

sec news digest

LIBRARY

Issue 91-205

October 23, 1991

OCT 24 1991

CIVIL PROCEEDINGS

U.S. SECURITIES
EXCHANGE COMMISSION

COMPLAINT FILED AGAINST RICHARD ROSEN

The Commission announced the filing of a civil complaint on September 23 in the U.S. District Court for the Middle District of Florida against Richard Sol Rosen (Rosen) of Indiatlantic, Florida. Rosen was a registered representative associated with A.G. Edwards & Sons, Inc., a registered broker-dealer, from October 1984 until March 1991. The complaint seeks a preliminary and permanent injunction restraining and enjoining the defendant and all persons in active concert or participation with him, directly and indirectly, from violating the antifraud provisions of the Securities Act of 1933 and the Securities Exchange Act of 1934.

The complaint alleges that between February 1990 and March 1991 the defendant obtained funds from at least four investors for the purpose of purchasing securities. The defendant did not purchase the securities. The defendant instructed the investors to write checks payable to himself, deposited the checks into his personal bank account and subsequently disbursed such funds for personal uses. [SEC v. Richard Sol Rosen, Case No. 91-660-CIV-ORL-19, USDC, MD Fla.] (LR-13054)

ARLENE BROWN PERMANENTLY ENJOINED

The Commission today announced that on September 27 the Honorable William J. Zloch, U.S. District Judge for the Southern District of Florida, entered a Final Judgment of Permanent Injunction Upon Default against defendant Arlene J. Brown (Brown) of Boca Raton, Florida permanently enjoining her from further violations of the antifraud provisions of the federal securities laws. Brown was properly served with a summons and complaint on May 21, 1991 and failed to file a responsive pleading.

The complaint, filed on June 14, 1990, alleges, among other things, that Brown violated Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 by disseminating materially false and misleading information. This information was disseminated to broker-dealers either making a market or contemplating making a market in American British Enterprises, Inc.'s common stock in connection with a non-exempt unregistered distribution. [SEC v. Herman J. Schannault, et al., Civil Action No. 90-6483-CIV-ZLOCH] (LR-13055)

