOREIGN COMMON STOCK. (FILE 333-11384 - JAN. 24) (BR. 3)
- S-8 WORLDWATER CORP, PENNINGTON BUSINESS PARK, 55 ROUTE 31 SOUTH,  
PENNINGTON, NJ 08534 (609) 818-0700 - 780,000 (\$374,400) COMMON STOCK.  
(FILE 333-95241 - JAN. 24) (BR. 2)
- S-8 ADVANCED PHOTONIX INC, 1240 AVENIDA ACASCO, CAMARILLO, CA 93012  
(805) 987-0146 - 400,000 (\$900,000) COMMON STOCK. (FILE 333-95301 -  
JAN. 25) (BR. 5)
- S-3 MUNICIPAL MORTGAGE & EQUITY LLC, 218 N CHARLES ST, STE 500, BALTIMORE,  
MD 21201 (410) 962-8044 - 589,565 (\$20,960,012) COMMON STOCK. (FILE  
333-95309 - JAN. 25) (BR. 8)
- S-3 FAMOUS DAVE S OF AMERICA INC, 7657 ANAGRAM DR, EDEN PRAIRIE, MN 55344  
(612) -55-7-57 - 421,610 (\$935,447.19) COMMON STOCK. (FILE 333-95311 -  
JAN. 25) (BR. 9)
- S-3 GELTEX PHARMACEUTICALS INC, 153 SECOND AVE, WALTHAM, MA 02451  
(781) -29-0-58 - 247,357 (\$7,037,105.40) COMMON STOCK. (FILE 333-95313 -  
JAN. 25) (BR. 1)
- S-3 COVOL TECHNOLOGIES INC, 3280 N FRONTAGE RD, LEHI, UT 84043  
(801) 768-4481 - 1,859,243 (\$2,007,982) COMMON STOCK. (FILE 333-95315 -  
JAN. 25) (BR. 4)

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## RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ABGENIX INC	DE		X				X				12/31/99	
ABGENIX INC	DE				X	X					01/28/00	
AERIAL COMMUNICATIONS INC	DE				X	X					01/28/00	
AIRGAS INC	DE				X	X					01/21/00	
ALLEN TEST INC NEW NAME 1	IN	X									03/01/99	
ALLERGAN INC	DE				X	X					01/25/00	
AMERICAN ABSORBENTS NATURAL PRODUCT S INC	UT			X		X					01/28/00	
AMERICAN TOWER CORP /MA/	DE	X		X	X						01/28/00	
ANALYTICAL SURVEYS INC	CO			X	X						01/24/00	
ANTS SOFTWARE COM INC	DE		X	X	X	X					01/03/00	
APPONLINE COM INC	DE		X		X						01/27/00	
ATLANTIC CITY ELECTRIC CO	NJ			X	X						01/18/00	
AUTO LEASE FINANCE L P	IL	X									12/31/99	
AUTOBYTEL COM INC	DE			X	X						01/27/00	
AZURIX CORP	DE			X	X						01/26/00	
BANCORPSOUTH INC	MS			X	X						01/26/00	
BATTLE MOUNTAIN GOLD CO	NV			X	X						01/19/00	
BATTLE MOUNTAIN GOLD CO	NV			X	X						01/27/00	
BOMBARDIER CREDIT RECEIVABLES CORP	DE					X					12/31/99	
BOSTON LIFE SCIENCES INC /DE	DE			X	X						01/21/00	
CARESIDE INC	DE			X							01/28/00	
CASMYN CORP	CO			X	X						01/17/00	
CHARMING SHOPPES MASTER TRUST	DE			X							01/18/00	
CHARMING SHOPPES RECEIVABLES CORP	DE			X							01/18/00	
CHASE COMMERCIAL MORTGAGE SECURITIE S CORP	NY			X	X						01/18/00	
CHASE COMMERCIAL MORTGAGE SECURITIE S CORP	NY			X	X						01/18/00	
CHASE CREDIT CARD MASTER TRUST	NY			X	X						01/18/00	
CHASE CREDIT CARD MASTER TRUST	NY			X	X						01/18/00	
