

# SEC NEWS DIGEST

Issue 2000-17

January 28, 2000

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## COMMISSION ANNOUNCEMENTS

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### CHANGE IN THE MEETING: CANCELLATION OF MEETING

The closed meeting scheduled for Tuesday, January 25, at 11:00 a.m., was cancelled.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: The Office of the Secretary at (202) 942-7070.

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## ENFORCEMENT PROCEEDINGS

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### MUTUAL FUND CHARGES DISMISSED

Charges against Richard Hoffman of Palm, Pa., and Kirk Montgomery, formerly of FSC Securities of Atlanta, Ga., were dismissed after a hearing before an administrative law judge. Hoffman had been charged with violating the antifraud provisions of the securities laws in connection with the sale of mutual funds, and Montgomery, with failing to supervise so as to prevent violations by Hoffman. The charges against Hoffman included improper switching (inducing a customer to sell one fund and buy another solely to receive a commission), not informing customers of sales charges, and making unsuitable recommendations. The law judge found that Hoffman fully informed the customers about their alternatives and about the costs and risks of investments they purchased. The law judge concluded that there were no improper mutual fund switches or other illegal sales practices, and dismissed the charges against Hoffman.

Montgomery was the Chief Compliance Officer at FSC Securities, the broker-dealer with which Hoffman was associated. Since Hoffman did not violate the antifraud provisions, the failure to supervise charge failed. Additionally, the law judge concluded that Montgomery was not Hoffman's supervisor; moreover, Montgomery did everything within his power to maintain and improve supervision and compliance with the securities laws at the broker-dealer. (Initial Decision No. 158; File No. 3-9786)

## SEC BARS FORMER MONEY MANAGER S. JAY GOLDINGER

On January 27, the Commission issued an order barring S. Jay Goldinger, once a well-known Beverly Hills-based money manager, from association with any broker or dealer. Goldinger consented to the Commission's order based on the entry of a final judgment by Judge Christina A. Snyder of the U.S. District Court for the Central District of California, on January 3, 2000. The final judgment enjoins Goldinger from any future violations of the antifraud, periodic reporting, books and records, and internal control provisions of the federal securities laws. The judgment also orders Goldinger to pay back his ill-gotten gains (plus prejudgment interest thereon) and civil money penalties in amounts to be determined later.

The Commission's complaint, filed on November 8, 1999, alleged that Goldinger stole \$15.9 million from the Tustin, California-based public company PairGain Technologies, Inc. as part of a Ponzi-like scam whereby he commingled the funds of PairGain and his other clients, then engaged in a massive securities-futures trading misallocation scheme. Through the scheme, which occurred during 1994 and 1995, Goldinger shifted tens of millions of dollars from some clients, including PairGain, to other clients, while simultaneously generating enormous income for himself. Goldinger's scheme eventually collapsed when he began to suffer overall trading losses, leaving PairGain and others with tens of millions of dollars in losses. By engaging in the above conduct, Goldinger violated, and aided and abetted violations, of Section 17(a) of the Securities Act of 1933 and Sections 10(b), 13(a), 13(b)(2)(A), 13(b)(5), and 15(c)(1)(A) of the Securities Exchange Act of 1934 and Rules 10b-5, 12b-20, 13a-13, and 13b2-1 thereunder (SEC v. S. Jay Goldinger, Civil Action No. 99-11539, LGB, CTx, C.D. Cal., judgment entered January 3, 2000). (Rel. 34-42358; File No. 3-10135)

## CIVIL CONTEMPT ACTION FILED AGAINST JOHN WAYNE ZIDAR AND FIVE OTHERS

The Central Regional Office announced the filing of a civil contempt action on January 24, 2000, against John Wayne Zidar of Gilbert, Arizona, John Wesley Matthews of Chandler, Arizona, James Eman Ringquist d/b/a Times Two Design of Grand Marais, Minnesota, Clyde Bob Dark of Fruit Heights, Utah, Susan V. Fitzgerald of Enumclaw, Washington, and Beth Durtschi Moore of Orange, Texas. This action is based on their failure to comply with orders issued by the United States District Court for the District of Colorado on December 23, 1999, by which they were required to produce documents and testify in response to Commission subpoenas issued in the investigation captioned Oakleaf International. The Commission's contempt action and the subpoena enforcement action that preceded it allege that Oakleaf International, which characterizes itself as a "worldwide asset enhancement fund," may be defrauding investors by claiming a track record of 120% annual returns to be generated through an undefined investment scheme. The contempt action further alleges that Oakleaf International has raised over \$20 million from investors. The contempt action also seeks fines and attorneys fees.