REGISTRATIONS CONTINUED

- S-2 OWENS ILLINOIS INC /DE/, ONE SEAGATE, TOLEDO, OH 43666 (419) 247-5000 - 69,000,000 (\$1,104,000,000) COMMON STOCK. (FILE 33-43224 - OCT. 15) (BR. 10)
- S-3 NORTHEAST UTILITIES, 174 BRUSH HILL AVE, WEST SPRINGFIELD, MA 01089 (413) 785-5871 - 175,000,000 (\$175,000,000) STRAIGHT BONDS. (FILE 33-43225 - OCT. 15) (BR. 7)
- S-1 PHARMACEUTICAL MARKETING SERVICES INC, 9501 EAST SHEA BOULEVARD, SCOTTDALE, AZ 85260 (602) 391-4298 - 4,025,000 (\$56,350,000) COMMON STOCK. (FILE 33-43226 - OCT. 15) (BR. 10 - NEW ISSUE)
- S-1 PUROFLOW INC, 1631 TENTH ST, SANTA MONICA, CA 90404 (213) 450-6461 - 1,380,000 (\$11,730,000) COMMON STOCK. 1 (\$100) WARRANTS, OPTIONS OR RIGHTS. 72,000 (\$734,400) COMMON STOCK. (FILE 33-43228 - OCT. 15) (BR. 10)
- S-8 TELXON CORP, 3330 W MARKET ST, AKRON, OH 44333 (216) 867-3700 - 50,000 (\$493,750) COMMON STOCK. 200,000 (\$3,825,000) COMMON STOCK. (FILE 33-43314 - OCT. 16) (BR. 9)
- S-8 WAL MART STORES INC, 702 SW 8TH ST, BENTONVILLE, AR 72716 (501) 273-4000 - 8,000,000 (\$368,000,000) COMMON STOCK. (FILE 33-43315 - OCT. 16) (BR. 2)
- S-1 GENERAL MEDICAL CORP /VA, 444 MADISON AVE, NEW YORK, NY 10022 (212) 688-4500 - 8,500,000 (\$136,000,000) COMMON STOCK. UNDERWRITER: PAINWEBBER INC. (FILE 33-43343 - OCT. 15) (BR. 4 - NEW ISSUE)
- S-1 CLAYTON WILLIAMS ENERGY INC /DE, SIX DESTA DR STE 3000, MIDLAND, TX 79705 (915) 682-6324 - 6,900,000 (\$96,600,000) COMMON STOCK. UNDERWRITER: FIRST BOSTON CORP, PAINWEBBER INC. (FILE 33-43350 - OCT. 15) (BR. 3 - NEW ISSUE)
- S-1 SANFILIPPO JOHN B & SON INC, 2299 BUSSE RD, ELK GROVE VILLAGE, IL 60007 (708) 593-2300 - 2,300,000 (\$34,500,000) COMMON STOCK. UNDERWRITER: BLAIR WILLIAM & CO, DONALDSON LUFKIN & JENRETTE SECURITIES C. (FILE 33-43353 - OCT. 15) (BR. 3 - NEW ISSUE)
- S-1 AM TECHNOLOGY INC /DE, 749 EAST INDUSTRIAL DR, MANCHESTER, NH 03103 (603) 626-7416 - 891,250 (\$5,347,500) COMMON STOCK. 1,782,500 (\$8,912,500) COMMON STOCK. 1 (\$7.75) WARRANTS, OPTIONS OR RIGHTS. 77,500 (\$558,000) COMMON STOCK. 155,000 (\$775,000) COMMON STOCK. UNDERWRITER: THOMAS JAMES ASSOCIATES INC. (FILE 33-43354 - OCT. 16) (BR. 3 - NEW ISSUE)
- S-1 HUGHES RESOURCES INC, FM 2626 NORTH, BON WIER, TX 75928 (409) 397-4221 - 460,000 (\$2,300,000) COMMON STOCK. 920,000 (\$4,140,000) COMMON STOCK. 40,000 (\$100) WARRANTS, OPTIONS OR RIGHTS. 40,000 (\$240,000) COMMON STOCK. 525,000 (\$2,625,000) COMMON STOCK. (FILE 33-43355 - OCT. 15) (BR. 11)
- S-1 CYTEL CORP/DE, 3525 JOHN HOPKINS COURT, SAN DIEGO, CA 92121 (619) 552-3000 - 2,875,000 (\$34,500,000) COMMON STOCK. UNDERWRITER: BROWN ALEX & SONS INC, GOLDMAN SACHS & CO. (FILE 33-43356 - OCT. 15) (BR. 4 - NEW ISSUE)
- S-4 FIRST ALABAMA BANCSHARES INC, P O BOX 1448, MONTGOMERY, AL 36102 (205) 832-8490 - 190,664 (\$4,259,433.76) COMMON STOCK. (FILE 33-43357 - OCT. 16) (BR. 1)
- S-1 CLARK OIL & REFINING CORP, 8182 MARYLAND AVE, C/O MILTON BURMASTER, ST LOUIS, MO 63105 (314) 854-9696 - 200,000,000 (\$200,000,000) FLOATING RATE NOTES. (FILE 33-43358 - OCT. 16) (BR. 3)
- S-6 DEFINED ASSET FUNDS MUN INVT TR FD AMT MON PYMT SER 17, P O BOX 9051, C/O MERRILL LYNCH PIERCE FENNER & SMITH, PRINCETON, NJ 08543 - INDEFINITE SHARES. (FILE 33-43359 - OCT. 15) (BR. 22 - NEW ISSUE)
