

SEC NEWS DIGEST

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COMMISSION ANNOUNCEMENTS

COMMISSION ISSUES RULE PROPOSAL TO ADDRESS APPLICATION OF THE INVESTMENT ADVISERS ACT TO NEW BROKERAGE PROGRAMS

The Commission this week proposed a new rule that would address the application of the Investment Advisers Act to broker-dealers that charge fees based on the amount of assets in customers' accounts, instead of commissions and mark-ups. The proposal will be issued for a 60-day public comment period prior to being considered for final adoption by the Commission.

SEC Chairman Arthur Levitt said, "This proposal represents a sensible way to allow full service brokerage firms to offer pricing choices to their customers without imposing unnecessary regulatory obstacles or sacrificing investor protection. Asset-based fee programs better align the interests of customers and their brokers. The proposed rule would assure, however, that when an account bears the fundamental characteristics of an advisory account, it will be subject to the Advisers Act."

Currently, due to the form of compensation they receive, brokers that charge their customers asset-based fees may be subject to regulation under the Advisers Act, as well as the Securities Exchange Act of 1934. The proposed rule makes the nature of the services provided, rather than the form of compensation, the primary factor in determining whether the Advisers Act applies. Under the proposed rule, if the broker does not have discretionary authority to trade securities in an account, the Advisers Act generally would not apply to that account. If the broker does have discretionary authority and charges an asset-based fee, the account would be subject to the Advisers Act.

The new asset-based pricing of brokerage services is responsive to the "best practices" identified in 1995 by the Committee on Compensation Practices. That Committee, formed at the request of Chairman Levitt to identify the brokerage industry's "best practices," recommended that brokers shift at least a portion of their fees to asset-based compensation in order to better align the interests of the brokers, their registered representatives, and their clients.

For further information concerning the proposed rule, contact Robert Plaze or Cynthia Fornelli in the SEC's Division of Investment Management at (202) 942-0720. (Press Rel. 99-147; 34-42099; IA-1845; File No. S7-25-99)

RULES AND RELATED MATTERS

HOUSEHOLDING RULE AMENDMENTS

The Commission has issued a release adopting rule changes to permit the delivery of a single copy of a prospectus or shareholder report to investors who share the same address. New Rule 154 under the Securities Act of 1933 will permit the delivery of a single prospectus, and amendments to Rules 30d-1 and 30d-2 under the Investment Company Act and to Rules 14a-3, 14c-3, and 14c-7 under the Securities Exchange Act will permit delivery of one shareholder report. For further information, contact C. Hunter Jones at (202) 942-0690. (Rel. 33-7766; 34-42101; IC-24123)

The Commission also has issued a release proposing proxy rule changes that would permit delivery of a single proxy or information statement to investors sharing the same address. Public comment is solicited on the proposals. Comment letters must be received by December 31, 1999. For further information, contact Elizabeth Murphy at (202) 942-2848. (Rel. 33-7767; 34-42102; IC-24124)

ENFORCEMENT PROCEEDINGS

COMMISSION SETTLES PUBLIC ADMINISTRATIVE PROCEEDINGS AGAINST MICHAEL REHTORIK

On November 4, the Commission instituted and simultaneously settled public administrative proceedings, pursuant to Section 15(b) of the Securities Exchange Act of 1934 (Exchange Act), against Michael W. Rehtorik (Rehtorik). In the Order, the Commission barred Rehtorik from participating in any offering of penny stock. The Order finds that on October 22, 1999, the U.S. District Court for the Eastern District of Tennessee permanently enjoined Rehtorik, by consent, from further violations of Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Exchange Act and Rule 10b-5 thereunder. The permanent injunction followed a civil action initiated by a complaint filed by the Commission on September 23, 1998, alleging that Rehtorik, the Managing Director and Director of Strategies and Investor Relations for Genesis International Financial Services, Inc. (Genesis) promoted the stock of Genesis through fraudulent Commission filings and company press releases.

The complaint further alleges that once the price of the stock had been inflated artificially through his efforts, Rehtorik and others sold Genesis stock through nominee accounts, then booked a portion of the proceeds as income to Genesis. (Rel. 34-42097; File No. 3-10090)

ORDER PERMITTING ASSOCIATION OF CHARLES ZARZECKI

On November 4, the Commission issued an Order approving the application of the National Association of Securities Dealers, Inc. (NASD) for relief from a statutory disqualification to permit the association of Charles M. Zarzecki as a General Securities Representative and Equity Trader with Research Conversion Corporation, a member of the NASD. The Order states that, based on the representations made regarding the supervision of Mr. Zarzecki, and the terms and conditions of his association, the Commission will not institute proceedings pursuant to Sections 15(b) or 19(h) of the Securities Exchange Act of 1934 (Exchange Act) and will not direct the NASD to bar the proposed association pursuant to Section 15A(g)(2). The Order is necessary because Mr. Zarzecki is subject to a 1993 order of the Commission barring him from association with any broker, dealer, investment company, municipal securities dealer, or registered investment adviser. (Rel. 34-42098)

SETTLEMENT OFFERS OF ANDREW BRESSMAN, ROMAN OKIN AND RICHARD SIMONE ACCEPTED

On November 4, the Commission, by consent, entered separate Orders Making Findings and Imposing Remedial Sanctions and Cease and Desist Orders as to Andrew Bressman, Roman Okin and Richard Simone, all former registered representatives of the defunct broker-dealer A.R. Baron & Co., Inc. These Orders settle as to these respondents the administrative proceedings instituted on May 23, 1996 (Adm. Proc. 3-9010) against A.R. Baron & Co., Inc., Andrew Bressman and Roman Okin, and on October 17, 1996 (Adm. Proc. 3-9168) against A.R. Baron & Co. Inc., Andrew Bressman, Roman Okin, Richard Acosta, Richard Simone, Burton Blank, Mark Goldman and Jack Wolynez pursuant to Section 8A of the Securities Act of 1933 (Securities Act) and Sections 15(b)(6), 19(h) and 21C of the Securities Exchange Act of 1934 (Exchange Act). On October 17, 1996, A.R. Baron & Co., Inc. was dismissed from these proceedings pursuant to an Offer of Settlement accepted by the Commission. On December 6, 1996, the Commission postponed these proceedings at the request of the District Attorney for New York County. Since that time, respondents Andrew Bressman, Roman Okin, Richard Acosta, Richard Simone, Mark Goldman and Jack Wolynez have been indicted and criminally convicted in connection with their activities at A.R. Baron & Co., Inc.

The Orders as to Andrew Bressman and Roman Okin state that Bressman and Okin engaged in stock manipulation and repeated abusive sales practices. The Order as to Richard Simone states that Simone placed unauthorized stock purchases in a customer's accounts.

The Orders as to Bressman and Okin find that Bressman and Okin each willfully violated Section 17(a) of the Securities Act of 1933 (Securities Act) and Sections 9(a)(2), 9(a)(4) and 10(b) of the Securities Exchange Act (Exchange Act) and Rule 10b-5 thereunder, and that each willfully aided, abetted and caused violations of Sections 7(c) and 15(c)(1) of the Exchange Act and Rule 15c1-2 thereunder and Regulation T promulgated by the Federal Reserve Board. The Order as to Simone finds that Simone willfully violated Section 17(a) of the Securities Act and Section 10(b) of the Exchange Act and Rule 10b-5 thereunder.

Bressman and Okin were each ordered to cease and desist from committing or causing any violation and any future violation of Section 17(a) of the Securities Act and Sections 7(c), 9(a)(2), 9(a)(4), 10(b) and 15(c)(1) of the Exchange Act and Rules 10b-5 and 15c-2 thereunder and Regulation T promulgated by the Federal Reserve Board. Simone was ordered to cease and desist from committing or causing any violation and any future violation of Section 17(a) of the Securities Act and Section 10(b) of the Exchange Act and Rule 10b-5 thereunder. All three respondents were ordered barred from association with any broker or dealer. In addition, Bressman and Okin were each ordered to disgorge \$6,038, 412 and \$622,584, respectively, to be paid in installments from their future earnings. (Bressman - Rel. 34-42103, File No. 3-9010 and 9168; Okin - Rel. 42104, File No. 3-9010 and 3-9168; Simone - Rel. 34-42105, File No. 3-9168)

FORMER PRINCIPALS AND BRANCH OWNER OF NEW JERSEY BROKER-DEALER BARRED FROM ASSOCIATING WITH A BROKER-DEALER AND FROM PARTICIPATING IN AN OFFERING OF PENNY STOCK

The Commission announced that on November 4 it issued an Order instituting and settling public administrative proceedings against Lawrence J. Penna and Herman Epstein, former principals of Investors Associates, Inc., a now-defunct New Jersey broker-dealer, and against Douglas J. Mangan, former co-owner of the Melville, New York office of Investors Associates. The Order bars Penna, Epstein and Mangan from being associated with a broker or dealer and from participating in an offering of penny stock, pursuant to Section 15(b)(6) of the Securities Exchange Act of 1934.