**INVESTMENT COMPANY ACT RELEASES**

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**MANUFACTURERS INVESTMENT TRUST AND MANUFACTURERS SECURITIES SERVICES, LLC**

An order has been issued on an application filed by Manufacturers Investment Trust and Manufacturers Securities Services, LLC under Section 6(c) of the Investment Company Act exempting applicants from Section 15(a) of the Act and Rule 18f-2 under the Act. The order permits applicants to enter into and materially amend investment management agreements with subadvisers without shareholder approval. (Rel. IC-24261 - January 27)

**NEW COVENANT FUNDS AND NEW COVENANT TRUST COMPANY, N.A.**

An order has been issued on an application filed by New Covenant Funds and New Covenant Trust Company, N.A. exempting applicants from Section 15(a) of the Investment Company Act and Rule 18f-2 under the Act. The order permits applicants to enter into and materially amend subadvisory agreements without obtaining shareholder approval. (Rel. IC-24262 - January 27)

**STANDISH, AYER & WOOD INVESTMENT TRUST, ET AL.**

An order has been issued on an application filed by Standish, Ayer & Wood Investment Trust, et al. for an exemption from Section 17(a) of the Investment Company Act. The order permits certain limited partnerships to transfer all their assets to corresponding new series of a registered open-end management investment company in exchange for shares of the new series. (Rel. IC-24263 - January 27)

**GOLDEN AMERICAN LIFE INSURANCE COMPANY, ET AL.**

An order has been issued pursuant to Section 26(b) of the Investment Company Act to Golden American Life Insurance Company, Golden American Life Insurance Company Separate Account A, Golden American Life Insurance Company Separate Account B, Equitable Life Insurance Company of Iowa, Equitable Life Insurance Company of Iowa Separate Account A, First Golden American Life Insurance Company of New York, and First Golden American Life Insurance Company of New York Separate Account NY-B approving the substitution of shares of the Mid-Cap Growth Series of The GCG Trust for shares of the Growth Opportunities Series of The GCG Trust. (Rel. IC-24264 - January 27)

**GOLDEN AMERICAN LIFE INSURANCE COMPANY, ET AL.**

An order has been issued pursuant to Section 17(b) and Section 26(b) of the Investment Company Act to Golden American Life Insurance Company, Golden American Life Insurance Company Separate Account A, Golden American Life Insurance Company Separate Account B, Equitable

Life Insurance Company of Iowa, Equitable Life Insurance Company of Iowa Separate Account A, First Golden American Life Insurance Company of New York, First Golden American Life Insurance Company of New York Separate Account NY-B, and The GCG Trust approving the substitution of shares of the Mid-Cap Growth Series of The GCG Trust for shares of the All-Growth Series of The GCG Trust; and granting an exemption from Section 17(a) of the Act to permit Applicants to carry out the substitutions by means of in-kind redemption and purchase transactions. (Rel. IC-24265 - January 27)

#### **PROVIDENT MUTUAL LIFE INSURANCE COMPANY, ET AL.**

An order has been issued pursuant to Section 26(b) of the Investment Company Act on an application filed by Provident Mutual Life Insurance Company (PMLIC), Providentmutual Life and Annuity Company of America (PLACA), Provident Mutual Variable Annuity Separate Account, Provident Mutual Variable Separate Account, Providentmutual Variable Annuity Separate Account, and Providentmutual Variable Life Separate Account. The order approves the proposed substitution of shares of the Equity 500 Index Portfolio of the Market Street Fund, Inc., for shares of the Index 500 Portfolio of the Variable Insurance Products Fund II currently used as a variable funding option under variable annuity and variable life contracts issued by PMLIC or PLACA. The order also grants exemptions from Section 17(a) of the Investment Company Act to permit certain in-kind redemption and purchase transactions in connection with the substitutions. (Rel. IC-24266 - January 27)

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#### **SECURITIES ACT REGISTRATIONS**

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

S-8 TRANS WORLD AIRLINES INC /NEW/, ONE CITY CENTRE, 515 N SIXTH ST,  
ST LOUIS, MO 63101 (314) 589-3000 - 2,500,000 (\$7,575,000) COMMON STOCK  
(FILE 333-95141 - JAN 20) (BR 5)

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RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events.

