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# SEC NEWS DIGEST

Issue 99-107

June 4, 1999

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## ENFORCEMENT PROCEEDINGS

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### SEC CHARGES TWO BANKERS WITH INSIDER TRADING

On June 3, the Commission filed an action in the U.S. District Court for the Southern District of New York against Richard H. Ference and Kevin J. Kirkbride, charging that they engaged in an insider trading scheme for two years involving over a dozen stocks. The same day, the United States Attorney's Office for the Southern District of New York announced criminal charges against both defendants.

According to the complaint, Kirkbride, while an employee first at Smith Barney, Inc. and later at Salomon Smith Barney, Inc., misappropriated nonpublic information concerning mergers and acquisitions contemplated by his employer's clients. Kirkbride tipped Ference, a vice president at the New York office of the Bank of Tokyo-Mitsubishi Trust Company, who purchased the securities of companies involved in transactions contemplated by Kirkbride's firm. Under their trading arrangement, Ference agreed to kick back one-third of the illegal profits to Kirkbride. Kirkbride also personally purchased securities of companies involved in contemplated transactions. Collectively, Kirkbride and Ference realized over \$430,000 in unlawful profits on transactions that have been publicly announced, plus additional profits involving transactions that have not been announced. The Commission alleges that the defendants violated Sections 10(b) and 14(e) of the Securities Exchange Act of 1934 and Rules 10b-5 and 14e-3 thereunder.

In its action, the Commission seeks permanent injunctive relief, disgorgement of illegal proceeds with prejudgment interest, and civil money penalties under Section 21A of the Exchange Act. [SEC v. Richard H. Ference and Kevin J. Kirkbride, 99 Civ. 4028, LMM, SDNY] (LR-16173)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of

the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-1 WAVECOM SA, 39 RUE DU GOUVERNEUR GENERAL EBONE, ISSY LEX MOULINEAUX, FRANCE, 92130 (011) 331-4629 - 3,795,000 (\$64,515,000) FOREIGN COMMON STOCK. (FILE 333-10372 - MAY. 24) (BR. 7 - NEW ISSUE)
  
- S-8 CARLTON COMMUNICATIONS PLC, 15 ST GEORGE STREET HANOVER SQUARE, LONDON, ENGLAND W1R 9DE, X0 (212) 664-1666 - 10,000,000 (\$84,585,600) FOREIGN COMMON STOCK (FILE 333-10392 - MAY 26) (BR. 5)
  
- S-8 CARLTON COMMUNICATIONS PLC, 15 ST GEORGE STREET HANOVER SQUARE, LONDON, ENGLAND W1R 9DE, X0 (212) 664-1666 - 1,000,000 (\$8,458,560) FOREIGN COMMON STOCK (FILE 333-10394 - MAY. 26) (BR. 5)
  
- S-8 PDS FINANCIAL CORP, 6442 CITY W PKWY, STE 300, MINNEAPOLIS, MN 55347 (612) 941-9500 - 150,000 (\$496,950) COMMON STOCK (FILE 333-79523 - MAY 28) (BR 7)
  
- S-4 PATRIOT AMERICAN HOSPITALITY INC/DE, 1950 STEMMONS FRWY, STE 6001, DALLAS, TX 75207 (214) 863-1000 - 316,009,186 (\$1,303,703,415) COMMON STOCK. (FILE 333-79527 - MAY. 28) (BR. 8)
  
- S-8 VERTEX PHARMACEUTICALS INC / MA, 130 WAVERLY STREET, CAMBRIDGE, MA 02139 (616) 577-6000 - 1,450,000 (\$28,592,187) COMMON STOCK. (FILE 333-79549 - MAY 28) (BR 1)
  
- S-8 ADVENT SOFTWARE INC /DE/, 301 BRANNAN ST, SAN FRANCISCO, CA 94107 (415) 543-7696 - 600,000 (\$39,900,000) COMMON STOCK (FILE 333-79553 - MAY. 28) (BR. 3)
  
- S-8 UNOVA INC, 360 NORTH CRESCENT DRIVE, BEVERLY HILLS, CA 90210 (310) 888-2500 - 4,500,000 (\$68,343,750) COMMON STOCK (FILE 333-79557 - MAY 28) (BR 5)
  
- S-8 ADVENT SOFTWARE INC /DE/, 301 BRANNAN ST, SAN FRANCISCO, CA 94107 (415) 543-7696 - 100,000 (\$6,650,000) COMMON STOCK (FILE 333-79573 - MAY 28) (BR. 3)
  