The Commission's Order is based on final judgments of permanent injunction entered against Penna, Epstein and Mangan on September 13, 1999, as the result of a civil action filed by the Commission. SEC v. Lawrence J. Penna, Herman Epstein and Douglas J. Mangan, 99 Civ. 9406 (U.S. District Court, S.D.N.Y. September 2, 1999) (Litigation Release No. 16270). In that case, the Commission alleged that Penna, Epstein and Mangan participated in fraudulent public offerings of securities for five separate companies (Interiors, Inc., Compare Generiks, Inc., Perry's Majestic Beer, Inc., Decor Group, Inc. and Superior Supplements, Inc.) between September 1995 and February 1997 and in the subsequent manipulation of the market in those securities.

In a parallel criminal proceeding, the United States Attorney for the Southern District of New York filed criminal informations on September 2, 1999, charging Penna and Epstein with perjury before the Commission's staff during its investigation and charging Penna, Epstein and Mangan with multiple counts of securities fraud in connection with the five fraudulent offerings, as well as other crimes. Epstein and Mangan pled guilty on September 14, 1999, and Penna entered his guilty plea on October 5, 1999. (Rel. 34-42106; File No. 3-10091)

PUBLIC ADMINISTRATIVE AND CEASE AND DESIST PROCEEDINGS INSTITUTED AGAINST INDIVIDUAL FOR SELLING UNREGISTERED SECURITIES AND ACTING AS A BROKER WITHOUT REGISTRATION

On November 4, the Commission instituted public administrative and cease and desist proceedings, pursuant to Section 8A of the Securities Act of 1933 (Securities Act) and Sections 15(b)(6), 19(h) and 21C of the Securities Exchange Act of 1934 (Exchange Act), against Respondent Jerry C. Berman for willfully violating, and committing or causing violations of, Section 5 of the Securities Act and Section 15(a)(1) of the Exchange Act.

The Division of Enforcement alleged that Respondent, as a consultant to Stratcomm Media Ltd. (Stratcomm) and Corporate Relations Group, Inc. (CRG) its wholly-owned subsidiary, sold more than 310,000 shares of Stratcomm common stock in violation of the registration provision of the federal securities laws, earning commissions from Stratcomm and CRG of over \$50,000. Stratcomm had not filed a registration statement concerning these common stock sales, nor was there a registration statement in effect or an exemption from registration available to Stratcomm. Moreover, Respondent was not registered as a broker. (Rel. 33-7768; 34-42107; File No. 3-10092)

REGISTRATION OF THE STOCK OF DYNAMIC AMERICAN CORPORATION IS REVOKED

On November 1, the registration of the stock of Dynamic American Corporation was revoked under the Securities Exchange Act. Dynamic American, now a defunct Utah shell corporation, formerly had an office in Salt Lake City, Utah. The proceedings alleged that Dynamic American failed to file required Form 10-K annual reports or Form 10-Q quarterly reports for any period after the quarter ended September 30, 1995. Section 12(j) of the Exchange Act provides that if the registration of a security is revoked, no broker-dealer can use interstate commerce to effect any transactions in the stock. Dynamic American failed to answer the Order or to otherwise defend the proceeding.

In a related proceeding filed in August 1999, the Commission alleged that Robert G. Weeks, David A. Hesterman, and J. Edwards Cox of Salt Lake City, Kenneth L. Weeks of Draper, Utah, Jethro J. Barlow, CPA of Hilldale, Utah, and Alan K. Burton of Novato, California, defrauded investors in Dynamic American by failing to disclose the identities of the company's true control persons, grossly overvaluing the company's Bolivian and U.S. mineral assets, and accumulating nearly \$4 million through a massive, unregistered

distribution of its stock.

R. Weeks and Hesterman were indicted last year by a federal grand jury in connection with their activities at another publicly traded mining company (U.S. v. Robert G. Weeks et al., No. 2:98CR 0278S, D. Utah, May 27, 1998). (Rel. 34-42081; File No. 3-9951)

INVESTMENT COMPANY ACT RELEASES

HARTFORD LIFE INSURANCE COMPANY, ET AL.

A notice has been issued giving interested persons until November 29 to request a hearing on an application filed by Hartford Life Insurance Company and Hartford Life Insurance Company Separate Account Two for an order, under Section 26(b) of the Investment Company Act, approving the substitution of shares of Hartford Advisers HLS Fund, Inc. for shares of American Century VP Advantage Fund, a series fund of American Century Variable Portfolios, Inc. (Rel. IC-24122 - November 3)

BANKERS TRUST COMPANY, ET AL.

The Commission has extended a temporary order exempting Bankers Trust Company (BT) and entities of which BT is or becomes an affiliated person from Section 9(a) of the Investment Company Act until the Commission takes final action on an application for a permanent order or, if earlier, March 8, 2000. (Rel. IC-24125 - November 4)

SELF-REGULATORY ORGANIZATIONS

An order has been issued granting the application of the Chicago Stock Exchange to strike from listing and registration Anchor Gold and Currency Trust, Common Stock, no par value. (Rel. 34-42100)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-3 BURLINGTON RESOURCES INC, 5051 WESTHEIMER, SUITE 1400, HOUSTON, TX 77056
(713) 624-9500 - 38,500,000 (\$1,377,607,000) COMMON STOCK. (FILE
333-89899 - OCT. 29) (BR. 4)
- S-8 DIME BANCORP INC, 589 5TH AVE, NEW YORK, NY 10017 (212) 326-6170 -
250,000 (\$4,078,125) COMMON STOCK. (FILE 333-89901 - OCT 29) (BR 7)
- S-8 ELECTRONIC DATA SYSTEMS CORP /DE/, 5400 LEGACY DR, H3-3D-05, PLANO, TX
75024 (972) 604-6000 - 3,060,000 (\$153,956,250) COMMON STOCK (FILE
333-89903 - OCT. 29) (BR. 3)
- S-8 HCC INSURANCE HOLDINGS INC/DE/, 13403 NORTHWEST FRWY, HOUSTON, TX 77040
(713) 690-7300 - 400,000 (\$3,796,000) COMMON STOCK. (FILE 333-89905 -
OCT. 29) (BR. 1)
- S-8 CGB&L FINANCIAL GROUP INC, 229 E SOUTH STREET, P O BOX 680, CERRO GRODO,
IL 61818 (217) 763-2911 - 9,900 (\$96,525) COMMON STOCK. (FILE 333-89907 -
OCT. 29) (BR. 7)
- S-8 CEPHALON INC, 145 BRANDYWINE PKWY, WEST CHESTER, PA 19380 (610) 344-0200
- 1,200,000 (\$19,308,000) COMMON STOCK. (FILE 333-89909 - OCT 29)
(BR. 1)
- S-8 TROPICAL SPORTSWEAR INTERNATIONAL CORP, 4902 W WATERS AVE, TAMPA, FL
33634 (813) 249-4900 - 500,000 (\$9,125,000) COMMON STOCK (FILE 333-89913
- OCT. 29) (BR. 2)
- S-4 AT&T CORP, 32 AVENUE OF THE AMERICAS, NEW YORK, NY 10013 (212) 387-5400
- 19,719,259 (\$2,595,945,038) COMMON STOCK (FILE 333-89915 - OCT 29)
(BR. 7)
- S-3 PUBLICARD INC, 75 KINGS HIGHWAY CUTOFF, FIFTH FLOOR, FAIRFIELD, CT 06430
(203) -36-8-68 - 18,000 (\$136,620) COMMON STOCK (FILE 333-89917 -
OCT 29) (BR 3)
- S-8 MIRACOR DIAGNOSTICS INC, 9191 TOWNE CENTRE DR, STE 420, SAN DIEGO, CA
92122 (858) 455-7127 - 500,000 (\$250,000) COMMON STOCK. (FILE 333-89919 -
OCT 29) (BR 5)
- S-8 EATON VANCE CORP, 255 STATE STREET, BOSTON, MA 02109 (617) 482-8260 -
500,000 (\$16,390,625) COMMON STOCK. (FILE 333-89921 - OCT 29) (BR 7)
- S-8 CHINA PEREGRINE FOOD CORP, 777 SOUTH FLAGLER DR STE 1113,
PHILLIPS POINT WEST TOWER, WEST PALM BEACH, FL 33401 (516) 366-0070 -
1,016,000 (\$633,000) COMMON STOCK. (FILE 333-89923 - OCT 29) (BR 9)
- S-8 PENN TREATY AMERICAN CORP, 3440 LEHIGH ST, ALLENTOWN, PA 18103
(610) 965-2222 - 600,000 (\$11,062,500) COMMON STOCK. (FILE 333-89927 -
OCT 29) (BR. 1)
- S-8 PENN TREATY AMERICAN CORP, 3440 LEHIGH ST, ALLENTOWN, PA 18103
(610) 965-2222 - 282,269 (\$5,204,335) COMMON STOCK (FILE 333-89929 -
OCT. 29) (BR. 1)
- S-8 MAIN STREET & MAIN INC, 5050 NORTH 40TH ST, STE 200, PHOENIX, AZ 85018
(602) 852-9000 - 1,000,000 (\$3,332,907 50) COMMON STOCK (FILE 333-89931 -
OCT 29) (BR 5)
- S-3 INDIVIDUAL INVESTOR GROUP INC, 125 BROAD STREET, 14TH FLOOR, NEW YORK,
NY 10004 (212) -74-2-42 - 3,392,830 (\$8,959,191.72) COMMON STOCK (FILE
333-89933 - OCT. 29) (BR 5)

