

SEC NEWS DIGEST

Issue 99-149

August 4, 1999

COMMISSION ANNOUNCEMENTS

COMMISSION PROPOSES RULE TO BAN PAY-TO-PLAY BY INVESTMENT ADVISERS

The Commission today proposed a rule that would prohibit investment advisers from making political contributions to obtain government contracts to manage public funds, a practice known as pay-to-play. The proposal will be issued for a 75-day public comment period prior to being considered for final adoption by the Commission.

SEC Chairman Arthur Levitt said, "This measure is a natural extension of our long-standing efforts to stamp out pay-to-play in the municipal finance world. Restricting pay-to-play among investment advisers to public pension plans is critical to ensuring the confidence of millions of public employees who rely on their pensions and expect them to be managed by the most qualified investment advisers -- not the most connected."

The proposed rule is the result of an extensive SEC staff examination into alleged pay-to-play practices. The staff found that pay-to-play practices play a similar role in the investment adviser selection process as they played in the selection of municipal bond underwriters before the implementation of the Municipal Securities Rulemaking Board's Rule G-37. Rule G-37, in effect since 1994, prohibits municipal bond dealers and brokers from engaging in pay-to-play and is widely credited with helping to clean up the municipal bond industry.

Modeled substantially on Rule G-37, the proposed rule would generally prohibit investment advisers from providing public pension plan advisory services for two years after the adviser, or any of its partners, executive officers or solicitors, contributes to the campaigns of certain elected officials or candidates. The rule would except certain contributions of \$250 or less. The rule would also require registered advisers with government clients to keep records of their political contributions. The rule applies to investment advisers registered with the Commission. (The SEC regulates advisers with more than \$25 million of assets under management.)

For further information concerning the proposed rule, contact Robert Plaze in the SEC's Division of Investment Management at (202) 942-0716. (Press Rel. 99-91)

RULES AND RELATED MATTERS

POLITICAL CONTRIBUTIONS BY CERTAIN INVESTMENT ADVISERS

The Commission has issued a release soliciting public comment on proposed new Rule 206(4)-5, and related amendments to Rule 204-2, under the Investment Advisers Act. The new rule would prohibit an investment adviser from providing advisory services for compensation to a government client for two years after the adviser or any of its partners, executive officers or solicitors make a political contribution to certain elected officials or candidates. The prohibition would apply to all investment advisers that are not prohibited from registering with the Commission, but would not result from contributions of \$250 or less to elected officials or candidates for whom a contributor can vote.

The Commission is also proposing rule amendments that would require a Commission registered adviser that has government clients to maintain certain records of the political contributions made by the adviser or any of its partners, executive officers or solicitors. The new rule and rule amendments would address pay-to-play in the investment adviser industry. FOR FURTHER INFORMATION, contact Karen Goldstein or Jeff Himstreet at (202) 942-0716. (Rel. IA-1812; File No. S7-19-99)

ENFORCEMENT PROCEEDINGS

DEWAYNE VONFELDT SANCTIONED IN CONNECTION WITH HIS SUPERVISION OF ROBERT COCHRAN

On August 3, the Commission instituted and simultaneously settled administrative proceedings against DeWayne R. VonFeldt (VonFeldt), a former executive vice president of Stifel, Nicolaus & Company, Inc. (Stifel). Stifel is a registered broker-dealer and municipal securities dealer. The Commission's order found that while associated with Stifel during the period from 1989 through 1993, VonFeldt failed reasonably to supervise Robert M. Cochran (Cochran). As a result, the Commission censured VonFeldt, fined him \$25,000 and suspended him for six months from associating in a supervisory capacity with any broker, dealer or municipal securities dealer. VonFeldt consented to the entry of the order without admitting or denying the Commission's findings.

The Commission's order found that VonFeldt directly supervised Cochran, a former Stifel executive vice president who was in charge

of Stifel's public finance office in Oklahoma City, Oklahoma. According to the order, from at least 1989 through 1993, Cochran arranged for Stifel to receive undisclosed payments from third parties that sold or brokered investments to Stifel's municipal issuer clients. According to the Commission, these payments created a risk that the underlying bonds would lose their tax-exempt status. The order states that although VonFeldt was aware of red flags concerning these payments, VonFeldt did not verify whether Cochran disclosed those payments to the issuers.

The Commission's order also found that VonFeldt knew that Cochran falsified Stifel's accounting books and records. According to the order, in connection with a 1992 bond refunding, Cochran told VonFeldt that Cochran caused a \$100,000 payment from an investment agreement provider to be recorded in Stifel's accounting books and records as relating to a different transaction. The order found that VonFeldt failed to take any action to ensure that Stifel properly accounted for the payment.