CHASE CREDIT CARD MASTER TRUST	NY			X	X						01/18/00	
CHASE CREDIT CARD MASTER TRUST	NY			X	X						01/18/00	
CHASE MANHATTAN AUTO GRANTOR TRUST 1996-B	NY			X	X						01/18/00	
CHASE MANHATTAN AUTO OWNER TRUST 19 96-C	DE			X	X						01/18/00	
CHASE MANHATTAN AUTO OWNER TRUST 19 97-A	DE			X	X						01/18/00	
CHASE MANHATTAN AUTO OWNER TRUST 19 97-B	DE			X	X						01/18/00	
CHASE MANHATTAN AUTO OWNER TRUST 19 98-A	DE			X	X						01/18/00	
CHASE MANHATTAN AUTO OWNER TRUST 19 98-B	DE			X	X						01/18/00	
CHASE MANHATTAN AUTO OWNER TRUST 19 98-C	DE			X	X						01/18/00	
CHASE MANHATTAN BANK /NY/	NY			X	X						01/18/00	
CHASE MANHATTAN BANK /NY/	NY			X	X						01/18/00	
CHASE MANHATTAN BANK /NY/	NY			X	X						01/18/00	
CHASE MANHATTAN BANK /NY/	NY			X	X						01/18/00	
CHASE MANHATTAN BANK /NY/	NY			X	X						01/18/00	
CHASE MANHATTAN BANK /NY/	NY			X	X						01/18/00	
CHASE MANHATTAN BANK USA	DE			X	X						01/18/00	
CHASE MANHATTAN BANK USA	DE			X	X						01/18/00	
CHASE MANHATTAN BANK USA	DE			X	X						01/18/00	
CHASE MANHATTAN BANK USA	DE			X	X						01/18/00	
CHASE MANHATTAN BANK USA	DE			X	X						01/18/00	
CHASE MANHATTAN BANK USA	DE			X	X						01/18/00	
CHASE MANHATTAN BANK USA	DE			X	X						01/18/00	
CHASE MANHATTAN BANK USA	DE			X	X						01/18/00	
CHASE MANHATTAN BANK USA	DE			X	X						01/18/00	

NAME OF ISSUER	STATE	BK ITEM NO.								DATE	COMMENT	
		CODE	1	2	3	4	5	6	7			8
CHASE MANHATTAN BANK USA	DE					X	X				01/18/00	
CHASE MANHATTAN BANK USA	DE					X	X				01/18/00	
CHASE MANHATTAN BANK USA	DE					X	X				01/18/00	
CHASE MANHATTAN BANK USA CHASE CRED	DE					X	X				01/18/00	
IT CARD OWNER TR 1999-3												
CHASE MANHATTAN CREDIT CARD MASTER	DE					X	X				01/18/00	
TRUST												
CHASE MANHATTAN GRANTOR TRUST 1996-	NY					X	X				01/18/00	
A												
CHASE MANHATTAN HOME EQUITY LOAN TR	NY					X	X				01/18/00	
UST 1995-1												
CHASE MANHATTAN MARINE OWNER TRUST	NY					X	X				01/18/00	
1997-A												
CHASE MANHATTAN RV OWNER TRUST 1997	DE					X	X				01/18/00	
-A												
CHILDRENS BEVERAGE GROUP INC	DE						X				01/26/00	AMEND
CHROMATICS COLOR SCIENCES INTERNATI	NY						X				11/03/98	AMEND
ONAL INC												
CHROMATICS COLOR SCIENCES INTERNATI	NY						X				06/07/99	AMEND
ONAL INC												
COMMUNITY BANCORP OF NEW JERSEY	NJ					X	X				01/20/00	
CONECTIV	DE					X	X				01/18/00	
CONSECO FINANCE SECURITIZATIONS COR	MN					X	X				11/30/99	
P												
CREDENCE SYSTEMS CORP	DE					X	X				01/27/00	
CSK AUTO CORP	DE					X					01/27/00	
