

# SEC NEWS DIGEST

Issue 99-129

July 7, 1999

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## ENFORCEMENT PROCEEDINGS

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### COMMISSION DROPS INSIDER TRADING CLAIM AGAINST BOBBY ONG

On July 1, the Commission voluntarily dismissed its civil insider trading claim against Ong Congqin Bobby (a.k.a. Bobby Ong) on grounds of insufficient evidence.

Ong is one of three defendants the Commission sued for insider trading based on their purchases of the securities of APL Limited in advance of the public announcement, on April 13, 1997, that the company would be acquired in a merger with Neptune Orient Lines Ltd., a Singapore-based shipping company. Mr. Ong, a Singapore citizen, bought approximately \$135,000 of APL call options on the last trading day before the acquisition was announced. The value of his options increased to over \$600,000 after the announcement.

After a thorough investigation of Mr. Ong's trading, the Commission dismissed its claim against him because it did not have sufficient evidence to proceed to trial. The Commission previously withdrew its claim against one of the other defendants, also based on insufficient evidence. The Commission's case against the third defendant, Raymond Lum, remains pending.

The Commission gratefully acknowledges the assistance it has received in this matter from the Commercial Affairs Department of the Singapore Ministry of Finance. [SEC v. Ong Congqin Bobby and Lum Kwan Sung, 97 Civ. 2664, USDC, SDNY, RMB] (LR-16203)

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## INVESTMENT COMPANY ACT RELEASES

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### THE EQUITABLE LIFE ASSURANCE SOCIETY OF THE UNITED STATES, ET AL.

A notice has been issued giving interested persons until July 27 to request a hearing on an application filed by The Equitable Life Assurance Society of the United States (Equitable Life), The Equitable of Colorado, Inc. (EOC), Separate Account No. 45 of Equitable Life, Separate Account No. 49 of Equitable Life, Separate

Account VA of EOC, any other separate account established by Equitable Life or EOC in the future to support certain deferred variable annuity contracts and certificates issued thereby, EQ Financial Consultants, Inc., and Equitable Distributors, Inc. (collectively Applicants). Applicants seek an order under Section 6(c) of the Investment Company Act of 1940 (the Act) to amend a prior order of the Commission under Section 6(c) of the Act which granted exemptions from the provisions of Sections 2(a)(32), 22(c), and 27(i)(2)(A) of the Act and Rule 22c-1 thereunder to permit the recapture of credits equal to 3% of contributions made under certain deferred variable annuity contracts. The order sought would permit the recapture of credits of up to 5% of contributions made under the contracts. (Rel. IC-23889 - July 2)

#### **WEBS INDEX FUND, INC., ET AL.**

An order has been issued on an application filed by WEBS Index Fund, Inc. (Fund), Barclays Global Fund Advisors, and Funds Distributor, Inc. The order would permit the Fund, an open-end management investment company whose portfolios will consist of the component securities of certain indices, to issue shares of limited redeemability that will trade in the secondary market at negotiated prices. The order also permits affiliated persons of the portfolios to deposit securities into, and receive securities from, the portfolios. The order also permits certain portfolios to pay redemption proceeds in certain circumstances more than seven days after the tender of shares for redemption. (Rel. IC-23890 - July 6)

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#### **HOLDING COMPANY ACT RELEASES**

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#### **SOUTHERN OHIO COAL COMPANY, ET AL.**

A notice has been issued giving interested persons until July 27 to request a hearing on a proposal by Southern Ohio Coal Company (SOCCo), a wholly owned nonutility subsidiary of Ohio Power Company (Ohio Power), an electric utility subsidiary of American Electric Power Company, Inc., a registered holding company. SOCCo proposes to pay dividends to Ohio Power out of capital surplus from time to time through December 31, 2001. (Rel. 35-27045)

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#### **SECURITIES ACT REGISTRATIONS**

