

# SEC NEWS DIGEST

Issue 99-38

February 26, 1999

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## RULES AND RELATED MATTERS

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### AMENDMENTS TO RULE 504 OF REGULATION D

The Commission has issued a release adopting amendments to Rule 504, the limited offering exemption under Regulation D. The amendments are designed to deter abuses of this rule yet preserve the ability of legitimate small businesses to raise capital. These amendments would establish the general principle that securities issued in a Rule 504 transaction, just like the other Regulation D exemptions, would be restricted, and would prohibit general solicitation and advertising, unless the specified conditions for a public Rule 504 offering are satisfied. The amendments will be effective 30 days after publication in the Federal Register. For further information contact: Barbara Jacobs or Richard Wulff, Office of Small Business, Division of Corporation Finance, at (202) 942-2950. (Rel. 33-7644)

### AMENDMENTS TO RULE 701 OF THE SECURITIES ACT

The Commission has issued a release adopting amendments to Rule 701 under the Securities Act of 1933, which provides an exemption from registration for securities issued by non-reporting companies pursuant to compensatory arrangements. These amendments make Rule 701 more useful and eliminate unnecessary restrictions by removing the \$5 million aggregate offering price ceiling and setting the maximum amount of securities that may be sold in a 12-month period to a more appropriate limit based upon the size of the issuer. Further, specific disclosure is required if issuers sell more than \$5 million in a 12-month period and the definition of consultant and advisor is harmonized to the one contained in Form S-8, the short-form registration statement form under the Securities Act for the offer and sale of employee benefit plan securities. The amendments to Rule 701 will be effective 30 days after publication in the Federal Register. For further information contact: Richard Wulff, Office of Small Business, Division of Corporation Finance, at (202) 942-2950. (Rel. 33-7645)

### AMENDMENTS TO SECURITIES ACT FORM S-8 AND RELATED RULES

The Commission has issued a release (Rel. 33-7646) adopting amendments to Form S-8 under the Securities Act of 1933, the short-form registration statement for offers and sales of a public company's securities to its employees, and related rules. Some of

the amendments are designed to deter misuse of this form either to avoid the Securities Act registration requirements that apply to securities sales to non-employees or to issue securities as compensation to stock promoters. Other amendments permit employees' family members to use Form S-8 to exercise options issued under employee benefit plans. These amendments are designed to facilitate intra-family transfers of options for estate planning purposes. The Commission also has issued a release (Rel. 33-7647) proposing additional amendments to Form S-8 that also are designed to deter misuse of the form, and extending the comment period on some of the amendments to Form S-8 that were proposed in Release 33-7506. Release 33-7647 provides a 60-day comment period. For further information contact: Anne M. Krauskopf, Office of Chief Counsel, Division of Corporation Finance, at (202) 942-2900. (Rels. 33-7646; 33-7647)

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## **ENFORCEMENT PROCEEDINGS**

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### **FINAL JUDGMENT OBTAINED AGAINST JOEL FEIN, TIMOTHY HAZZARD, MARK McCLAFFERTY AND GRACE NA**

On December 31, 1998, the SEC obtained judgments and substantial penalties against the perpetrators of a telemarketing Ponzi scheme that raised over \$15 million from investors nationwide. Chief Judge Richard P. Matsch of the United States District Court for the District of Colorado issued Final Judgments of Permanent Injunction against Defendants Joel A. Fein, Timothy M. Hazzard, Mark D. McClafferty and Grace Na. The Final Judgments enjoin Fein, Hazzard and McClafferty from violations of Section 17(a) of the Securities Act of 1933 and Sections 10(b) and 15(a) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. The Final Judgment as to Na enjoins her from violations of Section 17(a) of the Securities Act and Section 10(b) of the Exchange Act and Rule 10b-5 thereunder. In addition, the Final Judgments order: (1) Fein to disgorge \$1,074,945 plus prejudgment interest and pay a \$500,000 penalty; (2) Hazzard to disgorge \$1,143,220 plus prejudgment interest and pay a \$500,000 penalty; (3) McClafferty to disgorge \$2,644,198 plus prejudgment interest and pay a \$750,000 penalty; and (4) Na to disgorge \$396,909 plus prejudgment interest and pay a \$100,000 penalty. Also named in the complaint as defendants were Commercial Express, LLC and Progressive Financial, Inc., both operating in Santa Monica, California, as well as six other entities and individuals. The action against these defendants is pending. [SEC v. Commercial Express, et al., Civil Action No. 98-M-2014, USDC CO] (LR-16071)

### **SEC OBTAINS FINAL JUDGMENTS CONCLUDING FUTREX SECURITIES FRAUD CASE**

The Commission has obtained a final judgment against Futrex, Inc., Futrex Medical Instrumentation, Inc. (Jointly referred to as "Futrex"), and Robert D. Rosenthal, Futrex's chairman of the board, chief executive officer, president, and treasurer, in a fraud case

arising from Futrex's private placement of securities in 1994 and abortive initial public offering in 1995. Without admitting or denying the Commission's allegations, the defendants consented to entry of the judgment, which enjoins them from violating Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934, and Rule 10b-5 thereunder, and orders Rosenthal to pay a \$50,000 civil penalty.