CURAGEN CORP	DE					X	X				01/20/00	
CWMBS INC	DE						X				01/25/00	
CWMBS INC	DE						X				01/25/00	
DATA SYSTEMS NETWORK CORP	MI					X	X				01/18/00	
DATAMETRICS CORP	DE					X	X				01/28/00	
DAYTON HUDSON RECEIVABLES CORP	MN					X					01/28/00	
DELMARVA POWER & LIGHT CO /DE/	DE					X	X				01/18/00	
DELPHI INTERNATIONAL LTD	VA					X					01/26/00	
DST SYSTEMS INC	DE					X					01/27/00	
EAGLE GEOPHYSICAL INC	DE					X	X				01/13/00	
EDUCATION LOANS INC /DE	DE					X	X				01/25/00	
ENG ENTERPRISES INC	DE					X					11/02/99	
ENVIRONMENTAL REMEDIATION HOLDING C	CO					X		X			01/12/00	AMEND
ORP												
EQUITYALERT COM INC	FL						X				01/28/00	
ESAT INC	NV					X	X				12/29/99	
FIRST UNION COMMERCIAL MORTGAGE SEC	DE	X									01/18/00	
URITIES INC												
FLIGHTSERV COM	DE					X	X				01/18/00	
FORD MOTOR CREDIT CO	DE					X	X				12/31/99	
FRANKLIN SELECT REALTY TRUST	CA					X	X				01/28/00	
FVNB CORP	TX					X	X				01/27/00	
GEODYNE ENERGY INCOME LIMITED PARTN	OK					X	X				01/28/00	
ERSHIP II-F												
GEODYNE ENERGY INCOME LTD PARTNERSH	OK					X	X				01/28/00	
IP I-D												
GEODYNE ENERGY INCOME LTD PARTNERSH	OK					X	X				01/28/00	
IP I-E												
GEODYNE ENERGY INCOME LTD PARTNERSH	OK					X	X				01/28/00	
IP I-F												
GEODYNE ENERGY INCOME LTD PARTNERSH	OK					X	X				01/28/00	
IP II A												
GEODYNE ENERGY INCOME LTD PARTNERSH	OK					X	X				01/28/00	
IF II-B												

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
GEODYNE ENERGY INCOME LTD PARTNERSH IP II-C	OK					X	X					01/28/00	
GEODYNE ENERGY INCOME LTD PARTNERSH IP II-D	OK					X	X					01/28/00	
GEODYNE ENERGY INCOME LTD PARTNERSH IP II-E	OK					X	X					01/28/00	
GEODYNE ENERGY INCOME LTD PARTNERSH IP II-G	OK					X	X					01/28/00	
GEODYNE ENERGY INCOME LTD PARTNERSH IP II-H	OK					X	X					01/28/00	
GEODYNE ENERGY INCOME LTD PARTNERSH IP III-A	OK					X	X					01/28/00	
GEODYNE ENERGY INCOME LTD PARTNERSH IP III-B	OK					X	X					01/28/00	
GEODYNE ENERGY INCOME LTD PARTNERSH IP III-C	OK					X	X					01/28/00	
GEODYNE ENERGY INCOME LTD PARTNERSH IP III-D	OK					X	X					01/28/00	
GEODYNE ENERGY INCOME LTD PARTNERSH IP III-E	OK					X	X					01/28/00	
GEODYNE ENERGY INCOME LTD PARTNERSH IP III-F	OK					X	X					01/28/00	
GEODYNE ENERGY INCOME LTD PARTNERSH IP III-G	OK					X	X					01/28/00	
GEODYNE INSTITUTIONAL PENSION ENERG Y INC LTD PARTNERSHIP P-3	OK					X	X					01/28/00	
GEODYNE INSTITUTIONAL PENSION ENERG Y INC LTD PARTNERSHIP P-7	OK					X	X					01/28/00	
GEODYNE INSTITUTIONAL PENSION ENERG Y INC LTD PARTNERSHIP P-8	OK					X	X					01/28/00	