S-4 HOME DEPOT INC, 2455 PACES FERRY ROAD, ATLANTA, GA 30339 (770) -43-3-82
- 500,000,000 (\$500,000,000) STRAIGHT BONDS (FILE 333-89935 - OCT 29)
(BR. 6)

S-8 WORLDS INC, 15 UNION WHARF, BOSTON, MA 02109 (617) 725-8900 - 3,515,000
(\$10,682,126.25) COMMON STOCK. (FILE 333-89937 - OCT 29) (BR 3)

S-8 INDIVIDUAL INVESTOR GROUP INC, 125 BROAD STREET, 14TH FLOOR, NEW YORK,
NY 10004 (212) -74-2-42 - 661,250 (\$1,167,812 50) COMMON STOCK. (FILE
333-89939 - OCT. 29) (BR. 5)

S-8 INSWEB CORP, 901 MARSHALL STREET, REDWOOD CITY, CA 94063 (650) 298-9100
- 5,881,900 (\$107,673,309.90) COMMON STOCK (FILE 333-89943 - OCT. 29)
(BR. 8)

S-8 DALLAS SEMICONDUCTOR CORP, 4401 S BELTWOOD PKWY, DALLAS, TX 75244
(214) 450-0400 - 200,000 (\$10,625,000) COMMON STOCK. (FILE 333-89945 -
OCT 29) (BR 5)

S-8 BARRISTER INFORMATION SYSTEMS CORP, 465 MAIN STREET, BUFFALO, NY 14203
(716) 845-5010 - 600,000 (\$987,500) COMMON STOCK. (FILE 333-89947 -
OCT 29) (BR 3)

S-8 NORTHWEST PIPE CO, 12005 N BURGARD, P O BOX 83149, PORTLAND, OR 97203
(503) 285-1400 - 300,000 (\$3,862,500) COMMON STOCK. (FILE 333-89949 -
OCT 29) (BR. 6)

S-8 TSI INTERNATIONAL SOFTWARE LTD, 45 DANBURY RD, WILTON, CT 06897
(203) 761-8600 - 358,227 (\$652,412 85) COMMON STOCK (FILE 333-89951 -
OCT 29) (BR 3)

S-8 LANDAMERICA FINANCIAL GROUP INC, 101 GATEWAY CENTRE PARKWAY,
GATEWAY ONE, RICHMOND, VA 23235 (804) 267-8000 - 1,600,000 (\$29,856,000)
COMMON STOCK (FILE 333-89955 - OCT. 29) (BR 1)

S-8 FISERV INC, 255 FISERV DR, PO BOX 979, BROOKFIELD, WI 53045
(414) 879-5000 - 800,000 (\$24,100,000) COMMON STOCK. (FILE 333-89957 -
OCT. 29) (BR. 3)

S-8 LANDAMERICA FINANCIAL GROUP INC, 101 GATEWAY CENTRE PARKWAY,
GATEWAY ONE, RICHMOND, VA 23235 (804) 267-8000 - 8,000,000 (\$8,000,000)
COMMON STOCK. (FILE 333-89959 - OCT 29) (BR 1)

S-1 PETROQUEST ENERGY INC, 625 E KALISTE SALOOM ROAD SUITE 400, LAFAYETTE,
LA 70508 (318) 232-7028 - 8,000,000 (\$13,872,000) COMMON STOCK (FILE
333-89961 - OCT. 29) (BR. 4)

S-8 INTERWORLD CORP, 395 HUDSON STREET, 6TH FL, NEW YORK, NY 10014
(212) 301-2500 - 1,000,000 (\$41,625,000) COMMON STOCK (FILE 333-89963 -
OCT 29) (BR 6)

S-8 STARRETT L S CO, 121 CRESCENT ST, ATHOL, MA 01331 (508) 249-3551 -
800,000 (\$16,240,000) COMMON STOCK (FILE 333-89965 - OCT 29) (BR. 6)

S-3 MESSAGEMEDIA INC, 6060 SPINE ROAD, SUITE 240, BOULDER, CO 80301
(303) 440-7550 - 4,095,124 (\$57,331,736) COMMON STOCK (FILE 333-89967 -
OCT 29) (BR 8)

S-8 INTERWORLD CORP, 395 HUDSON STREET, 6TH FL, NEW YORK, NY 10014
(212) 301-2500 - 5,646,060 (\$235,017,247.50) COMMON STOCK. (FILE
333-89969 - OCT 29) (BR 6)

S-8 SOUTHERN UNION CO, 504 LAVACA ST 8TH FL, AUSTIN, TX 78701 (512) 477-5852
- 3,000,000 (\$60,281,250) COMMON STOCK. (FILE 333-89971 - OCT 29)
(BR 2)

S-3 MARKETING SERVICES GROUP INC, 333 SEVENTH AVENUE, 20TH FLOOR, NEW YORK,
NY 10001 (212) 594-7688 - 4,291,128 (\$50,688,949) COMMON STOCK. (FILE
333-89973 - OCT 29) (BR 8)

S-8 COINSTAR INC, 1800 114TH AVENUE SE, SUITE 200, BELLEVUE, WA 98004
(206) 644-6789 - 980,000 (\$7,595,000) COMMON STOCK. (FILE 333-89975 -
OCT 29) (BR. 8)

S-8 KROGER CO, 1014 VINE ST, CINCINNATI, OH 45201 (513) 762-4000 -
1,550,000 (\$31,532,812) COMMON STOCK. (FILE 333-89977 - OCT. 29) (BR 2)

S-3 CANTERBURY INFORMATION TECHNOLOGY INC, 1600 MEDFORD PLZ,
RTE 70 & HARTFORD RD, MEDFORD, NJ 08055 (609) 953-0044 - 1,871,116
(\$5,145,569) COMMON STOCK (FILE 333-89979 - OCT. 29) (BR 8)

S-3 GERON CORPORATION, 230 CONSTITUTION DRIVE, MENLO PARK, CA 94025
(650) 473-7700 - 2,899,390 (\$28,732,955) COMMON STOCK (FILE 333-89981 -
OCT. 29) (BR 1)