According to the order, VonFeldt also knew that between October 1992 and April 1993, Cochran improperly directed seven payments due to Stifel, totaling approximately \$3.3 million, into the bank account of a company owned by Cochran. The order found that although Cochran ultimately forwarded these funds to Stifel, VonFeldt took no action in response to this diversion of funds and, as a result, Stifel's accounting books and records failed to reflect properly the payments on a timely basis.

In the order, the Commission found that by engaging in the above-described conduct, Cochran violated the antifraud provisions of the federal securities laws and Rule G-17 of the Municipal Securities Rulemaking Board. The Commission also found that by failing to respond to the red flags that Cochran's conduct presented, VonFeldt failed reasonably to supervise Cochran. For further information, see Lit. Rel. No. 16063 (Feb. 17, 1999); Exch. Act Rel. No. 41065 (Feb. 17, 1999); Sec. Act Rel. No. 7635, Exch. Act Rel. No. 40984 (Jan. 27, 1999); Sec. Act Rel. No. 7501, Exch. Act Rel. No. 39600 (Jan. 30, 1998); Lit. Rel. No. 15630 (Jan. 29, 1998); Lit. Rel. No. 15569 (Nov. 24, 1997); Lit. Rel. No. 14644 (Sept. 20, 1995); and Lit. Rel. No. 14587 (Aug. 3, 1995). (Rel. 34-41697; File No. 3-9961)

COMMISSION FILES AND SETTLES PAY-TO-PLAY ENFORCEMENT ACTION -- \$10,000 PENALTY ORDERED

The Commission today announced the filing and settlement of an enforcement action against William Jay Ramsey, former board member of the Florida Housing Finance Agency, for failing to disclose his receipt of compensation in connection with selecting a brokerage firm for the Agency's bond business.

The complaint, filed in the Northern District of Florida, alleges the following: By early 1995, while a board member of the Florida Housing Finance Agency and chairman of the Agency's Professional Selection Committee, Ramsey secretly entered into an arrangement

with a consultant working for Stephens Inc., a brokerage firm headquartered in Little Rock, Arkansas. The arrangement provided that Ramsey would receive \$1,500 per month for a year. After receiving the first \$1,500 payment under the arrangement, Ramsey twice voted to select Stephens for Agency bond business, without ever disclosing the arrangement or the payment he received to the Agency or investors in the Agency's bonds. Because the SEC's investigation began inquiring into the consultant's activities, no further payments were made to Ramsey under the arrangement. Ramsey's failure to disclose the arrangement, the payment, and the actual and potential conflicts of interest created thereby violated the antifraud provisions of the federal securities laws.

Without admitting or denying the allegations, Ramsey agreed to settle the action by (1) paying a \$10,000 penalty, (2) disgorging the compensation he received plus prejudgment interest thereon, which totaled \$2,190.03, and (3) consenting to the entry of an injunction prohibiting him from future violations of Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder.

In a related, previously settled enforcement action, Stephens, without admitting or denying the findings, consented to the issuance of an administrative order that found, among other things, that Stephens secretly paid Ramsey and failed to disclose the actual and potential conflict of interest created thereby to the Agency and investors in the Agency's bonds. In the Matter of Stephens Inc., Exchange Act Release No. 40699 (Nov. 23, 1998).

The Commission's investigation concerning pay-to-play practices in Florida and Georgia continues. [SEC v. William Jay Ramsey, Civil Action No. 4:99cv303-WS, N.D. Fla.] (LR-16241)

SELF-REGULATORY ORGANIZATIONS

PROPOSED RULE CHANGE

The American Stock Exchange filed a proposed rule change (SR-Amex-99-13) relating to specialist capital requirements. Publication of the notice in the Federal Register is expected during the week of August 9. (Rel. 34-41682)

