

sec news digest

Issue 80-25

February 5, 1980

RULES AND RELATED MATTERS

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U.S. SECURITIES AND
EXCHANGE COMMISSION

INTERPRETIVE RELEASE ISSUED ON EMPLOYEE BENEFIT PLANS

The Commission has issued a release setting forth the views of its staff on the application of the Securities Act of 1933 to pension, profit-sharing and similar types of employee benefit plans. Among the matters discussed in the release are the circumstances under which participation interests in such plans are deemed to be securities which are subject to the registration and antifraud requirements of the Act. There also is a discussion of the various exemptions from the Act's registration provisions that may be available for the offer and sale of securities by plans. Other topics covered by the release include the application of the Act to the various types of securities transactions in which plans may engage, resales by plan participants of securities acquired pursuant to plans, and the methods of registering securities issued by plans and related entities. (Rel. 33-6188)

FOR FURTHER INFORMATION CONTACT: Peter J. Romeo at (202) 272-2573

INVESTMENT COMPANY ACT RELEASES

OPPENHEIMER FUND, INC.

A notice has been issued giving interested persons until February 26 to request a hearing on the application of Oppenheimer Fund, Inc., Oppenheimer A.I.M. Fund, Inc., Oppenheimer Time Fund, Inc., Oppenheimer Income Fund of Boston, Inc., Oppenheimer Option Income Fund, Inc., Oppenheimer Special Fund, Inc., Oppenheimer Directors Fund, Inc., Oppenheimer High Yield Fund, Inc., Hamilton Funds, Inc., and Hamilton Growth Fund, Inc. (the Funds) and Oppenheimer Investor Services, Inc. and Oppenheimer Asset Management Corporation (collectively the Applicants), for an order, pursuant to Section 6(c) of the Act, exempting Applicants from the provisions of Section 22(d) of the Act and Rule 22d-1 thereunder to the extent necessary to permit sales of the Funds' securities at net asset value to Oppenheimer Affiliated Employees, as defined in the application, participating in a Simplified Employee Pension Plan and Group Profit Sharing Plan. (Rel. IC-11033 - Feb. 1)

LORD ABBETT DEVELOPING GROWTH FUND, INC.

An order has been issued declaring that Lord Abbett Developing Growth Fund, Inc. has ceased to be an investment company. (Rel. IC-11034 - Feb. 4)

CONTINENTAL INCOME FUND, INC.

An order has been issued on an application of Continental Income Fund, Inc., pursuant to Section 8(f) of the Investment Company Act of 1940, declaring that it has ceased to be an investment company. (Rel. IC-11035 - Feb. 4)

FIDELITY CASH RESERVES

An order has been issued on an application of Fidelity Cash Reserves (Applicant), registered under the Investment Company Act of 1940 as an open-end, diversified, management investment company, amending an earlier order dated April 5, 1979 (Rel. IC-10654). The amended order, pursuant to Section 6(c) of the Act, exempts Applicant from the provisions of Section 2(a)(41) of the Act and Rules 2a-4 and 22c-1 thereunder to the extent necessary to permit Applicant to utilize amortized cost valuation for the purpose of pricing its shares for sale, redemption and repurchase. (Rel. IC-11036 - Feb. 4)

HOLDING COMPANY ACT RELEASES

MICHIGAN POWER COMPANY

An order has been issued authorizing Michigan Power Company, subsidiary of American Electric Power Company, Inc., to enter into a term loan agreement with two banks for \$20 million of loans to mature December 31, 1987. (Rel. 35-21418 - Feb. 4)

LISTING, DELISTING AND UNLISTED TRADING ACTIONS

WITHDRAWAL SOUGHT

Notice is given of the following companies' applications to withdraw their common stocks from listing and registration on the American Stock Exchange, Inc.: Carnation Company, \$2 par value; Baldor Electric Company, \$.10 par value; and AVX Corporation, \$1 par value. (Rel. 34-16552)

