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# sec news digest

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January 30, 1991

U.S. SECURITIES AND  
EXCHANGE COMMISSION

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## ADMINISTRATIVE PROCEEDINGS

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### MOTIONS OF STUART-JAMES RESPONDENTS TO DISMISS PROCEEDINGS DENIED

The Commission has denied various motions to dismiss filed by The Stuart-James Co., Inc. and eight individual respondents in a pending broker-dealer proceeding. Respondents contended that the Commission, in accepting the offer of settlement of another respondent, Thomas Meinders, who agreed to testify against them, impliedly accepted the truth of Meinders' proffered testimony and thereby prejudged essential facts adversely to them. Respondents also sought to disqualify those commissioners who accepted Meinders' offer; foreclose testimony by Meinders; and compel production of internal staff memoranda and ex parte communications between the staff and the Commission concerning Meinders' settlement.

In denying the motions, the Commission noted that mere exposure to the "facts" of a case gained in the performance of its statutory functions did not constitute prejudice, and that, consistent with due process, it may consider an offer of settlement from one respondent during the course of a multi-respondent proceeding. The Commission rejected the idea that it had made any determination with respect to the veracity of Meinders' proposed testimony, and pointed out that the administrative law judge, who will hear that testimony, is primarily entrusted with the determination of Meinders' credibility. The Commission also noted that communications with its staff when the staff discusses a proposed settlement are not prohibited ex parte communications with respect to non-settling respondents. Commissioner Fleischman filed a separate opinion concurring in the result reached by the Commission. (Rel. 34-28810)

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## CIVIL PROCEEDINGS

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### LLOYD SECURITIES AND MICHAEL LLOYD PERMANENTLY ENJOINED

The Philadelphia Regional Office announced that on January 2, 1991 U.S. District Court Judge Clarence C. Newcomer entered Final Judgments of Permanent Injunction (Reserving the Issue of Disgorgement) against defendants Michael W. Lloyd (Lloyd) and Lloyd Securities, Inc. (Lloyd Securities), a broker-dealer controlled by Lloyd and Warren

C. Nachmann (Nachmann). Without admitting or denying the allegations in the Commission's Complaint, which was filed on June 6, 1990, Lloyd Securities and Lloyd consented to the entry of the Orders. The Orders permanently enjoin Lloyd Securities and Lloyd from violating Section 17(a) of the Securities Act of 1933 (Securities Act) and Sections 10(b) and 15(c)(3) of the Securities Exchange Act of 1934 (Exchange Act) and Rules 10b-5 and 15c3-1 thereunder. Lloyd is also enjoined from violating Sections 5(a) and 5(c) of the Securities Act.

On December 22, 1990, Judge Newcomer appointed a trustee for the liquidation of Lloyd Securities under the Securities Investor Protection Act. A Receiver, appointed on September 27, 1990, will continue to maintain custody, control and possession of all assets of the other defendants. (See also LR-12504/LR-12519/LR-12654 and LR-12716.) [SEC v. Lloyd Securities, Inc., et al., USDC E.D.P.A., Civil Action No. 90-3841] (LR-12768)

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## CRIMINAL PROCEEDINGS

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### FORMER RHODE ISLAND STOCKBROKER CONVICTED OF SECURITIES FRAUD AND WIRE FRAUD

The Boston Regional Office and Lincoln C. Almond, the U.S. Attorney for the District of Rhode Island, announced that on January 17, 1991, after a five day trial, a federal jury convicted a former stockbroker, Rocco P. Pizzi, of Providence, Rhode Island, of two counts of securities fraud and 14 counts of wire fraud. Pizzi was found guilty of defrauding clients of his former stock brokerage firm of approximately \$200,000 during 1985.