WITHDRAWAL SOUGHT

A notice has been issued giving interested persons until August 23 to comment on the application of Tektronix, Inc. to withdraw its Common Stock, no par value, and attached Preferred Stock Purchase Rights from listing and registration on the Pacific Exchange. (Rel. 34-41684)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-8 MOORE CORPORATION LTD, 1 FIRST CANADIAN PLACE, TORONTO ONTARIO CANA, A6 -
(416) 364-2600 - 2,600,000 (\$20,312,500) FOREIGN COMMON STOCK (FILE
333-10630 - JUL. 27) (BR 5)
- S-8 TVG TECHNOLOGIES LTD, 53 HA-IRUSISN ST, NES ZIONA ISRAEL, L3 - 500,000
(\$625,000) FOREIGN COMMON STOCK (FILE 333-10634 - JUL 28) (BR. 3)
- S-3 ANADIGICS INC, 35 TECHNOLOGY DR, WARREN, NJ 07059 (908) 668-5000 -
3,450,000 (\$102,741,000) COMMON STOCK (FILE 333-83889 - JUL. 28) (BR. 5)
- S-8 RJ REYNOLDS TOBACCO HOLDINGS INC, 1301 AVE OF THE AMERICAS, NEW YORK, NY
10019 (212) 258-5600 - 500,000 (\$14,330,000) COMMON STOCK. (FILE
333-83891 - JUL 28) (BR 4)
- S-8 RJ REYNOLDS TOBACCO HOLDINGS INC, 1301 AVE OF THE AMERICAS, NEW YORK, NY
10019 (212) 258-5600 - 5,772,814 (\$161,941,319) COMMON STOCK (FILE
333-83893 - JUL. 28) (BR. 4)
- S-8 REEBOK INTERNATIONAL LTD, 100 TECHNOLOGY CTR DR, STOUGHTON, MA 02072
(781) 401-5000 - 1,000,000 (\$13,843,800) COMMON STOCK. (FILE 333-83897 -
JUL 28) (BR 6)
- S-4 CK WITCO CORP, CROMPTON & KNOWLES CORP, BENSON RD, MIDDLEBURY, CT 06749
(203) 573-2000 - 54,527,800 (\$1,080,437,500) COMMON STOCK (FILE
333-83901 - JUL 28) (NEW ISSUE)
- SB-2 BOOKDIGITAL COM, 65 BAYBERRY ST, NEW YORK, NY 10005 (212) 849-1927 -
1,200,000 (\$12,000,000) COMMON STOCK WARRANTS, OPTIONS OR RIGHTS
882,800 (\$10,361,600) COMMON STOCK. (FILE 333-83903 - JUL 28)
- S-8 FAIR ISAAC & COMPANY INC, 120 N REDWOOD DR, SAN RAFAEL, CA 94903
(415) 472-2211 - 558,878 (\$20,172,002.81) COMMON STOCK (FILE 333-83905 -
JUL 28) (BR 8)
- S-4 PAB BANKSHARES INC, 3102 N OAK ST EXT, VALDOSTA, GA 31602 (912) 242-7775
- 551,481 (\$15,485,587) COMMON STOCK (FILE 333-83907 - JUL 28) (BR. 7)
- S-8 PRIMARK CORP, 1000 WINTER ST STE 4300N, WALTHAM, MA 02451 (617) 466-6611
- 200,000 (\$5,250,000) COMMON STOCK. (FILE 333-83909 - JUL 28) (BR 3)
- S-8 MCCLAIN INDUSTRIES INC, 6200 ELMRIDGE RD, STERLING HEIGHTS, MI 48310
(810) 264-3611 - 1,000,000 (\$6,000,000) COMMON STOCK (FILE 333-83911 -
JUL 28) (BR 5)
- S-4 FLAG FINANCIAL CORP, 101 NORTH GREENWOOD ST, PO BOX 3007, LAGRANGE, GA
30240 (706) 845-5000 - 1,012,240 (\$21,489,855) COMMON STOCK (FILE
333-83913 - JUL 28) (BR 7)

S-3 FIRSTCOM CORP, 220 ALHAMBRA CIRCLE, SUITE 910, CORAL GABLES, FL 33134
(305) 448-4422 - 541,250 (\$5,344,952) COMMON STOCK. (FILE 333-83915 -
JUL. 28) (BR. 7)

S-4 MOTOR COACH INDUSTRIES INTERNATIONAL INC, DIAL TOWER,
1850 NORTH CENTRAL AVE, PHOENIX, AZ 85004 (602) 207-5000 - 152,250,000
(\$152,250,000) STRAIGHT BONDS. (FILE 333-83917 - JUL. 28)

S-8 MCCLAIN INDUSTRIES INC, 6200 ELMRIDGE RD, STERLING HEIGHTS, MI 48310
(810) 264-3611 - 100,000 (\$600,000) COMMON STOCK. (FILE 333-83919 -
JUL. 28) (BR. 5)

S-3 FIRST UNITED CAPITAL TRUST, 19 SOUTH SECOND STREET, OAKLAND, CA 21550
(301) 533-2251 - 300,000 (\$23,000,000) PREFERRED STOCK. (FILE 333-83921 -
JUL. 28) (NEW ISSUE)

S-3 AMLI RESIDENTIAL PROPERTIES TRUST, 125 S WACKER DR, STE 3100, CHICAGO,
IL 60606 (312) 443-1477 - 373,041 (\$7,950,437) COMMON STOCK. (FILE
333-83923 - JUL. 28) (BR. 8)

S-3 NEOSE TECHNOLOGIES INC, 102 WITMER RD, HORSHAM, PA 19044 (215) 441-5890
- 1,500,000 (\$15,000,000) COMMON STOCK. (FILE 333-83925 - JUL. 28)
(BR. 1)

S-8 CBT GROUP PLC, 900 CHESAPEAKE DRIVE, REDWOOD CITY, CA 94063
(650) 817-6900 - 461,645 (\$3,078,759) FOREIGN COMMON STOCK. (FILE
333-83927 - JUL. 28) (BR. 3)

