

sec news digest

Issue 93-221

LIBRARY

November 17, 1993

NOV 22 1993

ENFORCEMENT PROCEEDINGS

U.S. SECURITIES
EXCHANGE COMMISSION

CEASE AND DESIST ORDER ENTERED AGAINST STAR TECHNOLOGIES, INC.

The Commission instituted public proceedings pursuant to Section 21C(a) of the Exchange Act and issued an Opinion and Order making findings and imposing a Cease and Desist Order against Star Technologies, Inc. The Commission's Opinion and Order finds that Star violated Section 13(a) of the Securities Exchange Act of 1934 and Rules 13a-1, 13a-13 and 12b-20 thereunder with respect to Star's Annual Report on Form 10-K for fiscal 1990 and its Quarterly Report on Form 10-Q for the first quarter of fiscal 1990. Star failed to follow Generally Accepted Accounting Principles in the preparation of its financial statements included in the 10-K and 10-Q. (Rel. 34-33198; AAE Rel. 505)

CIVIL ACTION AGAINST INTERLINK DATA NETWORK OF LOS ANGELES, INC., ET AL.

On November 15, the U.S. District Court for the Central District of California entered final judgment against InterLink Data Network of Los Angeles, Inc. (InterLink), InterLink Fiber Optic Partners L.P. (InterLink Fiber), InterLink Video Phone Partners L.P. (InterLink Video) and Michael Gartner. The final judgments against Gartner, InterLink, InterLink Fiber, and InterLink Video permanently enjoin them from violating the antifraud, securities registration, and broker-dealer registration provisions of the federal securities laws, and order them to disgorge, jointly and severally, the sum of \$12,285,035, plus prejudgment interest. In addition, the Court ordered the three defendant InterLink entities to pay civil penalties totalling \$12,285,035.

On November 10, the Court issued a bench warrant for Gartner's arrest. On November 1, 1993, the Court had held Gartner in civil contempt for violating an asset freeze ordered by the Court and ordered Gartner to restore the money to the registry of the Court by November 9 or make an adequate showing to the Court by that date of his inability to make payment.

The complaint, filed May 27, 1993, alleged the defendants defrauded over 450 investors by selling securities in a nonexistent fiber optic telecommunications network. [SEC v. Interlink Data Network of Los Angeles, Inc., Interlink Fiber Optic Partners L.P., Interlink Video Phone Partners L.P. and Michael Gartner, Civ. No. 93-3073, R, USDC/CD/CA] (LR-13875)

MEDIZONE INTERNATIONAL, INC., CONSENTS TO INJUNCTION

The Commission announced that it filed a complaint on November 16 in the United States District Court for the District of Columbia against Medizone International, Inc. (Medizone) of New York, New York. The Commission in its complaint seeks to compel Medizone to file its delinquent periodic reports and to enjoin Medizone from further violations of Sections 13(a) and 15(d) of the Securities Exchange Act of 1934 and Rules 12b-25, 13a-1, 13a-11, 13a-13, 15d-1, 15d-11, and 15d-13 thereunder. Simultaneously with the filing of the Commission's complaint, Medizone consented to the entry of a Final Judgment of Permanent Injunction. In its Consent, Medizone admitted that it had failed to file its most recent annual report and two quarterly reports, filed late eighteen periodic reports and two current reports and failed to file fifteen notifications of late filing.

According to its most recent annual report, Medizone is a development stage company whose objective is to gain regulatory approval for and to promote and distribute a new drug. [SEC v. Medizone International, Inc., Civil Action No. 93-2361, JHG, D.D.C.] (LR-13876)

CIVIL ACTION AGAINST LOUIS FERRERO, MICHAEL MAIO, PATRICIA LADAVAC AND DARRIN DUNCAN

On November 16, Judge Larry McKinney released an opinion finding that Michael Maio, Patricia Ladavac (now known as Patricia Barclay Chandler) and Darrin Duncan engaged in insider trading in violation of federal securities laws. Maio was ordered to pay \$1,640,821 representing a three-time penalty (the maximum allowed by law), disgorgement and pre-judgment interest. Ladavac (a/k/a Chandler) and Duncan were ordered to pay \$229,410 and \$40,207, respectively, representing disgorgement, a one-time penalty and pre-judgment interest.

The Court also enjoined each defendant from future violations of Section 17(a) of the Securities Act of 1933, Sections 10(b) and 14(e) of the Securities Exchange Act of 1934 and Commission Rules 10b-5 and 14e-3.

