

C. 2

# SEC NEWS DIGEST

Issue 99-200

October 18, 1999

---

## COMMISSION ANNOUNCEMENTS

---

### MEDIA BRIEFING ON CORPORATION FINANCE RULES

**What:** Brian Lane, Director of the Division of Corporation Finance, and his senior staff will conduct a briefing on the Regulation M-A and Cross-Border Tender Offerings rules that the Commission will consider tomorrow for final adoption. These measures are the most sweeping reform in the area of mergers and acquisitions in a generation.

**When:** **TODAY!!**  
Monday, October 18, 1999  
3:30 p.m. EDT

**Where:** Securities and Exchange Commission  
450 5th Street, N.W.  
Washington, D.C.  
Room 1C50

**Teleconference:** (212) 748-2064

**Other:** Information provided at the briefing is embargoed until the Commission votes on Tuesday, October 19, 1999 at approximately 11:00 a.m. EDT. For credentialed media only. (Press Rel. 99-136)

### ORDER ISSUED SUSPENDING TRADING IN THE SECURITIES OF LAS VEGAS ENTERTAINMENT NETWORK, INC.

The Commission announced the temporary suspension, pursuant to Section 12(k) of the Securities Exchange Act of 1934, of over-the-counter trading of the securities of Las Vegas Entertainment Network, Inc. of Los Angeles, California at 9:30 a.m. EDT on October 18, 1999, and terminating at 11:59 p.m. EDT on October 29, 1999. The Commission temporarily suspended trading in the securities of Las Vegas Entertainment because of questions that have been raised about the adequacy and accuracy of publicly disseminated information concerning, among other things, an agreement to receive \$190 million in cash from two investors.

The Commission cautions broker-dealers, shareholders, and prospective purchasers that they should carefully consider the foregoing information along with all other currently available information and any information subsequently issued by Las Vegas Entertainment. Further, brokers and dealers should be alert to the fact that, pursuant to Rule 15c2-11 under the Exchange Act, at the termination of the trading suspension, no quotation may be entered unless and until they have strictly complied with all of the provisions of the rule. If any broker or dealer has any questions as to whether or not he has complied with the rule, he should not enter any quotation but immediately contact the staff of the Securities and Exchange Commission in Washington, D.C. If any broker or dealer is uncertain as to what is required by Rule 15c2-11, he should refrain from entering quotations relating to the securities of Las Vegas Entertainment until such time as he has familiarized himself with the rule and is certain that all of its provisions have been met. If any broker or dealer enters any quotation for the stock of Las Vegas Entertainment that is in violation of the rule, the Commission will consider the need for prompt enforcement action. (Rel. 34-42017)

---

## **RULES AND RELATED MATTERS**

---

### **ROLE OF INDEPENDENT DIRECTORS OF INVESTMENT COMPANIES; INTERPRETIVE MATTERS CONCERNING INDEPENDENT DIRECTORS OF INVESTMENT COMPANIES**

The Commission has issued a release proposing new rules and rule amendments that are designed to enhance the independence and effectiveness of independent directors and to better enable investors to assess the independence of directors. The Commission also has issued a companion release that provides the views of its staff on a number of interpretive issues related to fund directors, and the views of the Commission on its role in disputes between independent directors and fund management. For further information regarding the proposed substantive rule amendments, contact Jennifer B. McHugh at (202) 942-0690; regarding the proposed disclosure rule amendments, contact Heather A. Seidel at (202) 942-0721; or regarding the interpretive release, contact Brendan C. Fox at (202) 942-0660. (Proposed Rule - Rels. 33-7754, 34-42007, IC-24082, File No. S7-23-99); (Statement of Staff Position - (Rel. IC-24083))

---

## **ENFORCEMENT PROCEEDINGS**

---

### **PERMANENT INJUNCTION ENTERED AGAINST TITAN PETROLEUM CORP.**

On September 29, 1999, the Honorable James Lawrence King, United States District Judge for the Southern District of Florida, entered a permanent injunction by default against defendant Titan Petroleum Corp. (Titan). The order permanently enjoins Titan from future

violations of the antifraud provisions of the federal securities laws, sets disgorgement as to Titan at \$759,533, representing its ill-gotten gains plus prejudgment interest, and sets civil penalties as to Titan at \$550,000.