S-8 FILENET CORP, 3565 HARBOR BLVD, COSTA MESA, CA 92626 (714) 966-3400 -
1,800,000 (\$28,188,000) COMMON STOCK. (FILE 333-89983 - OCT 29) (BR 3)

S-8 NAVISITE INC, 100 BRICKSTONE SQUARE, ANDOVER, MA 01810 (978) 552-3300 -
5,835,860 (\$125,109,354) COMMON STOCK. (FILE 333-89987 - OCT 29) (BR 8)

S-8 PRECISION OPTICS CORPORATION INC, 22 EAST BROADWAY, GARDNER, MA 01440
(978) 630-1800 - 525,000 (\$1,593,825) COMMON STOCK (FILE 333-89989 -
OCT 29) (BR. 1)

S-3 VERISIGN INC/CA, 1390 SHOREBIRD WAY, MOUNTAIN VIEW, CA 94043
(650) 961-7500 - 167,750 (\$20,423,562.50) COMMON STOCK (FILE 333-89991 -
OCT. 29) (BR. 3)

S-8 UNISTONE INC, 5525 SOUTH 900 E., SUITE 110, SALT LAKE CITY, UT 84117
(801) 262--884 - 500,000 (\$500) COMMON STOCK (FILE 333-89993 - OCT 29)
(BR. 7)

S-1 ALAMOSA PCS HOLDINGS INC, 4403 BROWNFIELD HIGHWAY, LUBBOCK, TX 79407
(806) 722-1100 - \$185,000,000 COMMON STOCK. (FILE 333-89995 - OCT. 29)
(NEW ISSUE)

F-1 TERRA NETWORKS SA, VIA DE LAS DOS CASTILLAS 33 COMPLEJO ATI,
POZUELO DE ALARCON 28223, MADRID SPAIN, (011) 349-1452 - 35,570,000
(\$442,490,800) COMMON STOCK (FILE 333-89997 - OCT 29) (NEW ISSUE)

S-8 TAKE TWO INTERACTIVE SOFTWARE INC, 575 BROADWAY, 6TH FLOOR, NEW YORK, NY
10012 (212) 334-6633 - 625,167 (\$4,632,540 91) COMMON STOCK (FILE
333-89999 - OCT 29) (BR 3)

S-3 FAY LESLIE CO INC, 1412 BROADWAY, NEW YORK, NY 10018 (212) 221-4000 -
193,822 (\$1,005,451.63) COMMON STOCK. (FILE 333-90005 - OCT 29) (BR 2)

S-8 FILM ROMAN INC, 12020 CHANDLER BLVD, STE 200, NORTH HOLLYWOOD, CA 91607
(818) 761-2544 - 100,000 (\$125,000) COMMON STOCK (FILE 333-90009 -
OCT. 29) (BR 5)

S-3 C COR NET CORP, 60 DECIBEL RD, STATE COLLEGE, PA 16801 (814) 238-2461 -
1,794,802 (\$74,038,334.41) COMMON STOCK (FILE 333-90011 - OCT 29)
(BR. 7)

S-1 SWITCHBOARD INC, 115 FLANDERS RD, WESTBOROUGH, MA 01581 (508) 898-1122
- \$60,000,000 COMMON STOCK. (FILE 333-90013 - OCT. 29) (BR 1)

S-3 PEPSIAMERICAS INC, 3800 DAIN RAUSCHER PLAZA, 60 SOUTH SIXTH STREET,
MINNEAPOLIS, MN 55402 (787) 251-2000 - 14,202,161 (\$75,005,163)
COMMON STOCK. (FILE 333-90015 - NOV 01) (BR 2)

S-3 DATA SYSTEMS & SOFTWARE INC, 200 RTE 17, MAHWAH, NJ 07430 (201) 529-2026
- 1,674,526 (\$4,211,416) COMMON STOCK. (FILE 333-90017 - OCT 29) (BR 5)

S-8 IXC COMMUNICATIONS INC, 1122 CAPITAL OF TEXAS HGWY S, STE 200, AUSTIN,
TX 78746 (512) 328-1112 - 2,500,000 (\$107,500,000) COMMON STOCK (FILE
333-90019 - OCT. 29) (BR 7)

S-8 ALTERNATIVE TECHNOLOGY RESOURCES INC, 629 J ST, SACRAMENTO, CA 95814
(916) 231-0400 - 3,020,000 (\$5,617,200) COMMON STOCK (FILE 333-90021 -
OCT 29) (BR 3)

S-3 GENERAL MAGIC INC, 420 N MARY AVE, SUNNYVALE, CA 94086 (408) 774-4000 -
 25,844,666 (\$44,013,466 20) COMMON STOCK (FILE 333-90023 - OCT 29)
 (BR 3)

S-4 GREATER BAY BANCORP, 2860 WEST BAYSHORE ROAD, PALO ALTO, CA 94303
 (415) 375-1555 - 1,499,317 (\$49,208,352) COMMON STOCK. (FILE 333-90025 -
 NOV 01) (BR 7)

S-3 MASTEC INC, 3155 N W 77TH AVE, MIAMI, FL 33122 (305) 599-1800 -
 1,875,000 (\$60,468,750) COMMON STOCK. (FILE 333-90027 - NOV 01) (BR 6)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant
- Item 2 Acquisition or Disposition of Assets.
- Item 3 Bankruptcy or Receivership.
- Item 4 Changes in Registrant's Certifying Accountant
- Item 5 Other Materially Important Events
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits
- Item 8 Change in Fiscal Year.
- Item 9 Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C 20549 or at the following e-mail box address: <publicinfo@sec.gov> In most cases, this information is also available on the Commission's website <www.sec.gov>

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|--|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| AAMES FINANCIAL CORP/DE | DE | | | | X | | | | | | 10/29/99 | |
| ABBOTT LABORATORIES | IL | | | | X | X | | | | | 11/02/99 | |
| ABN AMRO MORTGAGE CORP SERIES 1999-7 | DE | | X | | | | X | | | | 10/26/99 | |
| ACCORD ADVANCED TECHNOLOGIES INC | | | | | X | | | | | | 11/02/99 | |
| ACE SECURITIES CORP HOME EQUITY LOAN TRUST 1999-LB1 | DE | | | | X | X | | | | | 10/25/99 | |
| ACE SECURITIES CORP HOME EQUITY LOAN TRUST 1999-LB2 | DE | | | | X | X | | | | | 10/25/99 | |
| ACE SECURITIES CORP HOME LOAN TRUST 1999-A | DE | | | | X | X | | | | | 09/27/99 | |
| ACE SECURITIES CORP MORT LENDERS NETWORK EQUITY LN TR 1999-2 | DE | | | | X | X | | | | | 11/03/99 | |
| AEARO CORP | DE | | | | X | | | | | | 11/03/99 | |
| AFFILIATED MANAGERS GROUP INC | DE | | | | X | X | | | | | 10/21/99 | |
| AIRCRAFT INCOME PARTNERS L P | DE | | | | X | | | | | | 10/25/99 | |
| AIRGATE WIRELESS INC | | | | | | | | X | | | 11/04/99 | |
| ALBARA CORP | CO | X | | | | | | | | | 12/31/99 | |
| ALIGN RITE INTERNATIONAL INC | CA | | | | X | | | | | | 10/28/99 | |
| ALLION HEALTHCARE INC | DE | | | X | X | | | | | | 02/01/99 | AMEND |
| AMERICAN TAX EXEMPT BOND TRUST | DE | | | | X | X | | | | | 11/02/99 | |
| AMERISTAR CASINOS INC | NV | | | | X | | | | | | 10/27/99 | |
| AMRESCO RESIDENTIAL SECURITIES CORP MORT LOAN TRUST 1999-1 | DE | | | | X | X | | | | | 10/20/99 | |
| ANKER COAL GROUP INC | DE | | | | X | X | | | | | 11/03/99 | |
| ANONYMOUS DATA CORP | NV | | | | X | | | | | | 11/01/99 | |