The Commission's complaint, filed on September 23, 1996, alleged that the defendants, in connection with Futrex's 1994 private placement and 1995 abortive initial public offering, made materially false and misleading statements concerning Futrex's "Dream Beam" product, a hand-held laboratory instrument that purportedly could measure a person's blood glucose level by passing infrared light through his or her finger. Among other things, the complaint alleged that the defendants fraudulently made unsupportable claims about the effectiveness of the Dream Beam, and staged deceptive demonstrations of the Dream Beam for prospective investors. [SEC v. Futrex, Inc., et al., Civil Action No. 1:96CV02192, RWR, U.S. Dist. Ct., D.D.C.] (LR-16072)

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## INVESTMENT COMPANY ACT RELEASES

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### ORDERS OF DEREGISTRATION UNDER THE INVESTMENT COMPANY ACT OF 1940

Orders have been issued under Section 8(f) of the Investment Company Act of 1940 declaring that each of the following has ceased to be an investment company:

Old Mutual Equity Growth Assets South Africa Fund  
[File No. 811-9136] (Rel. IC-23704 - February 24)

Hyperion 1997 Term Trust, Inc.  
[File No. 811-7072] (Rel. IC-23705 - February 24)

Oppenheimer Adjustable Rate Preferred Fund  
[File No. 811-4045] (Rel. IC-23706 - February 24)

Oppenheimer Global Securities Fund  
[File No. 811-6002] (Rel. IC-23707 - February 24)

The Analytic Series Fund  
[File No. 811-7366] (Rel. IC-23708 - February 24)

Analytic Optioned Equity Fund, Inc.  
[File No. 811-2807] (Rel. IC-23709 - February 24)

Bond Portfolio for Endowments, Inc.  
[File No. 811-2210] (Rel. IC-23710 - February 24)

Warburg, Pincus Strategic Value Fund, Inc.  
[File No. 811-7929] (Rel. IC-23711 - February 24)

## HORACE MANN MUTUAL FUNDS AND WILSHIRE ASSOCIATES INCORPORATED

An order has been issued on an application filed by Horace Mann Mutual Funds and Wilshire Associates Incorporated under Section 6(c) of the Investment Company Act of 1940 exempting applicants from section 15(a) of the Act, rule 18f-2 under the Act, and certain disclosure requirements under the Act. The order permits applicants to enter into and materially amend investment management agreements with subadvisers without shareholder approval, and grants relief from certain disclosure requirements regarding advisory fees paid to the subadvisers. (Rel. IC-23712 - February 25)

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### SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <public info @ sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-3 HA LO INDUSTRIES INC, 5980 W TOUHY AVE, NILES, IL 60714 (708) 647-2300  
- 1,035,000 (\$13,228,611) COMMON STOCK (FILE 333-72609 - FEB 19)  
(BR 2)
- S-3 FLORIDA PANTHERS HOLDINGS INC, 450 EAST LAS OLAS BOULEVARD, 10TH FL,  
FT LAUDERDALE, FL 33301 (954) 712-1300 - 4,022,561 (\$42,111,186)  
COMMON STOCK (FILE 333-72611 - FEB 19) (BR 5)
- S-8 AMERICAN BANKERS INSURANCE GROUP INC, 11222 QUAIL ROOST DR, MIAMI, FL  
33157 (305) 253-2244 - 26,000 (\$1,190,313) COMMON STOCK (FILE 333-72615 -  
FEB 19) (BR 1)
- S-8 AMERICAN BANKERS INSURANCE GROUP INC, 11222 QUAIL ROOST DR, MIAMI, FL  
33157 (305) 253-2244 - 1,000,000 (\$45,781,250) COMMON STOCK (FILE  
333-72619 - FEB 19) (BR 1)
- S-8 PAXSON COMMUNICATIONS CORP, 601 CLEARWATER PK RD, WEST PALM BEACH, FL  
33401 (561) 659-4122 - 7,200,000 (\$64,125,000) COMMON STOCK (FILE  
333-72623 - FEB 19) (BR 7)
- SB 2 GLOBAL SOURCING GROUP INC, 587 VENTU PARK, SUITE 801, THOUSAND OAKS, CA  
93120 - 3,637,736 (\$826,494) COMMON STOCK (FILE 333-72625 - FEB 19)  
(BR 9 - NEW ISSUE)
- S-8 WELLS GARDNER ELECTRONICS CORP, 2701 N KILDARE AVE, CHICAGO, IL 60639  
(312) 252-8220 - 500,000 (\$1,343,750) COMMON STOCK (FILE 333-72629 -  
FEB 19) (BR 3)
- S 3 CRITICARE SYSTEMS INC /DE/, 20925 CROSSROADS CIRCLE, WAUKESHA, WI 53186  
(414) 798-8282 - 350,000 (\$570,500) COMMON STOCK (FILE 333-72631 -  
FEB 19) (BR 5)