- S-8 PHARMACOPEIA INC, CN 5350, PRINCETON, NJ 08543 (609) 452-3600 - 950,000 (\$8,490,625) COMMON STOCK. (FILE 333-79577 - MAY. 28) (BR. 1)
  
- S-3 BIOSPHERICS INC, 12051 INDIAN CREEK CT, BELTSVILLE, MD 20705 (301) 419-3900 - 625,000 (\$4,023,438) COMMON STOCK. (FILE 333-79593 - MAY. 28) (BR. 6)
  
- S-8 DOLLAR THRIFTY AUTOMOTIVE GROUP INC, 5330 EAST 31ST STREET, TULSA, OK 74135 (918) 660-7700 - 2,412,594 (\$48,179,502 18) COMMON STOCK. (FILE 333-79603 - MAY. 28) (BR. 5)
  
- S-3 REPLIGEN CORP, 117 FOURTH AVE, NEEDHAM, MA 02194 (781) 449-9560 - 3,600,000 (\$10,012,500) COMMON STOCK. (FILE 333-79611 - MAY. 28) (BR. 1)
  
- S-8 THERMO SENTRON INC, 501 90TH AVE NW, MINNEAPOLIS, MN 55433 (612) 783-2589 - 150,000 (\$1,978,125) COMMON STOCK (FILE 333-79621 - MAY 28) (BR 5)

S-8 INVESTORS FINANCIAL SERVICES CORP, 200 CLARENDON STREET, PO BOX 1537, BOSTON, MA 02116 (617) 330-6700 - 1,200,000 (\$36,887,409) COMMON STOCK. (FILE 333-79639 - MAY. 28) (BR. 7)

S-8 DIRECT FOCUS INC, 2200 NE 65TH AVENUE, VANCOUVER, WA 98661 (360) 418-6178 - 1,066,002 (\$15,098,523) COMMON STOCK (FILE 333-79643 - MAY. 28) (BR 5)

S-4 BOYDS COLLECTION LTD, 350 S ST, MCSHERRYSTOWN, PA 17344 (717) 633-9898 - 99,000,000 (\$99,000,000) STRAIGHT BONDS. (FILE 333-79647 - MAY. 28) (BR. 2)

S-3 ADVENT SOFTWARE INC /DE/, 301 BRANNAN ST, SAN FRANCISCO, CA 94107 (415) 543-7696 - 1,265,000 (\$84,122,500) COMMON STOCK. (FILE 333-79659 - MAY. 28) (BR 3)

SB-2 DATAMETRICS CORP, 25B HANOVER ROAD, FLORHAM PARK, NJ 07932 (818) 598-6200 - 8,296,670 (\$10,603,092) COMMON STOCK (FILE 333-79661 - MAY. 28) (BR 3)

S-4 GARDEN STATE NEWSPAPERS INC, 1560 BROADWAY, STE 1450, DENVER, CO 80202 (713) 295-3800 - 200,000,000 (\$198,094,000) STRAIGHT BONDS (FILE 333-79665 - MAY 28) (BR 5)

S-8 NETOBJECTS INC, 301 GALVESTON DRIVE, STE 250, REDWOOD CITY, CA 94063 (650) 482-3200 - 5,162,100 (\$26,798,019) COMMON STOCK (FILE 333-79669 - MAY 28) (BR. 3)

S-8 YAHOO INC, 3420 CENTRAL EXPRESSWAY, SUITE 201, SANTA CLARA, CA 95051 (408) 731-3300 - 40,000,000 (\$5,235,000,000) COMMON STOCK (FILE 333-79675 - MAY 28) (BR. 3)

S-8 TERAGLOBAL COMMUNICATIONS CORP, 225 BROADWAY SUITE 1600, SAN DIEGO, CA 92101 (619) 699-2486 - 3,000,000 (\$21,165,667) COMMON STOCK (FILE 333-79685 - MAY. 28) (BR. 9)

S-1 TUMBLEWEED SOFTWARE CORP, 2010 BROADWAY STREET, REDWOOD CITY, CA 94063 (415) 363-7022 - 65,000,000 (\$65,000,000) COMMON STOCK (FILE 333-79687 - MAY. 28)

S-3 CHECKFREE HOLDINGS CORP \GA\, 4411 EAST JONES BRIDGE RD, NORCROSS, GA 33092 (770) 441-3387 - 4,025,000 (\$177,261,000) COMMON STOCK. (FILE 333-79695 - JUN 01) (BR. 8)