SELF-REGULATORY ORGANIZATIONS

NOTICE OF PROPOSED RULE CHANGES

The following stock exchanges have filed proposed rule changes pursuant to Rule 19b-4: The Chicago Board Options Exchange, Inc. (SR-CBOE-80-1) that would revise the rules relating to procedures used by the CBOE in disciplining members and associated persons. (Rel. 34-16551); and The Philadelphia Stock Exchange, Inc. (SR-PHLX-79-11) which would amend By-Law Section 18-14 to provide members with a right to counsel in disciplinary proceedings, and rescind Sections 18-15 and 18-21 which presently deny the right to counsel at PHLX disciplinary proceeding and permit summary disciplinary proceedings. (Rel. 34-16553)

Publication of the above proposals are expected to be made in the Federal Register during the week of February 4.

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC pursuant to the Securities Act of 1933. The information noted below has been taken from the cover page and the facing sheet of the prospectus and registration statement and will appear as follows: Form; Name, address and phone number (if available) of the issuer of the security; Title and the number or face amount of the securities being offered; Name of the managing underwriter (if applicable); Whether the offering is a rights offering; and File number and date filed.

- (S-18) STANDARD LOGIC, INC., 2215 South Standard Ave., Santa Ana, Cal. 92701 - 333,333 units consisting of two shares of common stock and one warrant to purchase a share of common stock. The company is engaged in the design, manufacture and sale of a subassembly building block type integrated circuit packaging system. (File 2-66540 - Feb. 1)
- (S-1) ANTHEM ELECTRONICS, INC., 1020 Stewart Dr., Sunnyvale, Cal. 94086 - 632,500 shares of common stock. Underwriters: Drexel Burnham Lambert Inc. and Shearson Loeb Rhoades Inc. The company is a distributor of a broad range of semiconductor products. (File 2-66575 - Feb. 4)
- (S-16) ALLIED CHEMICAL CORPORATION, P.O. Box 4000R, Morristown, N.J. 07960 (201) 455-2000 - 4,000,000 shares of common stock. Underwriters: Goldman, Sachs & Co., Lazard Freres & Co., and Lehman Brothers Kuhn Loeb Inc. The company is engaged in the business of oil and gas, chemicals, among other things. (File 2-66576 - Feb. 4)
- (S-14) COMMERCE SOUTHWEST INC., P.O. Box 50972, 1525 Elm St., Dallas, Tex. 75250 (214) 658-6400 - 836,740 shares of Class A cumulative convertible preferred stock and 1,673,480 shares of common stock. (File 2-66577 - Feb. 4)