The Court found that the defendants traded stock in Anacomp, Inc. and Xidex Corporation during June and July of 1988 while in possession of material nonpublic information concerning Anacomp's planned tender offer for Xidex. The Court found that Anacomp's chief executive officer, Louis P. Ferrero, improperly tipped Maio, who in turn tipped Duncan, Ladavac (a/k/k Chandler), and Maio's in-laws (who were not defendants). Without admitting or denying guilt, Ferrero previously agreed to pay a penalty of \$277,750 and consented to the entry of an injunction prohibiting him from future violations of the federal securities laws. [SEC v. Louis P. Ferrero, Michael A. Maio, Patricia C. Ladavac and Darrin Duncan, Civ. No. IP91 271C, USDC/SD IND/ID] (LR-13877)

HOLDING COMPANY ACT RELEASES

NATIONAL FUEL GAS COMPANY, ET AL.

An order has been issued authorizing a proposal by National Fuel Gas Company (NFG), a registered holding company, National Fuel Gas Distribution Corporation (Distribution), a gas utility subsidiary company of NFG, and National Fuel Gas Supply

Corporation (Supply), National Fuel Resources, Inc. (Resources), Seneca Resources Corporation (Seneca), and Empire Exploration, Inc. (Empire), each a wholly-owned non-utility subsidiary company of NFG (the subsidiary companies collectively, Covered Subsidiaries). NFG proposes to provide guarantees, through December 31, 1998, for a total of up to \$500 million of certain obligations of the Covered Subsidiaries, not to exceed the following amounts outstanding at any given time for each Covered Subsidiary: Distribution--\$350 million; Supply--\$50 million; Resources--\$50 million; Seneca--\$50 million; and Empire--\$10 million. (Rel. 35-25922)

PUBLIC SERVICE COMPANY OF NEW HAMPSHIRE

An order has been issued authorizing a proposal by Public Service Company of New Hampshire (PSNH), an electric utility subsidiary company of Northeast Utilities, a registered public-utility holding company. PSNH proposes to obtain extensions and modifications of and replacements for certain letters of credit and associated reimbursement agreements that have been used to improve the credit ratings and stabilize the remarketing of certain outstanding taxable pollution control revenue bonds. PSNH states that the terms and conditions relating to such extensions, modifications and replacements shall fall within certain specified parameters. (Rel. 35-25923)

THE SOUTHERN COMPANY

An order has been issued authorizing The Southern Company, a registered holding company, to guarantee the obligations of its nonutility subsidiary company, Southern Electric International, Inc., under a lease agreement with the Mutual Life Insurance Company of New York, a nonassociate company, for the lease of two floors in an office building in Atlanta, Georgia. The aggregate amount of the guarantee will be \$5.5 million. (Rel. 35-25924)

NATIONAL FUEL GAS COMPANY, ET AL.

A notice has been issued giving interested persons until December 6, 1993 to request a hearing on a proposal by National Fuel Gas Company and its subsidiary companies, National Fuel Gas Distribution Corporation, National Fuel Gas Supply Corporation, Empire Exploration, Inc., Penn-York Energy Corporation, Seneca Resources Corporation, Utility Constructors, Inc., Highland Land & Minerals, Inc., Enerop Corporation, Data-Track Account Services, Inc., and National Fuel Resources, Inc. to issue and sell commercial paper and other short-term notes, borrow short-term under credit facilities with banks or other financial institutions, and to enter into interest rate and currency exchange agreements. (Rel. 35-25925)

JERSEY CENTRAL POWER & LIGHT COMPANY, ET AL.

A notice has been issued giving interested persons until December 6, 1993 to request a hearing on a proposal by Jersey Central Power & Light Company, Metropolitan Edison Company and Pennsylvania Electric Company to enter into operation and maintenance agreements to provide, for a fee, services to non-utility generation facilities with which they have a power purchase agreement or with other such facilities within their service territories or adjacent electric utility service territories. (Rel. 35-25925)

ARKANSAS POWER & LIGHT COMPANY

A supplemental notice has been issued giving interested persons until December 6, 1993 to request a hearing on a proposal by Arkansas Power & Light Company (AP&L), an electric public-utility subsidiary company of Entergy Corporation, a registered holding company. AP&L proposes to issue \$270 million of preferred stock, through December 31, 1995, under the exemptive provisions of Rule 52 (Additional Stock). For the period during which any shares of the Additional Stock are outstanding, AP&L proposes to redeem shares of its Additional Stock, in accordance with any mandatory or optional redemption provisions established at the time of the Additional Stock's initial issuance; and redeem (or purchase in lieu of redemption) outstanding Additional Stock, in accordance with the sinking fund provisions established at the time of the Additional Stock's initial issuance. In addition, AP&L proposes to extend its period of authorization through December 31, 1995 to acquire from time to time approximately \$198.82 million aggregate principal amount of one or more series of AP&L's outstanding first mortgage bonds; \$175 million aggregate principal amount of one or more series of the outstanding pollution control revenue bonds and/or solid waste disposal bonds issued for AP&L's benefit; and \$150 million aggregate par value of one or more series of AP&L's outstanding preferred stock. (Rel. 35-25925)