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## RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6 Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits
- Item 8 Change in Fiscal Year.
- Item 9 Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D C 20549 or at the following e-mail box address: <publicinfo@sec.gov> In most cases, this information is also available on the Commission's website <www sec gov>

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
ABOUT COM INC									X			05/17/99	
ADVANCED AERODYNAMICS & STRUCTURES INC/	DE		X									05/19/99	
ADVANTA MORTGAGE LOAN TRUST 1998-2	NY					X	X					05/31/99	
ADVANTA MORTGAGE LOAN TRUST 1998-4A	DE					X	X					05/31/99	
ADVANTA MORTGAGE LOAN TRUST 1998-4B	DE					X	X					05/31/99	
ADVANTA MORTGAGE LOAN TRUST 1998-4C	DE					X	X					05/31/99	
ADVANTA MORTGAGE LOAN TRUST 1999-1	DE					X	X					05/31/99	
AK STEEL HOLDING CORP	DE					X	X					05/28/99	
ALTEON INC /DE	DE					X						06/02/99	
AMERICAN BUSINESS PRODUCTS INC	GA					X	X					06/01/99	
AMERICAN HOME PRODUCTS CORP	DE					X	X					06/01/99	
AMERICAN INTERNATIONAL GROUP INC	DE					X	X					06/03/99	
AMERICAN PAD & PAPER CO	DE					X						06/03/99	
AMERICAN TELECASTING INC/DE/	DE					X	X					06/01/99	
AMSOUTH BANCORPORATION	DE					X	X					06/01/99	
AMSOUTH BANCORPORATION	DE					X	X					06/01/99	
APARTMENT INVESTMENT & MANAGEMENT C O	MD					X	X					06/02/99	
APPLIED CAPITAL FUNDING INC	CO					X	X					04/29/99	
APPLIED CELLULAR TECHNOLOGY INC	MO					X						05/25/99	
APPLIED MAGNETICS CORP	DE					X	X					05/10/99	
ARCHIBALD CANDY CORP	IL					X	X					06/02/99	
ASSOCIATED GROUP INC	DE					X	X					05/28/99	
ATLANTIC PHARMACEUTICALS INC	DE					X						05/18/99	AMEND
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-1	DE					X	X					04/25/99	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-2	DE					X	X					03/25/99	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE					X	X					04/25/99	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE					X	X					04/25/99	
BANKNORTH GROUP INC /NEW/ /DE/	DE					X	X					06/01/99	
BETTING INC	MO		X									06/01/99	
BIOSPHERE MEDICAL INC	DE		X			X	X					05/17/99	
BLOCK MORTGAGE FINANCE ASSET BACKED CERTIFICATES SER 1999 1	NY					X	X					05/25/99	
BLOWOUT ENTERTAINMENT INC	DE		X				X					06/02/99	
BOBBY ALLISON WIRELESS CORP	FL		X	X		X	X	X	X			12/31/98	AMEND
CANTERBURY PARK HOLDING CORP	MN					X	X					05/24/99	
CARBIDE GRAPHITE GROUP INC /DE/	DE					X						06/02/99	
CARDIOTECH INTERNATIONAL INC	MA					X						05/25/99	
CAREDATA COM INC	DE					X	X					06/02/99	
CASE CORP	DE					X	X					06/02/99	
CENCOR INC	DE					X	X					05/28/99	
CENDANT CORP	DE					X	X					06/02/98	
CFI PROSERVICES INC	OR		X									05/17/99	
CHASE MANHATTAN CORP /DE/	DE					X						06/03/99	
CHATWINS GROUP INC	DE					X	X					06/02/99	
CHRONICLE COMMUNICATIONS INC	GA					X	X					05/28/99	
CITADEL TECHNOLOGY INC	DE		X				X					05/21/99	
CITICORP MORTGAGE SECURITIES INC	DE		X									05/27/99	