S-8 PURCHASESOFT INC, 7301 OHMS LANE, SUITE 220, EDINA, MN 55439  
(612) 941-1500 - 3,500,000 (\$3,675,000) COMMON STOCK. (FILE 333-72633 -  
FEB 19) (BR. 3)

S-3 MEREDITH CORP, 1716 LOCUST ST, DES MOINES, IA 50309 (515) 284-3000 -  
1,000,000 (\$34,062,500) COMMON STOCK. (FILE 333-72635 - FEB 19) (BR. 5)

S-8 BACOU USA INC, 10 THURBER BLVD, SMITHFIELD, RI 02917 (401) 233-0333 -  
700,000 (\$14,940,625) COMMON STOCK. (FILE 333-72637 - FEB. 19) (BR. 5)

S-3 REYNOLDS & REYNOLDS CO, 115 S LUDLOW ST, DAYTON, OH 45402 (937) 485-2000  
- 87,814 (\$1,767,257) COMMON STOCK. (FILE 333-72639 - FEB 19) (BR. 5)

S-8 RECOVERY NETWORK INC, 1411 5TH STREET, SUITE 250, SANTA MONICA, CA 90401  
(310) 393-3979 - 950,000 (\$741,000) COMMON STOCK. (FILE 333-72641 -  
FEB. 19) (BR. 9)

S-3 AMERUS LIFE HOLDINGS INC, 699 WALNUT STREET, DES MOINES, IA 50309  
(515) 362-3600 - 100,000 (\$1,850,000) COMMON STOCK. (FILE 333-72643 -  
FEB. 19) (BR 1)

S-8 EAGLE WIRELESS INTERNATIONAL INC, 910 GEMINI, HOUSTON, TX 77058  
(713) 280-0488 - 400,000 (\$937,500) COMMON STOCK (FILE 333-72645 -  
FEB 19) (BR 9)

S-3 PENN TREATY AMERICAN CORP, 3440 LEHIGH ST, ALLENTOWN, PA 18103  
(610) 965-2222 - 298,900 (\$6,734,590 63) COMMON STOCK (FILE 333-72649 -  
FEB 19) (BR 1)

S-8 CD WAREHOUSE INC, 1204 SOVEREIGN ROW, OKLAHOMA CITY, OK 73108  
(405) 949-2422 - 600,000 (\$5,035,656 20) COMMON STOCK (FILE 333-72651 -  
FEB 19) (BR 9)

S-8 WEBTRENDS CORP, 621 SW MORRISON ST, SUITE 1300, PORTLAND, OR 97205  
(503) 294-7025 - 2,319,350 (\$30,151,550) COMMON STOCK (FILE 333-72653 -  
FEB 19) (BR 3)

S-3 CWMBS INC, 4500 PARK GRANADA, CALABASAS, CA 91302 (818) 225-3240 -  
\$8,000,000,000 PASS-THROUGH MORTGAGE-BACKED CERTIFICATE (FILE 333-72655 -  
FEB 19) (BR 8)

S-3 VITESSE SEMICONDUCTOR CORP, 741 CALLE PLANO, CAMARILLO, CA 93012  
(805) 388-3700 - 327,628 (\$14,425,461) COMMON STOCK (FILE 333-72659 -  
FEB 19) (BR 5)

S-3 RESIDENTIAL ACCREDIT LOANS INC, 8400 NORMADALE LAKE BLVD, STE 600,  
MINNEAPOLIS, MN 55437 (612) 832-7000 - 1,000,000 (\$1,000,000)  
PASS-THROUGH MORTGAGE-BACKED CERTIFICATE (FILE 333-72661 - FEB 19)  
(BR 8)

S-1 LIFEPOINT INC, 10410 TRADEMARK ST, RANCHO CUCAMONGA, CA 91730  
(909) 466-8378 - 13,539,452 (\$52,888,484 37) COMMON STOCK (FILE  
333-72663 - FEB 19) (BR 5)

S-1 ANSYS DIAGNOSTICS INC, 25200 COMMERCENTRE DRIVE, LAKE FOREST, CA 92630  
(949) 770-9381 - 2,875,000 (\$34,500,000) COMMON STOCK (FILE 333-72665 -  
FEB 19) (NEW ISSUE)

S-1 MAPQUEST COM INC, 3710 HEMPLAND ROAD, MOUNTVILLE, PA 17554  
(717) 285-8500 - \$50,000,000 COMMON STOCK (FILE 333-72667 - FEB 19)  
(NEW ISSUE)

