

SEC NEWS DIGEST

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ENFORCEMENT PROCEEDINGS

BEAR STEARNS SECURITIES CORPORATION TO PAY \$35 MILLION TO SETTLE SEC CHARGES THAT IT CAUSED FRAUD AT A.R. BARON

The Commission today found that Bear, Stearns Securities Corporation (Bear Stearns), the clearing broker operation of Bear Stearns & Co., Inc., caused violations of the antifraud provisions of the federal securities laws in connection with its clearing relations with A.R. Baron & Co., Inc. (Baron). (Baron collapsed in 1996 after engaging in numerous violations of law, operating as a criminal enterprise, and causing millions of dollars of investor losses.) The Commission also charged Bear Stearns President Richard Harriton with fraud and aiding and abetting Baron's fraud. An Independent Consultant will be named to review Bear Stearns' supervisory and compliance procedures and recommend improvements. Bear Stearns will pay a \$5 million fine to the U.S. Government and pay \$30 million into an investor restitution fund.

The Commission's Order finds that Bear Stearns caused violations of the antifraud provisions in a variety of ways. For example: although Bear Stearns was aware that Baron was engaging in unauthorized trading in customer accounts, Bear Stearns charged unauthorized trades to Baron customers instead of to Baron; Bear Stearns took money and securities from customer accounts to pay for the unauthorized trades; and Bear Stearns refused to return the customer property it took, even after Baron admitted that certain trades were unauthorized.

Specific instances of misconduct include:

- * Bear Stearns' liquidation of \$1 million worth of securities in a customer's account to pay for a trade after the customer presented Bear Stearns with irrefutable evidence that the trade was wholly fictitious -- Bear Stearns' confirmation slips that clearly had been forged by Baron;
- * Bear Stearns' seizure of, and refusal to return, securities of a customer to pay for trades Baron had expressly acknowledged to Bear Stearns were unauthorized;

- * Bear Stearns' refusal to allow Baron to repurchase from a customer approximately \$2 million of securities that Baron had purchased for the customer without authorization, even after Baron had agreed to make the customer whole; and
- * Bear Stearns' acceptance, without customer authorization, of excess customer funds from a Baron IPO, that it knew, or was reckless in not knowing, were misdirected to it by Baron from an escrow account.

In addition to finding that Bear Stearns caused antifraud violations, the Commission found that Bear Stearns willfully aided and abetted Baron's violations of the net capital and contingency offering requirements and directly violated the credit extension and recordkeeping requirements. In particular, the Commission's Order finds that Bear Stearns assisted Baron in staying in business when it knew that Baron lacked required capital to operate and was engaging in an ongoing fraud. By charging to customers unauthorized trades that should have been liabilities to Baron, Bear Stearns helped Baron hide its continuing capital deficiency. Even after the Commission brought an emergency action to halt Baron's fraudulent activity, Bear Stearns not only continued to clear for Baron but also provided it with approximately \$1.6 million in working capital.

Bear Stearns is simultaneously settling today's charges by consenting to the issuance of the Order without admitting or denying its findings. In addition to those findings, the Order provides relief designed to substantially remedy the harm caused by the charged conduct and prevent its recurrence. In conjunction with the resolution of an investigation by the New York County District Attorney's Office, Bear Stearns will pay \$30 million into a restitution fund, to be paid to investors who were harmed by the fraud. To deter and punish Bear Stearns for its role in the Baron fraud, the Order imposes a \$5 million penalty on the firm. To prevent any recurrence of the misconduct described in the Order, the Order requires Bear Stearns to cease and desist from committing the violations found by the Commission and appoints an Independent Consultant, to be chosen by the Commission, to conduct a review of various Bear Stearns' supervisory and compliance procedures, and recommend improvements to them. Bear Stearns will be required to adopt all recommendations approved by the Commission's staff.

SEC Enforcement Director Richard H. Walker said, "The Commission's Order finds that Bear Stearns took actions that directly facilitated Baron's widespread fraudulent activity. A firm's status as a clearing broker does not immunize it from the consequences of participating in a fraud. To the contrary, a clearing firm, or any other market participant, that engages in conduct enabling a boiler room like Baron to defraud investors of millions of dollars is fully responsible for its actions."