In November 1988, Pizzi was fined \$50,000 and barred by the National Association of Securities Dealers, Inc. from affiliating with any NASD member brokerage firm. The case was investigated by the Federal Bureau of Investigation, the Boston Regional Office of the Securities and Exchange Commission, the U.S. Attorney's Office for the District of Rhode Island and the Federal grand jury. The case was prosecuted by Assistant United States Attorney Anthony C. DiGioia, and Commission Enforcement Attorney Richard P. Jacobson. [U.S. v. Rocco P. Pizzi, No. 90-068, D.R.I.] (LR-12769)

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## SELF-REGULATORY ORGANIZATIONS

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### IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

On January 17, 1991, the National Association of Securities Dealers filed a proposed rule change (SR-NASD-91-1) which became effective immediately under Rule 19b-4 of the Securities Exchange Act to amend Section 13 of Schedule A to the NASD's By-Laws, relating to charges by the NASD for reviewing advertising material submitted by member firms. Publication of the proposal is expected in the Federal Register during the week of January 28. (Rel. 34-28826)

## PARTIAL APPROVAL OF PROPOSED RULE CHANGE

The Commission granted partial approval of a proposed rule change submitted by the American Stock Exchange (SR-AMEX-90-26) to extend the Exchange's position limit exemption for hedged equity options positions until March 31, 1991. Publication of the order is expected in the Federal Register during the week of February 4. (Rel. 34-28827)

## PROPOSED RULE CHANGE

The Midwest Stock Exchange filed a proposed rule change (SR-MSE-91-06) pursuant to Rule 19b-4 of the Securities Exchange Act to amend its Interpretations and Policies under Article XXXIV, Rule 17, which relates to the utilization of exempt credit, in order to delete the current requirement that fifty percent (50%) of the quarterly share volume which creates or increases a position in the account of a registered market maker must result from transactions consummated on the MSE floor. Publication of the proposal is expected in the Federal Register during the week of February 4. (Rel. 34-28828)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- N-2 RENAISSANCE CAPITAL PARTNERS II LTD /TX/, 8080 N CENTRAL EXPWAY, STE 210, DALLAS, TX 75206 (214) 368-4629 - 50,000 (\$50,000,000) LIMITED PARTNERSHIP CERTIFICATE. UNDERWRITER: RENCAP SECURITIES INC. (FILE 33-38593 - JAN. 23) (BR. 20 - NEW ISSUE)
- S-3 MORTGAGE BANKERS FINANCIAL CORP I, 712 RUGBY RD, CHARLOTTESVILLE, VA 22903 (804) 979-1189 - 10,000,000 (\$10,000,000) MORTGAGE BONDS. (FILE 33-38596 - JAN. 23) (BR. 12)
- S-3 FLORIDA POWER & LIGHT CO, 9250 W FLAGLER ST, PO BOX 029100, MIAMI, FL 33174 (305) 552-3552 - 475,000,000 (\$475,000,000) MORTGAGE BONDS. (FILE 33-38641 - JAN. 23) (BR. 8)
- S-1 UNITED STATES CELLULAR CORP, 8410 W BRYN MAWR AVE, STE 700, CHICAGO, IL 60631 (312) 399-8900 (FILE 33-38644 - JAN. 23) (BR. 7)
- S-1 TELEPHONE & DATA SYSTEMS INC, 30 N LASALLE ST, CHICAGO, IL 60602 (312) 630-1900 - 3,000,000 (\$88,125,000) COMMON STOCK. 300,000 (\$30,000,000) PREFERRED STOCK. (FILE 33-38645 - JAN. 23) (BR. 7)