COMMERCIAL MORTGAGE PASS THRU CERT SERIES 1999 C1	DE					X	X					06/01/99	
COOPER COMPANIES INC	DE					X	X					05/27/99	
CORIXA CORP	DE					X	X					05/21/99	
COVENTRY HEALTH CARE INC	DE					X	X					05/19/99	
COYOTE NETWORK SYSTEMS INC	DE					X	X					05/27/99	
CPI CORP	DE		X									06/02/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
CROMPTON & KNOWLES CORP	MA				X	X					05/31/99	
CULP INC	NC	X									05/02/99	
CWABS INC	DE				X	X					05/24/99	
CWMBS INC MORT PASS THR CERT SER 19 98-15	DE				X	X					04/25/99	
CWMBS INC MORT PASS THR CERT SER 19 98-16	DE				X	X					04/25/99	
CWMBS INC MORT PASS THRO CERT SER 1 998-11	DE				X	X					04/25/99	
CWMBS INC MORT PASS THRO CERT SERIE S 1998-17	DE				X	X					04/25/99	
CWMBS INC MORTGAGE PASS THROUGH CER T SERIES 1998 10	DE				X	X					04/25/99	
DAYTON HUDSON RECEIVABLES CORP	MN				X						06/02/99	
DELPHI AUTOMOTIVE SYSTEMS CORP	DE				X						05/28/99	
DELTA & PINE LAND CO	DE				X	X					05/21/99	
DENDRITE INTERNATIONAL INC	NJ				X	X					06/01/99	
DEUTSCHE RECREATIONAL ASSET FUNDING CORP	NV				X	X					06/03/99	
DLJ COMMERCIAL MORTGAGE CORP	DE				X	X					06/01/99	
DYERSBURG CORP	TN				X	X					05/27/99	
E-MEDSOFT COM	NV						X				03/19/99	AMEND
EA INDUSTRIES INC /NJ/	NJ				X						05/20/99	
ECI TELECOM LTD/					X	X					06/01/99	
EL PASO ENERGY CORP/DE	DE				X	X					03/13/99	
ELCOTEL INC	DE				X	X					04/19/99	
ELITE INFORMATION GROUP INC	DE		X		X	X					05/19/99	
ENDOCARE INC	DE				X	X					04/15/99	
ENDOGEN INC	MA				X						06/02/99	
ENTERTAINMENT INC	DE				X	X					05/11/99	
EVANS INC	DE				X						07/03/99	
EXTECH CORP	DE				X						06/03/99	
FAXSAV INC	DE				X						06/03/99	
FINET HOLDINGS CORP	DE		X								06/01/99	
FIRST AMERICAN CORP /TN/	TN				X	X					05/31/99	
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC	NC						X				05/18/99	
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC	NC	X									05/18/99	
FISHER COMPANIES INC	WA				X	X					05/28/99	
FLIR SYSTEMS INC	OR				X						05/31/99	
FOOTHILL INDEPENDENT BANCORP	CA				X	X					05/26/99	
FRIEDE GOLDMAN INTERNATIONAL INC	DE				X	X					06/01/99	
FRIEDE GOLDMAN INTERNATIONAL INC	DE				X	X					06/01/99	
FUJI ELECTROCELL CORP			X								05/26/99	
GEON CO	DE				X	X					06/02/99	
GRAYSTONE WORLD WIDE INC	DE				X						05/24/99	
GREEN MOUNTAIN POWER CORP	VT				X						06/02/99	
GREEN TREE FINANCIAL CORP	MN				X	X					05/27/99	
HALTER MARINE GROUP INC	DE				X	X					06/01/99	
HALTER MARINE GROUP INC	DE				X	X					06/01/99	
HARRIS CORP /DE/	DE				X	X					06/02/99	
HARSCO CORP	DE				X						05/25/99	
HCB BANCSHARES INC	OK				X						06/02/99	
HOUSEHOLD AUTOMOBILE REVOLVING TRUS T I	DE		X								05/17/99	
HOUSEHOLD CONSUMER LOAN TRUST 1996- 1	DE		X								05/14/99	
HOUSEHOLD CONSUMER LOAN TRUST 1996- 2	DE		X								05/14/99	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
HOUSEHOLD CONSUMER LOAN TRUST 1997-1	DE	X									05/14/99	
HOUSEHOLD CONSUMER LOAN TRUST 1997-2	DE	X									05/14/99	
HOUSEHOLD CREDIT CARD MASTER TRUST I	DE	X									05/17/99	
