

# SEC NEWS DIGEST

Issue 99-113

June 14, 1999

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## COMMISSION ANNOUNCEMENTS

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### HARVEY GOLDSCHMID TO TESTIFY

On Wednesday, June 16, General Counsel Harvey J. Goldschmid will testify on behalf of the Commission before the House Banking Committee's Subcommittee on Financial Institutions and Consumer Credit concerning loan loss allowances. The hearing will begin at 10:00 am in Room 2128 of the Rayburn House Office Building.

### AMICUS CURIAE BRIEF ADDRESSING LIABILITY OF CORPORATE OFFICIALS WHO SIGN REPORTS FILED WITH THE COMMISSION THAT CONTAIN MISREPRESENTATIONS

On June 9, the Commission filed an amicus curiae (or friend of the court) brief in the United States Court of Appeals for the Ninth Circuit in *Howard v. Everex Systems, Inc.* The brief addresses the liability, in private lawsuits brought under the antifraud provisions of Section 10(b) of the Securities and Exchange Act of 1934 and Rule 10b-5, of corporate officials who sign documents filed with the Commission that contain material misrepresentations. The brief takes the position that when an official signs a document that he knows (or is reckless in not knowing) contains misrepresentations, the official can be liable in a private antifraud action even if he was not involved in preparing the document. The brief stresses the importance of full and honest reporting to the proper functioning of the securities markets and the important role corporate officials play in assuring that reports filed with the Commission are complete and accurate. [*Howard v. Everex Systems, Inc.*, No. 98-17324, 9th Cir.] (LR-16186)

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## ENFORCEMENT PROCEEDINGS

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### COMMISSION ISSUES CEASE AND DESIST ORDERS AND IMPOSES PENALTY IN INTERNET TOUTING CASE

On June 11, the Commission entered an Order Making Findings, Issuing Cease and Desist Orders and Imposing a Civil Penalty (Order) against RCG Capital Markets Group, Inc. (RCG) and RCG's owner, Arthur Max Ramras (Ramras). The Commission accepted RCG's and Ramras' Offers

of Settlement, in which they agreed to orders to cease and desist from committing or causing any violation and any future violation of the antitouting provisions of Section 17(b) of the Securities Act of 1933. Additionally, Ramras, who is associated with a broker-dealer, agreed to pay a \$20,000 civil penalty.

The Order finds that from November 1998 to January 1999, RCG and Ramras touted the stocks of nine issuers on RCG's website in exchange for compensation that included cash and performance-based stock options. The Order further finds that RCG and Ramras failed to disclose the nature and amount of consideration RCG received from the issuers. RCG and Ramras consented to the entry of the Order without admitting or denying its findings. (Rel. 33-7689; 34-41518; File No. 3-9836)

**INGE MARILYN PERRY AND BAY STATE TRUST ENJOINED, ORDERED TO PAY \$25,000 IN TOTAL PENALTIES**

On December 1, 1998, the Honorable J. G. Koeltl entered a final judgment on consent against Inge Marilyn Perry, 58, and on May 6, 1999, he entered a final judgment on consent against Bay State Trust in a Commission action involving alleged offers and attempts to sell a forged security. Perry and Bay State, without admitting or denying the Commission's allegations, consented to the final judgments prior to responding to the Commission's complaint. The Commission alleged in a June 5, 1998 complaint that Perry and Bay State were involved with seven other defendants in a scheme to obtain money for themselves using fraudulent "bank certificates", while invoking "humanitarian" goals and the names of "official" entities, such as the Federal Reserve and the United Nations Security Council. This settlement leaves as the only remaining defendants attorneys Daniel T. Todt, described by the Commission as the "architect" of the fraud, and his wife Rebecca L. Todt, against both of whom the Commission has pending a motion for summary judgment.

The final judgment on consent against Perry ordered her to pay a \$10,000 penalty, and permanently enjoined her from violating Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder and Section 17(a) of the Securities Act of 1933. The final judgment on consent against Bay State ordered that entity to pay a \$15,000 penalty, and permanently enjoined it from violating the same provisions. Perry and Bay State had previously consented to the entry of a preliminary injunction against them on June 29, 1998. [SEC v. Daniel T. Todt, et al., 98 Civ. 3980, JGK, SDNY] (LR-16184)

**FIFTH DEFENDANT SETTLES SEC'S LOTUS INSIDER TRADING CHARGES BY AGREEING TO PAY MORE THAN \$130,000 IN DISGORGEMENT AND PENALTIES**

The Commission today announced that a final judgment has been entered against Alvin Ira Davis of Staten Island, New York, in a settlement of the Commission's charges that he engaged in illegal insider trading before IBM's takeover of Lotus Development.