Also today, the Commission's Division of Enforcement charged Richard Harriton, who was the president of Bear Stearns during the relevant time period, with fraud and aiding and abetting Baron's fraud. The

Harriton Order alleges that Harriton, with knowledge of Baron's fraudulent conduct, directed Bear Stearns to take various actions to prop up Baron. It further alleges that Harriton entered into an agreement with Baron's principal, Andrew Bressman, to profit personally from the clearing relationship by having Bressman deposit stock in companies for which Baron was planning to do initial public offerings into nominee accounts maintained for Harriton and his close associate, Randolph Pace. Baron's collapse prevented the scheme from coming to fruition. Harriton is contesting the charges and the case will be heard by an administrative law judge. See Exchange Act Release No. 41708.

The actions against Bear Stearns and Harriton follow the Commission's October 1996 revocation of Baron's registration as a broker-dealer. The Commission found that Baron had engaged in a massive fraud, including widespread sales practice violations that occurred before and during Bear Stearns' clearing relationship with Baron. Baron and 13 of its officers and registered representatives subsequently pleaded guilty to, or were convicted of, criminal charges brought by the New York County District Attorney's Office relating to the same conduct.

The Division of Enforcement thanks the New York County District Attorney's Office and the United States Attorney's Office for the Southern District of New York for their assistance in this matter.

This enforcement action highlights the Commission's efforts to address abuses in the offer and sale of microcap stocks. Clearing firms provide vital services and lend legitimacy to many introducing firms that specialize in such securities. As demonstrated by today's case, those clearing firms and individuals that assist introducing firms in perpetrating microcap fraud will be held accountable for their actions. (In the Matter of Bear, Stearns Securities Corp. - Rels. 33-7718, 34-41707, File No. 3-9962; Rels. 33-7720, 34-41709, File No. 3-9962; and Rel. 33-7721, File No. 3-9962); In the Matter of Richard Harriton - Rels. 33-7719, 34-41708, File No. 3-9963); (Press Rel. 99-94)

JENNIFER D'ANTONI BARRED FROM THE SECURITIES INDUSTRY

The Commission has entered an Order pursuant to Sections 15(b)(6) and 19(h) of the Securities Exchange Act of 1934, Making Findings, and Imposing Sanctions (Order) against Jennifer D'Antoni (D'Antoni). The Order finds, among other things, that on August 4 the U.S. District Court for the Southern District of New York entered a Final Judgment of Injunction and Other Relief as to Jennifer D'Antoni, permanently enjoining her from violating Sections 10(b) and 14(e) of the Securities Exchange Act of 1934, and Rules 10b-5 and 14e-3 thereunder. Previously, on August 2 the Commission filed a complaint alleging that D'Antoni engaged in insider trading while she was in possession of material nonpublic information concerning the securities of six publicly traded companies, and she recommended three of the securities to a co-worker. The complaint also alleged that D'Antoni realized illegal profits of \$124,025, and her tippee's illegal profits total \$6,250. Finally, according to the Order,

between January 1995 and December 1997, D'Antoni was associated with various broker-dealers registered with the Commission.

D'Antoni consented to the Order, without admitting or denying the Commission's findings, barring her from association with any broker or dealer.

For further information, see LR-15741 (May 15, 1998); LR-15990 (Dec. 3, 1998); Rel. 40787 (Dec. 14, 1998); Rel. 40788 (Dec. 14, 1998); Rel. 41182 (March 18, 1999); LR-16090 (March 18, 1999); Rel. 41220 (March 29, 1999); and [SEC v. Jennifer D'Antoni, 99 Civil Action No. 6106, JSM, SDNY] (LR-16227). (Rel. 34-41710; File No. 3-9965)

COMPLAINT FILED AGAINST A VICE-PRESIDENT OF UNDERWRITING OF UNITED HEALTHCARE CORPORATION FOR INSIDER TRADING

The Commission announced that on August 2 it filed a complaint in the United States District Court for the District of Minnesota against Michael A. Mooney (Mooney), a vice-president of Underwriting for United Healthcare Corporation (United), for insider trading.

The Commission's complaint alleges that from on or about May 24, 1995 through on or about June 15, 1995, Mooney purchased United options while in possession of material, non-public information regarding United's plan to acquire The MetraHealth Companies, Inc. (Metra). The complaint also alleges that Mooney was a member of United's due diligence team investigating the potential acquisition of Metra. In the two days following the first announcement that United and Metra were involved in possible merger negotiations, United's stock price rose approximately 11%. According to the complaint, Mooney realized approximately \$274,000 after selling these United options. The complaint further alleges that Mooney violated Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 promulgated thereunder, the antifraud provision, and seeks a permanent injunction, disgorgement and a civil penalty. [SEC v. Michael A. Mooney, D. Minn., Civil Action No. 99-1185, JMR/FLN] (LR-16240)

COMMISSION FILES COMPLAINT IN INSIDER TRADING SCHEME

The Commission filed a complaint in the Northern District of California on August 2 against Brett S. Henderson, 24, a former analyst in the Investment Banking/Corporate Finance Division of MSDW's Menlo Park office, and against Richard F. Randall, 27, a long-time friend of Henderson.