HOUSEHOLD FINANCE CORP HOUSEHOLD AFF CRE CAR MAS TR I	DE	X									05/17/99	
HOUSEHOLD FINANCE CORP HOUSEHOLD CONSUMER LN TRUST 1995-1 /	DE	X									05/14/99	
HOUSEHOLD PRIVATE LABEL CREDIT CARD MASTER TRUST II	DE	X									05/20/99	
HOUSEHOLD REVOLVING HOME EQUITY LOAN TRUST 1995-1	DE	X									05/20/99	
HOUSEHOLD REVOLVING HOME EQUITY LOAN TRUST 1995-2	DE	X									05/20/99	
HOUSEHOLD REVOLVING HOME EQUITY LOAN TRUST 1996-1	DE	X									05/20/99	
HOUSEHOLD REVOLVING HOME EQUITY LOAN TRUST 1996-2	DE	X									05/20/99	
HVIDE MARINE INC	FL				X						06/02/99	
IBS INTERACTIVE INC	DE	X			X		X				03/31/99	AMEND
INDYMAC MORTGAGE HOLDINGS INC	DE				X						06/02/99	
INITIO INC	NV	X									07/31/99	
INSIGNIA FINANCIAL GROUP INC /DE/	DE				X						06/03/99	
INSITUPFORM TECHNOLOGIES INC	DE	X									05/28/99	
INTELIDATA TECHNOLOGIES CORP	DE				X		X				06/02/99	
INTERCHANGE FINANCIAL SERVICES CORP /NJ/	NJ	X									06/02/99	
INTERNATIONAL SPEEDWAY CORP	FL				X						06/01/99	
INTERPLAY ENTERTAINMENT CORP	DE				X		X				05/12/99	
INTILE DESIGNS INC	DE		X								05/14/99	
IXC COMMUNICATIONS INC	DE				X		X				05/28/99	
K TEL INTERNATIONAL INC	MN				X						06/01/99	
KNOLGY HOLDINGS INC /GA	DE				X		X				06/01/99	
KYZEN CORP	UT				X		X				05/26/99	
KYZEN CORP	UT				X		X				05/26/99	
LCC INTERNATIONAL INC	DE				X		X				06/01/99	
LEGG MASON INC	MD	X									06/03/99	
LEVEL 3 COMMUNICATIONS INC	DE				X						05/27/99	
LOEWEN GROUP INC					X		X				06/01/99	
LSI LOGIC CORP	DE				X		X				05/19/99	
MACE SECURITY INTERNATIONAL INC	DE		X				X				05/26/99	
MARCAM SOLUTIONS INC	DE				X		X				05/27/99	
MARINE DRILLING COMPANIES INC	TX				X		X				06/03/99	
MARK IV INDUSTRIES INC	DE				X						05/19/99	
MAYTAG CORP	DE						X				06/03/99	
MBNA CORP	MD				X						06/03/99	
MED WASTE INC	DE				X		X				06/02/99	
MEDCARE TECHNOLOGIES INC	DE	X									06/01/99	
MEDCARE TECHNOLOGIES INC	DE	X					X				06/02/99	AMEND
MICRO THERAPEUTICS INC	DE				X		X				05/21/99	
MORGAN PRODUCTS LTD	DE						X				02/19/99	AMEND
MORTGAGE PASS THROUGH CERTIFICATES SERIES 1998-13	DE				X		X				04/25/99	
MORTGAGE PASS THROUGH CERTIFICATES SERIES 1998-14	DE				X		X				04/25/99	
MOTIVEPOWER INDUSTRIES INC	PA				X						06/02/99	
NATIONAL PROCESSING INC	OH	X					X				06/03/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
NATIONSLINK FUNDING CORP COMM MORT PASS THR CERT SER 1999-1	DE								X		05/20/99	
NETPLEX GROUP INC	NY				X						05/28/99	
NETWORK CONNECTION INC	GA	X	X				X	X			05/28/99	
NEUROMEDICAL SYSTEMS INC	DE					X					05/19/99	
NEW WORLD PUBLISHING INC /CO/	CO		X								05/18/99	
NEWPORT NEWS SHIPBUILDING INC	DE				X		X				06/01/99	
NEXMED INC	NV		X					X			05/18/99	
NOBEL INSURANCE LTD					X		X				04/19/99	
NTL COMMUNICATIONS CORP	DE				X		X				06/02/99	
NU HORIZONS ELECTRONICS CORP	DE							X			05/26/99	
ORGANIC FOOD PRODUCTS INC	CA					X					06/03/99	
OSTEOTECH INC	DE					X					05/12/99	
OSULLIVAN CORP	VA					X	X				06/02/99	
OUTDOOR SYSTEMS INC	DE				X		X				06/02/99	