- (S-16) SHEARSON LOEB RHOADES INC., 14 Wall St., New York, N.Y. 10005 - \$30 million of junior subordinated convertible debentures, due 2005. Underwriter: Bear, Stearns & Co. (File 2-66578 - Feb. 4)
- (S-11) EQUITEC 80 REAL ESTATE INVESTORS, 3620 Happy Valley Rd., P.O. Box 1106, Lafayette, Cal. 94549 - 25,000 limited partnership interests. (File 2-66579 - Feb. 4)
- (S-2) NATURA ENERGY CORPORATION, Gihls Tower West - Suite 500, Midland, Tex. 79701 - 15,000,000 shares of common stock. Underwriter: Blinder, Robinson & Co., Inc., 55 Post Ave., Westbury, N.Y. 11590 (212) 895-9535. (File 2-66580 - Feb. 4)
- (S-7) TEXAS UTILITIES COMPANY, 2001 Bryan Tower, Dallas, Tex. 75201 (214) 653-4600 - 5,000,000 shares of common stock. Underwriters: Morgan Stanley & Co., Inc., Bache Halsey Stuart Shields Inc., Blyth Eastman Paine Webber Inc., E.F. Hutton & Company Inc., and Dean Witter Reynolds Inc. (File 2-66581 - Feb. 4)
- (S-16) PITNEY BOWES, INC., Walter H. Wheeler, Jr. Dr., Stamford, Conn. 06926 (203) 356-5000 - 175,000 shares of common stock. (File 2-66582 - Feb. 4)
- (S-16) SQUIBB CORPORATION, 40 West 57th St., New York, N.Y. 10019 (212) 621-7000 - 17,500 shares of common stock. (File 2-66583 - Feb. 1)
- (S-8) ATLANTA GAS LIGHT COMPANY, 235 Peachtree Street, N.E., Atlanta, Ga. 30302 (404) 572-0123 - 157,179 shares of common stock. (File 2-66584 - Feb. 4)
- (S-1) AIR FLORIDA SYSTEM, INC., Suite 590, 3900 N.W. 79th Ave., Miami, Fla. 33166 - 1,375,000 shares of Series B cumulative convertible preferred stock. Underwriters: Salomon Brothers and L.F. Rothschild, Unterberg, Towbin. The company is a certificated air carrier. (File 2-66585 - Feb. 4)
- (S-6) TAX-EXEMPT MUNICIPAL TRUST, THIRTIETH NATIONAL SERIES, 14 Wall St., New York, N.Y. 10005 - 7,700 units. Depositor: Shearson Loeb Rhoades Inc. (File 2-66586 - Feb. 4)
- (S-1) BLOCKER ENERGY CORPORATION, 800 Bering Dr., Houston, Tex. 77057 (713) 977-2030 - 500,000 shares of common stock. (File 2-66587 - Feb. 5)
- (S-8) THE CESSNA AIRCRAFT COMPANY, 5800 East Pawnee Rd., Wichita, Kan. 67201 (316) 685-9111 - an indeterminate number of shares of common stock. (File 2-66590 - Feb. 1)

ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within 10 days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds 5%. Persons eligible to use the short form (Form 13-G) may in lieu of filing a Schedule 13D file a Form 13-G within forty-five days after the end of the calendar year in which the person became subject to Section 13(d)(1). The following companies or individuals filed Schedule 13D's during the period JANUARY 17 - 24, 1980. The number of shares of the security which are beneficially owned, and the number of shares concerning which there is a right to acquire are sent forth for each beneficial owner. *Amended Acquisition Reports.

<u>REPORTING COMPANY OR INDIVIDUAL</u>	<u>ISSUER & NUMBER OF SHARES</u>	<u>DATE FILED</u>
Bush Y. Abadir	Cooper-Jarrett Inc. (Morristown, NJ) Common Stock - 51,700 shs.	1-17-80
Stronat America, Inc. Stronat International Ltd. (Bermuda)	Green Mountain Herbs, Ltd. (Boulder, CO) Common Stock - 1,875,000 shs. (16.8%) Common Stock - 400,000 shs. on exercise of Warrant	1-17-80
Erindale Company Limited (Bermuda), et al		
Robert Arthur Foisie Robert Pasquale Perfetto Management Resources, Inc.	Haydon Switch & Instrument, Inc. (Waterbury, CT) Common Stock - 538,106 shs. (71.9%)	1-17-80

ACQUISITION REPORTS CONT.