The Commission's complaint, filed on May 19, 1998, and amended on June 7, 1998, alleged that from April 2, 1997 through September 24, 1997, Titan and its principals, Natale L. Montozzi and Philip Leitner, fraudulently raised at least \$654,770 by selling undivided, fractional working interests in three separate oil and gas well drilling programs to sixty-five investors. The complaint alleged, among other things, that Titan failed to disclose to investors that the amount of money raised was far in excess of the costs it knew it would incur to drill and complete the wells, that investor funds were commingled and used to pay expenses not provided for in the offering documents, and that its offering documents and telephone script contained false or misleading statements concerning the success, experience, and history of the company. [SEC v. Titan Petroleum Corp., Magnum Petroleum Corp., Magnum Petroleum Enterprises, Inc. Magnum Oil and Petroleum, Inc., Natale L. Montozzi and Philip Leitner, Civil Action No. 98-1111-CIV-KING, S.D. Fla.] (LR-16334)

#### **SEC GETS TRO, ASSET FREEZE TO STOP ON-GOING PONZI SCHEME OPERATED BY ATLANTA DAY-TRADER**

The Commission announced today that it sought and obtained a temporary restraining order and asset freeze to halt an on-going Ponzi scheme operated by Mark Drucker (Drucker), a resident of Atlanta, Georgia. The Commission's complaint, which it filed in the United States District Court for the Northern District of Georgia, alleges that Drucker has raised millions of dollars from investors and lost hundreds of thousands of dollars by engaging in extensive day-trading.

According to the Commission's complaint, Drucker violated Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. The Commission alleges that from at least July 1998 through September 1999, Drucker raised approximately \$6.2 million from an estimated 80 investors. Drucker solicits investors by representing that he is a successful day-trader and that investors will receive returns on their investment of 50%, or more, in two months or less. Drucker did not disclose to investors that he has consistently lost money trading stocks with the investors' money, that he lost in excess of \$630,000 trading in stocks in 1999, that he used the investment principal of recent investors to pay returns to earlier investors, and that he used money from investors to pay personal expenses, including hundreds of thousands of dollars to finance elaborate parties.

The Commission's complaint also names Michael Weinstock, an Atlanta attorney, as a relief defendant who has been unjustly enriched through his participation in Drucker's scheme. Weinstock invested approximately \$1,035,916 and received approximately \$1,575,514 from

Drucker.

The Commission is also seeking preliminary and permanent injunctions based on violations of Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. The Commission also seeks the disgorgement of ill-gotten gains or unjust enrichment together with prejudgment interest from Drucker and the relief defendant, as well as an accounting by Drucker of his use of investors' funds. [SEC v. Mark Drucker, and Michael Weinstock (Relief Defendant), Civil Action No. 1:99-CV-2687, N.D. Ga.] (LR-16335)

**SEC OBTAINS EMERGENCY RELIEF AGAINST RICHARD HOMA, MICHAEL GAUSE AND OTHERS FOR ROLES IN \$300 MILLION PONZI SCHEME**

On October 15, the Commission filed a complaint in Federal District Court in New York, New York, to halt a massive Ponzi Scheme that has allegedly raised approximately \$300 million over several years. On the same day, Judge David N. Edelstein of the U.S. District Court for the Southern District of New York issued a Temporary Restraining Order, which included a prohibition against violations of federal securities laws and an asset freeze, against the defendants, including Richard Homa, of Atlanta, Georgia, Michael Gause, of Fort Lauderdale, Florida, Bill J. Short, II, of Marco Island, Florida, Jimmy B. Roof, of West Columbia, South Carolina, Robert C. Ellenburg, of Columbia, South Carolina, Steven Shane Nichols, of Aurora, Colorado, Philip A. Sharpton, of Stone Mountain, Georgia, Charles Edward Dickerson, of Franklin, Tennessee and 18 entities that they control. Judge Edelstein also ordered a hearing for Monday October 18, 1999 at 11:00 a.m. to determine whether to continue the Temporary Restraining Order.

The complaint alleges that Gause and Homa stood at the top of a multi-layered marketing scheme that sold notes and bonds as part of a massive Ponzi scheme. The complaint charges that the defendants violated the federal securities laws by making false claims that the money they were raising would be used to fund loans to companies engaged in the car title loan industry and the payday loan industry. According to the complaint, many of the Defendants were told that the funds would be loaned to Cash 4 Titles, a company operated by Homa, but that only a small fraction of the money was used for its intended purpose. Instead, after transferring the money to Cayman Islands accounts, the defendants allegedly used the money to pay existing investors, pay commissions and pay personal expenses.