- S-6 DEFINED ASSET FUNDS MUNICIPAL INVT TRUST FD INSURED SER 174, P O BOX 9051, C/O MERRILL LYNCH PIERCE FENNER & SMITH, PRINCETON, NJ 08543 - INDEFINITE SHARES. (FILE 33-43360 - OCT. 15) (BR. 22 - NEW ISSUE)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-1 PDC 1992 DRILLING PROGRAM, 103 EAST MAIN ST, BRIDGEPORT, WV 26330 (800) 624-3821 - 1,250 (\$25,000,000) LIMITED PARTNERSHIP CERTIFICATE. UNDERWRITER: PDC SECURITIES INC. (FILE 33-43206 - OCT. 15) (BR. 4 - NEW ISSUE)
- S-1 CAMBRIDGE NEUROSCIENCE INC, ONE KENDALL SQUARE BUILDING 700, CAMBRIDGE, MA 02139 (617) 225-0600 - 1,725,000 (\$19,837,500) COMMON STOCK. (FILE 33-43208 - OCT. 15) (BR. 4)
- S-4 BELDEN & BLAKE ENERGY CORP /OH, 7555 FREEDOM AVE N W, NORTH CANTON, OH 44720 (216) 499-1660 - 887,000 (\$6,424,657) COMMON STOCK. 822,000 (\$10,465,158) COMMON STOCK. 1,083,000 (\$326,565) COMMON STOCK. (FILE 33-43209 - OCT. 15) (BR. 3 - NEW ISSUE)
- S-8 NEW YORK TIMES CO, 229 W 43RD ST, NEW YORK, NY 10036 (212) 556-1234 - 250,000 (\$4,968,750) COMMON STOCK. (FILE 33-43210 - OCT. 15) (BR. 11)
- S-8 NEW YORK TIMES CO, 229 W 43RD ST, NEW YORK, NY 10036 (212) 556-1234 - 11,000,000 (\$218,625,000) COMMON STOCK. (FILE 33-43211 - OCT. 15) (BR. 11)
- S-1 ATLANTIS VENTURES INC, WEST 601 FRIST AVE STE 503, SPOKANE, WA 99204 (509) 624-1475 - 1,000,000 (\$50,000) COMMON STOCK. (FILE 33-43213 - OCT. 15) (BR. 14 - NEW ISSUE)
- S-3 WESTERN PUBLISHING GROUP INC, 444 MADISON AVE, NEW YORK, NY 10022 (212) 688-4500 - 489,327 (\$5,778,951.87) COMMON STOCK. (FILE 33-43214 - OCT. 15) (BR. 11)
- S-8 FRP PROPERTIES INC, 155 E 21ST ST, JACKSONVILLE, FL 32206 (904) 355-1781 - 250,000 (\$2,750,000) COMMON STOCK. (FILE 33-43215 - OCT. 15) (BR. 4)
- S-1 CARDIFF VENTURES INC, WEST 601 FIRST AVE STE 503, SPOKANE, WA 99204 (509) 624-1475 - 1,000,000 (\$50,000) COMMON STOCK. (FILE 33-43217 - OCT. 15) (BR. 14 - NEW ISSUE)
- S-1 GENERAL NUTRITION COMPANIES INC, 921 PENN AVE, PITTSBURGH, PA 15222 (412) 288-4600 - 10,000,000 (\$100,000,000) COMMON STOCK. UNDERWRITER: DONALDSON LUFKIN & JENRETTE SECURITIES, MERRILL LYNCH PIERCE FENNER & SMITH INC, MORGAN STANLEY & CO INC. (FILE 33-43218 - OCT. 15) (BR. 2 - NEW ISSUE)
- S-4 PNC FINANCIAL CORP, FIFTH AVE & WOOD ST, PITTSBURGH, PA 15222 (412) 762-2666 - 147,954 (\$5,440,268.58) COMMON STOCK. (FILE 33-43219 - OCT. 15) (BR. 2)
- S-4 ADAGE INC, 615 WILLOWBROOK LANE, WEST CHESTER, PA 19382 (215) 430-3900 - 2,105,503 (\$9,645,730.34) COMMON STOCK. (FILE 33-43220 - OCT. 15) (BR. 6)
- S-1 ARAMED INC, 11025 ROSELLE ST, SAN DIEGO, CA 92121 (619) 546-8300 - 2,875,000 (\$57,500,000) COMMON STOCK. 2,875,000 (\$97,750,000) COMMON STOCK. UNDERWRITER: BROWN ALEX & SONS INC, MONTGOMERY SECURITIES, PAINEWEBBER INC. (FILE 33-43221 - OCT. 15) (BR. 4 - NEW ISSUE)
- S-1 ALPHAREL INC /CA/, 3601 CALLE TECATE, CAMARILLO, CA 93010 (805) 482-9815 - 862,500 (\$3,881,250) COMMON STOCK. 862,500 (\$3,881,250) COMMON STOCK. 75,000 (\$100) WARRANTS, OPTIONS OR RIGHTS. 75,000 (\$405,000) COMMON STOCK. 75,000 (\$337,500) COMMON STOCK. (FILE 33-43223 - OCT. 15) (BR. 10)