REGISTRATIONS CONTINUED

- S-8 STATE STREET BOSTON CORP, 225 FRANKLIN ST, BOSTON, MA 02110 (617) 786-3000 (FILE 33-38671 - JAN. 22) (BR. 2)
- S-8 STATE STREET BOSTON CORP, 225 FRANKLIN ST, BOSTON, MA 02110 (617) 786-3000 (FILE 33-38672 - JAN. 22) (BR. 2)
- S-3 OMNICOM GROUP INC, 437 MADISON AVE, NEW YORK, NY 10022 (212) 415-3600 - 60,000 (\$1,286,250) COMMON STOCK. (FILE 33-38673 - JAN. 23) (BR. 5)
- S-8 LTX CORP, LTX PARK AT UNIVERSITY AVE, WESTWOOD, MA 02090 (617) 461-1000 - 1,000,000 (\$3,080,000) COMMON STOCK. (FILE 33-38674 - JAN. 23) (BR. 8)
- S-8 LTX CORP, LTX PARK AT UNIVERSITY AVE, WESTWOOD, MA 02090 (617) 461-1000 - 1,000,000 (\$3,625,000) COMMON STOCK. (FILE 33-38675 - JAN. 23) (BR. 8)
- S-6 DEFINED ASSET FDS CORP INCOME FD THREE HUNDRED FOURTH MPS, P O BOX 9051, C/O MERRILL LYNCH PIERCE FENNER & SMITH, PRINCETON, NJ 08543 - INDEFINITE SHARES. (FILE 33-38703 - JAN. 23) (BR. 17 - NEW ISSUE)
- S-6 DEFINED ASSET FDS MUN INVT TR FD MULTISTATE SERIES 8H, P O BOX 9051, C/O MERRILL LYNCH PIERCE FENNER & SMITH, PRINCETON, NJ 08543 - INDEFINITE SHARES. (FILE 33-38704 - JAN. 23) (BR. 22 - NEW ISSUE)
- S-6 NUVEEN TAX EXEMPT UNIT TRUST SERIES 598, 333 WEST WACKER DR, C/O JOHN NUVEEN & CO INC, CHICAGO, IL 60606 DEPOSITOR: NUVEEN JOHN & CO INC. (FILE 33-38705 - JAN. 23) (BR. 22 - NEW ISSUE)

REGISTRATIONS EFFECTIVE:

January 25: Cash Trust Series II, 33-38550; and Putnam Arizona Tax Exempt Income Fund, 33-37992.

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ACQUISITION OF SECURITIES

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Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
AM DIAGNOSTICS INC QUEST BIOTECHNOLOGY INC	COM 13D	1/15/91	8,557 35.8	00299710 35.2	UPDATE
AM DIAGNOSTICS INC VENTURE FUNDING LTD	COM 13D	1/15/91	7,257 27.1	00299710 25.7	UPDATE
ALLEN GROUP INC STATE OF WISCONSIN INVEST	COM BD	1/10/91	458 5.4	01763410 0.0	NEW
AMERICAN FRUCTOSE CORP ARCHER DANIELS MIDLAND	CL A 13D	1/15/91	964 18.3	02629620 15.7	UPDATE
APPLIED BIOSYSTEMS INC STATE OF WISCONSIN INVEST	COM BD	1/ 9/91	1,223 8.7	03790610 9.5	UPDATE
BAKER MICHAEL CORP FIDELITY INTL LTD	COM 13D	1/15/91	142 5.1	05714910 0.0	NEW
BAKER MICHAEL CORP FMR CORP	COM 13D	1/14/91	142 5.1	05714910 0.0	NEW
BANKATLANTIC FINL CP WERTHEIM HERBERT A	COM NEW 13D	12/31/90	293 12.6	06605620 11.7	UPDATE
BEL FUSE INC BERNSTEIN DANIEL	COM 13D	2/23/90	296 6.1	07734710 0.0	NEW
CAMPBELL RES INC NEW BRONFMAN CHARLES ROSNER ET AL	COM 13D	1/15/91	7,592 7.6	13442210 9.9	UPDATE
CAVCO INDS INC KLEEMANN STEPHEN H	COM 13D	12/26/90	91 8.0	14956710 5.3	UPDATE
CIRCON CORP FIDELITY INTL LTD	COM 13D	1/18/91	256 4.8	17273610 5.0	UPDATE
CIRCON CORP FMR CORP	COM 13D	1/15/91	256 4.8	17273610 5.0	UPDATE
COMMODORE INTL LTD FIDELITY INTL LTD	COM 13D	1/16/91	1,633 5.0	20266010 0.0	NEW
COMMODORE INTL LTD FMR CORP	COM 13D	1/15/91	1,633 5.0	20266010 0.0	NEW

## ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
COMPUTER FACTORY INC CAIN MELISSA LYNN TR ET AL	COM 13D	1/25/91	992 11.5	20490910 12.8	UPDATE
ELECTRONIC ARTS FIDELITY INTL LTD	COM 13D	1/15/91	807 8.6	28551210 6.6	UPDATE
ELECTRONIC ARTS FMR CORP	COM 13D	1/17/91	807 8.6	28551210 6.6	UPDATE
GEO INTL CORP GAMCO INVESTORS INC ET AL	COM 13D	1/23/91	2,115 12.5	37291210 11.4	UPDATE
HEALTH&REHABILITATION PPTYS HRPT ADVISORS INC	COM SH BEN INT 13D	12/28/90	1,996 10.5	42216910 5.2	UPDATE
HEIN WERNER CORP ORTON MCCULLOUGH CRANE ET AL	COM 13D	1/22/91	246 12.6	42300210 11.5	UPDATE
HOUSE FABRICS INC FMR CORP	COM 13D	1/16/91	686 11.8	44175810 13.2	UPDATE
IMAGINE FILMS ENTMT INC HLM PARTNERS L P ET AL	COM 13D	1/24/91	322 6.1	45291110 7.6	UPDATE
INTRENET INC COAST SAVINGS FINL	COM 13D	1/15/91	508 10.1	46119010 0.0	NEW
INTRENET INC MORGENS E & WATERFALL B ET AL	COM 13D	1/15/91	1,630 32.5	46119010 0.0	NEW
KNOGO CORP SCHLOSS WALTER J ET AL	COM 13D	1/17/91	769 14.6	49915810 10.5	UPDATE
LONG LAKE ENERGY CORP BEINECKE WILLIAM S ET AL	COM 13D	12/14/90	5,203 50.9	54276710 47.6	UPDATE
MASCO INDS INC MASCO CORP	COM 13D	12/20/90	35,138 55.8	57460110 72.3	UPDATE
MODINE MFG CO GAMCO INVESTORS INC ET AL	COM 13D	1/23/91	1,420 9.6	60782810 7.4	UPDATE
MULTNOMAH KENNEL CLUB LELCO INC ET AL	CL B COMMON STOCK 13D	10/30/90	246 53.0	62574420 51.0	UPDATE
NETWORK SYS CORP FIDELITY INTL LTD	COM 13D	12/12/90	2,478 8.4	64121710 9.4	UPDATE
NETWORK SYS CORP FMR CORP	COM 13D	1/17/91	2,478 8.4	64121710 9.4	UPDATE

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
NICHOLS INSTITUTE INC STATE OF WISCONSIN INVEST	COM BD	13D	1/10/91	553 65380610 5.1 0.0	NEW
PROGRESS FINL CORP SCHIEL ARTHUR L	COM	13D	1/16/91	51 74326610 5.0 0.0	NEW
SCOPE INDS SALTMAN PAUL D	COM	13D	3/13/89	75 80916310 5.6 0.0	NEW
TRANSCON INC CAL BURKE JAMES D ET AL	COM	13D	1/ 4/91	411 89355210 6.5 5.2	UPDATE
TRION INC CARR NANCY M ET AL	COM	13D	5/ 9/90	600 89672610 9.5 0.0	NEW
TUESDAY MORNING INC FMR CORP	COM	13D	1/16/91	291 89903710 7.4 6.4	UPDATE
TUESDAY MORNING INC FMR CORP	COM	13D	1/16/91	291 89903710 7.4 6.4	RVSION
WESTERN UN CORP NEW BROOKE PARTNERS ET AL	COM	13D	11/19/90	N/A 95980710 N/A 100.0	RVSION
WESTERN UNION BROOKE PARTNERS ET AL	CL A CUM SR PFD	13D	11/19/90	651 95980720 43.4 0.0	RVSION