The Commission's complaint alleges that, beginning in September 1998 and ending in July 1999, Henderson repeatedly tipped Randall with material, non-public information that he had obtained through his position at MSDW so that he and Randall could trade on that information through Randall's brokerage account. Henderson and Randall generated profits of approximately \$54,000 by their illegal trading.

According to the complaint, Henderson and Randall illegally traded in the stock or options of Broadcom Corp., Netscape Communications Corp., I2 Technologies, Inc., Manugistics Group, Inc., Xylan Corp., Broadcast.com Inc., Abacus Direct Corporation, Sequent Computer Systems, Inc., and Egghead.com, Inc.

In its complaint, the Commission seeks permanent injunctions against future violations, disgorgement, and civil penalties for insider trading. [SEC v. Brett S. Henderson and Richard F. Randall, USDC, ND CA, Civil Action No. 99-3677, CRB] (LR-16243)

SEC CHARGES INVESTMENT ADVISER, BROKER-DEALER AND PRINCIPALS WITH SECURITIES FRAUD

On August 4, the Commission filed a civil fraud action against a San Antonio registered investment adviser, broker dealer, their chairman and president, and two related foreign entities, alleging violations of the anti-fraud provisions of the federal securities laws. The Commission's complaint named InverWorld, Inc., an investment adviser formerly registered with the Commission and presently registered with the State of Texas, InverWorld Securities, Inc., their chairman, Jose P. Zollino and president George F. Fahey, together with two related overseas entities, IG Services, Ltd., a Cayman Island entity, and IWG Services, Ltd., as defendants. Additionally, the complaint names, as a relief defendant, LHH Partnership, Ltd., a Texas limited partnership controlled by Fahey, alleging that it received \$1.3 million of investor funds. The Commission's complaint charges Zollino, Fahey, InverWorld, InverWorld Securities, IG Services and IWG Services with violating the antifraud provisions of the federal securities laws. Since at least 1997, the defendants managed approximately \$475 million of at least 1000 advisory clients in Mexico and Latin America. The complaint charges that investors sought low risk investments such as CDs, U.S. Treasury obligations, money markets and stocks traded on U.S. national exchanges. Instead, the defendants may have lost as much as \$475 million of client funds in unauthorized, highly leveraged investments, the purchase of practically worthless securities issued by a related foreign shell company and other improper uses. Wire transfer records reveal that some \$210 million was transferred offshore to Swiss and Bahamian bank accounts. The Commission sought preliminary and permanent injunctions, an asset freeze, disgorgement, civil penalties and other relief. The Commission would like to acknowledge the outstanding assistance of the State Securities Board of Texas, the United States Attorney for the Western District of Texas and the Federal Bureau of Investigation, who worked closely with the Commission in its investigation. Their assistance is yet another example of the effective coordination by securities regulators and criminal authorities in their joint efforts to police securities fraud. [SEC v. InverWorld, Inc., et al., USDC, WD Texas/San Antonio Division, Civil Action No. SA 99 CA 0822-FB (LR-16242)

DISTRICT COURT PRELIMINARY ENJOINS FAX SPAMMER

The Commission announced that on Friday, July 30, 1999, United States District Court Judge Alan S. Gold entered a preliminary injunction against The Globus Group, Inc. (Globus), located in Miami, Florida, and Bruce Gorcyca a/k/a Anthony DiMarco (DiMarco), Globus' principal, continuing in effect provisions of the temporary restraining order entered on July 16, 1999. The preliminary injunctive order finds that a securities fraud that was effected through three means: (1) sending spam facsimile messages ostensibly issued by reputable financial firms recommending the stocks of twelve microcap companies; (2) generating false press issued by six of those companies; and (3) posting on the Internet a fraudulent offering of investment interests. The preliminary injunction prohibits Globus and DiMarco from violating the antifraud provisions of the federal securities laws and continues the freeze of their assets.