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D C 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ABN AMRO MORTGAGE CORP SERIES 1998- 3	DE	X									01/25/00	
ABN AMRO MORTGAGE CORP SERIES 1998- 4	DE	X									01/25/00	
ABN AMRO MORTGAGE CORP SERIES 1998- 5	DE	X									01/25/00	
ABN AMRO MORTGAGE CORP SERIES 1999- 1	DE	X									01/25/00	
ABN AMRO MORTGAGE CORP SERIES 1999- 2	DE	X									01/25/00	
ABN AMRO MORTGAGE CORP SERIES 1999- 3	DE	X									01/25/00	
ABN AMRO MORTGAGE CORP SERIES 1999- 6	DE	X									01/25/00	
ABN AMRO MORTGAGE CORP SERIES 1999- 7	DE	X									01/25/00	
ABN AMRO MORTGAGE CORP SERIES 1999- 8	DE	X									01/25/00	
ADVANCED MICRO DEVICES INC	DE				X	X					01/19/00	
AFG RECEIVABLES CORP	CA				X						01/18/00	
AFG RECEIVABLES CORP	CA				X						01/18/00	
AK STEEL HOLDING CORP	DE				X	X					01/25/00	
ALLIANT ENERGY CORP	WI				X	X					01/26/00	
AM COMMUNICATIONS INC	DE				X						01/26/00	AMEND
AMBAC FINANCIAL GROUP INC	DE				X	X					01/26/00	
AMERICAN EXPRESS CO	NY				X	X					01/24/00	
AMERICAN GENERAL FINANCE CORP	IN				X						01/26/00	
AMERICAN VANTAGE COMPANIES	NV				X	X					12/01/99	
AMERICREDIT FINANCIAL SERVICES INC	DE				X	X					01/26/00	
AMERINET GROUP COM INC	DE				X	X					09/30/99	
ANTIGUA FUNDING CORP	DE				X						12/08/99	
ANTIGUA FUNDING CORP	DE				X						12/08/99	
ANTIGUA FUNDING CORP	DE				X						01/10/00	
ANTIGUA FUNDING CORP	DE				X						01/10/00	
ASSOCIATES FIRST CAPITAL CORP	DE				X	X					01/27/00	
AVNET INC	NY				X	X					01/26/00	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
BA MORTGAGE SECURITIES INC MORT PS THR CERT SER 1998-4	DE					X	X					06/25/99	
BA MORTGAGE SECURITIES INC MORT PS THR CERT SER 1998-4	DE					X	X					10/25/99	
BA MORTGAGE SECURITIES INC MORT PS THR CERT SER 1998-4	DE					X	X					01/25/00	
BA MORTGAGE SECURITIES INC MORT PS THR CERT SER 1998-4	DE					X	X					01/25/00	
BA MORTGAGE SECURITIES INC PASS THR CERT SER 1998-5	DE					X	X					06/25/99	
BA MORTGAGE SECURITIES INC PASS THR CERT SER 1998-5	DE					X	X					10/25/99	
BA MORTGAGE SECURITIES INC PASS THR CERT SER 1998-5	DE					X	X					01/25/00	
BA MORTGAGE SECURITIES INC PASS THR CERT SER 1998-5	DE					X	X					01/25/00	
BALANCED CARE CORP	DE		X					X				01/12/00	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-12	DE					X	X					05/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-12	DE					X	X					06/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-12	DE					X	X					07/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-12	DE					X	X					08/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-12	DE					X	X					09/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-12	DE					X	X					10/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-12	DE					X	X					01/25/00	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-3	DE					X	X					05/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-3	DE					X	X					06/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-3	DE					X	X					07/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-3	DE					X	X					08/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-3	DE					X	X					09/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-3	DE					X	X					10/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-3	DE					X	X					01/25/00	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-3	DE					X	X					01/25/00	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-3	DE					X	X					01/25/00	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-3	DE					X	X					05/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-4	DE					X	X					06/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-4	DE					X	X					07/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-4	DE					X	X					08/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-4	DE					X	X					09/25/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			9
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-4	DE				X	X					10/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-4	DE				X	X					01/25/00	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-5	DE				X	X					05/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-5	DE				X	X					06/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-5	DE				X	X					07/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-5	DE				X	X					08/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-5	DE				X	X					09/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-5	DE				X	X					10/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-5	DE				X	X					11/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-5	DE				X	X					01/25/00	