John J. McGuire	M&S Bancorp (Janesville, WI) Common Stock - 53,256.169 shs.	1-17-80
* Telvest, Inc. Telco Leasing, Inc. Technical Equipment Leasing Corp. Libco Corporation Clyde Wm. Engle	Standard-Coosa-Thatcher Co. (Chattanooga, TN) Common Stock - 52,900 shs.	1-17-80
* Oklahoma Publishing Company	Standard Metals Corp. (NYC) Common Stock - 343,700 shs. (14.4%)	1-17-80
* Alaska Northwest Industries, Inc.	Trailer Equipment Distributors, Inc. (Seattle, WA) Common Stock - 92,357 shs. (16.8%)	1-17-80
* American Benefit Life Insurance Co. Louis J. Roussel	United Founders Life Insurance Co. (Oklahoma City, OK) Common Stock - 1,277,805 shs. (27.6%)	1-17-80
Bethany Holding Corp. (Wholly-owned subsidiary of Clairdale Enterprises, Inc.)	Wayne-Gossard Corp. (Chattanooga, TN) Common Stock - 61,400 shs.	1-17-80
Fiber Industries, Inc. (Controlled by Celanese Corp.)	Winston Mills, Inc. (NYC) Common Stock - 264,183 shs. (12.9%)	1-17-80
* Burton R. Green, Trustee	American Educators Financial Corp. (Birmingham, AL) Capital Stock - 459,014 shs. (24.19%)	1-18-80
* Roger D. Condra, Individually and Voting Trustee	American Educators Financial Corp. (Birmingham, AL) Capital Stock - 798,328 shs. (45.25%) Capital Stock - 105,000 shs. on exercise of Option	1-18-80
* Unicorp Financial Corp. (Canada) George S. Mann (Canada)	Avenco Corp. (Bethesda, MD) Common Stock - 225,000 shs.	1-18-80
* Pennsylvania Engineering Corp. Security Management Corp. Victor Posner, Parent	Bliss & Laughlin Industries Inc. (Oak Brook, IL) Common Stock - 468,176 shs.	1-18-80
Cardev Ltd. (Wholly-owned subsidiary of Carma Developers Ltd. (Canada)	Christiana Companies Inc. (Santa Monica, CA) Common Stock - 264,000 shs. (11.1%)	1-18-80
* Robert J. Connaghan	Discovery Oil, Ltd. (Los Angeles, CA) Common Stock - 255,000 shs.	1-18-80
* Dome Mines Limited (Canada)	Dome Petroleum Limited (Calgary, Alberta, Canada) Common Stock - 12,622,000 shs. (25.28%)	1-18-80
Agency Rent-A-Car, Inc.	Gateway Industries, Inc. (Chicago, IL) Common Stock - 81,100 shs.	1-18-80
* Blue Bell Holdings, Inc. (Wholly-owned subsidiary of Blue Bell, Inc.)	Jantzen Inc. (Portland, OR) Common Stock - 1,565,903 shs. (92.4%) (1,481,003 of above shares were acquired pursuant to the Tender Offer.)	1-18-80
* GRE Purchaser, Inc. (Wholly-owned subsidiary of Guardian Royal Exchange Assurance Ltd. (England)	Midwestern Fidelity Corp. (Milford, OH) Common Stock - 1,544,706 shs. (93.4%) (994,821 of above shares were acquired pursuant to the Tender Offer.)	1-18-80
Evmar Oil Corp. Joseph Oil Corporation Gruss & Co., et al	Phoenix Resources Company (Oklahoma City, OK) Common Stock - 283,596 shs.	1-18-80

ACQUISITION REPORTS CONT.