REGISTRATIONS CONTINUED

- S-2 OWENS ILLINOIS INC /DE/, ONE SEAGATE, TOLEDO, OH 43666 (419) 247-5000 - 69,000,000 (\$1,104,000,000) COMMON STOCK. (FILE 33-43224 - OCT. 15) (BR. 10)
- S-3 NORTHEAST UTILITIES, 174 BRUSH HILL AVE, WEST SPRINGFIELD, MA 01089 (413) 785-5871 - 175,000,000 (\$175,000,000) STRAIGHT BONDS. (FILE 33-43225 - OCT. 15) (BR. 7)
- S-1 PHARMACEUTICAL MARKETING SERVICES INC, 9501 EAST SHEA BOULEVARD, SCOTTDALE, AZ 85260 (602) 391-4298 - 4,025,000 (\$56,350,000) COMMON STOCK. (FILE 33-43226 - OCT. 15) (BR. 10 - NEW ISSUE)
- S-1 PUROFLOW INC, 1631 TENTH ST, SANTA MONICA, CA 90404 (213) 450-6461 - 1,380,000 (\$11,730,000) COMMON STOCK. 1 (\$100) WARRANTS, OPTIONS OR RIGHTS. 72,000 (\$734,400) COMMON STOCK. (FILE 33-43228 - OCT. 15) (BR. 10)
- S-8 TELXON CORP, 3330 W MARKET ST, AKRON, OH 44333 (216) 867-3700 - 50,000 (\$493,750) COMMON STOCK. 200,000 (\$3,825,000) COMMON STOCK. (FILE 33-43314 - OCT. 16) (BR. 9)
- S-8 WAL MART STORES INC, 702 SW 8TH ST, BENTONVILLE, AR 72716 (501) 273-4000 - 8,000,000 (\$368,000,000) COMMON STOCK. (FILE 33-43315 - OCT. 16) (BR. 2)
- S-1 GENERAL MEDICAL CORP /VA, 444 MADISON AVE, NEW YORK, NY 10022 (212) 688-4500 - 8,500,000 (\$136,000,000) COMMON STOCK. UNDERWRITER: PAINWEBBER INC. (FILE 33-43343 - OCT. 15) (BR. 4 - NEW ISSUE)
- S-1 CLAYTON WILLIAMS ENERGY INC /DE, SIX DESTA DR STE 3000, MIDLAND, TX 79705 (915) 682-6324 - 6,900,000 (\$96,600,000) COMMON STOCK. UNDERWRITER: FIRST BOSTON CORP, PAINWEBBER INC. (FILE 33-43350 - OCT. 15) (BR. 3 - NEW ISSUE)
- S-1 SANFILIPPO JOHN B & SON INC, 2299 BUSSE RD, ELK GROVE VILLAGE, IL 60007 (708) 593-2300 - 2,300,000 (\$34,500,000) COMMON STOCK. UNDERWRITER: BLAIR WILLIAM & CO, DONALDSON LUFKIN & JENRETTE SECURITIES C. (FILE 33-43353 - OCT. 15) (BR. 3 - NEW ISSUE)
- S-1 AM TECHNOLOGY INC /DE, 749 EAST INDUSTRIAL DR, MANCHESTER, NH 03103 (603) 626-7416 - 891,250 (\$5,347,500) COMMON STOCK. 1,782,500 (\$8,912,500) COMMON STOCK. 1 (\$7.75) WARRANTS, OPTIONS OR RIGHTS. 77,500 (\$558,000) COMMON STOCK. 155,000 (\$775,000) COMMON STOCK. UNDERWRITER: THOMAS JAMES ASSOCIATES INC. (FILE 33-43354 - OCT. 16) (BR. 3 - NEW ISSUE)
- S-1 HUGHES RESOURCES INC, FM 2626 NORTH, BON WIER, TX 75928 (409) 397-4221 - 460,000 (\$2,300,000) COMMON STOCK. 920,000 (\$4,140,000) COMMON STOCK. 40,000 (\$100) WARRANTS, OPTIONS OR RIGHTS. 40,000 (\$240,000) COMMON STOCK. 525,000 (\$2,625,000) COMMON STOCK. (FILE 33-43355 - OCT. 15) (BR. 11)
- S-1 CYTEL CORP/DE, 3525 JOHN HOPKINS COURT, SAN DIEGO, CA 92121 (619) 552-3000 - 2,875,000 (\$34,500,000) COMMON STOCK. UNDERWRITER: BROWN ALEX & SONS INC, GOLDMAN SACHS & CO. (FILE 33-43356 - OCT. 15) (BR. 4 - NEW ISSUE)
- S-4 FIRST ALABAMA BANCSHARES INC, P O BOX 1448, MONTGOMERY, AL 36102 (205) 832-8490 - 190,664 (\$4,259,433.76) COMMON STOCK. (FILE 33-43357 - OCT. 16) (BR. 1)
- S-1 CLARK OIL & REFINING CORP, 8182 MARYLAND AVE, C/O MILTON BURMASTER, ST LOUIS, MO 63105 (314) 854-9696 - 200,000,000 (\$200,000,000) FLOATING RATE NOTES. (FILE 33-43358 - OCT. 16) (BR. 3)
- S-6 DEFINED ASSET FUNDS MUN INVT TR FD AMT MON PYMT SER 17, P O BOX 9051, C/O MERRILL LYNCH PIERCE FENNER & SMITH, PRINCETON, NJ 08543 - INDEFINITE SHARES. (FILE 33-43359 - OCT. 15) (BR. 22 - NEW ISSUE)
- S-6 DEFINED ASSET FUNDS MUNICIPAL INVT TRUST FD INSURED SER 174, P O BOX 9051, C/O MERRILL LYNCH PIERCE FENNER & SMITH, PRINCETON, NJ 08543 - INDEFINITE SHARES. (FILE 33-43360 - OCT. 15) (BR. 22 - NEW ISSUE)