BANC ONE ABS CORP	OH				X	X					01/18/00	
BANC ONE AUTO GRANTOR TRUST 1996-A	NY				X	X					01/18/00	
BANC ONE AUTO GRANTOR TRUST 1997-B	NY				X	X					01/20/00	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-1	DE				X	X					06/25/99	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-1	DE				X	X					06/25/99	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-1	DE				X	X					07/25/99	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-1	DE				X	X					07/25/99	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-1	DE				X	X					08/25/99	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-1	DE				X	X					08/25/99	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-1	DE				X	X					09/25/99	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-1	DE				X	X					09/25/99	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-1	DE				X	X					10/25/99	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-1	DE				X	X					10/25/99	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-1	DE				X	X					01/25/00	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-1	DE				X	X					01/25/00	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-1	DE				X	X					01/25/00	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-1	DE				X	X					06/25/99	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-2	DE				X	X					06/25/99	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-2	DE				X	X					07/25/99	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-2	DE				X	X					08/25/99	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-2	DE				X	X					09/25/99	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-2	DE				X	X					10/25/99	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-2	DE				X	X					10/25/99	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-2	DE				X	X					01/25/00	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-2	DE				X	X					01/25/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
BANK OF AMERICA MORTGAGE SECURITIES INC	DE				X	X					06/25/99	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE				X	X					06/25/99	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE				X	X					06/25/99	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE				X	X					07/25/99	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE				X	X					07/25/99	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE				X	X					08/25/99	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE				X	X					08/25/99	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE				X	X					09/25/99	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE				X	X					09/25/99	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE				X	X					10/25/99	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE				X	X					10/25/99	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE				X	X					10/25/99	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE				X	X					10/25/99	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE				X	X					01/25/00	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE				X	X					01/25/00	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE				X	X					01/25/00	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE				X	X					01/25/00	
BANK OF AMERICA 1999-10	NC				X	X					05/25/99	
BANK OF AMERICA 1999-10	NC				X	X					06/25/99	
BANK OF AMERICA 1999-10	NC				X	X					07/25/99	
BANK OF AMERICA 1999-10	NC				X	X					08/25/99	
BANK OF AMERICA 1999-10	NC				X	X					09/25/99	
BANK OF AMERICA 1999-10	NC				X	X					10/25/99	
BANK OF AMERICA 1999-10	NC				X	X					01/25/00	
BANK OF AMERICA 1999-11					X	X					05/25/99	
BANK OF AMERICA 1999-11					X	X					05/25/99	
BANK OF AMERICA 1999-11					X	X					06/25/99	
BANK OF AMERICA 1999-11					X	X					07/25/99	
BANK OF AMERICA 1999-11					X	X					08/25/99	
BANK OF AMERICA 1999-11					X	X					09/25/99	
BANK OF AMERICA 1999-11					X	X					10/25/99	
BANK OF AMERICA 1999-11					X	X					01/25/00	
BANK ONE TEXAS NATIONAL ASSOCIATION					X	X					01/20/00	
BIKERS DREAM INC	CA				X	X					01/19/00	
BLACK & DECKER CORP	MD				X	X					01/27/00	
BOSTON CAPITAL TAX CREDIT FUND IV L P	DE					X					10/15/98	
BOSTON PROPERTIES INC	DE				X	X					01/25/00	
BRITESMILE INC	UT				X	X					01/18/00	



NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			9
BSMS 1999-1	DE				X	X					11/25/99	
CAM DESIGNS INC	DE				X						01/21/00	
CAPITA EQUIPMENT RECEIVABLES TRUST 1996-1	NY				X						12/08/99	
CAPITA EQUIPMENT RECEIVABLES TRUST 1996-1	NY				X						01/10/00	
CELGENE CORP /DE/	DE				X	X					01/27/00	
CELL GENESYS INC	DE				X	X					01/27/00	
CELTRIX PHARMACEUTICALS INC	DE				X	X					01/27/00	
CENTEX CORP	NV				X	X					01/26/00	
CENTURY BUSINESS SERVICES INC	DE				X						12/28/99	AMEND
CHARTER COMMUNICATIONS INC /MO/	DE					X					01/25/00	AMEND
CHILDRENS BEVERAGE GROUP INC	DE				X	X					01/26/00	
CHITTENDEN CORP /VT/	VT				X						01/24/00	
CITADEL BROADCASTING CO	NV				X	X					01/26/00	
CITIBANK SOUTH DAKOTA N A	DE				X						01/27/00	
CLASSIC BANCSHARES INC	DE				X	X					01/24/00	
CMERUN INC	FL				X	X					01/20/00	
COCA COLA CO	DE				X	X					01/26/00	
COLORADO MEDTECH INC	CO		X			X					11/15/99	AMEND
COLUMBIA ENERGY GROUP	DE				X						01/25/00	
COMMUNITY FINANCIAL GROUP INC	TN				X	X					01/26/00	
COMPAQ COMPUTER CORP	DE				X	X					01/25/00	
COMPUSA INC	DE				X	X					01/23/00	
CONCORD COMMUNICATIONS INC	MA				X	X					01/20/00	
CONSO INTERNATIONAL CORP	SC				X	X					01/18/00	
CONSOLIDATED GRAPHICS INC /TX/	TX				X	X					01/26/00	
CONSOLIDATED NATURAL GAS CO	DE				X						01/27/00	
CONTISECURITIES ASSET FUNDING CORP	DE				X	X					03/04/99	
COOPER INDUSTRIES INC	OH				X	X					01/27/00	
CORNING INC /NY	NY		X								01/11/00	
CROSSKEYS SYSTEMS CORP			X			X					01/26/00	
CSB FINANCIAL GROUP INC	DE				X	X					01/26/00	
CTC COMMUNICATIONS GROUP INC	DE				X						01/25/00	
CUBIST PHARMACEUTICALS INC	DE				X	X					01/27/00	
CUMETRIX DATA SYSTEMS CORP	CA				X						01/27/00	
CWABS INC ASSET BACKED CERTIFICATES SERIES 1999-T22	DE				X	X					11/25/99	
CWABS INC ASSET BACKED CERTIFICATES SERIES 1999-2	DE				X	X					11/25/99	
CWABS INC	DE				X	X					01/25/00	
CWABS INC	DE				X	X					01/25/00	
CWABS INC BEAR STEARNS MORT SEC TRU ST 1998-3	DE				X	X					11/25/99	
CWABS INC BEAR STEARNS MORT SEC TRU ST 1998-3	DE				X	X					11/25/99	
CWABS INC CHL MORTGAGE PASS THROUGH TRUST 1998-22	DE				X	X					01/25/00	
CWABS INC CHL MORTGAGE PASS THROUGH TRUST 1998-23	DE				X	X					01/25/00	
CWABS INC MORT PASS THR CERT SER 19 99-10	DE				X	X					01/25/00	
CWABS INC MORT PASS THR CERT SER 19 99-11	DE				X	X					01/25/00	
CWABS INC MORT PASS THR CERT SER 19 99-12	DE				X	X					01/25/00	
CWABS INC MORT PASS THR CERT SER 19 99-13	DE				X	X					11/25/99	
CWABS INC MORT PASS THR CERT SER 19 99-5	DE				X	X					04/25/99	