UNITIL CORPORATION

A notice has been issued giving interested persons until December 6, 1993 to request a hearing on a proposal by UNITIL Corporation (UNITIL), a registered holding company. UNITIL seeks authorization for its management performance compensation program (Program) through December 31, 1998. Under the Program, key employees will be eligible to receive awards of common stock and cash. To implement the Plan, UNITIL proposes to distribute up to 83,400 shares of its common stock, no par value, to be acquired on the open market or alternatively to distribute up to 83,400 authorized but unissued shares of UNITIL's common stock. In addition, UNITIL proposes to distribute 1,818 shares of currently available common stock. (Rel. 35-25925)

AMERICAN ELECTRIC POWER COMPANY, INC., ET AL.

A notice has been issued giving interested persons until December 6, 1993 to request a hearing on a proposal by American Electric Power Company, Inc. (AEP), a registered holding company, and its subsidiaries, AEP Generating Company (Generating), Appalachian Power Company (Appalachian), Columbus Southern Power Company (Columbus), Indiana Michigan Power Company (Indiana Michigan), Kanawha Valley Power Company (Kanawha), Kentucky Power Company (Kentucky Power), Kingsport Power Company (Kingsport), Ohio Power Company (Ohio Power) and Wheeling Power Company (Wheeling). During the period beginning January 1, 1994 and ending December 31, 1995 AEP, Appalachian, Columbus, Indiana Michigan, Kentucky Power and Ohio Power propose to issue, sell and renew short-term notes to banks and commercial paper to dealers (under an exception from competitive bidding) in aggregate principal amounts not to exceed \$150 million, \$250 million, \$200 million, \$200 million, \$100 million and \$250 million, respectively, outstanding at any one time; Generating, Kanawha, Kingsport and Wheeling propose to issue, sell and renew short-term notes to banks in aggregate principal amounts not to exceed \$50 million, \$10 million, \$20 million and \$20 million, respectively, outstanding at any one time; and AEP proposes to make certain capital contributions to its subsidiaries. (Rel. 35-25925)

ENTERGY CORPORATION, ET AL.

A supplemental notice has been issued giving interested persons until December 6, 1993 to request a hearing on a proposal by Entergy Corporation (Entergy), a registered holding company, and its subsidiaries, System Energy Resources, Inc. (SERI), Arkansas Power & Light Company (AP&L), Louisiana Power & Light Company (LP&L), Mississippi Power & Light Company (MP&L) and New Orleans Public Service Inc. (NOPSI). SERI proposes to extend, amend, and increase the amount of a current letter of credit agreement (LOC) and a related reimbursement agreement (Reimbursement Agreement), which support the equity portion of a sale and leaseback financing arrangement for its Grand Gulf Nuclear Generating Station, Unit I. The Reimbursement Agreement obligates SERI to repay amounts drawn under the LOC, which obligation will be supported by SERI's assignment, and by the related consents, of its rights to receive funds from Entergy, AP&L, LP&L, MP&L and NOPSI under various Entergy system agreements. (Rel. 35-25925)

SELF-REGULATORY ORGANIZATIONS

UNLISTED TRADING PRIVILEGES SOUGHT

A notice has been issued giving interested persons until December 2, 1993 to comment on the application of the Philadelphia Stock Exchange for unlisted trading privileges in 1 issue which is listed and registered on one or more other national securities exchange and is reported in the consolidated transaction reporting system. (Rel. 34-33197)

PROPOSED RULE CHANGES

The American Stock Exchange filed a proposed rule change (SR-AMEX-93-35) relating to a proposed amendment to the listing guidelines applicable to equity linked term notes (ELNs). Publication of the proposal is expected in the Federal Register during the week of November 15. (Rel. 34-33190)

The Chicago Board Options Exchange filed a proposed rule change (SR-CBOE-93-36) relating to the listing for trading options on the S&P/Barra Growth Index and S&P/Barra Value Index. Publication of the proposal is expected in the Federal Register during the week of November 15. (Rel. 34-33192)

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change (SR-AMEX-93-25) filed by the American Stock Exchange relating to the listing and trading of options on the North American Telecommunications Index and long-term options based on a full-value and reduced-value of the North American Telecommunications Index. Publication of the proposal is expected in the Federal Register during the week of November 15. (Rel. 34-33191)