REGISTRATIONS CONTINUED

- S-6 DEFINED ASSET FUNDS CORPORATE INCOME FUND MON PYMT SER 306, P O BOX 9051,
C/O MERRILL LYNCH PIERCE FENNER & SMITH, PRINCETON, NJ 08543 - INDEFINITE SHARES.
(FILE 33-43361 - OCT. 15) (BR. 17 - NEW ISSUE)
- S-8 US WEST INC, 7800 E ORCHARD RD, ENGLEWOOD, CO 80111 (303) 793-6500 - 250,000
(\$8,718,750) COMMON STOCK. (FILE 33-43362 - OCT. 15) (BR. 13)
- S-4 CAPITAL HOLDING CORP, 680 FOURTH AVE COMMONWEALTH BLDG, P O BOX 32830, LOUISVILLE,
KY 40202 (502) 560-2000 - 1,922,434 (\$242,707,356) PREFERRED STOCK. (FILE 33-43363 -
OCT. 15) (BR. 13)
- S-8 US WEST INC, 7800 E ORCHARD RD, ENGLEWOOD, CO 80111 (303) 793-6500 - 4,000,000
(\$139,500,000) COMMON STOCK. (FILE 33-43364 - OCT. 15) (BR. 13)

ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/%OWNED	CUSIP/PRIOR%	FILING STATUS
ACCLAIM ENTMT INC MCA INC	COM PAR 13D	\$0.02 10/17/91	182 1.0	00432520 6.4	UPDATE
ACMAT CORP NOZKO HENRY W JR	CL A 13D	9/12/91	2,704 54.5	00461620 53.8	UPDATE
ACMAT CORP NOZKO HENRY W SR	CL A 13D	9/12/91	2,768 55.8	00461620 55.5	UPDATE
BEAZER PLC HANSON PLC ET AL	ORD 14D-1	10/18/91	0 0.0	07556810 0.0	NEW
BIOMEDICAL WASTE SYS INC DAVIS J MORTON ET AL	COM 13D	10/15/91	2,293 27.4	09199710 24.4	UPDATE
BIOMEDICAL WASTE SYS INC DAVIS J MORTON ET AL	COM 13D	10/15/91	2,293 27.4	09199710 24.4	UPDATE