NAME OF ISSUER	STATE		BK ITEM NO.									DATE	COMMENT	
	CODE		1	2	3	4	5	6	7	8	9			
CWBS INC MORT PASS THR CERT SER 19 99-5								X	X				05/25/99	
CWBS INC MORTGAGE PASS THR CERT TR DE UST 1999 1								X	X				02/25/99	
CWBS INC MORTGAGE PASS THR CERT TR DE UST 1999 1								X	X				02/25/99	
CWBS INC MORTGAGE PASS THR CERT TR DE UST 1999 1								X	X				03/25/99	
CWBS INC MORTGAGE PASS THR CERT TR DE UST 1999 1								X	X				04/25/99	
CWBS INC MORTGAGE PASS THR CERT TR DE UST 1999 1								X	X				05/25/99	
CWBS INC MORTGAGE PASS THR CERT TR DE UST 1999 1								X	X				06/25/99	
CWBS INC MORTGAGE PASS THR CERT TR DE UST 1999 1								X	X				07/25/99	
CWBS INC MORTGAGE PASS THR CERT TR DE UST 1999 1								X	X				11/25/99	
CWBS INC MORTGAGE PASS THR CERT TR DE UST 1999 1								X	X				12/25/99	
CWBS INC MORTGAGE PASS THR CERT TR DE UST 1999 1								X	X				01/25/00	
CWBS INC MORTGAGE PASS THR CERT TR DE UST 1999 1								X	X				01/25/00	
CWBS INC RASTA 1999-A9 1999-I								X	X				01/25/00	
CWBS INC RASTA 1999-A9 1999-I								X	X				01/25/00	
DATAMARINE INTERNATIONAL INC	MA								X				01/26/00	
DENDRITE INTERNATIONAL INC	NJ									X			01/25/00	
DESIGN AUTOMATION SYSTEMS INC	TX							X					01/20/00	
DEVON ENERGY CORP	DE								X				01/26/00	
DOBSON COMMUNICATIONS CORP	OK								X				01/24/00	
DOLLAR TREE STORES INC	VA								X				12/31/99	
DOUBLECLICK INC	DE								X	X			11/01/99	
DOUBLECLICK INC	DE								X				01/11/00	
DUPONT E I DE NEMOURS & CO	DE									X			01/26/00	
E TRADE GROUP INC	DE			X				X	X	X			01/12/00	
E-NET COM CORP	NV			X				X	X	X			12/21/99	
ECO SOIL SYSTEMS INC	NE							X	X				01/24/00	
ESYNCH CORP/CA	DE		X										11/17/98	
FIRST DATA CORP	DE							X					01/28/00	
FIRST MID ILLINOIS BANCSHARES INC	DE							X					01/21/00	
FIRST OF AMERICA CRED CAR MA TRU FL RA AS BAC CE SER 1995-1										X			01/18/00	
FORCENERGY INC	DE			X					X				01/19/00	
FORD MOTOR CO	DE			X									01/26/00	
FRANKLIN RESOURCES INC	DE							X	X				12/30/99	
FREEDOM GOLF CORP/CO			X						X				11/15/99	
FRENCH FRAGRANCES INC	FL							X	X				01/20/00	
GALAXY TELECOM LP	DE							X					12/31/99	
GE CAPITAL MORTGAGE SERVICES INC	NJ							X	X				01/25/00	
GE CAPITAL MORTGAGE SERVICES INC	NJ							X	X				01/26/00	
GE CAPITAL MORTGAGE SERVICES INC	NJ							X					01/27/00	
GEERLINGS & WADE INC	MA							X	X				01/26/00	
GLENBOROUGH REALTY TRUST INC	MD							X	X				12/31/99	
GLOBESPAN INC/DE	DE			X									01/12/00	
GOLD BANC CORP INC	KS							X	X				01/26/00	
GS MORTGAGE SEC CORP II COMM MORT P A THRO CERT SER 1997-GL1	DE		X										01/13/00	
HANNAFORD BROTHERS CO	ME							X	X				01/13/00	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
HEADLANDS MORTGAGE PASS THROUGH CER TIFICATES SERIES 1998-3	DE				X	X					01/25/00	
HEALTHCON WEBMD CORP	DE				X	X					01/22/00	
HELLER FUNDING CORP	DE				X	X					01/13/00	
HELLER FUNDING CORP	DE				X	X					01/14/00	
HERITAGE COMMERCE CORP	CA				X						01/26/00	
HIBERNIA CORP	LA				X						12/31/99	
HIE INC	GA				X	X					12/31/99	
HOME BANCORP/IN	IN				X						01/25/00	
HOME EQUITY LOAN ASSET BACKED TRUST SERIES SPMD 1998 A	DE				X	X					11/25/99	
HOUSEHOLD AUTOMOBILE REVOLVING TRUS T I	DE						X				01/26/00	
HOUSEHOLD AUTOMOTIVE TRUST III SERI ES 1999-1	DE						X				01/26/00	
HOUSEHOLD REVOLVING HOME EQUITY LOA N TRUST 1995-1	DE						X				01/27/00	
HOUSEHOLD REVOLVING HOME EQUITY LOA N TRUST 1995-2	DE						X				01/27/00	