GEODYNE INSTITUTIONAL PENSION ENERG Y INCOME LP P-5	OK					X	X					01/28/00	
GEODYNE INSTITUTIONAL PENSION ENERG Y INCOME LTD PART P-6	OK					X	X					01/28/00	
GEODYNE INSTITUTIONAL PENSION ENERG Y INCOME LTD PTNSHIP P-4	OK					X	X					01/28/00	
GEODYNE INSTITUTIONAL PENSION ENERG Y INCOME P-1 LTD PTNSHIP	TX					X	X					01/28/00	
GEODYNE INSTITUTIONAL PENSION ENERG Y INCOME P-2 LTD PTNSHIP	TX					X	X					01/28/00	
GEOWORKS /CA/	DE					X	X					01/28/00	
GRAND PREMIER FINANCIAL INC	DE	X										01/25/00	
HALLIBURTON CO	DE					X	X					01/23/00	
HCC INDUSTRIES INC /DE/	DE					X						01/12/00	
HEALTHION WEBMD CORP	DE					X	X					01/27/00	
HOME BUILDING BANCORP INC	IN					X						01/21/00	
HOUSEHOLD HOME EQUITY LOAN TRUST 19 99-1								X				01/28/00	
HOUSEHOLD REVOLVING HOME EQUITY LOA N TRUST 1996-2	DE							X				01/28/00	
HUDSON UNITED BANCORP	NJ					X	X					01/26/00	
HUNTCO INC	MO					X						12/31/99	
IEXALT INC	NV	X	X	X	X	X	X	X	X	X		12/31/99	
IGE INC						X						01/19/00	
IMH ASSETS CORP	CA	X				X	X					01/28/00	
IMH ASSETS CORP IMPAC CMB TRUST SER IES 1999-1	CA	X										01/25/00	
INSTANT VIDEO TECHNOLOGIES INC	DE					X						01/24/00	
INTERNATIONAL MENU SOLUTIONS CORP	NV	NO	ITEMS									00/00/00	AMEND
ISIS PHARMACEUTICALS INC	DE	X						X				01/14/00	
ITEX CORPORATION	NV					X						01/28/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
JDS UNIPHASE CORP /CA/	DE				X	X					01/28/00	
JONES INTERNATIONAL NETWORKS LTD /C O/	CO				X	X					01/26/00	
JSB FINANCIAL INC	DE				X	X					01/28/00	
KEEBLER FOODS CO	DE				X						01/27/00	
LANGUAGEWARE NET CO LTD			X			X					01/14/00	
LAUNCH MEDIA INC	DE		X			X					01/14/00	
LEGATO SYSTEMS INC	DE				X	X					01/20/00	
LIGHT MANAGEMENT GROUP INC	NV		X	X							12/10/99	AMEND
LITHIUM TECHNOLOGY CORP	DE				X	X					01/19/00	
LOGANSPOUT FINANCIAL CORP	IN				X	X					01/26/00	
LOTUS PACIFIC INC	DE		X			X					01/28/00	AMEND
MANGOSOFT INC	NV			X		X					01/11/00	AMEND
MEDAREX INC	NJ				X	X					01/28/00	
METRICOM INC / DE	DE				X	X					01/27/00	
MONSANTO CO	DE				X	X					12/19/99	
NARRAGANSETT ELECTRIC CO	RI				X	X					01/28/00	
NATIONAL INFORMATION CONSORTIUM	CO				X						01/14/00	
NETWORKS NORTH INC	NY				X						01/14/00	
NEW ENGLAND ELECTRIC SYSTEM	MA				X	X					01/28/00	
NORTEL NETWORKS CORP					X	X					01/25/00	
NORTEL NETWORKS CORP					X						01/26/00	
NORTHEAST INDIANA BANCORP INC	DE				X	X					01/26/00	
NSTAR/MA	MA				X	X					11/15/99	
NTL TRIANGLE LLC	DE		X			X					12/13/99	AMEND