S-8 NEWPORT NEWS SHIPBUILDING INC, 4101 WASHINGTON AVE, LEGAL DEPT,
NEWPORT NEWS, VA 23607 (757) 380-2000 - 50,000 (\$1,610,937 50)
COMMON STOCK (FILE 333-83929 - JUL. 28) (BR. 6)

S-8 TIREX CORP, 740 ST MAURICE STE 201, MONTREAL QUEBEC, A8 H4R1W
(514) 878-0727 - 4,000,000 (\$300,000) COMMON STOCK. (FILE 333-83931 -
JUL. 28) (BR. 5)

S-11 WELLS REAL ESTATE INVESTMENT TRUST INC, 3885 HOLCOMB BRIDGE ROAD,
NORCROSS, GA 30092 (770) 449-7800 - 23,000,000 (\$231,770,000) COMMON STOCK.
885,000 (\$708) WARRANTS, OPTIONS OR RIGHTS (FILE 333-83933 - JUL. 28)
(BR. 8)

S-8 AUDIO BOOK CLUB INC, 2295 CORPORATE BLVD STE 222, BOCA RATON, FL 33431
(561) 241-1426 - 4,500,000 (\$43,058,447) COMMON STOCK (FILE 333-83935 -
JUL. 28) (BR. 9)

S-3 AUDIO BOOK CLUB INC, 2295 CORPORATE BLVD STE 222, BOCA RATON, FL 33431
(561) 241-1426 - 1,199,940 (\$16,499,175) COMMON STOCK (FILE 333-83937 -
JUL. 28) (BR. 9)

S-3 REALNETWORKS INC, 1111 THIRD AVE, STE 2900, SEATTLE, WA 98101
(206) 674-2700 - 26,666 (\$2,214,078) COMMON STOCK (FILE 333-83939 -
JUL. 28) (BR. 3)

S-3 SLM HOLDING CORP, 11600 SALLIE MAE DR, RESTON, VA 21093 (703) 810-3000
- \$600,000,000 STRAIGHT BONDS. (FILE 333-83941 - JUL. 28) (BR. 7)

S-8 PC CONNECTION INC, 528 ROUTE 13 SOUTH, MILFORD, NH 03055 (603) 423-2000
- 800,000 (\$10,880,000) COMMON STOCK (FILE 333-83943 - JUL. 28) (BR. 2)

S-1 MEDIS TECHNOLOGIES LTD, 805 THIRD AVENUE, NEW YORK, NY 10022
(212) 935-8484 - 12,297,630 (\$84,197,284 05) COMMON STOCK (FILE
333-83945 - JUL. 28) (NEW ISSUE)

S-3 CHENIERE ENERGY INC, TWO ALLEN CENTER, 1200 SMITH SUITE 1740, HOUSTON,
TX 77002 (281) 578-4600 - 116,240 (\$203,420) COMMON STOCK (FILE
333-83949 - JUL. 28) (BR. 4)

S-8 KEY PRODUCTION CO INC, 707 17TH STREET SUITE 3300,
STE 2050 ONE NORWEST CTR, DENVER, CO 80202 (303) 837-0779 - 500,000
(\$5,203,125) COMMON STOCK (FILE 333-83953 - JUL. 29) (BR. 4)