* Southeastern Public Service Co. DWG Corporation Security Management Corp. Victor Posner, Parent	Simplicity Pattern Co. Inc. (NYC) Common Stock - 1,216,100 shs.	1-18-80
* Telvest, Inc. Telco Leasing, Inc. Technical Equipment Leasing Corp. Libco Corporation Clyde Wm. Engle	Wisconsin Real Estate Investment Trust (Milwaukee, WI) Common Stock - 169,600 shs. (11.2%)	1-18-80
* First Carolina Investors Bridget B. Baird, Trustee Brent D. Baird, Individually and Trustee Anne S. Baird, Custodian, et al	API Trust (NYC) Shares of Beneficial Interest - 158,935 (15.7%)	1-21-80
Robert W. Wilson	American Fletcher Mortgage Investors (Boston, MA) Shares of Beneficial Interest - 134,500	1-21-80
* SFIC Holding Corp. (Wholly-owned subsidiary of Santa Fe International) Santa Fe International Corp.	C F Braun & Co. (Alhambra, CA) Common Stock - 3,388,152 shs. (67%)	1-21-80
* LTR Holding Corp. (Wholly-owned subsidiary of Loews Corp.)	Bulova Watch Company, Inc. (Flushing, NY) Common Stock - 3,648,913 shs. (93.1%)	1-21-80
* City Investing Company	C. I. Mortgage Group (NYC) Shares of Beneficial Interest - 550,000 Shares of Beneficial Interest - 5,000 on exercise of Warrants	1-21-80
Wilbert R. Canning, Trustee	Cheezem Development Corp. (St. Petersburg, FL) Common Stock - 200,000 shs. (12.3%)	1-21-80
* Warren E. Buffett Susan T. Buffett	Citination Development Trust (Beverly Hills, CA) Common Stock - 46,900 shs.	1-21-80
Nick J. Mileti	Cleveland Professional Basketball Co. (Richfield, OH) Common Stock - 155,000 shs. (21%)	1-21-80
Marvin H. Coleman, Trustee Si Richard Wynn, Trustee Melvin Pollack, Trustee, et al	Continental Materials Corp. (Chicago, IL) Common Stock - 319,916 shs. (24.1%)	1-21-80
Teledyne, Inc., Parent Argonaut Insurance Co. Argonaut-Midwest Insurance Co., et al	Crown Cork & Seal Co., Inc. (Philadelphia, PA) Common Stock - 1,035,100 shs.	1-21-80
Employers Mutual Casualty Co.	Dakota Fire Insurance Co. (Bismark, ND) Common Stock - 546,658 shs. (96%) (64,383 of above shares were acquired pursuant to the Tender Offer.)	1-21-80
Cameron Baird Foundation Aries Hill Corp. Bridget B. Baird, Individually and Trustee Jane D. Baird, et al	Dominion Mortgage & Realty Trust (Buffalo, NY) Shares of Beneficial Interest - 260,865	1-21-80
American Financial Corp. Carl H. Lindner Robert D. Lindner	ERC Corporation (Kansas City, MO) Common Stock - 517,600 shs. Common Stock - 35,710 shs. on conversion of Debentures	1-21-80

ACQUISITION REPORTS CONT.

* TN Delaware Inc. Telgelmann Warenhandelsge- sellschaft (West Germany) Erivan Haub (West Germany)	Great Atlantic & Pacific Tea Co. Inc. (Montvale, NJ) Common Stock - 11,200,457 shs. (45%)	1-21-80
Bass Equity Enterprises	Gulf Resources & Chemical Corp. (Houston, TX) Conv. Preferred Stock, Series c - 65,100 shs.	1-21-80
* American Financial Corp. Carl H. Lindner Robert D. Lindner	Gulf & Western Industries, Inc. (NYC) Common Stock - 1,479,074 shs. Common Stock - 215,259 shs. on exercise of warrants and options Common Stock - 2,774,476 shs. on conversion of Debentures	1-21-80
* Eastover Corporation Citizens Growth Properties	ICM Realty (NYC) Shares of Beneficial Interest - 725,386 (24.0%)	1-21-80
* Curtiss-Wright Corporation Target Rock Corporation Metal Improvement Co., Inc., et al	Kennecott Copper Corporation (NYC) Capital Stock - 4,751,500 shs. (14.3%)	1-21-80
* Walco National Corporation	Leesona Corporation (Warwick, RI) Common Stock - 440,700 shs. (24.49%)	1-21-80
* Masco Corporation	Mite Corporation (New Haven, CT) Common Stock - 203,600 shs.	1-21-80
* Victor L. Sayyah Sayyah Corporation HCA, Inc. Inter-Region Associates Corp.	National Heritage Management Corp. (Englewood, NJ) Common Stock - 1,607,450 shs. (86.5%)	1-21-80
* American Financial Corp. Carl H. Lindner Robert D. Lindner	North American Mortgage Investors (Boston, MA) Common Stock - 2,513,800 shs. (36.4%)	1-21-80
* Profile-United Industries Ltd. (Canada) J. Y. Robishaud (Canada)	Odyssey Incorporated (Evanston, IL) Common Stock - 447,380 shs. (62%)	1-21-80
W. H. Hunt	Penn Central Corporation (NYC) \$5.27 Conv. Special Preference Stock - 343,400 shs.	1-21-80
N. B. Hunt	Penn Central Corporation (NYC) \$5.27 Conv. Special Preference Stock - 343,400 shs.	1-21-80
American Financial Corp. Carl H. Lindner Robert D. Lindner	Pennsylvania Engineering Corp. (Pittsburgh, PA) Common Stock - 450,295 shs. Common Stock - 80,496 shs. on conversion of Debentures	1-21-80
T/A Systems Inc. (Wholly-owned subsidiary of Triumph Werke Nurnberg AG (West Germany)	Pertec Computer Corporation (Los Angeles, CA) Common Stock - 6,500,000 shs. (91%) (Above shares were acquired pursuant to the Tender Offer.)	1-21-80
* Birdsboro Corporation Pennsylvania Engineering Corp. Security Management Corp. Victor Posner, Parent	Salem Corporation (Pittsburgh, PA) Common Stock - 302,500 shs. (19%)	1-21-80
Banco de Chile (Chile)	U. S. Trust Corporation (NYC) Common Stock - 299,800 shs.	1-21-80
* Amax Inc.	Adobe Oil & Gas Corp. (Midland, TX) Common Stock - 1,638,700 shs. (24.2%)	1-22-80
* Abraham D. Gosman	Multibank Financial Corp. (Quincy, MA) Common Stock - 307,439 shs. (20%)	1-22-80