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-4 PUBLIC STORAGE INC /CA/, 701 WESTERN AVE, STE 200, GLENDALE, CA 91201  
(818) 244-8080 - 1,200,000 (\$9,042,749) COMMON STOCK. (FILE 333-81899 - JUN. 30) (BR. 8)
- S-8 BEAR STEARNS COMPANIES INC, 245 PARK AVE, NEW YORK, NY 10167  
(212) 272-2000 - 2,985,198 (\$124,452,905) COMMON STOCK. (FILE 333-81901 - JUN. 30) (BR. 7)
- S-3 AMERICAN FINANCIAL GROUP INC, ONE EAST FOURTH STREET, SUITE 919, CINCINNATI, OH 45202 (513) 579-2121 (FILE 333-81903 - JUN. 30) (BR. 1)
- S-8 INDUSTRI MATEMATIC INTERNATIONAL CORP,  
C/O MARVIN S ROBINSON ESQ TANNENBAUM, 1140 AVENUE OF THE AMERICAS,  
NEW YORK, NY 10036 (011) 468-6765 - 3,940,000 (\$13,354,962) COMMON STOCK.  
(FILE 333-81905 - JUN. 30) (BR. 3)
- S-8 UNIPHASE CORP /CA/, 163 BAYPOINTE PKWY, SAN JOSE, CA 95134  
(408) 434-1800 - 6,552,260 (\$986,115,130) COMMON STOCK. (FILE 333-81909 - JUN. 30) (BR. 5)
- S-8 UNIPHASE CORP /CA/, 163 BAYPOINTE PKWY, SAN JOSE, CA 95134  
(408) 434-1800 - 2,174,579 (\$327,274,139.50) COMMON STOCK. (FILE 333-81911 - JUN. 30) (BR. 5)
- S-3 WASHINGTON REAL ESTATE INVESTMENT TRUST, 6110 EXECUTIVE BOULEVARD, SUITE 800, ROCKVILLE, MD 20852 (301) 929-5900 - 300,000,000 (\$300,000,000) COMMON STOCK. (FILE 333-81913 - JUN. 30) (BR. 8)
- S-8 VARI L CO INC, 4895 PEORIA STREET, DENVER, CO 80239 (303) /37-1-15 - 270,000 (\$2,295,000) COMMON STOCK. (FILE 333-81915 - JUN. 30) (BR. 7)
- S-8 SMITHFIELD FOODS INC, 200 COMMERCE STREET, 999 WATERSIDE DRIVE, SMITHFIELD, VA 23430 (804) 365-3000 - 30,888 (\$1,000,000) COMMON STOCK. (FILE 333-81917 - JUN. 30) (BR. 4)
- F-1 SECURITY CAPITAL U S REALTY, 25B BOULEVARD ROYAL, L 1470, LUXEMBOURG, N4 00000 (011) 352-4637 - \$450,000,000 FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 333-81919 - JUN 30) (BR. 8)
- S-8 OAK TREE MEDICAL SYSTEMS INC, 163-03 HORACE HARDING EXPRESSWY, FLUSHING, NY 11365 (718) 460-8400 - 260,000 (\$540,000) COMMON STOCK. (FILE 333-81921 - JUN. 30) (BR. 1)
- S-3 COMPLETE WELLNESS CENTERS INC, 666 11TH ST N W SUITE 200, WASHINGTON, DC 20001 (202) 639-9700 - 1,865,366 (\$5,596,098) COMMON STOCK. (FILE 333-81923 - JUN. 30) (BR. 9)
- S-8 ITRON INC /WA/, 2818 N SULLIVAN RD, SPOKANE, WA 99216 (509) 891-3339 - 250,000 (\$1,863,300) COMMON STOCK. (FILE 333-81925 - JUN. 30) (BR. 7)
- S-8 KITTY HAWK INC, P O BOX 612787, 1515 W 20TH ST, DALLAS/FORT WORTH IN, TX 75261 (214) 456-2220 - 450,000 (\$2,997,000) COMMON STOCK. (FILE 333-81927 - JUN. 30) (BR. 5)
- S-8 KITTY HAWK INC, P O BOX 612787, 1515 W 20TH ST, DALLAS/FORT WORTH IN, TX 75261 (214) 456-2220 - 400,000 (\$2,664,000) COMMON STOCK. (FILE 333-81929 - JUN. 30) (BR. 5)
- S-8 NETWORK PLUS CORP, 234 COPELAND ST, QUINCY, MA 02169 (617) 786-4000 - 2,500,000 (\$40,000,000) COMMON STOCK. (FILE 333-81931 - JUN. 30) (BR. 7)
- S-8 NETWORK PLUS CORP, 234 COPELAND ST, QUINCY, MA 02169 (617) 786-4000 - 9,000,000 (\$144,000,000) COMMON STOCK. (FILE 333-81933 - JUN. 30) (BR. 7)