PARTIAL APPROVAL OF PROPOSED RULE CHANGE

The Commission granted partial approval to a proposed rule change filed by the American Stock Exchange (SR-AMEX-91-26) to provide for the listing and trading of options on ADRs representing shares of Empresas ICA Sociedad Controladora S.A. de C.V. Publication of the proposal is expected in the Federal Register during the week of November 15. (Rel. 34-33194; International Series Rel. 611)

PARTIAL ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted partial accelerated approval to a proposed rule change (SR-NYSE-92-26) filed by the New York Stock Exchange to provide for the listing and trading options on ADRs representing shares of Empresas ICA Sociedad Controladora S.A. de C.V. Publication of the proposal is expected in the Federal Register during the week of November 15. (Rel. 34-33195; International Series Rel. 612)

PARTIAL APPROVAL OF PROPOSED RULE CHANGE

The Commission granted partial approval to a proposed rule change filed by the Chicago Board Options Exchange (SR-CBOE-92-15) to provide for the listing and trading of options on ADRs representing shares of Empresas ICA Sociedad Controladora S.A. de C.V. Publication of the proposal is expected in the Federal Register during the week of November 15. (Rel. 34-33196; International Series Rel. 613)

SIGNIFICANT NO-ACTION, EXEMPTIVE AND INTERPRETIVE LETTERS

INTERPRETATION OF NEW RULES UNDER SECTION 16 OF THE EXCHANGE ACT

The Division of Corporation Finance has announced the publication of significant staff correspondence interpreting the new Section 16 rules. Copies of the letter may be obtained by writing to, or by making a request in person at, the Public Reference Room, Securities and Exchange Commission, 450 5th Street, N.W., Room 1024, Washington, D.C. 20549. Each request must state the name of the subject company, the Act and the Section of the Act to which it relates, and the public availability date.

| <u>Letter</u> | <u>Availability Date</u> | <u>Subject</u> |
|---------------------|------------------------------|----------------|
| Sullivan & Cromwell | November 17, 1993 | Rule 16b-6 |

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-3 GRACE W R & CO /NY/, ONE TOWN CENTER RD, BOCA RATON, FL 33486
(407) 362-2000 (FILE 33-50983 - NOV. 10) (BR. 1)
- SB-2 VITAMIN SPECIALTIES CORP, 8200 OGONTOZ AVE, 200 MADISON AVE, WYMCOTT, PA
10016 (215) 575-0142 - 1,125,000 (\$5,175,900) COMMON STOCK. (FILE
33-71284-NY - NOV. 01) (BR. 4 - NEW ISSUE)
- M-1A EXCELSIOR FUNDS, 6 ST JAMES AVENUE, 9TH FLOOR, BOSTON, MA 02116
(617) 423-0800 - INDEFINITE SHARES. (FILE 33-71306 - NOV. 05) (BR. 16)
- S-4 PRESIDENT RIVERBOAT CASINOS INC, 802 N FIRST ST, ST LOUIS, MO 63102
(314) 622-3000 - 100,000,000 (\$96,929,000) STRAIGHT BONDS. (FILE 33-71332
- NOV. 05) (BR. 11)
- M-2 G T GLOBAL NEW CAPITAL MARKETS FUND INC, 50 CALIFORNIA ST 27TH FLR,
SAN FRANCISCO, CA 94111 (415) 392-6181 - 4,600,000 (\$69,000,000)
COMMON STOCK. (FILE 33-71342 - NOV. 05) (BR. 18 - NEW ISSUE)
- S-1 CARELINE INC, 17780 FITCH STE 135, IRVINE, CA 92714 (714) 553-4070 -
5,750,000 (\$69,000,000) COMMON STOCK. (FILE 33-71406 - NOV. 09) (BR. 6
- NEW ISSUE)
- S-3 FARM & HOME FINANCIAL CORP, 10100 N EXECUTIVE HILLS BLVD, STE 400,
KANSAS CITY, MO 64153 (816) 891-7778 - 46,000,000 (\$46,000,000)
STRAIGHT BONDS. (FILE 33-71408 - NOV. 09) (BR. 2)
- S-1 DEFLECTA-SHIELD CORP, 11191 AURORA AVE, URBANDALE, IA 50322
(515) 270-9488 - 2,012,500 (\$26,162,500) COMMON STOCK. (FILE 33-71410 -
NOV. 09) (BR. 4 - NEW ISSUE)
- S-11 RESOLUTION TRUST CORP, 555 ANTON BLVD 7TH FL, COSTA MESA, CA 92626
(714) 433-6868 - 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES.
(FILE 33-71412 - NOV. 09) (BR. 11)
- F-10 AGNICO EAGLE MINES LTD, 401 BAY ST STE 2302, C/O BARRY LANDEN,
TORONTO ONTARIO CANADA M5H 2Y4, A6 (416) 947-1212 - 1,293,253
(\$15,195,722.75) FOREIGN COMMON STOCK. (FILE 33-71414 - NOV. 09) (BR. 1)
- S-1 DYNAGEN INC, 99 ERIE STREET, CAMBRIDGE, MA 02139 (617) 491-2527 -
2,300,000 (\$23,000,000) PREFERRED STOCK. 200,000 (\$2,400,000)
WARRANTS, OPTIONS OR RIGHTS. (FILE 33-71416 - NOV. 09) (BR. 4)
- SB-2 PHC INC, 301 CITY LINE AVE, BALA CYNWYD, PA 19004 (215) 667-8225 -
2,940,000 (\$17,797,610) COMMON STOCK. (FILE 33-71418 - NOV. 09) (BR. 11)
- S-3 TACO CABANA INC, 262 LOSOYA STE 330, SAN ANTONIO, TX 78205
(210) 231-8226 - 2,300,000 (\$44,275,000) COMMON STOCK. (FILE 33-71420 -
NOV. 09) (BR. 11)