HOUSEHOLD REVOLVING HOME EQUITY LOA N TRUST 1996-1	DE						X				01/27/00	
HSB GROUP INC	CT				X						01/24/00	
HYPERDYNAMICS CORP	DE				X	X					01/12/00	
IGEN INTERNATIONAL INC /DE/	DE				X						12/30/99	
IMH ASSETS CORP	CA		X				X				01/20/00	
IMH ASSETS CORP	CA		X				X				01/27/00	AMEND
IMX PHARMACEUTICALS INC	UT				X		X				01/24/00	
INDIAN VILLAGE BANCORP INC	PA				X	X					01/24/00	
INDIANA ENERGY INC	IN				X	X					12/31/99	
INDIANA ENERGY INC	IN						X				01/24/00	
INDIANA ENERGY INC	IN				X	X					01/26/00	
INDUSTRIAL IMAGING CORP	DE				X						12/02/99	
INTEGRA LIFESCIENCES HOLDINGS CORP	DE		X				X				01/13/00	
INTERFOODS OF AMERICA INC	NV		X				X				01/24/00	
INTERNATIONAL REMOTE IMAGING SYSTEM S INC /DE/	DE				X						01/21/00	
INTERWEST BANCORP INC	WA				X	X					01/18/00	
KAISER GROUP INTERNATIONAL INC	DE				X						01/25/00	
KEYSPAN CORP	NY				X	X					01/27/00	
KEYSTONE PROPERTY TRUST	MD		X				X				01/18/00	
LACLEDE GAS CO	MO				X	X					01/27/00	
LIFE TECHNOLOGIES INC	DE				X	X					01/20/00	
LIGHT MANAGEMENT GROUP INC	NV				X	X					12/10/99	
LJL BIOSYSTEMS INC	DE				X	X					01/26/00	
LSI LOGIC CORP	DE				X	X					01/25/00	
MAF BANCORP INC	DE				X	X					01/26/00	
MAPICS INC	GA		X				X				01/12/00	
MATLACK SYSTEMS INC	DE		X								01/27/00	
MATTHEWS STUDIO EQUIPMENT GROUP	CA				X						01/13/00	
MAXCOR FINANCIAL GROUP INC	DE				X	X					01/11/00	
MEDAREX INC	NJ				X	X					01/26/00	
MICRO ASI INC	TX		X				X				01/18/00	
MILLENNIUM PHARMACEUTICALS INC	DE						X				12/22/99	AMEND
MOORE PRODUCTS CO	PA				X	X					01/16/00	
MORGAN STANLEY DEAN WITTER & CO	DE				X						12/20/99	
NATIONAL STEEL CORP	DE				X	X					01/27/00	
NATIONSLINK FUNDING CORP 1999-LTL-1 COMMER LOAN PAS THR CER	DE		X								01/22/00	
NBC INTERNET INC	DE				X	X					01/24/00	
NCT FUNDING CO LLC	DE				X						12/17/99	
NCT FUNDING CO LLC	DE				X						01/18/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
NETWORK EQUIPMENT TECHNOLOGIES INC	DE				X	X					01/20/00	
NEXTEL COMMUNICATIONS INC	DE				X	X					01/26/00	
NICOLLET PROCESS ENGINEERING INC	MN					X					11/12/99	AMEND
NISSAN AUTO RECEIVABLES CORP /DE	DE				X	X					01/27/00	
NL INDUSTRIES INC	NJ				X	X					01/26/00	
NOBLE INTERNATIONAL LTD	MI		X			X					01/11/00	
NORTH BANCSHARES INC	DE	X									01/27/00	
NORWEST ASSET SECURITIES CORP	DE				X	X					01/27/00	
NSTAR	MA				X						01/24/00	
OCCIDENTAL PETROLEUM CORP /DE/	DE				X						01/25/00	
OLD POINT FINANCIAL CORP	VA	X									03/31/00	
OLERAMMA INC	NV		X								01/20/00	
ONEOK INC /NEW/	OK				X						01/27/00	
OPTIMUMCARE CORP /DE/	DE					X					01/17/00	AMEND
OPTION ONE MORT LN TR ASSET BACKED CERT SER 1999-3	NY					X					01/26/00	
PAYCHEX INC	DE				X						01/26/00	
PEOPLES SIDNEY FINANCIAL CORP	DE				X	X					01/18/00	
PERKINELMER INC	MA				X	X					01/14/00	
PHILIP MORRIS COMPANIES INC	VA				X	X					01/26/00	
PHOTOGEN TECHNOLOGIES INC	NV				X	X					01/25/00	
PHS BANCORP INC	PA				X	X					01/24/00	
PNC BANK CORP	PA				X	X					01/13/00	
POLAROID CORP	DE				X	X					01/26/00	
PRECISION RESPONSE CORP	FL				X	X					01/26/00	
PREFERRED NETWORKS INC	GA		X								01/21/00	
PROTECTION ONE ALARM MONITORING INC	DE				X	X					01/26/00	
PROTECTION ONE INC	DE				X	X					01/26/00	
PROXICOM INC	DE				X	X					01/26/00	
PS GROUP HOLDINGS INC	DE				X						01/25/00	
PSINET INC	NY				X	X					01/24/00	
PUBLIC SERVICE CO OF NEW MEXICO	NM				X						01/27/00	
PUBLIC SERVICE CO OF NEW MEXICO	NM				X						12/27/00	