The complaint alleges that the defendants violated Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 (Exchange Act) and Rule 10b-5 thereunder, and that the defendants who sold the notes and bonds acted as unregistered broker-dealers in violation of Section 15(a) of the Exchange Act and violated Section 15(c) of the Exchange Act and Rule 15c1-2 thereunder.

In connection with a related criminal action, Michael Gause was arrested on October 15 for his participation in the actions described above. The Commission thanks the United States Attorneys Office for the Southern District of New York for their assistance in this matter. [SEC v. Charles Richard Homa, et al., USDC, SDNY, Civil Action No. 99-Civ-10557] (LR-16336)

---

## INVESTMENT COMPANY ACT RELEASES

---

### EVERGREEN EQUITY TRUST, ET AL.

A notice has been issued giving interested persons until November 8, 1999, to request a hearing on an application filed by Evergreen Equity Trust, et al. under Rule 17d-1 under the Investment Company Act for an order to permit certain transactions in accordance with Section 17(d) of the Act and Rule 17d-1 under the Act. The order would permit certain registered investment companies to pay to an affiliated lending agent, and the affiliated lending agent to accept, fees based on a share of the revenue generated from securities lending transactions. (Rel. IC-24084 - October 14)

---

## SELF-REGULATORY ORGANIZATIONS

---

### PROPOSED AMENDMENT TO THE OPTIONS PRICE REPORTING AUTHORITY'S NATIONAL MARKET SYSTEM PLAN

The Options Price Reporting Authority (OPRA) filed a proposed rule change (SR-OPRA-99-1) pursuant to Rule 11Aa3-2(c)(1) under the Securities Exchange Act of 1934 to adopt a new participation fee payable by each new party to the OPRA Plan. Publication of the proposal is expected in the Federal Register during the week of October 18. (Rel. 34-42002)

---

## RECENT 8K FILINGS

---

Form 8-K is used by companies to file current reports on the following events:

- Item 1 Changes in Control of Registrant.
- Item 2 Acquisition or Disposition of Assets.
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant.
- Item 5 Other Materially Important Events
- Item 6 Resignations of Registrant's Directors.
- Item 7 Financial Statements and Exhibits.
- Item 8 Change in Fiscal Year.
- Item 9 Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ADELPHIA COMMUNICATIONS CORP	DE		X					X			10/01/99	
ADVANTA AUTOMOBILE RECEIVABLES TRUS T 1997-1	NV									X	10/15/99	
ALLSTATE FINANCIAL CORP /VA/	VA		X								12/31/99	
ALTEON INC /DE	DE					X					09/27/99	
AMB PROPERTY CORP	MD					X	X				09/30/99	
AMB PROPERTY LP	DE					X	X				09/30/99	
AMERICAN EXPRESS CENTURION BANK	UT					X	X				10/15/99	
AMERICAN EXPRESS RECEIVABLES FINANC ING CORP	DE					X	X				10/15/99	
AMERICAN INDEPENDENT NETWORK INC	DE	X	X			X	X				09/02/99	
AMERICAN MORTGAGE INVESTORS TRUST	MA					X	X				10/01/99	
AMPCO PITTSBURGH CORP	PA						X				10/15/99	
AMSOUTH BANCORPORATION	DE		X			X	X				10/01/99	
ARAHOVA COMMUNICATIONS INC	DE	X	X				X				10/01/99	
AUTOBYTEL COM INC	DE					X	X				10/14/99	
BALANCE BAR CO	DE					X	X				10/14/99	
BANC ONE CREDIT CARD MASTER TRUST	NY					X	X				10/15/99	
BARRY R G CORP /OH/	OH					X	X				10/13/99	
BEAR STEARNS ASSET BACKED SEC INC L O BAC NOT SER 1999 HLTV-1	DE					X	X				10/15/99	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE					X	X				10/15/99	
BEI MEDICAL SYSTEMS CO INC /DE/	DE					X	X				10/01/99	
BIG FLOWER HOLDINGS INC/	DE					X	X				10/11/99	AMEND
BIO RAD LABORATORIES INC	DE		X				X				10/01/99	
BORG WARNER AUTOMOTIVE INC	DE		X								10/15/99	
BROOKS AUTOMATION INC	DE		X				X				09/30/99	
CADMUS COMMUNICATIONS CORP/NEW	VA					X	X				10/14/99	
CAPITAL REALTY INVESTORS 85 LTD PAR TNEERSHIP	MD		X								10/15/99	
CASINOVATIONS INC	NV					X	X				10/12/99	
CATERPILLAR INC	DE					X					10/15/99	
CC MASTER CREDIT CARD TRUST	MD						X				10/15/99	
CC MASTER CREDIT CARD TRUST II	MD						X				10/15/99	
CCA COMPANIES INC	DE					X					10/13/99	
CHARTER ONE FINANCIAL INC	DE		X			X	X				10/01/99	
CHECKERS DRIVE IN RESTAURANTS INC / DE	DE		X				X				08/09/99	AMEND
CHESAPEAKE CORP /VA/	VA		X				X				10/03/99	
CHEVRON CORP	DE						X				10/15/99	
CHROMAVISION MEDICAL SYSTEMS INC	DE					X	X				10/07/99	
CIRCUIT CITY CREDIT CARD MASTER TRU ST	DE					X	X				10/15/99	
CK WITCO CORP	DE					X	X				10/15/99	
COMC INC	IL					X	X				02/03/99	
COMMUNICATIONS WORLD INTERNATIONAL INC	CO		X				X				09/30/99	
COMPX INTERNATIONAL INC	DE					X	X				10/14/99	
COMTEC INTERNATIONAL INC	NM					X	X				09/03/99	AMEND
CONCORDE STRATEGIES GROUP INC	CO	X									09/30/99	
CONCUR TECHNOLOGIES INC	WA					X	X				10/05/99	
CONSECO FINANCE SECURITIZATIONS COR	DE					X	X				10/04/99	