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Changes in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
ACTEK INC	NV							X		12/07/90	
ADCOM SYSTEMS INC	NV							X		01/24/91	
ADVANTAGE CORPORATE INCOME FUND L P	CA								X	11/14/90	AMEND
AEROVOX INC	DE							X		01/10/91	
ALL STATE PROPERTIES LP	DE				X			X		06/20/90	AMEND
ALLIANT COMPUTER SYSTEMS CORP /DE/	DE								X	04/23/90	AMEND
ALLIANT TECHSYSTEMS INC	DE								X	01/22/91	
AMERICAN EQUINE PRODUCTS INC	DE							X		08/10/90	AMEND
AMERICAN MEDICAL HOLDINGS INC	DE					X		X		11/26/90	
AMERICAN MEDICAL INTERNATIONAL INC /DE/	DE					X		X		11/26/90	
AMERICAN MIDLAND CORP	NY					X				01/23/91	
AMRE INC	DE							X		01/11/91	
ANHEUSER BUSCH COMPANIES INC	DE								X	01/28/91	
ARABIAN SHIELD DEVELOPMENT CO	DE					X				01/09/91	AMEND
ASPEN WIND INC	CO								X	05/25/90	AMEND
AST RESEARCH INC /DE/	DE								X	12/18/90	AMEND
BAGDAD CHASE INC	NV							X		12/12/90	
BANCORP NEW JERSEY INC	DE		X						X	01/11/91	
BANK OF NEW ENGLAND CORP	MA				X					01/06/91	
BANKERS TRUST NEW YORK CORP	NY					X		X		01/23/91	
BARRISTER INFORMATION SYSTEMS CORP	NY							X		01/15/91	
BELMORAL MINES LTD		X	X	X	X	X			X	12/21/90	
BINKS MANUFACTURING CO	DE							X	X	01/21/91	
BIRMINGHAM TURF CLUB INC	AL							X		12/19/90	
BIRMINGHAM TURF CLUB LTD	AL							X		12/19/90	
CELLCOM CORP	DE								X	12/27/90	AMEND
CENTOCOR INC	PA							X		01/22/91	
CENTRAL ILLINOIS PUBLIC SERVICE CO	IL							X		01/16/91	
CENTRAL PENNSYLVANIA FINANCIAL CORP	PA					X		X		01/16/91	
CIPSCO INC	IL							X		01/16/91	
CORESTATES FINANCIAL CORP	PA							X	X	01/16/91	
CORESTATES FINANCIAL CORP	PA							X	X	01/16/91	
COVINGTON DEVELOPMENT GROUP INC	DE							X		01/18/91	
CPC INTERNATIONAL INC	DE							X	X	01/15/91	
CRESTED CORP	CO							X	X	12/14/90	
DATAPHAZ INC	DE							X	X	01/23/91	
ELECTRONICS MISSILES & COMMUNICATIONS IN	DE							X	X	01/17/91	
ELSINORE CORP	NV							X		01/21/91	
EMPLOYEE BENEFIT PLANS INC	DE							X		01/18/91	
EQUIMARK CORP	DE							X	X	01/22/91	
EURAM CAPITAL CORPORATION	CO							X	X	12/29/90	AMEND
FALCON CABLE SYSTEMS CO	CA								X	01/17/91	
FEDDERS CORP /DE/	DE							X		01/09/91	
FIREMANS FUND MORTGAGE CORP	DE								X	01/25/91	
FIRST CAPITAL GROWTH FUND-XIV	IL							X	X	12/21/90	
FIRST CAPITAL INCOME & GROWTH FUND SERIE	IL							X	X	12/21/90	