PAINWEBBER MORTGAGE ACCEPTANCE COR P V	DE				X		X				06/02/99	
PARAMOUNT FINANCIAL CORP	DE				X						05/27/99	
PARAVANT INC	FL					X					06/02/99	
PARTNERS FIRST CREDIT CARD MASTER T RUST	DE				X		X				05/17/99	
PARTNERS FIRST RECEIVABLES FUNDING LLC	DE				X		X				05/17/99	
PEN TAB INDUSTRIES INC	DE				X		X				06/03/99	
PEOPLES HERITAGE FINANCIAL GROUP IN C	ME				X		X				06/01/99	
PETPLANET COM INC	DE				X		X				05/13/99	
PHILADELPHIA SUBURBAN CORP	PA				X						06/02/99	
PHOENIX INTERNATIONAL INDUSTRIES IN C /FL/	FL							X			08/31/98	AMEND
PHOENIX MEDICAL TECHNOLOGY INC	DE				X		X				05/31/99	
PHOTOMATRIX INC/ CA	CA				X						05/26/99	
PITTHWAY CORP /DE/	DE					X					06/01/99	
POGO PRODUCING CO	DE				X		X				05/26/99	AMEND
PRATT CASINO CORP	DE				X						05/25/99	
PRISON REALTY TRUST INC	MD				X		X				05/26/99	
PRODIGY COMMUNICATIONS CORP						X					05/26/99	
PRT FUNDING CORP	DE				X						05/25/99	
PUROFLOW INC	DE				X		X				05/28/99	
PVF CAPITAL CORP	OH				X						06/01/99	
PYR ENERGY CORP	DE				X		X				06/01/99	
RJR NABISCO HOLDINGS CORP	DE				X		X				05/12/99	
ROBERTS PHARMACEUTICAL CORP	NJ				X						06/02/99	
ROSE HILLS CO	DE					X					06/02/99	
SAFE TECHNOLOGIES INTERNATIONAL INC	DE					X					06/02/99	
SALIENT CYBERTECH INC	DE						X				05/26/99	
SALOMON BROTH MO SE VII INC FL RT M OR PA TH CER SER 1999 NC2	DE				X		X				05/31/99	
SALOMON BROTHERS MOR SEC VII INC MO R PA THR CER SER 1999 NC1	DE				X		X				05/31/99	
SDL INC	DE		X					X			05/18/99	
SEAHAWK DEEP OCEAN TECHNOLOGY INC	CO				X		X				03/23/99	
SFX ENTERTAINMENT INC	DE				X		X				06/01/99	
SKYTEL COMMUNICATIONS INC	DE				X		X				05/28/99	
SLM HOLDING CORP	DE				X		X				05/25/99	
SOUTHDOWN INC	LA				X		X				05/20/99	
SPARTAN STORES INC	MI		X		X		X				05/19/99	
SPEEDWAY MOTORSPORTS INC	DE				X		X				06/02/99	
STATEFED FINANCIAL CORP	DE				X		X				05/24/99	
STERLING BANCSHARES INC	TX				X		X				06/01/99	
STERLING FINANCIAL CORP /WA/	WA				X		X				06/02/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
TELEHUB COMMUNICATIONS CORP	NV		X		X	X					05/19/99	
TEXAS MICRO INC	DE				X	X					05/24/99	
TM CENTURY INC	DE	X									05/17/99	
TRANSLATION GROUP LTD	DE				X						05/27/99	
TYCO INTERNATIONAL LTD /BER/					X	X					04/02/99	
UGI CORP /PA/	PA				X	X					05/25/99	
UGI UTILITIES INC	PA				X	X					05/25/99	
UNITED FINANCIAL MORTGAGE CORP	IL	X									05/25/99	
UNIVERSAL DISPLAY CORP \PA\	PA				X						05/15/99	
USA GROUP SECONDARY MARKET SERVICES INC	DE				X	X					05/21/99	
VIRGINIA ELECTRIC & POWER CO	VA				X						06/03/99	
VISTA GOLD CORP					X	X					06/02/99	
WELLS REAL ESTATE FUND XI L P	GA	X				X					05/18/99	
WESTINGHOUSE AIR BRAKE CO /DE/	DE				X	X					06/02/99	
WFS FINANCIAL 1998 A OWNER TRUST	CA				X	X					05/20/99	
WFS FINANCIAL 1998-C OWNER TRUST	CA				X	X					05/20/99	
WFS FINANCIAL 1999-A OWNER TRUST	DE				X	X					05/20/99	
WINSTAR COMMUNICATIONS INC	DE				X	X					06/03/99	
XATA CORP /MN/	MN				X	X					05/13/99	
YAHOO INC	CA				X	X					05/27/99	
ZALE CORP	DE				X	X					06/03/99	