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ XOWNED	CUSIP/ PRIOR%	FILING STATUS
BRONCO OIL & GAS HAUGHT FAMILY TRUST	COM 13D	9/20/91	5,500 26.0	11221420 0.0	NEW
CARLYLE REAL ESTATE LP VI LIQUIDITY FUNDS ET AL	LP INTS 13D	12/31/89	1 6.1	14283095 0.0	NEW
DATAPPOINT CORP EDELMAN ASHER B. ET AL	PFD 13D	10/10/91	327 16.9	23810030 0.0	NEW
DIME FINL CORP CONN GRIFFIN WILLIAM M ET AL	COM 13D	8/23/91	234 4.7	25499610 0.0	NEW
ENTERRA CORP SHAMROCK HLDGS/CALIF	COM 13D	10/17/91	0 0.0	29380510 11.8	UPDATE
ENVIORMENTAL CTL GROUP INC TERIBE LTD ET AL	COM 13D	10/ 4/91	983 13.4	29392810 10.2	UPDATE
FLEER CORP STATE OF WISCONSIN INVEST BD	COM 13D	9/20/91	620 6.6	33889210 5.0	UPDATE
IN FOCUS SYS INC STATE OF WISCONSIN INVEST BD	COM 13D	10/ 2/91	638 8.3	45291910 6.6	UPDATE
IN FOCUS SYS INC STATE OF WISCONSIN INVEST BD	COM 13D	10/ 2/91	638 8.3	45291910 6.6	RVISION
LXE INC ROCKER DAVID A	COM 13D	9/27/91	232 4.4	50246510 6.2	UPDATE
LEAK-X CORP GELLES JOHN S	COM 13D	9/10/91	2,174 27.2	52178410 31.5	UPDATE
LEAK-X CORP GELLES WILLIAM H JR	COM 13D	9/10/91	2,258 28.2	52178410 31.5	UPDATE
N S GROUP INC STATE OF WISCONSIN INVEST BD	COM 13D	10/ 1/91	845 6.3	62891610 5.2	UPDATE
NASHUA CORP ROCKER DAVID A	COM 13D	10/ 8/91	248 3.9	63122610 5.0	UPDATE
NATIONAL RLTY L P FRIEDMAN WILLIAM S ET AL	UNIT NEW 13D	10/11/91	634 29.5	63735330 28.3	UPDATE
PEOPLES TELE CO OKABENA PARTNERSHIP K	COM 13D	10/11/91	349 8.3	71257410 7.2	UPDATE
PITWAY CORP DEL GAMCO INVESTORS INC ET AL	CL A 13D	10/16/91	3,781 33.8	72579020 32.7	UPDATE
SALOMON INC DART WILLIAM A ET AL	COM 13D	10/ 8/91	6,424 5.7	79599110 0.0	NEW

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/%OWNED	CUSIP/PRIOR%	FILING STATUS
SEVEN OAKS INTL INC SARNO SAM A SR ET AL	COM 13D	10/ 4/91	1 5.4	81787510 100.0	UPDATE
SEVEN OAKS INTL INC SARNO SAM A SR ET AL	COM 13D	10/ 4/91	1 5.4	81787510 100.0	UPDATE
SOUTHDOWN INC BLUM RICHARD C & ASSOC ET AL	COM 13D	10/16/91	2,331 13.8	84129710 12.2	UPDATE
SUPER 8 MOTELS LIQUIDITY FUNDS ET AL	LTD PRT INT 13D	9/30/89	0 9.5	86788099 8.3	UPDATE
TELETEK INC SHWAYDER KEITH R	COM 13D	8/29/91	22,283 87.5	87990510 37.2	RVISION
TRAVELERS INCOME PPTYS I LIQUIDITY FUNDS ET AL	LTD PART INTS 13D	11/30/89	2 7.1	89419099 0.0	NEW
UNITED STATES FILTER CORP WEISMAN NEIL JONATHAN ET AL	COM NEW 13D	10/11/91	232 5.1	91184320 0.0	NEW
VALMONT INDS INC STATE OF WISCONSIN INVEST BD	COM 13D	10/ 2/91	1,020 9.0	92025310 7.8	UPDATE
WHITTAKER CORP ALIBRANDI JOSEPH F	COM PAR \$0.01 13D	10/17/91	848 11.3	96668040 12.6	UPDATE

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
AAA NET REALTY FUND IX LTD	NE		X						X	10/10/91	