During the hearing, the Commission presented the testimony of fax recipients and telephone records which connect DiMarco and Globus with the spam facsimile messages. An employee for the United States Department of the Treasury also testified that DiMarco's claims to having been an agent with the Department of Treasury and to offering a "U.S.-approved" financing package are false. Neither Globus nor DiMarco appeared at the hearing on Friday. For further information, see LR-16212. [SEC v. The Globus Group, Inc., et al., No. Civ. 99-1968, USDC, SD Fla.] (LR-16244)

INVESTMENT COMPANY ACT RELEASES

CIBC WORLD MARKETS CORP.

An order has been issued on an application filed by CIBC World Markets Corp. (CIBC WM) in connection with the formation of entities to be offered to certain key employees and qualified participants of CIBC WM and affiliated companies. Each entity will be an "employees' securities company" within the meaning of Section 2(a)(13) of the Investment Company Act. (Rel. IC-23932 - August 3)

AMWAY MUTUAL FUND TRUST, ET AL.

A notice has been issued giving interested persons until August 30 to request a hearing on an application filed by Amway Mutual Fund Trust, et al. exempting applicants from Section 15(a) and Rule 18f-2 under the Investment Company Act. The order would permit applicants to enter into and materially amend subadvisory agreements without shareholder approval. (Rel. IC-23933 - August 3)

ELK ASSOCIATES FUNDING CORPORATION AND AMERITRANS CAPITAL CORPORATION

A notice has been issued giving interested persons until August 30 to request a hearing on an application filed by Elk Associates Funding Corporation and Ameritrans Capital Corporation for an order under Section 61(a)(3)(B) of the Investment Company Act approving their respective Non-Employee Directors Stock Option Plans and the grant of certain stock options under the Plans. (Rel. IC-23934 - August 3)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-8 LENNOX INTERNATIONAL INC, 2100 LAKE PARK BLVD, RICHARDSON, TX 75080
(972) 497-5000 - 825,000 (\$16,500,000) COMMON STOCK (FILE 333-83959 - JUL. 29) (BR. 6)
- S-8 LENNOX INTERNATIONAL INC, 2100 LAKE PARK BLVD, RICHARDSON, TX 75080
(972) 497-5000 - 4,603,500 (\$92,070,000) COMMON STOCK. (FILE 333-83961 - JUL. 29) (BR. 6)
- S-3 LSI LOGIC CORP, 1551 MCCARTHY BLVD, MS D 106, MILPITAS, CA 95035
(408) 433-8000 - 287,500,000 (\$287,500,000) STRAIGHT BONDS. 5,750,000 (\$296,125,000) COMMON STOCK. (FILE 333-83963 - JUL. 29) (BR. 5)
- N-2 DECS TRUST V, C/O PUGLISI & ASSOCIATES, 850 LIBRARY AVENUE SUITE 204, NEWARK, DE 19711 - 5,645,000 (\$129,835,000)
COMMON SHARES OF BENEFICIAL INTEREST. (FILE 333-83965 - JUL. 29) (BR. 18)
- S-8 NEW ERA OF NETWORKS INC, 7400 E ORHARD RD, STE 230, ENGLEWOOD, CO 80111
(303) 694-3933 - 1,057,932 (\$13,941,210) COMMON STOCK (FILE 333-83967 - JUL. 29) (BR. 3)
- S-8 FIRST UNION CORP, ONE FIRST UNION CTR, CHARLOTTE, NC 28288
(704) 374-6565 - 39,000,000 (\$1,839,093,750) COMMON STOCK (FILE 333-83969 - JUL. 29) (BR. 7)
- S-8 FRONTIER OIL CORP /NEW/, 10000 MEMORIAL DRIVE, SUITE 600, HOUSTON, TX 77024 (713) 688-9600 - 2,000,000 (\$12,437,600) COMMON STOCK (FILE 333-83971 - JUL. 29) (BR. 4)
- S-8 WENDYS INTERNATIONAL INC, 4288 W DUBLIN GRANVILLE RD, P O BOX 256, DUBLIN, OH 43017 (614) 764-3100 - 500,000 (\$15,235,000) COMMON STOCK. (FILE 333-83973 - JUL. 29) (BR. 5)

S-8 DRUGSTORE COM INC, 13920 SOUTHEAST EASTGATE SUITE 300, BELLEVUE, WA
98005 (425) 881-5131 - 13,992,000 (\$188,919,425.39) COMMON STOCK. (FILE
333-83975 - JUL. 29) (BR. 1)