S-8 KEY PRODUCTION CO INC, 707 17TH STREET SUITE 3300,
 STE 2050 ONE NORWEST CTR, DENVER, CO 80202 (303) 837-0779 - 1,180,000
 (\$12,279,375) COMMON STOCK. (FILE 333-83955 - JUL. 29) (BR. 4)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D C 20549 or at the following e-mail box address. <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
AAMES CAPITAL CORP	CA				X	X						07/28/99	
AAMES CAPITAL CORP	CA				X	X						07/28/99	
ABN AMRO MORTGAGE CORP SERIES 1999- RSI	DE				X							07/27/99	
ACCESS PHARMACEUTICALS INC	DE	X				X						08/03/99	
ACCESS SOLUTIONS INTERNATIONAL INC	DE		X			X						07/30/99	
ACE SECURITIES CORP HOME EQUITY LOA N TRUST 1999-LB2	DE				X	X						07/26/99	
ACE SECURITIES CORP HOME EQUITY LOA N TRUST 1999-LB2	DE				X	X						07/29/99	
ADMIRALTY BANCORP INC	DE				X	X						07/26/99	
AETNA INDUSTRIES INC	DE				X							08/02/99	
AIRCRAFT SERVICE INTERNATIONAL GROU P INC	DE						X					05/20/99	AMEND
ALLMERICA FINANCIAL CORP	DE				X	X						08/02/99	
ALTIVA FINANCIAL CORP	DE	X			X							07/30/99	
AMF BOWLING WORLDWIDE INC	DE				X	X						08/02/99	
AMFM INC	DE					X						07/01/99	AMEND
AMKOR TECHNOLOGY INC	DE					X						04/21/99	AMEND
AMPACE CORP	DE				X	X						07/14/99	
AMPLIDYNE INC	DE				X	X						06/30/99	
APPLIED ANALYTICAL INDUSTRIES INC	DE				X	X						07/30/99	
APPLIED ANALYTICAL INDUSTRIES INC	DE				X	X						08/02/99	
APPLIED IMAGING CORP	DE	X				X						07/16/99	
AT HOME CORP	DE				X	X	X					07/12/99	
ATC GROUP SERVICES INC /DE/	DE		X									06/26/99	
AVTEL COMMUNICATIONS INC/DE	DE				X	X						07/26/99	
BALLY TOTAL FITNESS HOLDING CORP	DE				X	X						07/15/99	
BANK OF NEW YORK CO INC	NY				X	X						07/30/99	
BARRA INC /CA	DE				X	X						08/02/99	
BARTON BEERS LTD	MD					X						06/28/99	
BARTON BRANDS LTD /DE/	DE					X						06/28/99	
BARTON BRANDS OF CALIFORNIA INC	CT					X						06/28/99	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
BARTON BRANDS OF GEORGIA INC	GA						X				06/28/99	
BARTON DISTILLERS IMPORT CORP	NY						X				06/28/99	
BARTON FINANCIAL CORP	DE						X				06/28/99	
BARTON INC	DE						X				06/28/99	
BATAVIA WINE CELLARS INC	NY						X				06/28/99	
BEAR STEARNS COMMERCIAL MORTGAGE SE CURITIES INC	DE			X		X					07/29/99	AMEND
BELLWETHER EXPLORATION CO	DE				X	X					08/02/99	
BENCHMARK ELECTRONICS INC	TX				X	X					08/02/99	
BERKLEY W R CORP	DE				X						07/30/99	
BETA OIL & GAS INC	NV				X						07/30/99	
BIOSPHERE MEDICAL INC	DE	X				X					05/17/99	AMEND
BIRMAN MANAGED CARE INC	DE				X						07/30/99	
BLUEFLY INC	NY				X	X					07/28/99	
BOULDER CAPITAL OPPORTUNITIES INC F /A	CO	X									07/23/99	
BRISTOL RETAIL SOLUTIONS INC	DE				X						07/08/99	
BROWN & BROWN INC	FL				X						08/02/99	
BUCKHEAD AMERICA CORP	DE				X						07/20/99	
C COR NET CORP	PA	X				X					07/09/99	AMEND
CAI WIRELESS SYSTEMS INC	CT				X	X					08/02/99	
CANANDAIGUA BRANDS INC	DE				X						06/28/99	
CANANDAIGUA EUROPE LTD	NY				X						06/28/99	
CANANDAIGUA LTD	NY				X						06/28/99	
CANANDAIGUA WINE CO INC /NY/	NY				X						06/28/99	
CANCER TREATMENT HOLDINGS INC	NV			X		X					07/15/99	AMEND
CARDIAC PATHWAYS CORP	DE	X			X	X					07/23/99	
CARVER CORP	WA		X								01/12/99	
CATERPILLAR FINANCIAL FUNDING CORP	NV					X					07/21/99	
CENTENNIAL HEALTHCARE CORP	GA				X						07/13/99	AMEND
CENTRAL VERMONT PUBLIC SERVICE CORP	VT				X						07/30/99	
CEPHALON INC	DE				X	X					01/03/94	AMEND
CHADMOORE WIRELESS GROUP INC	CO				X						08/02/99	
CHARMING SHOPPES MASTER TRUST	NY	X				X					07/22/99	
CHARMING SHOPPES RECEIVABLES CORP	DE	X				X					07/22/99	
CHASE COMMERCIAL MORTGAGE SECURITIE S CORP	NY				X	X					07/19/99	
CHITTENDEN CORP /VT/	VT				X						07/30/99	
CHURCHILL DOWNS INC	KY				X	X					06/30/99	
CIGNA CORP	DE				X	X					08/02/99	
CIRRUS LOGIC INC	DE	X				X					07/19/99	
CITRIX SYSTEMS INC	DE				X	X					07/23/99	
CNB BANCORP INC /NY/	NY	X									07/30/99	
COEUR D ALENE MINES CORP	ID			X		X					07/27/99	
COLEMAN CO INC	DE				X						07/12/99	
COLLEGE TELEVISION NETWORK INC	DE				X	X					08/02/99	
COLONIAL REALTY LIMITED PARTNERSHIP	DE					X					06/30/99	
COMMERCIAL METALS CO	DE				X	X					07/28/99	
COMMUNICATIONS & POWER INDUSTRIES H OLDING CORP					X	X					06/11/99	
COMMUNICATIONS & POWER INDUSTRIES I NC	DE				X	X					06/11/99	
CRITICAL PATH INC	CA	X				X					08/02/99	
CTI INDUSTRIES CORP	DE				X						07/27/99	
CUNNINGHAM GRAPHICS INTERNATIONAL I NC	NJ				X	X					07/14/99	
CURTISS WRIGHT CORP	DE	X									12/31/99	
CYBERGUARD CORP	FL					X					07/22/99	
DATAPOINT CORP	DE				X	X					07/27/99	
DAYTON HUDSON RECEIVABLES CORP	MN				X						08/03/99	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
DELAWARE FIRST FINANCIAL CORP	DE				X						08/02/99	
DOBSON COMMUNICATIONS CORP	OK							X			07/27/99	AMEND
DOVER DOWNS ENTERTAINMENT INC	DE				X						07/29/99	
DUPONT E I DE NEMOURS & CO	DE				X						08/02/99	
E TEK DYNAMICS INC	DE							X			06/22/99	AMEND
EDD HELMS GROUP INC	FL	X	X		X						07/30/99	
EDIFY CORP	DE		X					X			07/15/99	
EDISON MISSION ENERGY	CA		X					X			07/19/99	AMEND