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| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| APPLIED ANALYTICAL INDUSTRIES INC | DE | | | | X | X | | | | | 11/01/99 | |
| ASCENT PEDIATRICS INC | DE | | | | X | X | | | | | 10/20/99 | |
| ASSET SECURITIZATION CORP COM MOR P | DE | | | | X | X | | | | | 11/03/99 | |
| S THR CERT SER 1999-C2 | | | | | | | | | | | | |
| ASSET SECURITIZATION CORP COM MOR P | DE | | | | X | X | | | | | 11/04/99 | |
| S THR CERT SER 1999-C2 | | | | | | | | | | | | |
| ASSOCIATED MEDICAL DEVICES INC | NV | X | | | X | | | | | | 11/04/99 | |
| ATLANTIC BANCGROUP INC | FL | | | | X | | | | | | 08/02/99 | |
| AUTO LEASE FINANCE L P | IL | X | | | | | | | | | 09/30/99 | |
| AVNET INC | NY | | X | | | X | | | | | 10/20/99 | |
| AXA FINANCIAL INC | DE | | | | X | X | | | | | 10/29/99 | |
| BANK OF AMERICA MORT SEC INC MORT P | DE | | | | X | X | | | | | 05/25/99 | |
| ASS THR CERT SER 1999-1 | | | | | | | | | | | | |
| BANK OF AMERICA MORTGAGE SECURITIES | DE | | | | X | X | | | | | 05/25/99 | |
| INC | | | | | | | | | | | | |
| BANK OF AMERICA MORTGAGE SECURITIES | DE | | | | X | X | | | | | 05/25/99 | |
| INC | | | | | | | | | | | | |
| BAY VIEW CAPITAL CORP | DE | | | | X | X | | | | | 10/20/99 | |
| BEAR STEARNS COMPANIES INC | DE | | X | | | | | | | | 10/29/99 | |
| BETHLEHEM CORP | PA | | | | X | | | | | | 11/04/99 | |
| BONNEVILLE PACIFIC CORP | DE | | X | | | X | | | | | 10/29/99 | |
| BUILDING ONE SERVICES CORP | DE | | | | X | X | | | | | 11/02/99 | |
| C P CLARE CORP | MA | | | | | X | | | | | 08/20/99 | AMEND |
| CALDERA CORP /FL/ | | X | X | | | X | | | | | 08/28/99 | |
| CALLON PETROLEUM CO | DE | | | | X | X | | | | | 11/03/99 | |
| CARCO AUTO LOAN MASTER TRUST | NY | | | | X | X | | | | | 11/04/99 | |
| CCPC HOLDING CO INC | DE | | X | | | X | | | | | 11/04/99 | |
| CENTER TRUST INC | MD | | | | X | X | | | | | 11/04/99 | |
| CHARTER MUNICIPAL MORTGAGE ACCEPTAN | MD | | | | X | X | | | | | 11/02/99 | |
| CE CO | | | | | | | | | | | | |
| CHL MORTGAGE PASS THROUGH TRUST 199 | DE | | | | X | X | | | | | 04/25/99 | |
| 8 20 | | | | | | | | | | | | |
| CHL MORTGAGE PASS THROUGH TRUST 199 | DE | | | | X | X | | | | | 05/25/99 | |
| 8 20 | | | | | | | | | | | | |
| CISCO SYSTEMS INC | CA | | | | X | X | | | | | 11/02/99 | |
| COFITRAS ENTERTAINMENT INC | NV | X | | | | | | | | | 11/04/99 | |
| COLONELS INTERNATIONAL INC | MN | | X | | | | | | | | 10/28/99 | |
| COLUMBIA BANKING SYSTEM INC | WA | X | | | | | | | | | 11/02/99 | |
| COMCAST CORP | PA | | | | X | X | | | | | 11/02/99 | |
| COMMUNITY BANCORP INC | DE | | | | X | | | | | | 10/29/99 | AMEND |
| COMPAQ COMPUTER CORP | DE | | | | X | X | | | | | 11/03/99 | |
| CONCURRENT COMPUTER CORP/DE | DE | | | X | | X | | | | | 08/18/99 | AMEND |
| CORNERSTONE REALTY INCOME TRUST INC | VA | | | | X | X | | | | | 08/29/99 | |
| CREDIT SUISSE FIRST BOSTON MORT PAS | DE | | | | X | X | | | | | 11/02/99 | |
| S THR CERT SER 1999 C1 | | | | | | | | | | | | |
| CRONOS GROUP | N4 | | | | X | X | | | | | 10/28/99 | |
| CWMBS INC | DE | | | | X | X | | | | | 02/24/99 | |
| CWMBS INC | DE | | | | X | X | | | | | 06/25/99 | |
| CWMBS INC | DE | | | | X | X | | | | | 09/29/99 | |
| CWMBS INC ASSET SEC TRUST 1998-A7 | DE | | | | X | X | | | | | 04/25/99 | |
| CWMBS INC CHL MORTGAGE PASS THROUGH | DE | | | | X | X | | | | | 04/25/99 | |
| TRUST 1998-19 | | | | | | | | | | | | |
| CWMBS INC CHL MORTGAGE PASS THROUGH | DE | | | | X | X | | | | | 05/25/99 | |
| TRUST 1998-19 | | | | | | | | | | | | |
| CWMBS INC CHL MORTGAGE PASS THROUGH | DE | | | | X | X | | | | | 04/25/99 | |
| TRUST 1998-21 | | | | | | | | | | | | |
| CWMBS INC CHL MORTGAGE PASS THROUGH | DE | | | | X | X | | | | | 05/25/99 | |
| TRUST 1998-21 | | | | | | | | | | | | |
| CWMBS INC CHL MORTGAGE PASS THROUGH | DE | | | | X | X | | | | | 04/25/99 | |
| TRUST 1998-22 | | | | | | | | | | | | |

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| CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 1998-22 | DE | | | | | X | X | | | | | 05/25/99 | |
| CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 1998-23 | DE | | | | | X | X | | | | | 04/25/99 | |
| CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 1998-23 | DE | | | | | X | X | | | | | 05/25/99 | |
| CWMBS INC MORT PASS THR CERT SER 19 98-15 | DE | | | | | X | X | | | | | 05/25/99 | |
| CWMBS INC MORT PASS THR CERT SER 19 98-16 | DE | | | | | X | X | | | | | 05/25/99 | |
| CWMBS INC MORT PASS THRO CERT SER 1 998-11 | DE | | | | | X | X | | | | | 05/25/99 | |
| CWMBS INC MORT PASS THRO CERT SERIE S 1998-17 | DE | | | | | X | X | | | | | 05/25/99 | |
| CWMBS INC MORT PASS THROUGH CERT SE RIES 1998-4 | DE | | | | | X | X | | | | | 04/25/99 | |
| CWMBS INC MORT PASS THROUGH CERT SE RIES 1998-4 | DE | | | | | X | X | | | | | 05/25/99 | |
| CWMBS INC MORTGAGE PASS THROUGH CER T SERIES 1998 10 | DE | | | | | X | X | | | | | 05/25/99 | |
| CWMBS INC MORTGAGE PASS THROUGH CER T SERIES 1998 8 | DE | | | | | X | X | | | | | 04/25/99 | |
| CWMBS INC MORTGAGE PASS THROUGH CER TIFICATES SERIES 1998 5 | DE | | | | | X | X | | | | | 04/25/99 | |
| CWMBS INC MORTGAGE PASS THROUGH CER TIFICATES SERIES 1998 6 | DE | | | | | X | X | | | | | 04/25/99 | |
| CWMBS INC MORTGAGE PASS THROUGH CER TIFICATES SERIES 1998-9 | DE | | | | | X | X | | | | | 04/25/99 | |
| CWMBS INC RESIDENTIAL ASSET SEC TRU ST 1998-A12 | DE | | | | | X | X | | | | | 04/25/99 | |
| CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 1998-A11 | DE | | | | | X | X | | | | | 04/25/99 | |
| CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 1998-A14 | DE | | | | | X | X | | | | | 04/25/99 | |
| CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 1998-A15 | DE | | | | | X | X | | | | | 04/25/99 | |
| CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 1998-A8 | DE | | | | | X | X | | | | | 04/25/99 | |
| CWMBS INC SERIES 1998 7 | DE | | | | | X | X | | | | | 04/25/99 | |
| CYGNUS INC /DE/ | DE | | | | | X | X | | | | | 10/27/99 | |
| DANKA BUSINESS SYSTEMS PLC | | | | | | X | X | | | | | 11/02/99 | |
| DATAKEY INC | MN | | | | | X | X | | | | | 10/29/99 | |
| DAYTON HUDSON RECEIVABLES CORP | MN | | | | | X | | | | | | 10/29/99 | |
| DESTIA COMMUNICATIONS INC | DE | | | | | X | | | | | | 11/03/99 | |
| DIGEX INC/DE | DE | | | | | X | X | | | | | 11/03/99 | |
| DIGITAL TECHNOLOGIES MEDIA GROUP INC | DE | | X | | | | | | | | | 08/28/99 | |
| EARTHCARE CO | DE | | | | | | X | | | | | 09/01/99 | AMEND |
| EDISON BROTHERS STORES INC | DE | | | | | X | X | | | | | 11/03/99 | |
| EDISON INTERNATIONAL | CA | | | | | X | X | | | | | 10/29/99 | |
| EGGHEAD COM INC | WA | | | | | X | X | | | | | 11/04/99 | |
| EINSTEIN NOAH BAGEL CORP | DE | | | | | X | X | | | | | 11/03/99 | |
| ELECTRIC LIGHTWAVE INC | DE | | | | | X | | | | | | 09/30/99 | |
| EMMIS COMMUNICATIONS CORP | IN | | | | | | X | | | | | 10/26/99 | |
| ENTERTAINMENT INC | DE | | | | | X | X | | | | | 11/02/99 | |
| EPIX MEDICAL INC | | | | | | X | X | | | | | 11/01/99 | |
| EPLUS INC | DE | | X | | | | | | | | | 11/03/99 | |
| EQUITEX INC | DE | | X | | | | X | | | | | 11/04/99 | AMEND |
| ETRAVNET COM INC | NY | | X | | | X | X | | | | | 09/17/99 | AMEND |
| EVENTURES GROUP INC | DE | X | | | | | X | | | | | 10/19/99 | |