S-3 AT HOME CORP, 425 BROADWAY ST, REDWOOD CITY, CA 94063 (650) 569-5000 -  
919,000 (\$90,119,438) COMMON STOCK (FILE 333-72669 - FEB 19) (BR 3)

S-3 SAPIENT CORP, ONE MEMORIAL DR, CAMBRIDGE, MA 02142 (617) 621-0200 -  
73,250 (\$4,990,157) COMMON STOCK (FILE 333-72675 - FEB 19) (BR 3)

S-1 INFORMATICA CORP, 3350 W BAYSHORE RD, PALO ALTO, CA 94303 (650) 682-6200  
- \$36,400,000 COMMON STOCK (FILE 333-72677 - FEB 19) (NEW ISSUE)

S-3 PRENTISS PROPERTIES TRUST/MD, 3890 W NORTHWEST HY, STE 400, DALLAS, TX  
75220 (214) 654-0886 - 92,258 (\$1,836,511) COMMON STOCK (FILE 333-72681 -

FEB 19) (BR 8)

S-1 MUSICMAKER COM INC, 1831 WIEHLE AVENUE, SUITE 128, RESTON, VA 20190  
(703) 904-4110 - \$30,000,000 COMMON STOCK (FILE 333-72685 - FEB 19)  
(NEW ISSUE)

S-8 LAS VEGAS ENTERTAINMENT NETWORK INC, 1801 CENTURY PK E 23RD FL,  
STE 2300, LOS ANGELES, CA 90067 (310) 551-0011 - 2,000,000 (\$4,062,500)  
COMMON STOCK. (FILE 333-72689 - FEB. 19) (BR. 5)

S-3 LCA VISION INC, 7840 MONTGOMERY RD, CINCINNATI, OH 45236 (513) 792-9292  
- 2,040,000 (\$3,060,000) COMMON STOCK. (FILE 333-72691 - FEB. 19) (BR. 1)

S-1 DAVID BRIDAL INC, 44 WEST LANCASTER AVENUE SUITE 250, ARDMORE, PA 19003  
(610) 896-2111 - \$160,000,000 COMMON STOCK. (FILE 333-72693 - FEB 19)  
(NEW ISSUE)

S-8 NETUSA INC/CO/, 201 SAN ANTONIO CIRCLE, SUITE 250, MOUNTAIN VIEW, CA  
94040 (650) 948-6200 - 100,000 (\$300,000) COMMON STOCK (FILE 333-72699 -  
FEB 22) (BR 1)

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RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events

Item 1 Changes in Control of Registrant.  
Item 2 Acquisition or Disposition of Assets  
Item 3 Bankruptcy or Receivership.  
Item 4 Changes in Registrant's Certifying Accountant  
Item 5 Other Materially Important Events  
Item 6 Resignations of Registrant's Directors  
Item 7 Financial Statements and Exhibits  
Item 8 Change in Fiscal Year  
Item 9 Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D C 20549 or at the following e-mail box address <public info @ sec> In most cases, this information is also available on the Commission's website <www sec gov>