ELDERTRUST	MD				X	X					07/29/99	
ELECTRIC LIGHTWAVE INC	DE				X						06/30/99	
ENTER TECH CORP	NV				X	X					07/30/99	
ENTERTAINMENT INC	DE				X	X					08/03/99	
EPICOR SOFTWARE CORP	DE				X	X					07/07/99	
EXPRESS SCRIPTS INC	DE		X								07/21/99	
FAMILY STEAK HOUSES OF FLORIDA INC	FL	X									08/02/99	
FARM FAMILY HOLDINGS INC	DE				X						08/02/99	
FFY FINANCIAL CORP	DE				X						07/20/99	
FIRST FEDERAL BANKSHARES INC			X					X			04/14/99	AMEND
FIRSTCOM CORP	TX				X	X					07/28/99	
FIRSTWORLD COMMUNICATIONS INC	DE				X						07/19/99	
FUND AMERICA INVESTORS CORP PASS TH	NY	X									07/26/99	
R CERT SERIES 1998-A												
FUTURELINK DISTRIBUTION CORP	CO				X	X					07/27/99	
GALVESTONS STEAKHOUSE CORP	DE				X						07/29/99	
GE CAPITAL MORTGAGE SERVICES INC	NJ				X	X					07/28/99	
GENERAL MAGIC INC	DE				X	X					07/28/99	
GENERAL MAGIC INC	DE				X	X					07/28/99	
GEORGIA BANK FINANCIAL CORP /GA	GA				X	X					07/21/99	
GLADSTONE RESOURCES INC	WA		X		X	X					07/15/99	
GLOBAL GAMING & TECHNOLOGY INC	DE	X									06/30/99	
GLOBAL PHARMACEUTICAL CORP \DE\	DE				X	X					07/26/99	
GREENPOINT CREDIT CORP	DE				X						06/03/99	
GREENPOINT CREDIT CORP	DE				X						06/30/99	
GREENPOINT CREDIT CORP	DE				X						06/30/99	
GREENPOINT CREDIT CORP	DE				X						06/30/99	
HARRIS CORP /DE/	DE				X	X					07/30/99	
HAWAIIAN ELECTRIC CO INC	HI				X						08/03/99	
HAWAIIAN ELECTRIC INDUSTRIES INC	HI				X						08/03/99	
HEALTH POWER INC /DE/	DE		X					X			07/16/99	
HEALTHCOR HOLDINGS INC	DE			X				X			08/02/99	
HERTZ CORP	DE				X	X					07/30/99	
HORIZON GROUP PROPERTIES INC	MD				X						07/09/99	
HOST MARRIOTT CORP/	DE				X	X					07/27/99	
HOUSEHOLD CONSUMER LOAN TRUST 1996-1	DE		X								07/14/99	
HOUSEHOLD CONSUMER LOAN TRUST 1996-2	DE		X								07/14/99	
HOUSEHOLD CONSUMER LOAN TRUST 1997-1	DE		X								07/14/99	
HOUSEHOLD CONSUMER LOAN TRUST 1997-2	DE		X								07/14/99	
HOUSEHOLD CREDIT CARD MASTER TRUST I	DE		X								07/15/99	
HOUSEHOLD FINANCE CORP HOUSEHOLD AFF CRE CAR MAS TR I	DE		X								07/15/99	
HOUSEHOLD FINANCE CORP HOUSEHOLD CONSUMER LN TRUST 1995-1 /	DE		X								07/14/99	
HOUSEHOLD PRIVATE LABEL CREDIT CARD MASTER TRUST II	DE		X								07/20/99	
HOUSEHOLD REVOLVING HOME EQUITY LOAN TRUST 1995-1	DE		X								07/20/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
HOUSEHOLD REVOLVING HOME EQUITY LOA N TRUST 1995-2	DE	X									07/20/99	
HOUSEHOLD REVOLVING HOME EQUITY LOA N TRUST 1996-1	DE	X									07/20/99	
HOUSEHOLD REVOLVING HOME EQUITY LOA N TRUST 1996-2	DE	X									07/20/99	
HRPT PROPERTIES TRUST	MD				X						07/30/99	
HTE INC	FL				X	X					08/03/99	
HUMANA INC	DE				X	X					08/03/99	
HUMASCAN INC	DE				X	X					07/20/99	
HUNTINGTON BANCSHARES INC/MD	MD				X	X					07/14/99	
IBIS TECHNOLOGY CORP	MA				X	X					08/03/99	
ICON FITNESS CORP	DE				X						05/31/00	
ICON HEALTH & FITNESS INC	DE				X						05/31/00	
IDEXX LABORATORIES INC /DE	DE				X	X					07/22/99	
IHF HOLDINGS INC	DE				X						05/31/00	
ILEX ONCOLOGY INC	DE		X			X					07/16/99	
ILEX ONCOLOGY INC	DE		X			X					07/16/99	
INMOLD INC	IN	X									08/03/99	
INTELLIQUEST INFORMATION GROUP INC	DE				X						07/22/99	
INTERACTIVE FLIGHT TECHNOLOGIES INC	DE						X				05/18/99	AMEND
INTERACTIVE MAGIC INC /NC/	NC				X						07/30/99	
IRIDIUM CAPITAL CORP	DE				X						07/29/99	
IRIDIUM FACILITIES CORP	DE				X						07/29/99	
IRIDIUM IP LLC	DE				X						07/29/99	
IRIDIUM LLC	DE				X						07/29/99	
IRIDIUM OPERATING LLC	DE				X						07/29/99	
IRIDIUM ROAMING LLC	DE				X						07/29/99	
IRIDIUM WORLD COMMUNICATIONS LTD					X						07/29/99	
JACKSON PRODUCTS INC						X					05/17/99	AMEND
JOULE INC	DE					X					05/16/99	AMEND
KERAVISION INC /CA/	DE					X					05/28/99	AMEND
KOFAX IMAGE PRODUCTS INC	DE				X	X					07/27/99	
LAMAR ADVERTISING CO/NEW	MA				X	X					08/03/99	
LAS VEGAS SANDS INC	NV				X	X					07/30/99	
LEUKOSITE INC	DE		X		X	X					07/19/99	
LEXINGTON CORPORATE PROPERTIES TRUS T	MD				X	X					07/14/99	
LEXON TECHNOLOGIES INC	DE		X								07/21/99	
LUCENT TECHNOLOGIES INC	DE				X	X					08/02/99	
LYNCH CORP	IN		X			X					07/16/99	
MACE SECURITY INTERNATIONAL INC	DE		X			X					05/17/99	AMEND
MACE SECURITY INTERNATIONAL INC	DE		X			X					05/18/99	AMEND
MALLON RESOURCES CORP	CO	X									08/03/99	
MATLACK SYSTEMS INC	DE				X						07/29/99	
MCDERMOTT INTERNATIONAL INC	R1		X			X					07/30/99	
MCN ENERGY GROUP INC	MI				X						08/02/99	
MELLON RESIDENTIAL FUNDING COR MOR PAS THR CER SER 1999-TBC1	DE				X	X					07/26/99	
MELLON RESIDENTIAL FUNDING CORP HOM E EQ INSTAL LOAN TRU 99-1	DE				X	X					07/26/99	
MILEMARKER INTERNATIONAL INC	NY				X						08/02/99	
MODERN MEDICAL MODALITIES CORP	NY				X						07/15/99	
MONARCH IMPORT CO	IL					X					06/28/99	
MOORE BENJAMIN & CO	NJ				X						08/03/99	
MOTORCAR PARTS & ACCESSORIES INC	NY				X						08/01/99	
MULTI MEDIA TUTORIAL SERVICES INC	DE				X	X					05/24/99	
NATIONAL SERVICE INDUSTRIES INC	DE		X			X					07/29/99	
NATIONAL STEEL CORP	DE				X	X					08/02/99	
NBC ACQUISITION CORP	DE		X			X					06/04/99	AMEND