P

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			9
CONSOLIDATED EDISON INC	NY				X	X					10/13/99	
CONSOLIDATED GRAPHICS INC /TX/	TX				X	X					10/15/99	
CSK AUTO CORP	AZ	X			X						10/01/99	
CYBERGUARD CORP	FL			X		X					10/11/99	
DAMSON BIRTCHER REALTY INCOME FUND I	PA	X									09/22/99	
DAMSON BIRTCHER REALTY INCOME FUND II LTD PARTNERSHIP	DE	X									09/21/99	
DEERE JOHN RECEIVABLES INC	NV				X	X					10/15/99	
DIGITAL VIDEO SYSTEMS INC	DE	X									09/30/99	
DISCOVER CARD MASTER TRUST I	DE				X	X					10/15/99	
DISCOVER CARD TRUST 1993-B	DE				X	X					10/15/99	
DISCOVERY LABORATORIES INC /DE/	DE				X	X					12/10/99	
EGLOBE INC	DE				X						08/23/99	
ENGINEERED SUPPORT SYSTEMS INC	MO				X	X					09/30/99	
ESYNCH CORP/CA	DE	X				X					10/01/99	
FACTUAL DATA CORP	CO					X					05/05/99	
FCNB CORP	MD					X					10/14/99	
FEDDERS CORP /DE/	DE				X	X					10/12/99	
FINOVA GROUP INC	DE				X	X					10/15/99	
FIRST BELL BANCORP INC	DE				X	X					10/13/99	
FIRST CHICAGO MASTER TRUST II	DE				X	X					09/17/99	
FIRST NBC CREDIT CARD MASTER TRUST						X					10/12/99	
FIRST USA BANK NATIONAL ASSOCIATION					X	X					09/17/99	
FIRSTAMERICA AUTOMOTIVE INC /DE/	DE	X				X					09/30/99	
FLEET BOSTON CORP	RI	X				X					10/01/99	
FNaNB CREDIT CARD MASTER TRUST					X	X					10/15/99	
FRONTIERVISION CAPITAL CORP	DE	X									10/01/99	
FRONTIERVISION HOLDINGS CAPITAL CORP	DE	X									10/01/99	
FRONTIERVISION HOLDINGS CAPITAL II CORP	DE	X									10/01/99	
FRONTIERVISION HOLDINGS LP	DE	X									10/01/99	
FRONTIERVISION OPERATING PARTNERS L	DE	X									10/01/99	
GOLD BANC CORP INC	KS				X	X					10/13/99	
GOODRICH PETROLEUM CORP	DE	X									09/23/99	
GRAY COMMUNICATIONS SYSTEMS INC /GA /	GA	X			X	X					10/01/99	
HARKEN ENERGY CORP	DE				X	X					10/15/99	
HARVARD INDUSTRIES INC	DE	X			X	X					09/30/99	
HELPMATE ROBOTICS INC	CT				X	X					10/15/99	
HERTZ CORP	DE				X	X					10/12/99	
HISPANIC BROADCASTING CORP	DE				X	X					10/15/99	
HOMESEEKERS COM INC	NV	X				X					09/30/99	
HUDSON UNITED BANCORP	NJ				X	X					10/14/99	
HUNTCO INC	MO				X						09/30/99	
IEXALT INC	NV	X				X					10/01/99	
ILLINOIS POWER CO	IL				X	X					10/15/99	
ILLINOVA CORP	IL				X	X					10/15/99	
IMPAC SECURED ASSETS CORP	CA					X					09/30/99	
INFORMIX CORP	DE	X				X					10/08/99	
INKTOMI CORP	DE	X				X					10/01/99	
INTERACTIVE MAGIC INC /NC/	NC				X						10/13/99	
INTERNATIONAL REMOTE IMAGING SYSTEM S INC /DE/	DE				X						10/07/99	
INTERNATIONAL REMOTE IMAGING SYSTEM S INC /DE/	DE				X						10/11/99	
ISLE OF CAPRI CASINOS INC	DE				X	X					10/05/99	
JCP RECEIVABLES INC	DE					X					10/15/99	
KERR MCGEE CORP	DE				X	X					10/15/99	