Corporation. Under the final judgment, Davis is permanently enjoined from further violating the insider trading prohibitions of the federal securities laws and required to pay \$55,550 in disgorgement, \$24,614 in prejudgment interest and a civil penalty of \$55,550. Davis was enjoined from further violations of Section 14(e) of the Securities Exchange Act of 1934 and Rule 14(e)-3 thereunder. In consenting to the entry of the final judgment, Davis neither admitted nor denied the allegations in the Commission's complaint.

The Commission filed this matter on May 26, 1999, charging a total of twenty-five individuals with insider trading in Lotus call options and common stock on the basis of inside information misappropriated from IBM by one of its secretaries. Davis is the fifth defendant to settle. The Commission is continuing to litigate against the remaining twenty defendants. [SEC v. Lorraine K. Cassano, Civil Action No. 99-CV-3822, SDNY] (LR-16185)

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## SELF-REGULATORY ORGANIZATIONS

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### ACCELERATED APPROVAL OF PROPOSED RULE CHANGES

The Commission granted accelerated approval to a proposed rule change (SR-CHX-99-04) filed by the Chicago Stock Exchange adding a new price improvement algorithm, SuperMax Plus. Publication of the proposal was expected in the Federal Register during the week of June 7. (Rel. 34-41480)

The Commission granted accelerated approval to a proposed rule change submitted by the American Stock Exchange (SR-Amex-99-10) to list for trading options on the Credit Suisse First Boston Technology Index. Publication of the order is expected in the Federal Register during the week of June 14. (Rel. 34-41503)

### IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change (SR-CHX-99-3) filed by the Chicago Stock Exchange concerning an increase in the BEST Rule guarantee and the minimum order acceptance level in the MAX System has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal was expected in the Federal Register during the week of June 7. (Rel. 34-41482)

The Options Clearing Corporation filed a proposed rule change (SR-OCC-99-7), which became effective upon filing, amending OCC's By-Laws with regards to the expiration date of end-of-month foreign currency options. Publication of the proposal is expected in the Federal Register during the week of June 14. (Rel. 34-41506)

The Depository Trust Company filed a proposed rule change (SR-DTC-99-07), which became effective upon filing, revising the fee schedule for DTC's services. Publication of the proposal is expected in the Federal Register during the week of June 14. (Rel.

#### APPROVAL OF PROPOSED RULE CHANGES

The Commission approved a proposed rule change submitted by the New York Stock Exchange (SR-NYSE-98-42) amending MOC/LOC order entry and cancellation procedures during regulatory halts. (Rel. 34-41497)

The Commission approved a proposed rule change and Amendment No. 1 and issued notice of filing and granted accelerated approval to Amendment Nos. 2 and 3 submitted by the New York Stock Exchange (SR-NYSE-99-13) relating to original and continued listing criteria. Publication of the notice and order is expected in the Federal Register during the week of June 14. (Rel. 34-41502)

#### PROPOSED RULE CHANGES

The New York Stock Exchange filed a proposed rule change (SR-NYSE-99-18) to amend Exchange Rule 97, "Limitation on Members' Trading Because of Block Positioning," to permit member firms to net proprietary positions within Aggregation Units and to except transactions offsetting market risk that resulted from facilitating a customer's order. Publication of the proposal is expected in the Federal Register during the week of June 14. (Rel. 34-41500)

The Chicago Board Options Exchange filed a proposed rule change (SR-CBOE-99-17) relating to an increase in the maximum size of orders eligible for RAES, and to the implementation of new procedures governing order assignment and price improvement on RAES. Publication of the proposal is expected in the Federal Register during the week of June 14. (Rel. 34-41501)

The National Securities Clearing Corporation filed a proposed rule change (SR-NSCC-98-14) to eliminate the distinction between those instances where NSCC ceases to act as a result of an insolvency or for another reason, and to permit NSCC to complete certain open RVP/DVP transactions. Publication of the proposal is expected in the Federal Register during the week of June 14. (Rel. 34-41504)