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
NEBRASKA BOOK CO	KS		X				X				06/04/99	AMEND
NETWORK CONNECTION INC	GA			X			X				07/30/99	
NEW WORLD PUBLISHING INC /CO/	CO						X	X			05/18/99	AMEND
NIAGARA MOHAWK HOLDINGS INC/NY	NY				X		X				08/02/99	
NIAGARA MOHAWK POWER CORP /NY/	NY				X		X				08/02/99	
NL INDUSTRIES INC	NJ				X		X				08/02/99	
NORTHERN STATES POWER CO /MN/	MN				X						07/27/99	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1999-19 TRUST	DE				X		X				06/29/99	
NORWEST ASSET SECURITIES CORP MOR P AS THR CERT SERS 1999-18	DE				X		X				06/29/99	
NUEVO ENERGY CO	DE				X		X				07/26/99	
OLD KENT FINANCIAL CORP /MI/	MI				X		X				07/29/99	
ONZCOM INC	CO			X							08/02/99	
OPTEL INC	DE				X		X				07/30/99	
ORBITAL SCIENCES CORP /DE/	DE				X						07/28/99	
OXFORD HEALTH PLANS INC	DE				X		X				07/29/99	
PALADYNE CORP	DE		X				X				05/31/99	
PARTNERS FIRST RECEIVABLES FUNDING LLC	DE				X		X				07/15/99	
PENNS WOODS BANCORP INC	PA	X									08/02/99	
PHP HEALTHCARE CORP	DE				X		X				07/15/99	
PITNEY BOWES INC /DE/	DE	X									08/02/99	
PLENUM COMMUNICATIONS INC	MN						X				05/17/99	AMEND
POLYPHENOLICS INC	NY						X				06/28/99	
POPULAR INC	PR				X		X				08/03/99	
PREMIER BANCSHARES INC /GA	GA				X		X				07/28/99	
PRIMUS TELECOMMUNICATIONS GROUP INC	DE						X				06/30/99	AMEND
PRODIGY COMMUNICATIONS CORP			X				X				07/20/99	
QUEPASA COM INC	NV				X		X				08/02/99	
RANDALLS FOOD MARKETS INC	TX				X						07/22/99	
REDBACK NETWORKS INC					X		X				07/22/99	
REHABILICARE INC	MN		X				X				07/19/99	
RENTAL SERVICE CORP	DE	X					X				07/28/99	
RESIDENTIAL ACCREDIT LOANS INC	DE				X		X				08/02/99	
RESIDENTIAL ASSET SECURITIES CORP	DE				X		X				08/02/99	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE				X		X				08/02/99	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES II INC	DE				X		X				08/02/99	
RGS ENERGY GROUP INC	NY				X		X				08/02/99	
ROBERTS REALTY INVESTORS INC	GA				X		X				08/03/99	
ROBERTS TRADING CORP	NY						X				06/28/99	
ROLLINS TRUCK LEASING CORP	DE				X						07/29/99	
SABRATEK CORP	DE				X						08/02/99	
SIMPSON MANUFACTURING CO INC /CA/	DE				X						12/31/99	
SMITH CORONA CORP	DE		X								08/03/99	
SOUTHERN BANC CO INC	DE				X		X				07/15/99	
SOVRAN SELF STORAGE INC	MD				X		X				07/30/99	
STANDARD PRODUCTS CO	OH				X		X				07/27/99	
STATEWIDE FINANCIAL CORP	NJ				X						12/31/99	
STEVENS POINT BEVERAGE CO	WI						X				06/28/99	
STONE ENERGY CORP	DE						X				07/28/99	
STRUCTURED ASSET SEC CORP MORT PASS THROUGH CERT 1999 ALS1	DE				X		X				07/26/99	
SUGEN INC	DE				X						07/28/99	
SUN HYDRAULICS CORP	FL				X		X				07/29/99	
SUNRISE TECHNOLOGIES INTERNATIONAL INC	DE				X		X				07/26/99	
SUPER VISION INTERNATIONAL INC	DE				X		X				08/02/99	
SUPERIOR ENERGY SERVICES INC	DE		X		X		X				07/15/99	