S-8 NETWORK PLUS CORP, 234 COPELAND ST, QUINCY, MA 02169 (617) 786-4000 -  
453,333 (\$7,253,328) COMMON STOCK. (FILE 333-81935 - JUN. 30) (BR. 7)

S-8 KELLER MANUFACTURING CO, 701 N WATER STREET, CORYDON, IN 47112  
(812) 738-2222 - 200,000 (\$1,775,000) COMMON STOCK. (FILE 333-81937 -  
JUN 30) (BR. 6)

S-4 CORIXA CORP, 1124 COLUMBIA STREET SUITE 200, SEATTLE, WA 98104  
(206) 667-5711 - 3,923,436 (\$44,749,289) COMMON STOCK. (FILE 333-81939 -  
JUN 30) (BR 1)

S-8 EVERCEL INC, CO/ ENERGY RESEARCH CORP, P O BOX 3 GREAT PASTURE RD,  
DANBURY, CT 06813 (203) 825-6000 - 300,000 (\$2,898,000) COMMON STOCK.  
(FILE 333-81941 - JUN. 30) (BR. 9)

S-4 FEDERAL MOGUL CORP, 26555 NORTHWESTERN HWY, SOUTHFIELD, MI 48034  
(248) 354-7700 - 1,000,000,000 (\$1,000,000,000) STRAIGHT BONDS. (FILE  
333-81943 - JUN. 30) (BR. 5)

S-8 IMMUNE RESPONSE CORP, 5935 DARWIN COURT, CARLSBAD, CA 92008  
(619) 431-7080 - 2,500,000 (\$13,047,500) COMMON STOCK. (FILE 333-81945 -  
JUN 30) (BR. 1)

S-8 PAIRGAIN TECHNOLOGIES INC /CA/, 14402 FRANKLIN AVE, TUSTIN, CA 92680  
(714) 832-9922 - 2,000,000 (\$22,500,000) COMMON STOCK. (FILE 333-81947 -  
JUN 30) (BR. 7)

S-8 IMCO RECYCLING INC, 5215 N OCONNOR BLVD STE 940,  
CENTRAL TOWERS AT WILLIAM SQUARE, IRVING, TX 75007 (214) 869-6575 -  
800,000 (\$12,450,000) COMMON STOCK. (FILE 333-81949 - JUN. 30) (BR. 6)

S-4 AMES DEPARTMENT STORES INC, 2418 MAIN ST, ROCKY HILL, CT 06067  
(860) 257-2000 - 200,000,000 (\$200,000,000) STRAIGHT BONDS. (FILE  
333-81951 - JUN. 30) (BR. 2)

S-3 AES CORPORATION, 1001 N 19TH ST, STE 2000, ARLINGTON, VA 22209  
(703) 522-1315 (FILE 333-81953 - JUN. 30) (BR. 2)

S-8 QUIXOTE CORP, ONE E WACKER DR, STE 3000, CHICAGO, IL 60601  
(312) 467-6755 - 387,000 (\$4,764,937.50) COMMON STOCK. (FILE 333-81955 -  
JUN 30) (BR 2)

S-3 E4L INC, 15821 VENTURA BOULEVARD, SUITE 570, ENCINO, CA 91416  
(818) 461-6400 - 130,185 (\$854,340) COMMON STOCK. (FILE 333-81957 -  
JUN 30) (BR 2)