The Options Clearing Corporation filed a proposed rule change (SR-OCC-99-04) to amend its rules to permit clearing members to pledge long positions in non-proprietary cross margin accounts through OCC's pledge program. Publication of the proposal is expected in the Federal Register during the week of June 14. (Rel. 34-41507)

The National Association of Securities Dealers filed a proposed rule change (SR-NASD-99-21) relating to the creation of a dispute resolution subsidiary. Publication of the proposal is expected in the Federal Register during the week of June 14. (Rel. 34-41510)

#### DELISTINGS GRANTED

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration New York

State Electric & Gas Corporation, 3.75% Cumulative Preferred Stock.  
(Rel. 34-41511)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration Waxman Industries, Inc., Common Stock, \$.01 par value. (Rel. 34-41512)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration American Residential Services, Inc., Common Stock, par value \$.001 per share.  
(Rel. 34-41513)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-10 CANADIAN NATIONAL RAILWAY CO, 935 DE LA GAUCHETIERE ST W,  
MONTREAL QUEBEC CANA, A8 00000 (514) 399-6569 - 4,600,000 (\$276,736,000)  
FOREIGN COMMON STOCK. (FILE 333-10418 - JUN. 03) (BR. 5)
- F-10 CANADIAN NATIONAL RAILWAY CO, 935 DE LA GAUCHETIERE ST W,  
MONTREAL QUEBEC CANA, A8 00000 (514) 399-6569 - 230,000,000 (\$230,000,000)  
FOREIGN PREFERRED STOCK. (FILE 333-10420 - JUN. 03) (BR. 5)
- F-1 HELLENIC TELECOMMUNICATIONS ORGANIZATION SA, 99 KIFISSIAS AVENUE,  
GR 15181 AMAROUSSION, ATHENS, 00000 (011) 301-9115 - 4,304,778  
(\$100,000,000) FOREIGN COMMON STOCK. (FILE 333-10428 - JUN 07) (BR. 7)
- S-8 TALK VISUAL CORP, ONE CANAL PARK 3RD FLOOR, CAMBRIDGE, MA 02142  
(310) 348-7266 - 320,000 (\$880,000) COMMON STOCK. (FILE 333-80097 -  
JUN. 07) (BR. 3)
- S-8 FACTUAL DATA CORP, 5200 HAHNS PEAK DRIVE, SUITE 200, LOVELAND, CO 80538  
(970) 663-5700 - 200,000 (\$1,952,555) COMMON STOCK. (FILE 333-80101 -  
JUN. 07) (BR. 9)
- S-1 VDC COMMUNICATIONS INC, 75 HOLLY HILL LANE, GREENWICH, CT 06831  
(203) 869-5100 - 8,722,618 (\$27,258,181) COMMON STOCK. (FILE 333-80107 -  
JUN. 07) (BR. 7)
- S-3 KEY BANK USA NATIONAL ASSOCIATION, 127 PUBLIC SQUARE, SOCIETY CENTER,  
CLEVELAND, OH 44114 (216) 689-3000 - 1,000,000 (\$1,000,000)  
EQUIPMENT TRUST CERTIFICATES. (FILE 333-80109 - JUN. 07) (BR. 8)

S-4 FLAG FINANCIAL CORP, 101 NORTH GREENWOOD ST, PO BOX 3007, LAGRANGE, GA 30240 (706) 845-5000 - 1,175,000 (\$8,706,750) COMMON STOCK. (FILE 333-80111 - JUN. 07) (BR. 7)

S-3 EXOGEN INC, 10 CONSTITUTION AVE, P O BX 6860, PISCATAWAY, NJ 08855 (732) 981-0990 - 125,000 (\$296,875) COMMON STOCK. (FILE 333-80113 - JUN. 07) (BR. 5)

S-8 CHIC BY H I S INC, 1372 BROADWAY, NEW YORK, NY 10018 (212) 302-6400 - 400,000 (\$1,200,000) COMMON STOCK. (FILE 333-80115 - JUN. 07) (BR. 2)

S-8 FIRST MERCHANTS CORP, 200 E JACKSON ST, PO BOX 792, MUNCIE, IN 47308 (317) 747-1500 - 600,000 (\$14,212,500) COMMON STOCK. (FILE 333-80117 - JUN. 07) (BR. 7)