NAME OF ISSUER	STATE CODE	BK ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			9
KSL RECREATION GROUP INC	DE		X					X			09/30/99	
LAS VEGAS SANDS INC	NV					X					10/15/99	
LIBERTY GROUP OPERATING INC	DE		X					X			10/15/99	
LIBERTY GROUP PUBLISHING INC	DE		X					X			10/15/99	
LTC HEALTHCARE INC	NV		X					X			10/01/99	
MANUFACTURED HOME COMMUNITIES INC	MD					X					10/15/99	
MARKETING SPECIALISTS CORP	DE					X		X			10/14/99	
MASON HILL HOLDINGS INC	DE		X	X				X			10/14/99	
MAXXIM MEDICAL INC	TX					X		X			10/14/99	
MC INFORMATICS INC	CA		X					X			10/01/99	
MCI WORLDCOM INC	GA					X		X			10/05/99	
MCN ENERGY GROUP INC	MI					X					10/15/99	
MCY COM INC /DE/	DE					X	X	X			10/31/99	
MEDIA GENERAL INC	VA		X								10/01/99	
MELLON BANK PREMIUM FINANCE LOAN MA STER TRUST	NY					X		X			10/08/99	
MELLON RESIDENTIAL FUNDING COR MOR PAS THR CER SER 1999-TBC1	DE					X		X			09/25/99	
MELLON RESIDENTIAL FUNDING COR MOR PAS THR CER SER 1999-TBC1	DE					X		X			09/25/99	
MELLON RESIDENTIAL FUNDING CORP HOM E EQ INSTAL LOAN TRU 99-1	DE					X		X			09/25/99	
MERCANTILE CREDIT CARD MASTER TRUST	NY					X		X			10/12/99	
MERRILL LYNCH MORT INVEST INC MRT L N AS BCKD CRT SR 1999 CB2	DE					X		X			09/27/99	
MGI PROPERTIES	MA					X		X			10/05/99	
MIDCOAST ENERGY RESOURCES INC	TX		X								10/11/99	
MIDWEST REAL ESTATE SHOPPING CENTER LP	DE			X							09/07/99	
MORROW SNOWBOARDS INC	OR		X			X		X			09/30/99	
MOTIVEPOWER INDUSTRIES INC	PA					X		X			10/15/99	
MOTOR COACH INDUSTRIES INTERNATIONAL L INC	DE					X		X			10/15/99	
MTS SYSTEMS CORP	MN					X					09/30/99	
NATIONAL CITY CORP	DE					X		X			10/15/99	
NDC AUTOMATION INC	DE		X								10/15/99	
NEIMAN MARCUS GROUP INC	DE					X		X			10/06/99	
NETSOL INTERNATIONAL INC	NV							X			08/13/99	AMEND
NORTH BANCSHARES INC	DE		X								09/30/99	
NUTRAMAX PRODUCTS INC /DE/	DE					X		X			10/13/99	
OCWEN FINANCIAL CORP	FL		X					X			09/30/99	
ODS NETWORKS INC	DE					X		X			10/14/99	
OLYMPUS CAPITAL CORP	DE		X			X					10/01/99	
OLYMPUS COMMUNICATIONS LP	DE		X			X					10/01/99	
OMEGA ENVIRONMENTAL INC	DE		X			X		X			10/13/99	
ONYX SOFTWARE CORP/WA	WA		X					X			10/01/99	
PARACELSUS HEALTHCARE CORP	CA		X								09/30/99	
PENN ENGINEERING & MANUFACTURING CO RP	DE		X					X			09/30/99	
PENNSYLVANIA PHYSICIAN HEALTHCARE P LAN INC	PA					X					10/13/99	
PERINI CORP	MA					X					10/15/99	
PINNACLE SYSTEMS INC	CA							X			08/02/99	AMEND
PLAYSTAR WYOMING HOLDING CORP	WY		X								10/15/99	
PREMIUM CIGARS INTERNATIONAL LTD	AZ					X					09/27/99	
PRIDE COMPANIES LP	DE		X								10/15/99	
PRIME GROUP REALTY TRUST	MD		X								09/30/99	
PROSPERITY BANCSHARES INC	TX		X					X			10/01/99	
QUIZNOS CORP	CO					X					10/12/99	
RANGE RESOURCES CORP	DE					X		X			09/30/99	



NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
RCN CORP /DE/	DE				X	X					10/01/99	
REAL ESTATE INCOME PARTNERS III LTD PARTNERSHIP	DE	X									09/21/99	
REALCO INC /NM/	NM				X						09/30/99	AMEND
RESPONSE USA INC	DE	X				X					09/30/99	
RIGHTCHOICE MANAGED CARE INC	MO				X						10/08/99	
ROWE COMPANIES	NV	X				X					07/31/99	AMEND
SAFETY KLEEN CORP/	DE				X	X					10/15/99	
SAKS CREDIT CARD MASTER TRUST	NV				X	X					10/15/99	
SALIVA DIAGNOSTIC SYSTEMS INC	DE			X	X						09/30/99	
SBA COMMUNICATIONS CORP	FL				X	X					10/14/99	
SBA COMMUNICATIONS CORP	FL				X	X					10/14/99	
SCHERER HEALTHCARE INC	DE	X				X					09/30/99	
SCIENCE APPLICATIONS INTERNATIONAL CORP	DE				X						10/15/99	
SEAL HOLDINGS CORP	DE	X				X					09/30/99	
SECURITY ASSOCIATES INTERNATIONAL I NC	DE				X	X					09/30/99	
SI HANDLING SYSTEMS INC	PA	X				X	X				11/28/99	
SNAP ON INC	DE	X				X					09/30/99	
SOFTNET SYSTEMS INC	DE	X				X					09/30/99	
SSB VEHICLE SECURITIES INC BMW VEHI CLE OWNER TRUST 1999-A	DE				X	X					09/29/99	
STERICYCLE INC	DE				X	X					09/26/99	
SUN COMMUNITIES INC	MD				X	X					09/29/99	
SUN COMMUNITIES OPERATING LIMITED P ARTNERSHIP	MI				X	X					09/29/99	
THERMATRIX INC	DE			X		X					09/30/99	AMEND
TOP SOURCE TECHNOLOGIES INC	DE			X							09/30/99	
TRANSIT GROUP INC	FL					X					10/15/99	AMEND
TRANSIT GROUP INC	FL					X					10/15/99	AMEND
TSI INTERNATIONAL SOFTWARE LTD	DE	X				X					09/30/99	
TST/IMPRESO INC	DE				X						10/15/99	
ULTIMATE ELECTRONICS INC	DE				X						03/23/95	
UNIQUE MOBILITY INC	CO				X	X					10/14/99	
UNITED INVESTORS INCOME PROPERTIES II	MO				X	X					10/04/99	
UNITED PANAM MORTGAGE LOAN ASSET BA CKED CER SER 1999-1	DE				X	X					09/25/99	
VERITAS SOFTWARE CORP /DE/	DE				X	X					10/14/99	
VIASYSTEMS INC	DE					X					08/05/99	AMEND
VION PHARMACEUTICALS INC	DE				X						10/14/99	
VISTA ENERGY RESOURCES INC	DE				X	X					10/08/99	
VOICESTREAM WIRELESS CORP	WA				X						09/17/99	
WALT DISNEY CO/	DE				X	X					10/15/99	
WESTERN RESOURCES INC /KS	KS				X	X					10/15/99	
WESTINGHOUSE AIR BRAKE CO /DE/	DE				X	X					10/14/99	
WEYERHAEUSER CO	WA				X						10/12/99	
WHITTMAN HART INC	DE				X	X					09/30/99	
WMC SECURED ASSETS CORP WMC MORT PA SS THR CERT SER 1999-A	DE	X				X					09/30/99	
WORLD CALLNET INC	DE	X	X			X					10/15/99	AMEND