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| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| EVOLVING SYSTEMS INC | DE | | | | X | | | | | | 11/03/99 | |
| FAMILY GOLF CENTERS INC | DE | | | | X | X | | | | | 10/18/99 | |
| FAVORITE BRANDS INTERNATIONAL INC | DE | | | | X | X | | | | | 11/01/99 | |
| FEDERAL REALTY INVESTMENT TRUST | MD | | | | X | X | | | | | 09/30/99 | |
| FIRST AVIATION SERVICES INC | DE | | X | | | | | X | | | 11/01/99 | |
| FIRST CAPITAL RESOURCES COM INC | NV | | | | X | | | | | | 10/28/99 | |
| FIRST COMMONWEALTH FINANCIAL CORP / PA/ | PA | | | | X | | | | | | 10/19/99 | |
| FIRST FINANCIAL BANCORP /OH/ | OH | | | | X | X | | | | | 11/04/99 | |
| FIRST NATIONAL BANK OF ATLANTA | | | | | X | X | | | | | 09/30/99 | |
| FIRST PLACE FINANCIAL CORP | NM | | | | X | X | | | | | 10/27/99 | |
| FIRST SECURITY AUTO GRANTOR TRUST 1 997-A | UT | X | | | | | | | | | 09/25/99 | |
| FIRST SECURITY AUTO GRANTOR TRUST 1 997-B | UT | X | | | | | | | | | 09/25/99 | |
| FIRST SECURITY AUTO GRANTOR TRUST 1 998-A | UT | X | | | | | | | | | 09/23/99 | |
| FIRST SECURITY AUTO OWNER TRUST 199 8-1 | DE | X | | | | | | | | | 09/23/99 | |
| FIRST SECURITY AUTO OWNER TRUST 199 9 1 | UT | X | | | | | | | | | 09/25/99 | |
| FIRST SECURITY AUTO OWNER TRUST 199 9-2 | UT | X | | | | | | | | | 09/25/99 | |
| FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC | NC | X | | | | | | | | | 10/18/99 | |
| FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC | NC | | | | X | X | | | | | 11/01/99 | |
| FIRSTCOM CORP | TX | | | | X | X | | | | | 11/01/99 | |
| FORTUNE NATURAL RESOURCES CORP | DE | | | | X | X | | | | | 11/03/99 | |
| FOSTER WHEELER CORP | NY | | | | X | X | | | | | 11/03/99 | |
| FRIEDE GOLDMAN HALTER INC | MS | | X | | | | X | | | | 11/03/99 | |
| FSI INTERNATIONAL INC | MN | | X | | | | X | | | | 10/20/99 | |
| FURON CO | CA | X | | | | | | X | | | 10/23/99 | |
| GARY PLAYER DIRECT INC | DE | | | | X | | X | | | | 10/28/99 | |
| GE CAPITAL MORTGAGE SERVICES INC | NJ | | | | X | X | | | | | 10/25/99 | |
| GENENTECH INC | DE | | | | X | | | | | | 11/02/99 | |
| GENTEK INC | DE | | X | | | | X | | | | 08/20/99 | AMEND |
| GLOBAL DATATEL INC | NV | | | | X | X | | | | | 10/08/99 | |
| GROUP MAINTENANCE AMERICA CORP | TX | | | | X | X | | | | | 11/02/99 | |
| HIGH EQUITY PARTNERS L P SERIES 86 | DE | | | | X | | | | | | 10/25/99 | |
| HIGH EQUITY PARTNERS L P SERIES 88 | DE | | | | X | | | | | | 10/25/99 | |
| HIGH PLAINS CORP | KS | | | | X | | | | | | 10/18/99 | |
| HOME EQUITY LOAN ASSET BACKED TRUST SERIES SPMD 1998 A | DE | | | | X | X | | | | | 04/25/99 | |
| HOST MARRIOTT CORP/ | DE | | | | X | X | | | | | 11/03/99 | |
| IBL BANCORP | | | | | X | X | | | | | 10/20/99 | |
| IIC INDUSTRIES INC | DE | | | | X | | X | | | | 10/28/99 | |
| IMH ASSETS CORP COLLATERALIZED ASSE T-BACKED BDS SER 1999-2 | CA | | | | X | X | | | | | 10/25/99 | |
| IMRGLOBAL CORP | FL | | | | X | X | | | | | 10/25/99 | |
| INDYMAC RAST 1998-A5 | DE | | | | X | X | | | | | 04/25/99 | |
| INFOCURE CORP | DE | | | | X | X | | | | | 10/27/99 | |
| INLAND RETAIL REAL ESTATE TRUST INC | MD | | X | | | | X | | | | 10/26/99 | |
| INTEGRATED RESOURCES HIGH EQUITY PA RTNERS SERIES 85 | CA | | | | X | | | | | | 10/25/99 | |
| INTELIDATA TECHNOLOGIES CORP | DE | | | | | | X | | | | 11/01/99 | AMEND |
| INTERMEDIA COMMUNICATIONS INC | DE | | | | X | X | | | | | 11/03/99 | |
| INTERNATIONAL FUEL TECHNOLOGY INC | NV | X | X | | X | X | X | | | | 10/28/99 | |
| INTERNET HOLDINGS INC | UT | | | | | | X | | | | 10/27/99 | |
| INTERWEST BANCORP INC | WA | | | | X | X | | | | | 10/29/99 | |
| IROQUOIS BANCORP INC | NY | | | | X | X | | | | | 10/28/99 | |