S-8 FIRST MERCHANTS CORP, 200 E JACKSON ST, PO BOX 792, MUNCIE, IN 47308 (317) 747-1500 - 250,000 (\$5,921,875) COMMON STOCK. (FILE 333-80119 - JUN. 07) (BR. 7)

S-8 PREMIER RESEARCH WORLDWIDE LTD, 124 SOUTH 15TH ST, PHILADELPHIA, PA 19172 (215) 972-0420 - 600,000 (\$4,050,000) COMMON STOCK. (FILE 333-80121 - JUN. 07) (BR. 1)

S-8 OFFICE DEPOT INC, 2200 OLD GERMANTOWN RD, DELRAY BEACH, FL 33445 (561) 266-4800 - 1,125,000 (\$23,765,625) COMMON STOCK. (FILE 333-80123 - JUN. 07) (BR. 2)

S-8 FIRST AVIATION SERVICES INC, 15 RIVERSIDE AVE, BOX 4603, WESTPORT, CT 06880 (203) 291-3303 - 400,000 (\$2,225,200) COMMON STOCK. (FILE 333-80125 - JUN. 07) (BR. 5)

S-8 PACIFIC CENTURY FINANCIAL CORP, 130 MERCHANT ST, HONOLULU, HI 96813 (808) 643-3888 - 5,900,000 (\$119,843,750) COMMON STOCK. (FILE 333-80127 - JUN. 07) (BR. 7)

S-3 MACERICH CO, 401 WILSHIRE BLVD, STE 700, SANTA MONICA, CA 90401 (310) 394-6911 - 3,627,131 (\$95,792,529.71) PREFERRED STOCK. (FILE 333-80129 - JUN. 07) (BR. 8)

S-4 FUTECH INTERACTIVE PRODUCTS INC, 2999 NORTH 44TH STREET SUITE 225, PHOENIX, AZ 85018 (602) 808-8765 - 6,500,000 (\$14,269,669) COMMON STOCK. (FILE 333-80131 - JUN. 07) (NEW ISSUE)

S-3 IDT CORP, 190 MAIN ST, HACKENSACK, NJ 07601 (201) 928-1000 - 217,348 (\$4,116,571.12) COMMON STOCK. (FILE 333-80133 - JUN. 07) (BR. 3)

S-8 IMAGING TECHNOLOGIES CORP/CA, 11031 VIA FRONTERA STE #100, SAN DIEGO, CA 92127 (619) 613-1300 - 620,000 (\$248,000) COMMON STOCK (FILE 333-80135 - JUN. 07) (BR. 3)

S-1 YESMAIL COM INC, 565 LAKEVIEW PARKWAY, SUITE 135, VERNON HILLS, IL 60061 (847) 918-9292 - \$46,000,000 COMMON STOCK. (FILE 333-80137 - JUN. 07) (NEW ISSUE)

S-8 CLEVELAND INDIANS BASEBALL CO INC, 2401 ONTARIO ST, CLEVELAND, OH 44115 (216) 240-4200 - 700,000 (\$13,037,500) COMMON STOCK. (FILE 333-80139 - JUN. 07) (BR. 5)

S-1 DSL NET INC, 545 LONG WHARF DR, NEW HAVEN, CT 06511 (203) 772-1000 - \$115,000,000 COMMON STOCK. (FILE 333-80141 - JUN. 07)

S-3 NTN COMMUNICATIONS INC, 5966 LA PLACE CT, STE 100, CARLSBAD, CA 92008 (619) 438-7400 - 789,323 (\$616,856) COMMON STOCK. (FILE 333-80143 - JUN. 07) (BR. 7)

S-3 LCA VISION INC, 7840 MONTGOMERY RD, CINCINNATI, OH 45236 (513) 792-9292 - 9,545,000 (\$91,870,625) COMMON STOCK. (FILE 333-80145 - JUN. 07) (BR. 1)

S-3 ARISTAR INC, 8900 GRAND OAK CIRCLE, TAMPA, FL 33637 (813) 632-4500 - 1,000,000,000 (\$1,000,000,000) STRAIGHT BONDS. (FILE 333-80147 - JUN. 07) (BR. 7)

S-3 SIERRA PACIFIC RESOURCES, PO BOX 10100, 6100 NEIL RD, RENO, NV 89511  
(702) 834-4011 (FILE 333-80149 - JUN. 08) (BR. 2)