S-4 SUPERVALU INC, 11840 VALLEY VIEW RD, NULL, EDEN PRAIRIE, MN 55344
(612) 828-4000 - 29,436,115 (\$534,559,849) COMMON STOCK. (FILE 333-83977 -
JUL. 29) (BR. 4)

S-4 UTILICORP UNITED INC, 20 WEST NINTH STREET, 911 MAIN STE 3000,
KANSAS CITY, MO 64105 (816) 421-6600 - 24,856,000 (\$453,940,162)
COMMON STOCK. (FILE 333-83979 - JUL. 29) (BR. 2)

S-3 SILICON STORAGE TECHNOLOGY INC, 1171 SONORA CT, SUNNYVALE, CA 94086
(408) 735-9110 - 816,867 (\$6,458,355) COMMON STOCK. (FILE 333-83981 -
JUL. 29) (BR. 5)

S-3 CARREKER ANTINORI INC, 14001 N DALLAS PKWY, STE 1100, DALLAS, TX 75240
(972) 458-1981 - 1,239,998 (\$8,134,387) COMMON STOCK. (FILE 333-83983 -
JUL. 29) (BR. 3)

S-8 TANNING TECHNOLOGY CORP, 4600 SOUTH ULSTER STREET, SUITE 380, DENVER, CO
80237 (303) 220-9944 - 7,521,600 (\$38,732,607.49) COMMON STOCK. (FILE
333-83987 - JUL. 29) (BR. 3)

S-8 GENENTECH INC, 1 DNA WAY, SOUTH SAN FRANCISCO, CA 94080 (415) 225-1000
- 7,500,000 (\$760,200,000) COMMON STOCK. (FILE 333-83989 - JUL 29)
(BR. 1)

S-8 CABLETRON SYSTEMS INC, 35 INDUSTRIAL WAY, ROCHESTER, NH 03886
(603) 332-9400 - 14,500,000 (\$183,968,750) COMMON STOCK (FILE 333-83991 -
JUL. 29) (BR. 3)

S-8 NANOGEN INC, 10398 PACIFIC CENTER COURT, SAN DIEGO, CA 92121
(619) 546-7700 - 925,000 (\$6,619,300) COMMON STOCK. (FILE 333-83993 -
JUL. 29) (BR. 5)

S-8 TIME WARNER TELECOM INC, 5700 S QUEBEC ST, GREENWOOD VILLAGE, CO 80111
(303) 566-1000 - 9,027,000 (\$160,589,815.63) COMMON STOCK (FILE
333-83995 - JUL. 29) (BR. 7)

S-8 DATAKEY INC, 407 W TRAVELERS TRAIL, BURNSVILLE, MN 55337 (612) 890-6850
- 100,000 (\$243,750) COMMON STOCK. (FILE 333-83999 - JUL. 29) (BR. 5)

S-1 MARTHA STEWART LIVING OMNIMEDIA INC, 20 WEST 43RD STREET, NEW YORK, NY
10036 (212) 827-8000 - \$100,000,000 COMMON STOCK (FILE 333-84001 -
JUL. 29) (NEW ISSUE)

S-3 MIRAVANT MEDICAL TECHNOLOGIES, 7408 HOLLISTER AVE, SANTA BARBARA, CA
93117 (805) 685-9880 - 3,656,710 (\$34,710,390) COMMON STOCK (FILE
333-84003 - JUL. 29) (BR. 1)

S-3 FLORIDA POWER & LIGHT CO, 700 UNIVERSE BLVD, JUNO BEACH, FL 33408
(561) 694-4000 - \$425,000,000 MORTGAGE BONDS. (FILE 333-84005 - JUL 29)
(BR. 4)

S-3 DATAKEY INC, 407 W TRAVELERS TRAIL, BURNSVILLE, MN 55337 (612) 890-6850
- 1,135,078 (\$2,766,752.50) COMMON STOCK. (FILE 333-84007 - JUL 29)
(BR. 5)

S-3 CAPITOL BANCORP LTD, ONE BUSINESS & TRADE CNTR, 200 WASHINGTON SQ N,
LANSING, MI 48933 (517) 487-6555 - 2,070,000 (\$36,225,000) COMMON STOCK
(FILE 333-84009 - JUL. 29) (BR. 7)