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
ACME CLEVELAND CORP	OH				X					10/01/91	
ADVANCED MARKETING SERVICES INC	DE				X	X				07/25/91	
ADVANTAGE LIFE PRODUCTS INC / CO	CO				X					10/14/91	
AFC HOME EQUITY LOAN TRUST 1991-3	NJ				X	X				10/15/91	
ALLECO INC	MD				X					10/10/91	
AMALGAMATED INVESTMENT CORP	DE				X	X				10/15/91	
AMALGAMATED INVESTMENT CORP	DE		X			X				10/15/91	
AMERICAN BARRICK RESOURCES CORP						X				10/17/91	
AMERICAN BRANDS INC /DE/	DE				X	X				10/17/91	
AMERICAN INCOME FUND I-A	MA	X								12/31/90	
AMERICAN INCOME FUND I-B	MA	X								02/28/91	
AMERICAN INCOME FUND I-C	MA	X								05/31/91	
AMERICAN METALS SERVICE INC	FL	X				X				10/04/91	
AMPLICON INC	CA	X								10/11/91	
ANGELES PARTNERS XIV	CA	X				X				10/15/91	
ARTAGRAPH REPRODUCTION TECHNOLOGY INC					X	X				10/17/91	
BASS MORTGAGE INCOME FUND I LIMITED PART	OH				X	X				10/15/91	
BELLSOUTH CORP	GA				X					10/01/91	
BIOCONTROL TECHNOLOGY INC	PA				X	X				10/15/91	
BIOMEDICAL DYNAMICS CORP	MN				X	X				09/17/91	
BIOPLASTY INC	MN				X					10/11/91	
BLUE GRASS BREEDERS INC	CO	X	X			X	X			10/10/91	
BOATMENS BANCSHARES INC /MO	MO				X	X				10/11/91	
BONNEVILLE PACIFIC CORP	DE				X	X				09/05/91	
CALIFORNIA FEDERAL SAVINGS & LOAN ASSOCI					X	X				09/25/91	
CARDIAC CONTROL SYSTEMS INC	DE				X					10/04/91	
CCC CODED COMMUNICATIONS CORP					X					10/09/91	
CENTURY MEDICORP	CA					X				08/02/91	AMEND
CHIRON CORP	DE				X	X				10/14/91	
CIRCLE K CORP /NEW/	TX	X			X	X				10/09/91	
CITICORP	DE				X					10/15/91	
COLONIAL CREDIT CARD TRUST 1991-A					X	X				10/07/91	
COLUMBIA MANAGEMENT CO	PA		X		X					10/15/91	
COMP U CHECK INC	MI			X		X				10/16/91	
CONSOLIDATED CAPITAL OF NORTH AMERICA IN	DE	X				X				10/04/91	
CORESTATES FINANCIAL CORP	PA				X	X				10/16/91	
DAKA INTERNATIONAL INC	DE	X				X				10/04/91	
DATAMARINE INTERNATIONAL INC	MA				X					10/04/91	
DBA SYSTEMS INC	FL				X					10/08/91	
ELJER INDUSTRIES INC	DE				X	X				10/15/91	
EMERSON RADIO CORP	NJ				X	X				10/14/91	
ENVIRONMENTAL CONTROL GROUP INC	DE	X			X	X				10/04/91	
EXECUTIVE OFFICE GROUP INC	NV	X				X				10/04/91	
FALCON CLASSIC CABLE INCOME PROPERTIES L	CA	X				X				10/03/91	
FIFTH THIRD BANCORP	OH				X	X				10/15/91	
FIRST CENTRAL FINANCIAL CORP	NY			X		X				10/08/91	AMEND
FIRST COMMERCIAL CORP	AR				X	X				07/26/91	
FIRST FILMS INC	CO	NO ITEMS								06/30/91	AMEND
FIRST PHILSON FINANCIAL CORP	DE				X					09/25/91	
FIRST REPUBLIC BANCORP INC	DE				X					10/17/91	
FLOATING POINT SYSTEMS INC	OR	X			X					09/30/91	
FLORIDA WESTCOAST BANKS INC	FL				X					10/08/91	
FORD CREDIT 1991-A GRANTOR TRUST	DE				X	X				10/11/91	