REGISTRATIONS CONTINUED

- S-3 HEALTH & REHABILITATION PROPERTIES TRUST, 400 CENTRE ST, NEWTON, MA 02158 (617) 332-3990 - 8,625,000 (\$135,843,750) COMMON STOCK. (FILE 33-71422 - NOV. 09) (BR. 6)
- S-1 JOHNSON E F CO, 299 TENTH AVENUE S. W., WASECA, MN 56093 (507) 835-6222 - 447,133 (\$5,365,596) COMMON STOCK. (FILE 33-71424 - NOV. 09) (BR. 8)
- S-1 HUNTCO INC, 14323 SOUTH OUTER FORTY, STE 600 N, CHESTERFIELD, MO 63017 (314) 878-0155 - 1,610,000 (\$53,331,250) COMMON STOCK. (FILE 33-71426 - NOV. 09) (BR. 6)
- S-8 MASON GEORGE BANKSHARES INC, 11185 MAIN ST, PO BOX 600, FAIRFAX, VA 22030 (703) 352-1100 - 450,388 (\$7,564,790) COMMON STOCK. (FILE 33-71428 - NOV. 09) (BR. 1)
- S-4 HEALTHCARE AMERICA INC, 912 CAPITOL OF TEXAS HWY SOUTH, AUSTIN, TX 78746 (512) 320-7180 - 11,432,131 (\$32,510,122) COMMON STOCK. (FILE 33-71430 - NOV. 09) (BR. 6 - NEW ISSUE)
- S-8 AMERICAN LIST CORP, 330 OLD COUNTRY RD, MINEOLA, NY 11501 (516) 248-6100 - 75,000 (\$1,279,500) COMMON STOCK. (FILE 33-71448 - NOV. 09) (BR. 6)
- S-8 LDDS COMMUNICATIONS INC /GA/, 515 EAST AMITE ST, 945 E PACES FERRY RD NE, JACKSON, MS 39201 (601) 360-8600 - 210,000 (\$10,368,750) COMMON STOCK. (FILE 33-71450 - NOV. 09) (BR. 7)
- S-8 CONCORD CAMERA CORP, 35 MILEED WAY, AVENEL, NJ 07001 (908) 499-8280 - 340,000 (\$1,742,500) COMMON STOCK. (FILE 33-71452 - NOV. 09) (BR. 12)
- S-8 UNITED PARK CITY MINES CO, P O BOX 1450, PARK CITY, UT 84060 (801) 649-8011 - 2,500,000 (\$937,500) COMMON STOCK. (FILE 33-71454 - NOV. 09) (BR. 12)
- S-1 ZENTRUM VENTURES INC, 513 PARKADE PLAZA, SPOKANE, WA 99201 (509) 456-8710 - 10,000 (\$50,000) COMMON STOCK. (FILE 33-71456 - NOV. 09) (BR. 14 - NEW ISSUE)
- S-8 ENVIRONMENTAL TECHNOLOGIES USA INC, 550 39TH AVE NE, MINNEAPOLIS, MN 55421 (612) 789-5933 - 300,000 (\$337,500) COMMON STOCK. (FILE 33-71458 - NOV. 09) (BR. 2)
- S-8 ENVIRONMENTAL TECHNOLOGIES USA INC, 550 39TH AVE NE, MINNEAPOLIS, MN 55421 (612) 789-5933 - 950,000 (\$534,375) COMMON STOCK. (FILE 33-71460 - NOV. 09) (BR. 2)
- S-8 TELOR OPHTHALMIC PHARMACEUTICALS INC, 500 WEST CLUNINGS PARK, STE 6950, WOBURN, MA 01801 (617) 937-0393 - 1,180,537 (\$4,637,604) COMMON STOCK. (FILE 33-71462 - NOV. 09) (BR. 4)
- S-8 GERANT INDUSTRIES INC, 8840 WILSHIRE BLVD 3RD FL, BEVERLY HILLS, CA 90211 (310) 358-1200 - 30,000,000 (\$4,230,000) COMMON STOCK. (FILE 33-71464 - NOV. 09) (BR. 12)
- S-8 HOLLYWOOD TRENZ INC, 3471 N FEDERAL HWY, STE 1068, FT LAUDERDALE, FL 33306 (305) 568-0433 - 250,000 (\$437,500) COMMON STOCK. (FILE 33-71466 - NOV. 09) (BR. 11)