S-8 ANCHOR BANCORP WISCONSIN INC, 25 WEST MAIN ST, MADISON, WI 53703  
(608) 252-8700 - 300,000 (\$5,687,500) COMMON STOCK. (FILE 333-81959 -  
JUN 30) (BR. 7)

S-8 NICHOLAS FINANCIAL INC, 2454 MCMILLEN BOOTH RD, BLDG C, CLEARWATER, FL  
33759 (813) 726-0763 - 120,000 (\$451,312) COMMON STOCK. (FILE 333-81961 -  
JUN. 30) (BR. 7)

S-3 MAXWELL TECHNOLOGIES INC, 9275 SKY PARK COURT, SAN DIEGO, CA 92123  
(619) 279-5100 - 244,796 (\$5,691,507) COMMON STOCK. (FILE 333-81965 -  
JUN. 30) (BR. 3)

S-8 NICHOLAS FINANCIAL INC, 2454 MCMILLEN BOOTH RD, BLDG C, CLEARWATER, FL  
33759 (813) 726-0763 - 350,000 (\$1,252,792) COMMON STOCK. (FILE 333-81967  
- JUN. 30) (BR. 7)

S-8 CISCO SYSTEMS INC, 170 WEST TASMAN DRIVE, SAN JOSE, CA 95134  
(408) 526-4000 - 652,788 (\$11,014,170.36) COMMON STOCK. (FILE 333-81971 -  
JUN 30) (BR. 3)

S-3 COMMUNITY INDEPENDENT BANK INC, 201 NOETH MAIN STREET, BERNVILLE, PA  
19506 (610) 488-1200 - 225,000 (\$2,700,000) COMMON STOCK. (FILE 333-81973  
- JUN 30) (BR 2)

S-4 FIRST UNION CORP, ONE FIRST UNION CTR, CHARLOTTE, NC 28288  
(704) 374-6565 - 24,250,000 (\$1,061,554,891) COMMON STOCK. (FILE  
333-81975 - JUN. 30) (BR. 7)

S-8 METAL MANAGEMENT INC, 500 N DEARBORN ST, STE 405, CHICAGO, IL 60610  
(312) 645-0700 - 250,000 (\$425,750) COMMON STOCK. (FILE 333-81977 -  
JUN 30) (BR 2)

S-8 VERTEX COMMUNICATIONS CORP /TX/, 2600 N LONGVIEW ST, PO BOX 1277,  
KILGORE, TX 75662 (903) 984-0555 - 1,000,000 (\$13,593,750) COMMON STOCK.  
(FILE 333-81979 - JUN. 30) (BR. 7)

SB-2 COPE INC, GRUNDSTRASSE 14, 6343 ROTKREUZ, SWITZERLAND, HERMOSA BEACH, CA  
90254 (310) 376-7721 - 700,000 (\$23,100,000) COMMON STOCK. (FILE  
333-81981 - JUN. 30) (BR. 5)

SB-2 UNIVERSAL DISPLAY CORP \PA\, THREE BALA PLAZA, SUITE 104E, BALA CYNWYD,  
PA 19004 (610) 617-4010 - 4,458,874 (\$16,581,437) COMMON STOCK. (FILE  
333-81983 - JUN. 30) (BR. 3)

S-3 ALEXANDRIA REAL ESTATE EQUITIES INC, 135 NORTH LOS ROBLES AVE,  
SUITE 250, PASEDNA, CA 91101 (818) 578-0777 - 743,589 (\$23,492,950.87)  
COMMON STOCK (FILE 333-81985 - JUN. 30) (BR. 8)

S-4 SLEEPMASTER LLC, 2001 LOWER RD, LINDEN, NJ 07036 (732) 381-5000 -  
115,000,000 (\$115,000,000) STRAIGHT BONDS. (FILE 333-81987 - JUN. 30)  
(NEW ISSUE)

S-8 PENNACO ENERGY INC, 1050 17TH ST, STE 700, DENVER, CO 80265  
(303) 629-6700 - 4,500,000 (\$55,687,500) COMMON STOCK. (FILE 333-81989 -  
JUN. 30) (BR 9)