## 8K REPORTS CONT.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	
		1	2	3	4	5	6	7	8		
FIRST HARTFORD CORP	ME				X					01/22/91	
FIRST USA BANK	DE				X	X				01/15/91	
FIRST USA CREDIT CARD BACKED NOTES SERIE	DE				X	X				01/15/91	
FRENCHTEX INC	FL					X				01/18/91	
GENERATION 5 TECHNOLOGY INC /CO/	CO				X	X				01/11/91	
GLENDAL FEDERAL BANK FEDERAL SAVINGS BA					X	X				12/25/90	
GLENDAL FEDERAL BANK FEDERAL SAVINGS BA					X	X				12/26/90	
GLENDAL FEDERAL BANK FEDERAL SAVINGS BA					X	X				12/26/90	
GLENDAL FEDERAL BANK FEDERAL SAVINGS BA					X	X				12/26/90	
GLENDAL FEDERAL BANK FEDERAL SAVINGS BA					X	X				12/26/90	
GLENDAL FEDERAL BANK FEDERAL SAVINGS BA					X	X				12/26/90	
GLENDAL FEDERAL BANK FEDERAL SAVINGS BA					X	X				12/24/91	
GLENDAL FEDERAL BANK FEDERAL SAVINGS BA					X	X				12/26/91	
GLENDAL FEDERAL BANK FEDERAL SAVINGS BA					X	X				12/26/91	
GRAN MARK INCOME PROPERTIES LTD PARTNERS	MD				X	X				10/23/90	
HARCOURT BRACE JOVANOVIH INC	NY				X					01/24/91	
HAUSER CHEMICAL RESEARCH INC	DE				X	X				01/25/91	
HIBERNIA NATIONAL BANK 1990-A GRANTOR TR	NY				X	X				01/15/91	
HOGAN SYSTEMS INC	DE				X	X				09/10/90	AMEND
HOME CALL INC /MD/	MD					X	X			01/28/91	
HUNTINGTON BANCSHARES INC/MD	MD				X	X				01/16/91	
IMPERIAL FEDERAL SAVINGS ASSO MOR PA TH	CA					X				12/25/90	
IMPERIAL FEDERAL SAVINGS ASSO MOR PAS TH	CA					X				12/25/90	
IMPERIAL FEDERAL SAVINGS ASSO MOR PAS TH	CA					X				12/25/90	
IMPERIAL FEDERAL SAVINGS ASSO MOR PAS TH	CA					X				12/25/90	
IMPERIAL FEDERAL SAVINGS ASSO MOR PAS TH	CA					X				12/25/90	
IMPERIAL FEDERAL SAVINGS ASSO MOR PAS TH	CA					X				12/25/90	
INCOMNET INC	CA				X	X				01/18/91	
INTERLEAF INC /MA/	MA					X	X			01/24/91	
KEEGAN MANAGEMENT CO	DE		X							12/31/90	AMEND
KOBER CORP	CO				X	X				12/06/90	
LA QUINTA MOTOR INNS INC	TX				X	X				01/22/91	
LANCER ORTHODONTICS INC /CA/	CA				X					01/10/91	
LEOS INDUSTRIES INC	DE				X					01/14/91	
LIGHTNING BOLT INTERNATIONAL INC	NV					X				10/15/90	AMEND
LINKON CORP	NV				X					01/17/91	
MAGIC CIRCLE ENERGY CORP	OK				X					01/09/91	
MEDCO RESEARCH INC	CA				X					01/09/91	
MEDENTA CORP					X					01/14/91	
MERRILL LYNCH & CO INC	DE				X	X				01/28/91	
MICROCARE INC	NY				X	X				01/15/91	
MIDSOUTH CORP /DE/	DE				X	X				01/07/91	
MILLER HERMAN INC	MI				X					01/21/91	
MOLECULON INC	DE				X	X				01/15/91	
MONTANA PRECISION MINING LTD	WA				X	X				12/06/90	
MORGAN STANLEY GROUP INC /DE/	DE				X	X				01/25/91	
MORTON INTERNATIONAL INC	IN				X	X				01/24/91	
NATIONAL CITY CORP	DE				X	X				01/24/91	
NOBLE DRILLING CORP	DE		X			X				01/11/91	
NORTH CANADIAN OILS LTD			X			X				01/10/91	