REGISTRATIONS CONTINUED

S-8 GOLDWYN SAMUEL CO, 10203 SANTA MONICA BLVD, LOS ANGELES, CA 90067
 (310) 552-2255 - 250,000 (\$2,812,500) COMMON STOCK. (FILE 33-71468 -
 NOV. 10) (BR. 12)

S-3 MEASUREMENT SPECIALTIES INC, 41 PLYMOUTH ST, FAIRFIELD, NJ 07004
 (201) 808-1819 - 1,986,371 (\$11,183,268.73) COMMON STOCK. (FILE 33-71470 -
 NOV. 09) (BR. 8)

ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column - 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

| NAME AND CLASS OF STOCK/OWNER | FORM | EVENT DATE | SHRS(000)/ OWNED | CUSIP/ PRIOR% | FILING STATUS |
|---|----------------------|------------|---------------------|------------------|---------------|
| PROVIDENT BANCORP INC LINDNER CARL H | COM PAR \$6 13D | 10/28/93 | 847 5.5 | 74383420 26.0 | RVISION |
| PROVIDENT BANCORP INC LINDNER KEITH E | COM PAR \$6 13D | 10/28/93 | 1,072 6.9 | 74383420 4.9 | UPDATE |
| PROVIDENT BANCORP INC LINDNER S CRAIG | COM PAR \$6 13D | 10/28/93 | 950 6.1 | 74383420 0.0 | NEW |
| SEMETEK PLC AMERICAN HERITAGE FUND ET AL | SPONSORED ADR 13D | 11/ 2/93 | 2,541 8.5 | 81720930 6.8 | UPDATE |
| SHOP AT HOME SAN HLDGS L P ET AL | COM 13D | 10/25/93 | 7,534 64.5 | 82506610 36.2 | UPDATE |
| TELE COMMUNICATIONS INC LIBERTY MEDIA CORP | CL A 13D | 10/12/93 | 5,990 1.6 | 87924010 0.0 | NEW |
| TELE COMMUNICATIONS INC LIBERTY MEDIA CORP | CL B 13D | 10/12/93 | 28,311 59.8 | 87924020 5.8 | UPDATE |

ACQUISITIONS CONT.