S-8 ESOFTECH INC, 5335 STERLING DRIVE, SUITE C, BOULDER, CO 80301  
(303) 444-1600 - 1,356,003 (\$5,085,011) COMMON STOCK. (FILE 333-80151 -  
JUN. 07) (BR. 9)

S-3 WELLPOINT HEALTH NETWORKS INC /DE/, 21555 OXNARD STREET, WOODLAND HILLS,  
CA 91367 (818) 703-4000 - 10,350,000 (\$851,934,375) COMMON STOCK.  
327,750,000 (\$201,250,000) STRAIGHT BONDS. (FILE 333-80153 - JUN. 07)  
(BR. 1)

S-3 IBS INTERACTIVE INC, 2 RIDGEDALE AVE, STE 350, CEDAR KNOLLS, NJ 07927  
(973) 285-2600 - 209,484 (\$4,426,397) COMMON STOCK. (FILE 333-80155 -  
JUN. 08) (BR. 9)

S-4 TEXAS INSTRUMENTS INC, 8505 FOREST LANE, P O BOX 660199, DALLAS, TX  
75266 (972) 995-3773 - 5,000,000 (\$22,754.33) COMMON STOCK. (FILE  
333-80157 - JUN. 08) (BR. 5)

S-3 PENTAIR INC, 1500 COUNTY RD - B2 WEST, SUITE 400, ST PAUL, MN 55113  
(612) 636-7920 - 700,000,000 (\$700,000,000) COMMON STOCK. (FILE 333-80159  
- JUN. 08) (BR. 5)

S-8 KNIGHT RIDDER INC, 50 W SAN FRANCISCO ST, SAN JOSE, CA 95113  
(408) 938-7700 - 8,000,000 (\$415,250,000) COMMON STOCK. (FILE 333-80163 -  
JUN. 08) (BR. 5)