## 8K REPORTS CONT.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE		
		1	2	3	4	5	6	7	8			
NSC SERVICE GROUP INC	DE				X	X					01/02/91	
NUCLEAR SUPPORT SERVICES INC	VA	X				X					10/22/91	
NYCOM INFORMATION SERVICES INC	NY			X							12/21/90	AMEND
NYCOR INC /DE/	DE				X	X					01/09/91	
OLD FASHION FOODS INC/GA	GA	X									01/10/91	
OXFORD ENERGY CO	DE				X	X					01/24/91	
PASSPORT TRAVEL INC	KS			X							01/24/91	
PENNICHUCK CORP	NH			X							01/11/91	AMEND
PHOTO ACOUSTIC TECHNOLOGY INC	NV			X							01/24/91	
PICO PRODUCTS INC	NY				X						01/24/91	
PLASMEDICS INC	CO	X				X					01/23/91	
POTLATCH CORP	DE				X	X					01/24/91	
PREMIUM RESOURCE CORP	NV	X	X				X				12/31/90	
PROSPECT PARK FINANCIAL CORP /DE/	DE				X	X					01/22/91	
PUBLIC SERVICE CO OF COLORADO	CO				X						01/22/91	
RENTRAK CORP	OR	X					X				01/11/91	
REX PT HOLDINGS INC	DE	X					X				01/10/91	
RMED INTERNATIONAL INC	CO				X						01/17/91	
ROCHESTER TELEPHONE CORP	NY				X						01/23/91	
RODMAN & RENSHAW CAPITAL GROUP INC	DE				X						01/22/91	
SAFECO CORP	WA				X						01/25/91	
SAFEGUARD SCIENTIFICS INC	PA	X					X				01/11/91	
SBC FINANCIAL CORP	NY				X	X					01/23/91	
SCORPION TECHNOLOGIES INC	CO	X					X				05/01/90	AMEND
SECURITY PACIFIC AUTO FINANCE CORP	DE				X						01/18/91	
SECURITY PACIFIC BANK NATIONAL ASSOCIATI						X					01/15/91	
SECURITY PACIFIC CORP	DE				X						01/15/91	AMEND
SECURITY PACIFIC CORP	DE				X						01/15/91	AMEND
SENETEK PLC /ENG/					X		X				01/23/91	
SHOWCASE COSMETICS INC	DE				X						02/08/89	
SIRCO INTERNATIONAL CORP	NY	X					X				01/10/91	
SK TECHNOLOGIES CORP	DE				X		X				01/23/91	
SOI INDUSTRIES INC	DE				X						01/21/91	
SOLITRON DEVICES INC	DE				X		X				01/01/91	
SPARTA FOODS INC	MN	X					X				01/15/91	
SPS TECHNOLOGIES INC	PA				X						01/22/91	
STANSBURY HOLDINGS CORP	UT				X	X					01/07/91	
SULLIVAN DENTAL PRODUCTS INC	DE	X					X				01/11/91	
TECHNOLOGY DEVELOPMENT CORP	TX	X									01/09/91	
TECUMSEH PRODUCTS CO	MI				X	X					01/23/91	
TEXACO INC	DE				X	X					01/23/91	
TEXAS INSTRUMENTS INC	DE				X	X					01/25/91	
TIDE WEST OIL CO	CO	NO ITEMS									09/21/90	AMEND
TRUMPS CASTLE FUNDING INC	NJ				X	X					01/18/91	
U S THRIFT OPPORTUNITY PARTNERS L P	DE				X	X					01/16/91	
UNILAB CORP	DE				X						01/10/91	
UNION OIL CO OF CALIFORNIA	CA				X						01/28/91	
UNOCAL CORP/DE	DE				X	X					01/28/91	
UTILITECH INC	CA						X				04/18/90	AMEND
VICTORIA STATION ACQUISITION CORP	DE				X						01/18/91	

8K REPORTS CONT.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE		
		1	2	3	4	5	6	7	8			
VINTAGE GROUP INC	CO					X	X				01/24/91	
VOLUNTEER BANCSHARES INC	TN					X					01/17/91	
WATERHOUSE INVESTOR SERVICES INC	DE				X						12/11/90	AMEND
WES TENN BANCORP INC	TN				X						01/02/91	
WESTINGHOUSE CREDIT CORP	DE					X	X				01/17/91	
WINTERHAWK INVESTMENTS INC	CO				X		X				01/17/91	
WORTH CORP	NV	X									12/31/90	AMEND

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## SEC PUBLIC INFORMATION NUMBERS

Members of the public seeking information and/or material from the Commission continue to complain of being incorrectly referred to the wrong telephone number by Commission staff. The following information is furnished to assist you in directly calling the appropriate office:

Consumer Affairs (202-272-7440): Investor inquiries and complaint processing information.

Freedom of Information Branch (202-272-7420): Requests concerning FOIA, Privacy Act, Sunshine Act, confidential treatment matters, etc.

Personnel Locator (202-272-2550): Requests for names and phone numbers of Commission personnel.

Public Affairs (202-272-2650): Information about matters in the SEC News Digest, the Commission's operations, and calls from the press not directed to particular individuals, and other related matters.

Public Reference (202-272-7450): Requests for information on whether or not a document has been filed, etc.

Publications Unit (202-272-7460/7461): Requests for forms, studies, directories, etc.

Office of the Secretary (202-272-2600): Requests for information on the Commission calendar.

SEC Information Line (202-272-3100/5624): General information about SEC operations and activities through a series of recorded messages.

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