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| ITURF INC | DE | | | | | | | | X | | 09/01/99 | AMEND |
| JDS UNIPHASE CORP /CA/ | DE | | | | | | | | X | | 11/03/99 | AMEND |
| JUST FOR FEET INC | DE | | | | X | | | | X | | 11/02/99 | |
| JUST FOR FEET INC | DE | | | X | | | | | X | | 11/04/99 | |
| KEYSTONE PROPERTY TRUST | MD | | | | X | | | X | | | 10/28/99 | |
| KIMBALL INTERNATIONAL INC | IN | | | | | X | | | | | 11/03/99 | |
| LA-Z-BOY INC | MI | X | | | | | | | | | 10/23/99 | |
| LABORATORY CORP OF AMERICA HOLDINGS | DE | | | | X | | | X | | | 10/20/99 | |
| LCNB CORP | | | | | X | | | X | | | 11/04/99 | |
| LEHMAN ABS CORP | DE | | | | X | | | X | | | 10/31/99 | |
| LENNOX INTERNATIONAL INC | | | | | X | | | X | | | 11/02/99 | |
| MEDIAONE GROUP INC | DE | | | | X | | | | | | 09/30/99 | |
| MEDIX RESOURCES INC | CO | | | | X | | | | | | 11/03/99 | |
| MEDIX RESOURCES INC | CO | | | | X | | | | | | 11/03/99 | |
| MEDIX RESOURCES INC | CO | | | | X | | | | | | 11/03/99 | |
| MEDIX RESOURCES INC | CO | | | | X | | | | | | 11/03/99 | |
| MEDTRONIC INC | MN | | | | X | | | X | | | 11/03/99 | |
| MELLON RESIDENTIAL FUNDING COR MOR | DE | | | | X | | | X | | | 10/25/99 | |
| PAS THR CER SER 1999-TBC1 | | | | | | | | | | | | |
| MELLON RESIDENTIAL FUNDING COR MOR | NY | | | | | | | X | | | 10/25/99 | |
| PAS THR CER SER 1999-TBC2 | | | | | | | | | | | | |
| MELLON RESIDENTIAL FUNDING COR MOR | DE | | | | | | | X | | | 10/20/99 | |
| PAS THR TR SER 1999-TBC3 | | | | | | | | | | | | |
| MELLON RESIDENTIAL FUNDING CORP HOM | DE | | | | X | | | X | | | 10/25/99 | |
| E EQ INSTAL LOAN TRU 99-1 | | | | | | | | | | | | |
| MGI PROPERTIES | MA | | | | X | | | X | | | 11/01/99 | |
| MICHIGAN FINANCIAL CORP | MI | | | | X | | | X | | | 11/03/99 | |
| MIDLAND CO | OH | | | | X | | | | | | 10/28/99 | |
| MILESTONE PROPERTIES INC | DE | | X | | | | | | | | 12/31/99 | |
| MONARCH CASINO & RESORT INC | NV | X | | | | | | | | | 10/28/99 | |
| MORTGAGE PASS THROUGH CERTIFICATES | DE | | | | X | | | X | | | 05/25/99 | |
| SERIES 1998-13 | | | | | | | | | | | | |
| MORTGAGE PASS THROUGH CERTIFICATES | DE | | | | X | | | X | | | 05/25/99 | |
| SERIES 1998-14 | | | | | | | | | | | | |
| MORTGAGE PASS THROUGH CERTIFICATES | DE | | | | X | | | X | | | 05/25/99 | |
| SERIES 1998-2 | | | | | | | | | | | | |
| MORTGAGE PASS THROUGH CERTIFICATES | DE | | | | X | | | X | | | 05/25/99 | |
| SERIES 1998-3 | | | | | | | | | | | | |
| MPATH INTERACTIVE INC/CA | DE | X | | | | | | X | | | 10/20/99 | |
| NATIONAL LEASE INCOME FUND 6 LP | DE | | | | X | | | | | | 10/25/99 | |
| NEBCO EVANS HOLDING CO | DE | | | | X | | | X | | | 10/06/99 | |
| NEUBERGER BERMAN INC | DE | | | | X | | | X | | | 11/01/99 | |
| NORTHWEST ASSET SECURITIES CORP 199 | DE | | | | | | | X | | | 10/25/99 | |
| 9-21 TRUST | | | | | | | | | | | | |
| NORWEST ASSET SEC CORP MORT PASS TH | NY | | | | X | | | X | | | 11/24/98 | |
| R CERT SER 1998-30 TRUST | | | | | | | | | | | | |
| NORWEST ASSET SEC CORP MORT PASS TH | NY | | | | | | | X | | | 10/25/99 | |
| R CERT SER 1999 1 TRUST | | | | | | | | | | | | |
| NORWEST ASSET SEC CORP MORT PASS TH | NY | | | | | | | X | | | 10/25/99 | |
| R CERT SER 1999 2 TRUST | | | | | | | | | | | | |
| NORWEST ASSET SEC CORP MORT PASS TH | NY | | | | X | | | X | | | 01/28/99 | |
| R CERT SER 1999 3 TRUST | | | | | | | | | | | | |
| NORWEST ASSET SEC CORP MORT PASS TH | NY | | | | | | | X | | | 10/25/99 | |
| R CERT SER 1999 3 TRUST | | | | | | | | | | | | |
| NORWEST ASSET SEC CORP MORT PASS TH | DE | | | | | | | X | | | 10/25/99 | |
| R CERT SER 1999-11 TRUST | | | | | | | | | | | | |
| NORWEST ASSET SEC CORP MORT PASS TH | DE | | | | | | | X | | | 10/25/99 | |
| R CERT SER 1999-12 TRUST | | | | | | | | | | | | |
| NORWEST ASSET SEC CORP MORT PASS TH | DE | | | | X | | | X | | | 04/29/99 | |
| R CERT SER 1999-13 TRUST | | | | | | | | | | | | |

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| | CODE | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| NORWEST ASSET SEC CORP MORT PASS TH DE | | | | | | | | | | | X | 10/25/99 | |
| R CERT SER 1999-13 TRUST | | | | | | | | | | | | | |
| NORWEST ASSET SEC CORP MORT PASS TH DE | | | | | | | | | | | X | 10/25/99 | |
| R CERT SER 1999-14 TRUST | | | | | | | | | | | | | |
| NORWEST ASSET SEC CORP MORT PASS TH DE | | | | | | | | | | | X | 10/25/99 | |
| R CERT SER 1999-15 TRUST | | | | | | | | | | | | | |
| NORWEST ASSET SEC CORP MORT PASS TH DE | | | | | | | | | | | X | 10/25/99 | |
| R CERT SER 1999-16 TRUST | | | | | | | | | | | | | |
| NORWEST ASSET SEC CORP MORT PASS TH DE | | | | | | | | | | | X | 10/25/99 | |
| R CERT SER 1999-17 TRUST | | | | | | | | | | | | | |
| NORWEST ASSET SEC CORP MORT PASS TH DE | | | | | | | | | | | X | 10/25/99 | |
| R CERT SER 1999-19 TRUST | | | | | | | | | | | | | |
| NORWEST ASSET SEC CORP MORT PASS TH DE | | | | | | | | | | | X | 10/25/99 | |
| R CERT SER 1999-22 TRUST | | | | | | | | | | | | | |
| NORWEST ASSET SEC CORP MORT PASS TH DE | | | | | | | | | | | X | 10/25/99 | |
| R CERT SER 1999-4 TRUST | | | | | | | | | | | | | |
| NORWEST ASSET SEC CORP MORT PASS TH DE | | | | | | | | | | | X | 10/25/99 | |
| R CERT SER 1999-5 | | | | | | | | | | | | | |
| NORWEST ASSET SEC CORP MORT PASS TH DE | | | | | | | | | | | X | 10/25/99 | |
| R CERT SER 1999-6 | | | | | | | | | | | - | | |
| NORWEST ASSET SEC CORP MORT PASS TH DE | | | | | | | | | | | X | 10/25/99 | |
| R CERT SER 1999-7 | | | | | | | | | | | | | |
| NORWEST ASSET SECURITIES CORP MOR P NY | | | | | | | | | | | X | 10/25/99 | |
| AS TH CERT SER 1999-9 | | | | | | | | | | | | | |
| NORWEST ASSET SECURITIES CORP MOR P DE | | | | | | | | | | | X | 10/25/99 | |
| AS THR CER SER 1999-10 TR | | | | | | | | | | | | | |
| NORWEST ASSET SECURITIES CORP MOR P NY | | | | | | | | | | | X | 10/25/99 | |
| AS THR CER SER 1999-20 TR | | | | | | | | | | | | | |
| NORWEST ASSET SECURITIES CORP MOR P DE | | | | | | | | | | | X | 10/25/99 | |
| AS THR CERT SERS 1999-18 | | | | | | | | | | | | | |
| NORWEST ASSET SECURITIES CORP MOR P NY | | | | | | | | | | | X | 10/25/99 | |
| ASS THR CER SER 1999-8 TR | | | | | | | | | | | | | |
| NRG ENERGY INC | | DE | | | | | X | X | | | | 11/02/99 | |
| ON STAGE ENTERTAINMENT INC | | NV | | | | | X | X | | | | 11/02/99 | |
| OXFORD HEALTH PLANS INC | | DE | | | | | X | X | | | | 10/29/99 | |
| PACIFIC DEVELOPMENT CORP | | CO | X | | | | | X | | | | 11/04/99 | |
| PARAGON AUTO RECEIVABLES CORP | | DE | | | | | X | X | | | | 10/15/99 | |
| PENDA CORP | | FL | X | | | | | X | | | | 11/04/99 | |
| PEOPLES BANCORP INC | | OH | X | | | | | | | | | 11/04/99 | |
| PEOPLES ENERGY CORP | | IL | X | | | | | | | | | 11/04/99 | |
| PERRIGO CO | | MI | | | | | X | | | | | 11/02/99 | |
| PETROGLYPH ENERGY INC | | DE | | | | | | X | | | | 08/18/99 | AMEND |
| PHONE COM INC | | | | | | | X | X | | | | 10/26/99 | |
| PHONETEL TECHNOLOGIES INC | | OH | | X | | | | X | | | | 10/20/99 | |
| PHOTRONICS INC | | CT | | | | | X | | | | | 11/28/99 | |
| PMA CAPITAL CORP | | PA | | | | | X | X | | | | 11/03/99 | |
| POLAND COMMUNICATIONS INC | | NY | | | | | X | X | | | | 11/02/99 | |
| PREMIER LASER SYSTEMS INC | | CA | X | | | | | X | | | | 10/21/99 | |
| PROVIDIAN MASTER TRUST | | | | | | | | X | | | | 09/15/99 | AMEND |
| PROVIDIAN MASTER TRUST | | | | | | | | X | | | | 09/15/99 | AMEND |
| PROVIDIAN NATIONAL BANK /NEW/ | | | | | | | | X | | | | 09/15/99 | AMEND |
| PROVIDIAN NATIONAL BANK /NEW/ | | | | | | | | X | | | | 09/15/99 | AMEND |
| REDWOOD EMPIRE BANCORP | | CA | | | | | | X | | | | 09/30/99 | |
| REFAC | | DE | | | | | X | X | | | | 11/01/99 | |
| RELIASTAR FINANCIAL CORP | | DE | | | | | X | X | | | | 10/29/99 | |
| RENAL CARE GROUP INC | | DE | | | | | X | X | | | | 10/27/99 | |
| RESOURCES ACCRUED MORTGAGE INVESTOR | | DE | | | | | | X | | | | 10/25/99 | |
| S LP SERIES 86 | | | | | | | | | | | | | |
| RESOURCES ACCRUED MORTGAGE INVESTOR | | DE | | | | | | X | | | | 10/25/99 | |
| S 2 LP | | | | | | | | | | | | | |
| RESOURCES PENSION SHARES 5 LP | | DE | | | | | | X | | | | 10/25/99 | |