| NAME AND CLASS OF STOCK/OWNER | FORM | EVENT DATE | SHRS(000)/ %OWNED | CUSIP/ PRIOR% | FILING STATUS |
|---|---------------------|------------|----------------------|------------------|---------------|
| TIDEWATER INC BESSEMER CAP PARTNERS LP | COM 13D | 11/ 3/93 | 3,993 7.5 | 88642310 14.7 | UPDATE |
| TREMONT ADVISERS INC GAMCO INVESTORS INC ET AL | COM CL A 13D | 11/ 1/93 | 951 78.6 | 89472910 59.7 | UPDATE |
| AGNICO EAGLE MINES LTD MENTOR EXPLORATION & DEVL | COM CD 13D | 10/28/93 | 2,105 5.8 | 00847410 6.6 | UPDATE |
| BAROID CORP NEW TORRAY ROBERT E ET AL | COM 13D | 11/ 2/93 | 7,302 7.9 | 06827710 9.8 | UPDATE |
| BIG B INC FIDELITY INTL LTD | COM 13D | 10/29/93 | 852 5.5 | 08889110 6.6 | UPDATE |
| BIG B INC FMR CORP | COM 13D | 10/29/93 | 852 5.5 | 08889110 6.6 | UPDATE |
| CTL CREDIT INC KNOTT DAVID M | COM 13D | 10/27/93 | 302 8.7 | 12643510 7.3 | UPDATE |
| CTL CREDIT INC KNOTT D M LTD PRTSHIP | COM 13D | 9/23/93 | 232 6.7 | 12643510 0.0 | RVISION |
| CALTON INC NEW FMR CORP | COM NEW 13D | 10/29/93 | 4,657 19.9 | 13138020 21.0 | UPDATE |
| CHESAPEAKE UTILS CORP KOHLE ATLEE M ET AL | COM 13D | 10/29/93 | 202 5.7 | 16530310 0.0 | NEW |
| CHIEFTAIN INTL INC CAISSE DE DEPOT DU QUEBEC | COM 13D | 10/25/93 | 695 6.3 | 16899010 5.2 | UPDATE |
| COMMAND SEC CORP SANDS STEVEN B ET AL | COM 13D | 10/27/93 | 489 12.1 | 20099110 0.0 | NEW |
| DAMA CORP STATE TREASURER STATE OF MICH | COM 13D | 11/ 3/93 | 0 0.0 | 23581110 0.0 | NEW |
| ENEX OIL GAS INC PROG II-10 ENEX RES CORP ET AL | LTD PART INT 13D | 10/31/93 | 0 0.0 | 29274377 N/A | UPDATE |
| ENEX OIL GAS INC PROG II-4 ENEX RES CORP ET AL | LTD INT 13D | 10/31/93 | 0 0.0 | 29274385 N/A | UPDATE |
| ENEX OIL & GAS INC II-3 ENEX RES CORP ET AL | LTD PART INT 13D | 10/31/93 | 0 0.0 | 29274392 N/A | UPDATE |
| ENVIROTEST SYS CORP DAVENPORT CHESTER C | CL A 13D | 10/26/93 | 4,167 26.2 | 30799910 0.0 | NEW |
| ENVIROTEST SYS CORP EDMONDS SLIVY C | CL A 13D | 10/26/93 | 850 5.4 | 30799910 0.0 | NEW |
| FIFTH DIMENSION INC GILBERT EDWARD M ET AL | COM 13D | 10/21/93 | 241 22.1 | 31671110 19.6 | UPDATE |

ACQUISITIONS CONT.

| NAME AND CLASS OF STOCK/OWNER | FORM | EVENT DATE | SHRS(000)/ %OWNED | CUSIP/ PRIOR% | FILING STATUS |
|---|-----------------|------------|----------------------|------------------|---------------|
| GLOBAL OCEAN CARRIERS LTD DE GRAFFENRIED JOHN S | COM 13D | 11/ 1/93 | 216 5.2 | 37935710 4.9 | UPDATE |
| GRASSO CORP NOBLE PRODUCTION MGMT INC | COM 13D | 10/29/93 | 1,310 32.6 | 38881710 0.0 | NEW |
| GRASSO CORP OLOG PRODUCTION MGMT INC | COM 13D | 10/29/93 | 1,310 32.6 | 38881710 0.0 | NEW |
| GLOBALINK INC WALKER WIRT D III ET AL | COM 13D | 10/26/93 | 335 9.7 | 38999410 0.0 | NEW |
| HOMETOWN BUFFET INC KELLEY STANLEY E ET AL | COM 13D | 9/30/93 | 380 5.8 | 43786210 0.0 | NEW |
| INTERMOUNTAIN EXPLORATION DENTON MC JACK ESTATE | COM CL B 13D | 9/16/93 | 1,543 8.1 | 45882199 0.0 | NEW |
| INTERMOUNTAIN EXPLORATION PRICE B CARL | COM CL B 13D | 9/16/93 | 5,603 29.3 | 45882199 0.0 | NEW |
| INTERMOUNTAIN EXPLORATION REYNOLDS DONALD W | COM CL B 13D | 9/16/93 | 2,420 12.6 | 45882199 0.0 | NEW |
| JB S RESTAURANTS INC ABS MB (JB) L P | COM 13D | 10/27/93 | 947 19.9 | 46610910 19.7 | UPDATE |
| LATTICE SEMICONDUCTOR CORP FIDELITY INTL LTD | COM 13D | 10/29/93 | 878 4.6 | 51841510 6.4 | UPDATE |
| LATTICE SEMICONDUCTOR CORP FMR CORP | COM 13D | 10/29/93 | 878 4.6 | 51841510 6.4 | UPDATE |
| LIBERTY NATL BANCORP INC KY BANC ONE CORP | COM 13D | 11/ 2/93 | 5,065 19.9 | 53079210 0.0 | NEW |
| LIQUI BOX CORP DAVIS SAMUEL B | COM 13D | 11/ 5/93 | 1,103 17.3 | 53631410 17.0 | UPDATE |
| M G PRODUCTS SOROS GEORGE ET AL | COM 13D | 10/29/93 | 615 8.9 | 55799610 0.0 | NEW |
| MENTOR CORP MIAMI FMR CORP | COM 13D | 10/29/93 | 1,544 14.5 | 58718810 12.9 | UPDATE |
| MIDCOAST ENERGY RES INC MIDCOAST NATURAL GAS INC | COM 13D | 9/15/93 | 264 86.0 | 59699710 90.9 | UPDATE |
| MORGAN STANLEY GROUP INC BEARD ANSON M JR ET AL | COM 13D | 10/29/93 | 26,768 36.2 | 61744610 37.4 | UPDATE |
| MAC RE CORP GOVT SINGAPORE INVTS ET AL | COM 13D | 10/26/93 | 942 5.2 | 62890710 0.0 | NEW |
| NATIONAL SECS CORP NOROWITZ DONALD J | COM NEW 13D | 10/25/93 | 18 5.2 | 63760520 7.2 | UPDATE |