8K REPORTS CONT.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
FRANKFORD CORP	PA								X	10/15/91	
FRENCHTEX INC	FL		X						X	10/09/91	
GENISCO TECHNOLOGY CORP /DE/	DE	NO	ITEMS							10/03/91	AMEND
GENRAD INC	MA			X						10/21/91	
GEORGIA PACIFIC CORP	GA					X				10/17/91	
GMAC MORTGAGE CORP OF IOWA MORT PAS THR	PA	NO	ITEMS							08/25/91	
GMAC MORTGAGE CORP OF IOWA MORT PAS THR	PA	NO	ITEMS							09/25/91	
GMAC MORTGAGE CORP OF IOWA MORT PAS THR	PA	NO	ITEMS							09/25/91	
GMAC MORTGAGE CORP OF IOWA MORT PAS THR	PA	NO	ITEMS							09/25/91	
GMAC MORTGAGE CORP OF IOWA MORT PAS THR	PA	NO	ITEMS							09/25/91	
GMAC MORTGAGE CORP OF IOWA MORT PAS THR	PA	NO	ITEMS							09/25/91	
GRIFFIN REAL ESTATE FUND II	MN			X						10/16/91	
GRIFFIN REAL ESTATE FUND III	MN			X						10/16/91	
GRIFFIN REAL ESTATE FUND IV	MN			X						10/16/91	
GRIFFIN REAL ESTATE FUND V	MN			X						10/16/91	
GRIFFIN REAL ESTATE FUND VI	MN			X						10/16/91	
GULF RESOURCES & CHEMICAL CORP	DE				X		X			10/18/91	
HAWAIIAN ELECTRIC CO INC	HI				X					10/16/91	
HAWAIIAN ELECTRIC INDUSTRIES INC	HI				X					10/16/91	
HOLLYWOOD PARK REALTY ENTERPRISES INC	DE				X		X			09/30/91	
HOME HOLDINGS INC	DE				X					10/14/91	
HOME INSURANCE CO	MN						X			10/14/91	
HQ OFFICE SUPPLIES WAREHOUSE INC	DE		X					X		10/15/91	
IEA INCOME FUND IX L P	CA			X			X			10/15/91	
IEA INCOME FUND VI	CA			X			X			10/15/91	
IEA INCOME FUND VII	CA			X			X			10/15/91	
IEA INCOME FUND VIII	CA			X			X			10/15/91	
IEA INCOME FUND X LP	CA			X			X			10/15/91	
IEA INCOME FUND XI LP	CA			X			X			10/15/91	
IEA MARINE CONTAINER FUND	CA			X			X			10/15/91	
IEA MARINE CONTAINER FUND II	CA			X			X			10/15/91	
IEA MARINE CONTAINER INCOME FUND III	CA			X			X			10/15/91	
IEA MARINE CONTAINER INCOME FUND IV	CA			X			X			10/15/91	
IEA MARINE CONTAINER INCOME FUND V-A	CA			X			X			10/15/91	
IEA MARINE CONTAINER INCOME FUND V-B	CA			X			X			10/15/91	
IN HOME HEALTH INC /MN/	MN			X				X		10/17/91	
INSIGNIA FINANCIAL GROUP INC	DE					X				09/30/91	
JCP MASTER CREDIT CARD TRUST	DE							X		10/15/91	
JCP RECEIVABLES INC	DE							X		10/15/91	
JWP INC/DE/	DE	NO	ITEMS							08/13/91	AMEND
KEYCORP	NY				X		X			10/16/91	
KIRKSVILLE BANCSHARES INC	DE				X		X			10/07/91	
KNOWLEDGEWARE INC	GA				X		X			10/07/91	
LG&E ENERGY CORP	KY				X		X			10/18/91	
LYDALL INC /DE/	DE				X					10/09/91	
MANUFACTURERS HANOVER CORP	DE							X		10/21/91	
MARINE MIDLAND CREDIT CARD TRUST 1990 A						X	X			10/15/91	
MARINE MIDLAND HOME EQUITY LOAN TRUST 19						X	X			10/15/91	
MARINE MIDLAND 1987-1 CARS SM TRUST	NY				X		X			10/15/91	
MARINE MIDLAND 1988-1 CARS R TRUST	NY				X		X			10/15/91	
MARINE MIDLAND 1988-2 CARS R TRUST	NY				X		X			10/15/81	
MARINE MIDLAND 1989-1 CARS R TRUST	NY				X		X			10/15/91	
MARINE MIDLAND 1989-2 CARS R TRUST	NY				X		X			10/15/91	