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
AAMES FINANCIAL CORP/DE	DE	X					X				02/10/99	
AAMES FINANCIAL CORP/DE	DE				X	X					02/22/99	
ABN AMRO MORTGAGE CORP	DE				X						02/19/99	
ADEN ENTERPRISES INC	CA	X									01/15/99	
ADVANTA MORTGAGE LOAN TRUST 1999-1	DE				X	X					02/19/99	
ADVANTA MORTGAGE LOAN TRUST 1999-1	DE				X	X					02/23/99	
AEGIS REALTY INC	MD	X					X				12/10/98	AMEND
AEROFLEX INC	DE	X		X	X						02/25/99	
AFTERMARKET TECHNOLOGY CORP	DE				X	X					02/23/99	
ALABAMA POWER CO	AL				X	X					02/18/99	
ALLIED RESEARCH CORP	DE				X						12/15/99	
AMERICA SERVICE GROUP INC /DE	DE						X				01/26/99	AMEND
AMERICAN AIRLINES INC	DE	X									02/24/99	
AMERICAN PAD & PAPER CO	DE				X						02/24/99	
AMERICAN TOWER CORP /MA/	DE				X						02/24/99	
AMP INC	PA				X	X					02/18/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
AMR CORP	DE	X									02/24/99	
APPLEBEES INTERNATIONAL INC	DE			X	X						02/10/99	
ARIZONA INSTRUMENT CORP	DE			X							02/24/99	
AUDIO BOOK CLUB INC	FL					X					12/14/98	AMEND
AVONDALE FINANCIAL CORP	DE					X					02/24/99	
BA MASTER CREDIT CARD TRUST /								X			10/31/98	
BANC CORP	DE	X				X					02/12/99	
BANC ONE HELOC TRUST 1998-1	OH			X	X						02/28/99	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE			X	X						02/22/99	
BARNETT AUTO TRUST 1997-A	DE			X	X						02/15/99	
BB&T CORP	NC			X	X						02/25/99	
BEAR STEARNS COMPANIES INC	DE			X	X						02/23/99	
BILLING CONCEPTS CORP	DE			X							12/18/98	
BIOGEN INC	MA			X	X						02/22/99	
BOATMENS AUTO TRUST 1995-A	DE			X	X						02/15/99	
BOATMENS AUTO TRUST 1995-A	DE			X	X						02/15/99	
BOATMENS AUTO TRUST 1996-A	DE			X	X						02/15/99	
BORG WARNER AUTOMOTIVE INC	DE			X							02/24/99	
CALLAWAY GOLF CO /CA	CA	X			X						02/12/99	
CALUMET BANCORP INC /DE	DE			X							01/27/99	
CAPITAL AUTO RECEIVABLES INC	DE			X	X						02/23/99	
CAPITAL INCOME PROPERTIES C LIMITED PARTNERSHIP	DC	X									02/24/99	
CAPITOL REVOLVING HOME EQUITY LOAN TRUST 1996-1					X						02/22/99	
CAPITOL REVOLVING HOME EQUITY LOAN TRUST 1997-1	MD			X							02/22/99	
CC MASTER CREDIT CARD TRUST	MD					X					02/16/99	
CC MASTER CREDIT CARD TRUST II	MD					X					02/16/99	
CD WAREHOUSE INC	DE	X		X	X						02/17/99	
CELLEX BIOSCIENCES INC	MN					X					02/15/99	
CELTRIX PHARMACEUTICALS INC	DE			X	X						02/25/99	
CENTRAL EUROPEAN DISTRIBUTION CORP	DE			X							02/25/99	
CHART INDUSTRIES INC	DE			X	X						02/25/99	
CHASE MORTGAGE FINANCE CORP	DE			X	X						02/24/99	
CHASE MORTGAGE FINANCE CORP	DE			X	X						02/25/99	
CHEC ASSET RECEIVABLES CORP	NV			X	X						02/22/99	
CIMARRON GRANDVIEW GROUP INC	WA	X									02/28/99	
CLAYTON HOMES INC	TN			X	X						02/17/99	
COLONIAL REALTY LIMITED PARTNERSHIP	DE			X							02/25/99	
COMMONWEALTH EDISON CO	IL			X							02/19/99	
COMMUNITY BANCSHARES INC /NC/	NC	X									02/18/99	
CONSOLIDATED DELIVERY & LOGISTICS I NC	DE			X							01/29/99	
CONSOLIDATED DELIVERY & LOGISTICS I NC	DE	X				X					02/16/99	
CONSUMER PORTFOLIO SERVICES INC	CA					X					02/16/99	
COOPER COMPANIES INC	DE			X	X						02/23/99	
CWABS INC	DE			X	X						02/18/99	
CWABS INC	DE			X	X						02/25/99	
CWABS INC	DE			X	X						02/24/99	
CWABS INC	DE			X							02/25/99	
CYPRUS AMAX MINERALS CO	DE			X	X						02/11/99	
DAMEN FINANCIAL CORP	DE			X	X						02/22/99	
DEUTSCHE FLOORPLAN RECEIVABLES L P	DE			X	X						01/31/99	
DOLLAR FINANCIAL GROUP INC	NY	X				X					02/25/99	
DTM CORP /TX/	TX	X									02/12/99	
DUCOMMUN INC /DE/	DE					X					02/17/99	
DUKE CAPITAL CORP	DE					X					10/31/98	
DYNAMIC MATERIALS CORP	DE					X					02/22/99	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT		
	CODE	1	2	3	4	5	6	7	8	9				
EFTC CORP/	CO					X						01/22/99		
EMC CORP	MA					X						02/25/98		
ENTERTAINMENT INC	DE					X	X					02/23/99		
EQCC HOME EQUITY LOAN TRUST 1998-1	DE					X	X					02/16/99		
EQCC HOME EQUITY LOAN TRUST 1998-2	DE					X	X					02/16/99		
EQCC HOME EQUITY LOAN TRUST 1998-3	DE					X	X					02/16/99		
EQCC HOME EQUITY LOAN TRUST 1998-4	DE					X	X					02/16/99		
EQCC RECEIVABLES CORP	DE					X	X					02/25/99		
EQUITY RESIDENTIAL PROPERTIES TRUST	MD							X				02/24/99		
FCNB CORP	MD							X				02/24/99		
FEDERAL MOGUL CORP	MI					X	X					02/24/99		
FIFTH THIRD BANK AUTO TRUST 1996-A	OH							X				02/16/99		
FIFTH THIRD BANK AUTO TRUST 1996-B	OH							X				02/16/99		
FINANCIAL ASSET SECS CORP ASSET BAC	DE					X	X					02/19/99		
KED CERTS SRS 1999-1														
FIRST BUSEY CORP /NV/	NV					X						02/19/99		
FIRST NATIONAL BANK OF ATLANTA						X	X					01/31/99		
FIRST NBC CREDIT CARD MASTER TRUST								X				02/10/99		
FIRST OMNI BANK CREDIT CARD MASTER TRUST								X				01/31/99		
FIRST SECURITY AUTO OWNER TRUST 1999 1	UT					X						02/25/99		
FIRST UNION RESIDENTIAL SECURITIZATION TRANSACTIONS INC	NC					X	X					02/22/99		
FIRST USA CREDIT CARD MASTER TRUST	DE					X	X					02/10/99		
FUTURE PETROLEUM CORP/UT/	UT					X	X					02/15/99		
GALAXY ENTERPRISES INC /NV/	NV					X						03/15/99		
GENESIS DIRECT INC	DE			X				X				02/09/99		
GEOCITIES	DE					X	X					02/25/99		
GLOBAL CROSSING LTD						X	X					02/24/99		
GLOBAL HEALTH SCIENCES INC								X				12/11/98	AMEND	
GLOBAL IMAGING SYSTEMS INC	DE					X	X					02/11/99		
GOODRICH B F CO	NY					X	X					02/18/99		
GREENPOINT CREDIT CORP	DE					X	X					02/17/99		
GREENPOINT FINANCIAL CORP	DE					X	X					02/17/99		
GREG MANNING AUCTIONS INC	DE			X								02/23/99		
GROUP 1 AUTOMOTIVE INC	DE					X	X					02/24/99		
GS FINANCIAL PRODUCTS US LP						X						02/23/99		
HALLIBURTON CO	DE					X	X					02/18/99		
HAWAIIAN ELECTRIC CO INC	HI							X				02/23/99		
HAWAIIAN ELECTRIC INDUSTRIES INC	HI							X				02/23/99		
HEALTHDESK CORP	CA					X						02/18/99		
HITCOM CORP	DE			X								02/15/99		
HORIZONTAL VENTURES INC	CO					X		X				02/18/99		
HOUSEHOLD CONSUMER LOAN TRUST 1996-1	DE			X								02/12/99		
HOUSEHOLD CONSUMER LOAN TRUST 1996-2	DE			X								02/12/99		
HOUSEHOLD CONSUMER LOAN TRUST 1997-1	DE			X								02/12/99		
HOUSEHOLD CONSUMER LOAN TRUST 1997-2	DE			X								02/12/99		
HOUSEHOLD CREDIT CARD MASTER TRUST 1	DE			X								02/16/99		
HOUSEHOLD FINANCE CORP HOUSEHOLD AFF CRE CAR MAST I	DE			X								02/16/99		
HOUSEHOLD FINANCE CORP HOUSEHOLD CONSUMER LN TRUST 1995-1	DE			X								02/12/99		
HOUSEHOLD PRIVATE LABEL CREDIT CARD MASTER TRUST II	DE			X								02/16/99		



NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
HOUSEHOLD REVOLVING HOME EQUITY LOA N TRUST 1995-1	DE		X									02/22/99	
HOUSEHOLD REVOLVING HOME EQUITY LOA N TRUST 1995-2	DE		X									02/22/99	
HOUSEHOLD REVOLVING HOME EQUITY LOA N TRUST 1996-1	DE		X									02/22/99	
HOUSEHOLD REVOLVING HOME EQUITY LOA N TRUST 1996-2	DE		X									02/22/99	
HUTTON GSH COMMERCIAL PROPERTIES 3	VA		X									02/09/99	
HYDROMAID INTERNATIONAL INC	NV	X				X						10/16/98	AMEND
HYDROMAID INTERNATIONAL INC	NV	X					X					10/16/98	AMEND
HYDROMAID INTERNATIONAL INC	NV					X						01/26/99	AMEND
HYTK INDUSTRIES INC	NV					X						01/14/99	
IMC HOME EQUITY LOAN OWNER TRUST 19 98-4	NY					X		X				01/20/99	
IMC HOME EQUITY LOAN OWNER TRUST 19 98-6	DE					X		X				01/20/99	
IMC HOME EQUITY LOAN OWNER TRUST 19 98-7	DE					X		X				01/20/99	
IMC HOME EQUITY LOAN TRUST 1998 5	NY					X		X				01/20/99	
IMC HOME EQUITY LOAN TRUST 1998-1	DE					X		X				01/20/99	
IMC HOME EQUITY LOAN TRUST 1998-3	DE					X		X				01/20/99	
INDUSTRIAL SCIENTIFIC CORP	PA					X		X				02/23/99	
INLAND REAL ESTATE CORP	MD		X			X		X				02/08/99	
INTELLIGROUP INC	NJ		X									02/16/99	
INTERCARGO CORP	DE					X						02/17/99	
JACKSONVILLE BANCORP INC	TX					X		X				02/24/99	
JORDAN INDUSTRIES INC	IL					X						02/25/99	
JUST FOR FEET INC	DE					X						06/26/98	
KELLWOOD CO	DE					X		X				02/23/99	
KEY CONSUMER ACCEPTANCE CORP	DE					X		X				02/16/99	
KEY CONSUMER ACCEPTANCE CORP	DE					X		X				02/16/99	
KS BANCORP INC	NC					X						02/24/99	
LADD FURNITURE INC	NC					X		X				02/18/99	
LASALLE PARTNERS INC	MD					X		X				02/22/99	
LEVEL 3 COMMUNICATIONS INC	DE					X						02/22/99	
LIGHTBRIDGE INC	DE					X		X				02/24/99	
LITTLE SWITZERLAND INC/DE	DE					X		X				02/23/99	
LYCOS INC	DE					X		X				02/09/99	
MACDERMID INC	CT					X						02/18/99	
MARTIN INDUSTRIES INC /DE/	DE					X		X				02/18/99	
MARVEL ENTERPRISES INC	DE					X		X				02/25/99	
MCA FINANCIAL CORP /MI/	MI					X						02/10/99	
MENS WEARHOUSE INC	TX		X					X				02/10/99	
MERCHANTONLINE COM INC	FL		X		X			X				02/19/99	
MERIDIAN INDUSTRIAL TRUST INC	MD					X		X				11/10/98	AMEND
MERIDIAN INDUSTRIAL TRUST INC	MD					X		X				12/11/98	AMEND
MERITOR AUTOMOTIVE INC	DE					X		X				02/25/99	
METAL RECOVERY TECHNOLOGIES INC	DE								X			02/24/99	
METALINE MINING & LEASING CO	WA	X										02/28/99	
MIAMI COMPUTER SUPPLY CORP	OH							X				12/10/98	AMEND
MICHAEL FOODS INC /MN	MN					X		X				02/02/99	
MINDSPRING ENTERPRISES INC	DE							X				02/24/99	
MINDSPRING ENTERPRISES INC	DE		X					X				02/24/99	
MONACO FINANCE INC	CO					X						02/20/99	
MORGAN STANLEY CAPITAL I INC	DE					X		X				02/23/99	
MORGAN STANLEY CAPITAL I INC	DE					X		X				02/24/99	
MOUNTAIN STATES GUARANTY MORTGAGE C O	CO					X		X				02/23/99	
MUZAK CAPITAL CORP	DE					X		X				02/08/99	
MUZAK LIMITED PARTNERSHIP	DE					X		X				02/08/99	