NAME OF ISSUER	STATE	BK ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
TEXACO INC	DE					X	X					08/03/99	
THERMOGENESIS CORP	DE					X						07/31/99	
TICKETMASTER ONLINE CITYSEARCH INC	DE					X	X					07/19/99	
TRANSAMERICAN ENERGY CORP	DE					X	X					08/02/99	
TRANSAMERICAN REFINING CORP	TX					X	X					08/02/99	
TREEV INC	DE					X	X					08/03/99	
TRIANON INDUSTRIES CORP	DE					X						08/02/99	
UNION PACIFIC RESOURCES GROUP INC	UT					X	X					07/15/99	
UNITED COMPANIES FINANCIAL CORP	LA					X	X					07/28/99	
UNITED PANAM MORTGAGE LOAN ASSET BA	DE					X	X					07/26/99	
CKED CER SER 1999-1													
UNITED WATER RESOURCES INC	NJ					X	X					06/29/99	
UNITED WISCONSIN SERVICES INC	WI						X					07/30/99	
USCI INC	DE					X						08/02/99	
VALUEVISION INTERNATIONAL INC	MN					X						07/05/99	
VIKING DISTILLERY INC	GA						X					06/28/99	
WESTERBEKE CORP	DE					X						07/14/99	
WILD OATS MARKETS INC	DE					X	X					07/27/99	
YELLOW CORP	DE					X						08/03/99	