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## RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ACE SECURITIES CORP	DE				X	X					06/09/99	
AIRPLANES LTD	DE				X						06/11/99	
AIRPLANES US TRUST	DE				X						06/11/99	
AIRTOUCH COMMUNICATIONS INC	DE				X						06/11/99	
AMAZON COM INC	DE		X				X				06/08/99	
AMB PROPERTY LP	CA				X	X					03/09/99	AMEND
AMKOR TECHNOLOGY INC	DE				X						06/09/99	
ANCOR COMMUNICATIONS INC /MN/	MN				X	X					06/02/99	
APPLIED CELLULAR TECHNOLOGY INC	MO		X				X				06/04/99	
AQUIS COMMUNICATIONS GROUP INC	DE				X	X	X				06/07/99	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ARGOSY GAMING CO	DE				X	X					06/08/99	
AUTOMOBILE PROTECTION CORP APCO	GA				X	X					06/10/99	
AVONDALE INDUSTRIES INC	LA				X	X					06/03/99	
BANC ONE FINANCIAL SERVICES HOME EQ UNITY LOAN TRUST 1999-1	DE					X					05/25/99	
BANCWEST CORP/HI	DE				X	X					06/10/99	
BEACON CAPITAL PARTNERS INC	MD				X	X					06/08/99	
BEAR STEARNS ASSET BACKED SEC INC I RWIN HOME EQ LO TR 1999-2	DE				X	X					05/27/99	
BRAZOS SPORTSWEAR INC /DE/	DE				X	X					06/07/99	
BROUGHTON FOODS CO			X								06/10/99	
BURNHAM PACIFIC PROPERTIES INC	MD				X	X					06/07/99	
CALIFORNIA CULINARY ACADEMY INC	CA				X	X					05/26/99	
CAMERA PLATFORMS INTERNATIONAL INC	DE	X									06/30/99	
CAPITAL RE CORP	DE				X	X					05/26/99	
CAPROCK COMMUNICATIONS CORP	TX				X	X					06/09/99	
CARRIAGE SERVICES INC	DE		X			X					03/30/99	AMEND
CASTLE ENERGY CORP	DE		X			X					06/01/99	
CECIL BANCORP INC	MD				X	X					06/07/99	
CGI HOLDING CORP	NV		X								06/11/99	AMEND
CHASE FUNDING INC	NY				X	X					06/09/99	
CHASE MANHATTAN BANK /NY/	NY				X	X					06/11/99	
CHASE MANHATTAN BANK USA	DE				X	X					06/11/99	
CHASE MANHATTAN MARINE OWNER TRUST 1997-A	NY				X	X					06/11/99	
CHASE MANHATTAN RV OWNER TRUST 1997 -A	DE				X	X					06/11/99	
CHITTENDEN CORP /VT/	VT		X			X					05/28/99	
CHOCK FULL O NUTS CORP	NY				X	X					06/08/99	
CHYRON CORP	NY				X						06/11/99	
COLUMBIA ENERGY GROUP	DE				X						06/07/99	
COMMUNITY BANKSHARES INC /GA/	GA				X						06/10/99	
COMPOST AMERICA HOLDING CO INC	NJ				X						06/02/99	
COMSAT CORP	DC				X	X					06/11/99	
CONEXANT SYSTEMS INC	DE				X	X					06/08/99	
COX COMMUNICATIONS INC /DE/	DE				X						05/17/99	
CSX CORP	VA					X					06/01/99	
CSX TRANSPORTATION INC	VA					X					06/01/99	
DAMES & MOORE GROUP	DE	X				X					06/09/99	
DCC ACQUISITION CORP	NV		X			X					03/11/99	
DELTA PETROLEUM CORP/CO	CO				X	X					06/09/99	AMEND
DERMA SCIENCES INC	PA				X	X					06/04/99	
DLJ COMMERCIAL MORT COMM PASS THR C ER SER 1999-CG2	DE				X	X					06/09/99	
DUSA PHARMACEUTICALS INC	NJ				X	X					06/11/99	
EL PASO ENERGY CORP/DE	DE				X						06/10/99	
EVEREN CAPITAL CORP	DE				X	X					05/27/99	
E4L INC	DE				X	X					06/08/99	
FCC NATIONAL BANK					X	X					06/11/99	
FIRST CHICAGO MASTER TRUST II	DE				X	X					06/11/99	
FIRST USA CREDIT CARD MASTER TRUST	DE				X	X					05/26/99	
FUNDTECH LTD					X	X					06/01/99	
GENESIS MICROCHIP INC			X		X	X	X				07/11/99	
GENZYME CORP	MA				X	X					06/10/99	
GHS INC	DE	X									05/27/99	
GORAN CAPITAL INC					X						03/31/99	
GREEN TREE FINANCIAL CORP	MN					X					06/10/99	
GREEN TREE FINANCIAL CORP	MN					X					06/10/99	
GS TELECOM LTD	CO					X					06/08/99	
GUEST SUPPLY INC	NJ					X					04/23/99	AMEND



NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
HOMECOM COMMUNICATIONS INC	DE		X				X				03/24/99	
INDIANTOWN COGENERATION FUNDING CORP	DE		X								06/11/99	
P												
INDIANTOWN COGENERATION LP	DE		X								06/11/99	
INTEGRA LIFESCIENCES CORP	DE		X				X				03/29/99	AMEND
JITNEY JUNGLE STORES OF AMERICA INC	MI		X								06/11/99	
/MI/												
KENNAMETAL INC	PA				X	X					06/11/99	
KERAVISION INC /CA/	DE		X				X				05/28/99	
KMART CORP	MI				X						06/11/99	
LA-Z-BOY INC	MI		X								04/29/00	
LEVIATHAN GAS PIPELINE PARTNERS L P	DE		X				X				06/01/99	
LITTON INDUSTRIES INC	DE				X	X					06/03/99	
MATTEL INC /DE/	DE				X	X					06/11/99	
MAXIM GROUP INC /	DE							X			02/01/99	
MELLON BANK NA MELLON BANK HOME EQU	NY				X	X					06/10/99	
ITY LOAN TRUST 1996-1												
MENS WEARHOUSE INC	TX		X				X				06/01/99	
MERCANTILE BANKSHARES CORP	MD				X	X					06/08/99	
METRO GLOBAL MEDIA INC	DE						X				06/10/99	AMEND
MICROLOG CORP	VA				X	X					06/09/99	
MID ATLANTIC CENTERS LIMITED PARTNE	MD		X								06/04/99	
RSHIP												
MIKOHN GAMING CORP	NV				X	X					06/09/99	
MITEL CORP					X	X					06/07/99	
MORGAN STANLEY CAPITAL I INC	DE				X	X					06/08/99	
NATIONWIDE FINANCIAL SERVICES INC/	DE				X	X					06/11/99	
NCC INDUSTRIES INC	DE				X	X					06/09/99	
NCO GROUP INC	PA						X				03/31/99	AMEND
NEIMAN MARCUS FUNDING CORP	DE		X								05/31/99	
NEXTEL INTERNATIONAL INC	WA				X	X					06/11/99	
NPC INTERNATIONAL INC	KS		X								06/08/99	
OAKWOOD MORTGAGE INVESTORS INC	NV				X	X					05/28/99	
PACIFIC GAS & ELECTRIC CO	CA				X	X					06/11/99	AMEND
PAINWEBBER MORT ACCEPT CORP IV NEW	DE				X	X					05/27/99	
SOUTH HOME EQ TR 1999-1												
PENN TRAFFIC CO	PA			X			X				05/27/99	
PENTON MEDIA INC	DE		X				X				05/27/99	
PG&E CORP	CA				X	X					06/11/99	AMEND
PG&E GAS TRANSMISSION NORTHWEST COR	CA				X	X					06/11/99	AMEND
P												
PHARMAPRINT INC	CA				X	X					06/04/99	
PNC MORTGAGE SECURITIES CORP MORT P	DE		X								06/11/99	
ASS THRO CERT SER 1999-5												
QUINTESSENCE OIL CO	WY				X	X					05/28/99	
RADIAN GROUP INC	DE				X	X					06/09/99	
RENTRAK CORP	OR				X						06/08/99	
RESIDENTIAL FUNDING MORTGAGE SECURI	DE				X	X					06/09/99	
TIES I INC												
RICHFOOD HOLDINGS INC	VA				X	X					06/09/99	
SIGNATURE EYEWEAR INC	CA				X						06/11/99	
SPECTRUM PHARMACEUTICAL CORP	FL		X								06/11/99	
STEWART ENTERPRISES INC	LA		X								06/09/99	
STRUCTURED ASSET MORTGAGE INVESTMEN	DE				X						05/25/99	
TS INC												
STRUCTURED ASSET MORTGAGE INVESTMEN	DE				X						05/25/99	
TS INC												
STRUCTURED ASSET MORTGAGE INVESTMEN	DE				X						05/25/99	
TS INC												
STRUCTURED ASSET MORTGAGE INVESTMEN	DE				X						05/25/99	
TS INC												

NAME OF ISSUER	STATE	BK ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X						05/25/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X						05/25/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X						05/25/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X						05/25/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X						05/28/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X						05/28/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X						06/01/99	
STRUCTURED ASSET SECURITIES CORP	DE					X	X					06/11/99	AMEND
STUDENT LOAN FUNDING LLC	DE					X	X					05/27/99	
SUPERTEL HOSPITALITY INC	DE					X						06/11/99	
SYMONS INTERNATIONAL GROUP INC	IN					X						03/31/99	
TELESCAN INC	DE	X					X					06/11/99	
TITAN EXPLORATION INC	DE					X	X					06/10/99	
TOYMAX INTERNATIONAL INC	DE	X					X					05/27/99	
TRANS WORLD ENTERTAINMENT CORP	NY					X						06/11/99	
TV GUIDE INC	DE					X						06/11/99	
UNITED TECHNOLOGIES CORP /DE/	DE					X	X					12/31/98	
URS CORP /NEW/	DE	X					X					06/09/99	
VEMCO INC /MI/	MI	X	X	X								05/28/99	
VEMCO LEASING INC	MI	X	X	X								05/28/99	
VENTURE HOLDINGS CO LLC	MI	X	X	X								05/28/99	
VENTURE HOLDINGS CORP	MI	X	X	X								05/28/99	
VENTURE INDUSTRIES CORP	MI	X	X	X								05/28/99	
VENTURE LEASING CO	MI	X	X	X								05/28/99	
VENTURE MOLD & ENGINEERING CORP	MI	X	X	X								05/28/99	
VENTURE SERVICE CO	MI	X	X	X								05/28/99	
VIDEO CITY INC	DE						X					03/31/99	AMEND
WASTEMASTERS INC	MD	X	X									05/24/99	
WHITTAKER CORP	DE					X	X					06/09/99	
WINDMERE DURABLE HOLDINGS INC	FL					X	X					06/03/99	