NURESCCELL INC	NV				X						12/16/99	AMEND
NURESCCELL INC	NV				X						01/24/00	
OMEGA RESEARCH INC	FL				X	X					01/19/00	
ONLINETRADINGINC COM CORP	FL				X	X					01/19/00	
ONTRACK DATA INTERNATIONAL INC	MN				X	X					01/23/00	
PACER INTERNATIONAL INC/TN	TN		X			X					01/13/00	
PAGING NETWORK INC	DE				X	X					01/27/00	
PAIRGAIN TECHNOLOGIES INC /CA/	DE				X	X					01/24/00	
PEGASUS COMMUNICATIONS CORP	DE				X	X					01/12/00	
PEN TAB INDUSTRIES INC	DE				X	X					01/28/00	
PEROT SYSTEMS CORP	DE		X			X					01/14/00	
PLUG POWER INC	DE				X						01/25/00	
PP&L RESOURCES INC	PA				X	X					01/26/00	
PRIMA ENERGY CORP	DE				X						01/27/00	
PSINET INC	NY				X	X					01/27/00	
PYR ENERGY CORP	DE				X	X					01/28/00	
QRS CORP	DE		X			X					01/21/00	
QUEPASA COM INC	NV				X						01/26/00	
QUIZNOS CORP	CO					X					11/16/99	AMEND
RALCORP HOLDINGS INC /MO	MO				X	X					01/28/00	
RCM TECHNOLOGIES INC	NV							X			03/31/00	
RECEIPTS ON CORPORATE SECURITIES TR UST SERIES BLS 1998-1	NY	X	X	X	X	X	X	X	X		01/15/00	
RELIANCE BANCORP INC	DE				X						01/20/00	
SBC COMMUNICATIONS INC	DE				X	X					01/28/00	
SKIBO FINANCIAL CORP					X	X					01/21/00	
SOFTWORKS INC	VA	X									01/25/00	
SPANISH BROADCASTING SYSTEM INC	DE		X			X					01/14/00	
STAR GAS PARTNERS LP	DE				X						01/28/00	
STATEN ISLAND BANCORP INC	DE				X	X					01/14/00	
TIME WARNER INC/	DE				X	X					01/23/00	
TITAN CORP	DE				X	X					01/28/00	AMEND
TRAVELNOWCOM INC	FL				X	X					11/17/99	
TRIMERIS INC	DE				X						01/28/00	
TRO LEARNING INC	DE				X						01/28/00	



NAME OF ISSUER	STATE	BK ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
UNION PACIFIC RESOURCES GROUP INC	UT					X		X			01/25/00	
UNITED FINANCIAL MORTGAGE CORP	IL		X								01/26/00	
UNITED PETROLEUM CORP	DE	X	X	X				X			11/12/99	AMEND
URBAN SHOPPING CENTERS INC	MD							X			11/15/99	AMEND
VALLEY FINANCIAL CORP /VA/	VA					X					01/21/00	
VERITAS SOFTWARE CORP /DE/	DE					X	X				01/27/00	
VIRGINIA CAPITAL BANCSHARES INC	VA					X	X				01/27/00	
WARNER COMMUNICATIONS INC	DE					X	X				01/23/00	
WHITMAN HART INC	DE					X	X				01/28/00	
WIN GATE EQUITY GROUP INC	FL		X	X				X			01/21/00	
WORLD OMNI LEASE SECURITIZATION L P	DE	X									12/31/99	
/DE/												
WORLD OMNI 1999-A AUTOMOBILE LEASE	IL	X									12/31/99	
SECURITIZATION TRUST												
XCEED INC	DE				X			X			01/21/00	
YONKERS FINANCIAL CORP	DE				X			X			01/28/00	
ZAXIS INTERNATIONAL INC	DE				X			X			12/15/99	
ZOLL MEDICAL CORPORATION	MA							X			10/15/99	AMEND
ZOLTEK COMPANIES INC	DE							X			11/19/99	AMEND