S-8 MENTOR GRAPHICS CORP, 8005 SW BOECKMAN RD, WILSONVILLE, OR 97070  
(503) 685-7000 - 3,000,000 (\$36,937,500) COMMON STOCK (FILE 333-81991 -  
JUN. 30) (BR. 3)

S-8 MENTOR GRAPHICS CORP, 8005 SW BOECKMAN RD, WILSONVILLE, OR 97070  
(503) 685-7000 - 3,000,000 (\$36,937,500) COMMON STOCK. (FILE 333-81993 -  
JUN. 30) (BR. 3)

N-2 MUNIHOLDINGS FLORIDA INSURED FUND V, MERRILL LYNCH ASSET MANAGEMENT,  
P.O. BOX 9011, PRINCETON, NJ 08543 - 40 (\$1,000,000) PREFERRED STOCK.  
(FILE 333-81995 - JUN. 30) (BR. 17)

S-4 MSX INTERNATIONAL INC, 275 REX BLVD, AUBURN HILLS, MI 48236  
(248) 299-1000 - 30,000,000 (\$30,000,000) STRAIGHT BONDS (FILE 333-81997  
- JUN 30) (BR. 7)

N-2 MUNIHOLDINGS NEW JERSEY INSURED FUND IV INC,  
MERRILL LYNCH ASSET MANAGEMENT, P.O. BOX 9011, PRINCETON, NJ 08543 - 40  
(\$1,000,000) PREFERRED STOCK. (FILE 333-81999 - JUN. 30) (BR. 17)

N-2 MUNIHOLDINGS NEW YORK INSURED FUND IV INC,  
MERRILL LYNCH ASSET MANAGEMENT, P.O. BOX 9011, PRINCETON, NJ 08543 - 40  
(\$1,000,000) PREFERRED STOCK. (FILE 333-82001 - JUN. 30) (BR. 17)

S-8 US AIRWAYS GROUP INC, 2345 CRYSTAL DR, ARLINGTON, VA 22227  
(703) 872-5306 - 11,600,000 (\$539,380,577.20) COMMON STOCK. (FILE  
333-82003 - JUN. 30) (BR. 5)

N-2 MUNIHOLDINGS CALIFORNIA INSURED FUND V INC,  
MERRILL LYNCH ASSET MANAGEMENT, P.O. BOX 9011, PRINCETON, NJ 08543 - 40  
(\$1,000,000) PREFERRED STOCK. (FILE 333-82005 - JUN. 30) (BR. 17)

S-3 METRIS COMPANIES INC, 600 S HIGHWAY 169, STE 1800, ST LOUIS PARK, MN  
55426 (612) 525-5020 - 1,501,647 (\$1,040,941,700) PREFERRED STOCK.  
30,225,190 (\$6,663,385) COMMON STOCK. (FILE 333-82007 - JUN. 30) (BR. 7)

S-8 WATSCO INC, 2665 S BAYSHORE DR, STE 901, COCONUT GROVE, FL 33133  
(305) 858-0828 - 7,323,750 (\$118,620,007) COMMON STOCK. (FILE 333-82011 -  
JUN. 30) (BR. 6)

S-8 RECOVERY ENGINEERING INC, 9300 NORTH 75TH AVENUE, MINNEAPOLIS, MN 55428  
(612) 541-1313 - 30,000 (\$482,815.50) COMMON STOCK. (FILE 333-82017 - JUN. 30) (BR. 6)

S-8 RECOVERY ENGINEERING INC, 9300 NORTH 75TH AVENUE, MINNEAPOLIS, MN 55428  
(612) 541-1313 - 400,000 (\$6,437,500) COMMON STOCK. (FILE 333-82019 - JUN. 30) (BR. 6)

S-3 KYZEN CORP, 430 HARDING INDUSTRIAL DR, NASHVILLE, TN 37211  
(615) 831-0888 - 1,650,000 (\$1,778,906.25) COMMON STOCK (FILE 333-82021 - JUN. 30) (BR. 9)