ACQUISITIONS CONT.

| NAME AND CLASS OF STOCK/OWNER | FORM | EVENT DATE | SHRS(000)/ %OWNED | CUSIP/ PRIOR% | FILING STATUS |
|---|----------------|----------------|----------------------|------------------|---------------|
| NEO LENS INC RUBIN JEFFREY | COM | 13D 10/27/93 | 3,156 13.3 | 64090310 0.0 | NEW |
| NEWORLD BANCORP INC DEL CITIZENS FINL GRP INC | COM | 13D 10/25/93 | 732 18.0 | 65171110 0.0 | NEW |
| OAK TREE CONSTR COMPUTERS IN NEVADA MINERALS CORP | COM | 13D 5/28/93 | 5,250 52.5 | 67180310 0.0 | NEW |
| PLM INTL INC OFFICIAL UNSECURED BNDHLDRS | COM | 13D 10/20/93 | 3,367 32.0 | 69399510 32.0 | UPDATE |
| PARAMOUNT COMMUNICATIONS INC VIACOM INC ET AL | COM | 14D-1 11/ 3/93 | 23,699 20.0 | 69921610 20.0 | RVISION |
| PHOENIX RESOURCE COS INC GROSS LEON S | COM PAR \$0.01 | 13D 11/ 2/93 | 604 14.3 | 71891320 14.2 | UPDATE |
| PROVIDENCE HEALTH CARE INC CUMMINGS LAWRENCE B ET AL | COM | 13D 11/ 4/93 | 1,696 36.7 | 74375710 35.3 | UPDATE |
| PUBLIC STORAGE PPTYS X INC HUGHES B WAYNE ET AL | CL A | 13D 10/27/93 | 121 6.1 | 74460510 5.1 | UPDATE |
| RPC ENERGY SVCS INC ROLLINS GARY W | COM | 13D 10/11/93 | 7,839 54.4 | 74966010 5.6 | UPDATE |
| RPC ENERGY SVCS INC ROLLINS R RANDALL | COM | 13D 10/11/93 | 8,068 56.0 | 74966010 6.6 | UPDATE |
| REHABCARE CORP SCHROEDERS INC ET AL | COM | 13D 11/ 1/93 | 461 10.9 | 75914810 0.0 | RVISION |
| RETRAK CORP OLSON CHAD H ET AL | COM | 13D 11/ 6/93 | 776 7.8 | 76017410 0.0 | NEW |
| RFS HOTEL INVESTORS INC HARRISON WALTER F III | COM | 13D 9/ 8/93 | 319 N/A | 77199910 0.0 | NEW |
| PRICE / COSTCO INC FOURCAR B V | COM | 13D 10/21/93 | 21,191 9.8 | 77399810 9.8 | UPDATE |
| ROLLINS INC ROLLINS GARY W | COM | 13D 9/ 7/93 | 14,051 39.4 | 77571110 5.1 | UPDATE |
| ROLLINS INC ROLLINS R RANDALL | COM | 13D 9/ 7/93 | 14,601 41.0 | 77571110 6.3 | UPDATE |
| SALEM SPORTSWEAR CORP FTL ACQUISITION CORP | COM | 14D-1 11/ 2/93 | 0 0.0 | 79449910 0.0 | RVISION |
| SEQUA CORP GAMCO INVESTORS INC ET AL | CL B | 13D 11/ 4/93 | 796 22.9 | 81732020 23.9 | UPDATE |