S-1 DATA RETURN CORP, 801 STADIUM DRIVE SUITE 117, ARLINGTON, TX 76011
(817) 461-7715 - \$86,250,000 COMMON STOCK (FILE 333-84011 - JUL 29)
(NEW ISSUE)

S-8 RECKSON SERVICES INDUSTRIES INC, 225 BROADHOLLOW RD, MELVILLE, NY 11747
(516) 719-7400 - 1,750,000 (\$28,490,000) COMMON STOCK (FILE 333-84013 -
JUL. 29) (BR. 8)

S-8 GATEFIELD CORP, 47100 BAYSIDE PKWY, FREMONT, CA 94538 (510) 623-4400 -
400,000 (\$1,928,000) COMMON STOCK. (FILE 333-84015 - JUL. 29) (BR. 3)

S-3 TALK COM, 6805 ROUTE 202, NEW HOPE, PA 18938 (215) 862-1500 - 344,338
(\$3,723,154.63) COMMON STOCK. (FILE 333-84017 - JUL. 29) (BR. 7)

S-1 NETCREATIONS INC, 379 WEST BROADWAY SUITE 202, NEW YORK, NY 10012
(212) 625-1370 - \$57,500,000 COMMON STOCK. (FILE 333-84019 - JUL. 29)
(NEW ISSUE)

S-8 DIGEX INC/DE, ONE DIGEX PLAZA, BELTSVILLE, MD 20705 (301) 847-5000 -
9,000,000 (\$144,000,000) COMMON STOCK. (FILE 333-84025 - JUL 29) (BR. 3)

S-8 WAL MART STORES INC, 702 SOUTHWEST 8TH ST, BENTONVILLE, AR 72716
(501) 273-4000 - 17,000,000 (\$511,927,806.49) COMMON STOCK. (FILE
333-84027 - JUL. 29) (BR 2)

S-3 COREL CORP, 1600 CARLING AVE, OTTAWA ONTARIO CANAD, A6 (613) 728-8200 -
1,000,000 (\$4,590,000) COMMON STOCK. (FILE 333-84029 - JUL. 29) (BR. 3)

S-1 INTERTRUST TECHNOLOGIES CORP, 460 OAKMEAD PKWY, SUNNYVALE, CA 94086
(408) 222-6100 - \$85,000,000 COMMON STOCK. (FILE 333-84033 - JUL. 29)
(NEW ISSUE)

S-1 INTERNAP NETWORK SERVICES CORP/WA, TWO UNION SQUARE 601 UNION ST,
SUITE 1000, SEATTLE, WA 98101 (206) 441-8800 - \$150,000,000 COMMON STOCK
(FILE 333-84035 - JUL. 29)

S-1 MAIN STREET BANKS INC, 1134 CLARK ST, COVINGTON, GA 30209 (404) 786-3441
- 90,000 (\$1,260,000) COMMON STOCK. (FILE 333-84037 - JUL. 29) (BR. 2)

S-3 SANMINA CORP/DE, 355 EAST TRIMBLE ROAD, SAN JOSE, CA 95131
(408) 954-5500 - 117,828 (\$7,883,871.48) COMMON STOCK (FILE 333-84039 -
JUL 29) (BR. 5)

S-1 VIADOR INC, 167 SECOND AVENUE, SAN MATEN, CA 94401 (650) 685-3000 -
\$46,000,000 COMMON STOCK (FILE 333-84041 - JUL 29) (NEW ISSUE)

S-3 EAGLE USA AIRFREIGHT INC, 15340 VICKERY DR, HOUSTON, TX 77032
(281) -61-8-34 - \$50,715,000 COMMON STOCK (FILE 333-84043 - JUL 29)
(BR. 5)

S-1 PREDICTIVE SYSTEMS INC, 145 HUDSON STREET, NEW YORK, NY 10013
(212) 219-4400 - \$52,000,000 COMMON STOCK (FILE 333-84045 - JUL 30)

S-8 NEW CENTURY FINANCIAL CORP, 18400 VON KARMAN, SUITE 1000, IRVINE, CA
92612 (714) 863-7243 - 500,000 (\$9,562,500) COMMON STOCK. (FILE 333-84049
- JUL. 30) (BR 7)

S-3 CBT GROUP PLC, 900 CHESAPEAKE DRIVE, REDWOOD CITY, CA 94063
(650) 817-6900 - 4,384,946 (\$121,945,348.26) FOREIGN COMMON STOCK. (FILE
333-84051 - JUL 30) (BR. 3)