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		1	2	3	4	5	6	7	8	9		
NATIONAL DISCOUNT BROKERS GROUP INC	DE			X			X				02/22/99	
NATIONSBANK AUTO OWNER TRUST 1996-A	DE				X		X				02/15/99	
NATIONSBANK NA	NC				X		X				02/15/99	
NATIONSBANK OF DELAWARE NA	DE				X		X				02/15/99	
NATIONSCREDIT GRANTOR TRUST 1996-1	DE				X		X				02/15/99	
NATIONSCREDIT GRANTOR TRUST 1997-1	DE				X		X				02/15/99	
NATIONSCREDIT GRANTOR TRUST 1997-2	DE				X		X				02/15/99	
NETWORK SIX INC	RI	X									02/23/99	
NEWCOURT RECEIVABLES CORP	DE				X		X				02/17/99	
NEWCOURT RECEIVABLES CORP II					X		X				02/18/99	
NEWCOURT RECEIVABLES CORP II					X		X				02/18/99	
NEXTEL COMMUNICATIONS INC	DE				X		X				02/24/99	
NORTH VALLEY BANCORP	CA		X								02/16/99	
NORTHEAST UTILITIES SYSTEM	MA				X						02/23/99	
NORTHWEST AIRLINES HOLDINGS CORP/PR ED	DE						X				02/16/99	
NORWEST ASSET SECURITIES CORP	DE				X		X				02/24/99	
OMEGA ENVIRONMENTAL INC	DE		X		X		X				12/23/98	
OMNIQUIP INTERNATIONAL INC	DE				X		X				02/16/99	
ONEOK INC /NEW/	OK				X		X				02/24/99	
OPENROUTE NETWORKS INC	MA			X			X				01/13/99	AMEND
ORBITAL SCIENCES CORP /DE/	DE				X						02/16/99	
OXFORD HEALTH PLANS INC	DE				X		X				02/25/99	
PACIFIC CENTURY FINANCIAL CORP	HI	X									02/25/99	
PAMECO CORP	GA				X						02/23/99	
PARTS SOURCE INC	FL				X		X				02/16/99	
PG&E FUNDING LLC	DE				X		X				02/17/99	
PG&E GAS TRANSMISSION NORTHWEST COR P	CA				X		X				02/17/99	
PRIME RECEIVABLES CORP	DE						X				02/16/99	
PROLOGIS TRUST	MD			X			X				09/30/98	AMEND
PROLOGIS TRUST	MD			X			X				12/10/98	AMEND
PROVIDIAN MASTER TRUST					X						02/16/99	
PROVIDIAN NATIONAL BANK /NEW/					X						02/16/99	
READERS DIGEST ASSOCIATION INC	DE				X						03/31/99	
RENT A CENTER INC DE	DE				X						12/31/98	
RESIDENTIAL ACCREDIT LOANS INC	DE				X		X				02/24/99	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE				X		X				02/24/99	
RICKS CABARET INTERNATIONAL-INC	TX				X						02/22/99	AMEND
ROBOTIC VISION SYSTEMS INC	DE				X		X				02/24/99	
SABA PETROLEUM CO	CO		X				X				02/03/99	AMEND
SALOMON BROTHERS MORTGAGE SECURITIE S VII INC	DE						X				02/24/99	
SALOMON SMITH BARNEY HOLDINGS INC	DE						X				02/23/99	
SANTA FE ENERGY RESOURCES INC	DE				X						02/24/99	
SANTA FE GAMING CORP	NV				X		X				02/23/99	
SAXON ASSET SECURITIES CO	VA				X						02/18/99	
SBA COMMUNICATIONS CORP	FL				X		X				02/24/99	
SCHOOL SPECIALTY INC	DE		X				X				02/09/99	
SERVICE EXPERTS INC	DE				X		X				02/23/99	
SMART & FINAL INC/DE	DE				X		X				02/17/99	
SOFTNET SYSTEMS INC	NY		X				X				02/24/99	
SOUTHERN UNION CO	DE				X						02/24/99	
SOUTHWESTERN PUBLIC SERVICE CO	NM				X		X				02/25/99	
SPINCYCLE INC	DE				X		X				02/09/99	
SPORTSLINE USA INC	DE				X		X				02/10/99	
STATEWIDE FINANCIAL CORP	NJ				X						12/31/99	
STONEVILLE INSURANCE CO	MS			X							01/26/99	AMEND