QUINTILES TRANSNATIONAL CORP	NC				X	X					01/26/00	
RAILAMERICA INC /DE	DE				X	X					01/13/00	
REALNETWORKS INC	WA		X		X	X					01/25/00	
RELIANCE GROUP HOLDINGS INC	DE				X						01/21/00	
REPUBLIC SERVICES INC	DE				X	X					01/26/00	
RESIDENTIAL ACCREDIT LOANS INC	DE				X	X					01/25/00	
RESIDENTIAL ASSET SECURITIZATION TR UST 1998-A9	DE				X	X					11/25/99	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE				X	X					01/25/00	
REVENGE MARINE INC	NV	X	X			X					01/12/00	
ROLLINS TRUCK LEASING CORP	DE	X									01/27/00	
RTI INTERNATIONAL METALS INC	OH				X						01/26/00	
RURAL METRO CORP /DE/	DE				X	X					01/27/00	
RYDER SYSTEM INC	FL				X	X					01/14/00	
SAFECO CORP	WA				X						01/21/00	
SAFETY KLEEN CORP/	DE				X						01/26/00	
SAM HOUSTON RACE PARK LTD	TX				X						01/24/00	
SAUER INC	DE				X						01/27/00	
SAWTEK INC \FL\	FL				X	X					01/27/00	
SEAGATE TECHNOLOGY INC	DE				X	X					01/26/00	
SEMPRA ENERGY	CA	X									01/27/00	
SEPRACOR INC /DE/	DE				X	X					01/20/00	
SERVICE MERCHANDISE CO INC	TN				X						01/27/00	
SKYNET TELEMATICS INC	NV			X		X					01/19/00	
SONIC AUTOMOTIVE INC	DE					X					12/10/99	AMEND
SOUTHWEST GAS CORP	CA				X	X					01/21/00	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
STANLEY WORKS	CT				X	X					01/27/00	
STERLING COMMERCE INC	DE				X	X					01/27/00	
STEWART & STEVENSON SERVICES INC	TX				X	X					01/26/00	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE				X	X					01/26/00	
STRUCTURED ASSET SECURITIES CORP	DE				X	X					01/21/00	
STRUCTURED ASSET SECURITIES CORP	DE				X	X					01/25/00	
SUNRISE MEDICAL INC	DE		X			X					01/18/00	
TEAM COMMUNICATION GROUP INC	CA				X						01/26/00	
TEKINSIGHT COM INC	DE				X	X					01/18/00	
TEXACO INC	DE				X	X					01/26/00	
TICKETMASTER ONLINE CITYSEARCH INC	DE				X	X					01/26/00	
TOYOTA AUTO LEASE TRUST 1997-A	CA				X	X					12/31/99	
TOYOTA AUTO LEASE TRUST 1998 C	CA				X	X					12/31/99	
TOYOTA AUTO LEASE TRUST 1998-B	CA				X	X					12/31/99	
TOYOTA MOTOR CREDIT RECEIVABLES COR P	CA				X	X					12/31/99	
TRIARC COMPANIES INC	DE				X	X					01/26/00	
U S WEST INC /DE/	DE				X	X					12/31/99	
UNIDYNE CORP	DE				X	X					01/24/00	
UNION FINANCIAL BANCSHARES INC	DE					X					11/15/99	AMEND
UNION NATIONAL BANCORP INC	MD				X	X					01/26/00	
UNITED COMMUNITY FINANCIAL CORP	OH				X						01/26/00	
US HOMECARE CORP	NY				X						01/25/00	
USA NETWORKS INC	DE				X	X					01/25/00	
USWEB CORP	DE				X						01/25/00	
WASTE CONNECTIONS INC/DE	DE		X			X					11/17/99	AMEND
WATSON WYATT & CO	DE				X						01/27/00	
WEBQUEST INTERNATIONAL INC	NV		X								01/13/00	
WEBTRENDS CORP	OR				X	X					01/24/00	
WELLS FARGO & CO/MN	DE				X	X					01/25/00	
WELLS GARDNER ELECTRONICS CORP	IL		X			X					01/12/00	
WESTERN RESOURCES INC /KS	KS				X	X					01/26/00	
WESTERN RESOURCES INC /KS	KS				X	X					01/27/00	
WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP	DE					X					11/19/99	AMEND
WHIRLPOOL CORP /DE/	DE				X	X					01/24/00	
WHITE MOUNTAINS INSURANCE GROUP LTD	DE				X	X					01/10/00	
WHITTMAN HART INC	DE				X	X					01/24/00	
WORLDPORT COMMUNICATIONS INC	DE		X			X					01/14/00	
WORONOCO BANCORP INC	DE				X						01/24/00	
WVS FINANCIAL CORP	PA				X						01/27/00	
YONKERS FINANCIAL CORP	DE				X	X					01/14/00	
ZEROS & ONES INC	NV				X	X					01/27/00	
21ST CENTURY INSURANCE GROUP	CA				X						01/25/00	
24/7 MEDIA INC	DE		X			X					01/27/00	
99 CENTS ONLY STORE	CA				X						01/19/00	