S-8 METAL MANAGEMENT INC, 500 N DEARBORN ST, STE 405, CHICAGO, IL 60610  
(312) 645-0700 - 3,900,000 (\$6,641,700) COMMON STOCK. (FILE 333-82023 - JUN. 30) (BR. 2)

S-8 METAL MANAGEMENT INC, 500 N DEARBORN ST, STE 405, CHICAGO, IL 60610  
(312) 645-0700 - 1,368,493 (\$2,330,544) COMMON STOCK. (FILE 333-82025 - JUN. 30) (BR. 2)

S-3 THINK NEW IDEAS INC, 45 W 36 STREET 12TH FLOOR, SUITE 160, NYE YORK, NY 10018 (212) 629-6800 - 1,681,977 (\$26,070,644) COMMON STOCK. (FILE 333-82027 - JUN. 30) (BR. 9)

S-3 ENTERTAINMENT INC, ONE COMMERCIAL PLAZA, 6 KONSTRUKTORSKA STREET, HARTFORD, CT 06103 (860) 549-1674 - 1,813,665 (\$16,549,693) COMMON STOCK. 50,000 (\$56,000,000) PREFERRED STOCK. 50,000 (\$55,050,000) WARRANTS, OPTIONS OR RIGHTS. (FILE 333-82029 - JUN. 30) (BR. 7)

S-8 MICROAGE INC /DE/, 2400 S MICROAGE WY MS8, TEMPE, AZ 85282  
(602) 366-2000 - 500,000 (\$2,125,000) COMMON STOCK. (FILE 333-82033 - JUL. 01) (BR. 3)

S-4 AK STEEL HOLDING CORP, 703 CURTIS ST, MIDDLETOWN, OH 45043  
(513) 425-5000 - 113,917,785 (\$743,883,136) COMMON STOCK. 2,700,000 (\$135,864,000) PREFERRED STOCK. (FILE 333-82035 - JUL. 01) (BR. 6)

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## RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website. <www.sec.gov>