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT |
|--|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| RISCORP INC | FL | | | | X | X | | | | | 11/03/99 | |
| ROHN INDUSTRIES INC | DE | | | | X | X | | | | | 11/02/99 | |
| SAFE TECHNOLOGIES INTERNATIONAL INC | DE | | | | X | | | | | | 11/03/99 | |
| SALOMON BROS MORT SEC VII INC FL RT NY | NY | | | | | | | | X | | 10/25/99 | |
| MRT PS THR CERT 1999 AQ1 | | | | | | | | | | | | |
| SALOMON BROTHERS MORT SEC VII FL RT NY | NY | | | | | | | | X | | 10/25/99 | |
| E PA THR CER SER 1999-LBI | | | | | | | | | | | | |
| SELLER & MASTER SERVICER RESIDENTIA | DE | | | | X | X | | | | | 04/25/99 | |
| L ASS SEC TR 1998-A6 | | | | | | | | | | | | |
| SIGCORP INC | IN | | | | X | X | | | | | 10/21/99 | |
| SITE TECHNOLOGIES INC | CA | | | | X | | | | | | 09/27/99 | |
| SMARTALK TELESERVICES INC | CA | | | X | | X | | | | | 10/13/99 | |
| SODEXHO MARRIOTT SERVICES INC | DE | | | | X | X | | | | | 11/03/99 | |
| SOLOMON BROS MORT SEC VII INC FL RT DE | DE | | | | | | | | X | | 10/25/99 | |
| MRT PS THR CERT 1999 3 | | | | | | | | | | | | |
| SOUTHERN NATURAL GAS CO | DE | | | | X | X | | | | | 11/04/99 | |
| SPINPLANET COM INC | CO | X | X | | | | X | | | | 10/29/99 | |
| STAGE STORES INC | DE | | | | X | X | | | | | 11/04/99 | |
| STEWART ENTERPRISES INC | LA | | | | X | X | | | | | 10/28/99 | |
| STIRLING COOKE BROWN HOLDINGS LTD | | | | | X | X | | | | | 11/03/99 | |
| STRUCTURED ASSET SEC CORP MORT PASS | DE | | | | X | X | | | | | 10/31/99 | |
| THR CERT SER 1999 1 | | | | | | | | | | | | |
| SUCCESSORIES INC | IL | | | | X | X | | | | | 10/18/99 | |
| SUNBURST ACQUISITIONS IV INC | CO | X | | | | | | | | | 08/04/99 | AMEND |
| SUPERIOR BANK FSB AFC MORTGAGE LN A | IL | | X | | | | | | X | | 09/28/99 | |
| SSET BK NOTES SER 1999-3 | | | | | | | | | | | | |
| TECHNICLONE CORP/DE/ | DE | | | | X | | | | | | 11/04/99 | |
| TEKELEC | CA | | | | X | X | | | | | 11/03/99 | |
| TITANIUM METALS CORP | DE | | | | X | X | | | | | 11/03/99 | |
| TOWER AUTOMOTIVE INC | DE | | | | X | X | | | | | 10/15/99 | |
| UACSC AUTO TRUSTS | DE | | | | X | X | | | | | 11/02/99 | |
| UNION PLANTERS MORT FIN CORP MORT P | NY | | | | X | X | | | | | 10/25/99 | |
| ASS THRU CERT SE 1999-1 | | | | | | | | | | | | |
| UNITED PAN EUROPE COMMUNICATIONS NV | | | | | X | X | | | | | 11/02/99 | |
| UNITED PANAM MORTGAGE LOAN ASSET BA | DE | | | | X | X | | | | | 10/25/99 | |
| CKED CER SER 1999-1 | | | | | | | | | | | | |
| UNUMPROVIDENT CORP | DE | | | | X | | | | | | 11/01/99 | |
| VENTAS INC | DE | | | | X | X | | | | | 10/29/99 | |
| VIATEL INC | DE | | | | X | X | | | | | 11/03/99 | |
| WALT DISNEY CO/ | DE | | | | X | X | | | | | 11/04/99 | |
| WASTE TECHNOLOGY CORP | DE | | | | X | | | | | | 11/02/99 | |
| WATERS INSTRUMENTS INC | MN | | | | X | X | | | | | 11/04/99 | |
| WESTERFED FINANCIAL CORP | DE | | | | X | X | X | | | | 10/26/99 | |
| WIND RIVER SYSTEMS INC | DE | | | | X | X | | | | | 10/21/99 | |
| WIT CAPITAL GROUP INC | DE | | | | X | X | | | | | 08/31/99 | |
| WMC SECURED ASSETS CORP | DE | | | | X | X | | | | | 10/31/99 | |
| WMC SECURED ASSETS CORP | DE | | | | X | X | | | | | 10/31/99 | |
| WORLD OMNI DEALER FUNDING INC | FL | X | | | | | | | | | 09/30/99 | |
| WORLD OMNI LEASE SECURITIZATION L P | DE | X | | | | | | | | | 09/30/99 | |
| /DE/ | | | | | | | | | | | | |
| WORLD OMNI 1999-A AUTOMOBILE LEASE | IL | X | | | | | | | | | 09/30/99 | |
| SECURITIZATION TRUST | | | | | | | | | | | | |
| YUBET COM INC | DE | | | | X | X | | | | | 11/02/99 | |