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STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X	X					02/22/99	AMEND
SYMMETRICOM INC	CA		X				X					02/10/99	
SYNCRONYS SOFTCORP	NV		X									01/31/98	
SYNTROLEUM CORP	KS			X								02/08/99	AMEND
TEL COM WIRELESS CABLE TV CORP	FL			X			X					02/17/99	
THERMOTREX CORP	DE				X	X						02/24/99	
TITANIUM METALS CORP	DE				X	X						02/24/99	
TRAVEL SERVICES INTERNATIONAL INC	FL						X					02/01/99	AMEND
TRAVELERS BANK CREDIT CARD MASTER T RUST I	DE				X	X						06/15/98	AMEND
TRICON GLOBAL RESTAURANTS INC	NC		X									02/11/99	
TRIDEX CORP	CT		X									02/22/99	
TRUE NORTH COMMUNICATIONS INC	DE				X	X						12/28/98	
U S WEST INC /DE/	DE				X	X						02/24/99	
U S WEST INC /DE/	DE				X	X						02/25/99	
UNICOM CORP	IL				X							02/19/99	
UNION NATIONAL FINANCIAL CORP / PA	PA				X	X						02/25/99	
UNITED VIDEO SATELLITE GROUP INC	DE				X							02/23/99	
USA NETWORKS INC	DE				X	X						02/09/99	
USCI INC	DE				X							02/18/99	
VANDERBILT MORTGAGE & FINANCE INC	TN				X	X						02/17/99	
VIALOG CORP	MA		X		X	X						02/10/99	
VINTAGE PETROLEUM INC	DE				X	X						02/24/99	
VOLKSWAGEN CREDIT AUTO RECEIVABLES CORP	DE				X	X						02/15/99	
WASHINGTON REAL ESTATE INVESTMENT T RUST	MD				X	X						02/19/99	
WEBSTER FINANCIAL CORP	DE				X	X						01/29/99	
WFS FINANCIAL 1998 A OWNER TRUST	CA				X	X						02/20/99	
WFS FINANCIAL 1998-C OWNER TRUST					X	X						02/20/99	
WHIRLPOOL CORP /DE/	DE			X								02/08/99	AMEND
WVS FINANCIAL CORP	PA				X							02/24/99	
3 D SYSTEMS CORP	DE				X							02/16/99	
7TH LEVEL INC	DE		X				X					02/16/99	