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ABOVENET COMMUNICATIONS INC	DE				X	X					06/21/99	
ADVANCED GAMING TECHNOLOGY INC	WY				X						06/29/99	
AFFORDABLE HOMES OF AMERICA INC	NV		X								07/06/99	
ALLTEL CORP	DE		X			X					07/02/99	
AMERICA SERVICE GROUP INC /DE	DE				X	X					06/17/99	
ATLANTIC INTERNATIONAL ENTERTAINMEN T LTD	DE				X						06/09/99	
BROOKS AUTOMATION INC	DE					X					04/21/99	AMEND
BSB BANCORP INC	DE		X			X					07/01/99	
CHART HOUSE ENTERPRISES INC	DE					X					04/22/99	AMEND
CINERGY CORP	DE				X	X					07/06/99	
CLECO CORP	LA	X									07/06/99	
COAST HOTELS & CASINOS INC					X	X					06/22/99	
COAST RESORTS INC	NV				X	X					06/22/99	
COMPLETE BUSINESS SOLUTIONS INC	MI					X					04/20/99	AMEND
COMPUTER MARKETPLACE INC	DE				X	X					06/14/99	
CONSOLIDATED GRAPHICS INC /TX/	TX				X	X					06/30/99	
CORPORATE OFFICE PROPERTIES TRUST	MD				X	X					07/06/99	
COVOL TECHNOLOGIES INC	DE				X						06/23/99	
CYBERCASH INC	DE				X	X					06/29/99	
DAIMLER BENZ AUTO GRANTOR TRUST 199 7 A	DE				X	X					06/21/99	
DAIMLER BENZ VEHICLE OWNER TRUST 19 98-A	DE				X	X					06/21/99	
DAIMLER BENZ VEHICLE TRUST 1996-A	DE				X	X					06/21/99	
DANIELSON HOLDING CORP	DE				X	X					06/22/99	
DRIVINGAMERICA COM INC	CO			X		X	X				05/07/99	AMEND
EVERFLOW EASTERN PARTNERS LP	DE				X						07/06/99	
FIELDPOINT PETROLEUM CORP	CO		X			X					07/06/99	
FOUR MEDIA CO	DE				X						06/22/99	
GENISYS RESERVATION SYSTEMS INC	NJ				X	X					07/06/99	
GLOBAL IMAGING SYSTEMS INC	DE		X			X					06/24/99	
GPU INC /PA/	PA				X	X					07/02/99	
HARRIS CORP /DE/	DE				X	X					07/02/99	
HEXCEL CORP /DE/	DE				X	X					07/06/99	
ICF KAISER INTERNATIONAL INC	DE				X						07/02/99	
ICF KAISER INTERNATIONAL INC	DE				X						07/07/99	
INPRISE CORP	DE				X	X					06/07/99	
INTERNATIONAL RECTIFIER CORP /DE/	DE				X						07/01/99	
INTERNATIONAL SPEEDWAY CORP	FL				X						07/06/99	
INTERSTATE HOTELS CO	PA		X			X					06/18/99	
JAVELIN SYSTEMS INC	DE					X					06/30/99	AMEND
KENTUCKY CENTRAL LIFE INSURANCE CO	KY					X					06/21/99	
KOMAG INC /DE/	DE				X						06/30/99	
LAMAR ADVERTISING CO	DE				X	X					07/06/99	
LAMONTS APPAREL INC	DE				X	X					07/06/99	
LEAP WIRELESS INTERNATIONAL INC	DE		X			X					04/19/99	AMEND
LEUCADIA NATIONAL CORP	NY				X	X					07/06/99	
LOEWEN GROUP INC					X	X					07/02/99	
MACROVISION CORP	DE		X			X					06/18/99	
MELLON RESIDENTIAL FUNDING COR MOR PAS THR CER SER 1999-TBC1	DE				X	X					06/25/99	
METAMOR WORLDWIDE INC	DE		X			X					04/19/99	AMEND
MGI PROPERTIES	MA		X		X	X					06/22/99	
MICROLOG CORP	VA				X	X					07/02/99	
MORGAN STANLEY CAPITAL I INC COM MO R PA TH CER SER 1999 CAMI					X	X					07/06/99	
MORRISON HEALTH CARE INC	GA				X	X					07/06/99	
NCC INDUSTRIES INC	DE				X	X					06/30/99	
NETWORK SIX INC	RI	X									07/06/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			9
NORWEST ASSET SECURITIES CORP	DE				X	X					07/06/99	
OCCIDENTAL PETROLEUM CORP /DE/	DE				X	X					06/30/99	
ON2COM INC	CO				X	X					06/29/99	
PARAGON AUTO RECEIVABLES CORP	DE				X	X					06/15/99	
PENNSYLVANIA COMMERCE BANCORP INC					X						07/01/99	
PROVANT INC	DE				X	X					04/23/99	AMEND
RENTAL SERVICE CORP	DE				X	X					06/28/99	
RIVIERA HOLDINGS CORP	NV		X					X			07/02/99	
RMED INTERNATIONAL INC	CO		X								07/06/99	AMEND
RYANS FAMILY STEAKHOUSES INC	SC		X								06/30/99	
SAFEGUARD HEALTH ENTERPRISES INC	DE				X	X					06/30/99	
SAKS INC	TN				X						06/28/99	
SCHWAB CHARLES CORP	DE				X	X					07/06/99	
SIGHT RESOURCE CORP	DE		X		X	X	X				04/15/99	AMEND
SLM FUNDING CORP	DE				X	X					06/29/99	
SONAT INC	DE				X						07/06/99	
TELKON CORP	DE				X	X					07/01/99	
TRIDON ENTERPRISES INC	CO			X							07/02/99	
U S RESTAURANT PROPERTIES INC	MD				X	X					07/02/99	
VALENCE TECHNOLOGY INC	DE				X						06/28/99	
VIALOG CORP	MA				X						06/01/99	
VIRTUAL ENTERPRISES INC	CO		X								06/28/99	
WEITZER HOMEBUILDERS INC	FL				X	X					06/22/99	
XENOMETRIX INC \DE\	DE				X	X					06/30/99	
XEROX CORP	NY				X						06/24/99	