ACQUISITION REPORTS CONT.

* Servitec International, Inc. Christoph Tribull (West Germany)	Sierracin Corporation (Sylmar, CA) Common Stock - 354,861 shs. (40.8%)	1-22-80
* Chris-Craft Industries, Inc.	Twentieth Century-Fox Film Corp. (Los Angeles, CA) Common Stock - 1,488,900 shs. Common Stock - 1,200 shs. on conversion of Preferred Stock	1-22-80
* NVF Company Security Management Corp. Victor Posner, Parent	APL Corporation (Great Neck, NY) Common Stock - 759,600 shs. (23.9%)	1-23-80
* Richard M. Simmons, Jr., Individually and Co-Trustee	American Furniture Company, Inc. (Martinsville, VA) Common Stock - 476,169 shs. (16.9%)	1-23-80
* Justrite Manufacturing Co.	Anthony Industries, Inc. (South Gate, CA) Common Stock - 379,945 shs. (15.2%)	1-23-80
D. L. Saslow Co., Inc. Thomas Tilling Inc. (Wholly-owned subsidiary of Thomas Tilling Ltd.) Thomas Tilling Limited (U.K.)	Belvac International Industries, Ltd. (Long Island City, NY) Common Stock - 2,367,986 shs. (87%)	1-23-80
* Hudson Bay Mining & Smelting Co. Ltd. (Canada)	Canadian Merrill Ltd. (Calgary, Alberta, Canada) Common Stock - 1,704,002 shs. (61.88%)	1-23-80
* Celina Mutual Insurance Co.	General Ohio S & L Corporation (Findlay, OH) Common Stock - 78,000 shs.	1-23-80
Republic Financial Services, Inc.	Hawthorne Financial Corp. (Hawthorne, CA) Common Stock - 86,000 shs. (Above shares were acquired pursuant to a Voting Trust Agreement.)	1-23-80
* CHI Newco Corp. (Wholly-owned subsidiary of Cohen-Hatfield Industries Inc.)	MacAndrews & Forbes Co. (Philadelphia, PA) Common Stock - 582,846 shs. (31.92%)	1-23-80
Lawrence Perlman	Unisource Corporation (Bloomington, MN) Common Stock - 28,000 shs.	1-23-80
* Great Commonwealth Life Insurance Co. (Wholly-owned subsidiary of American Commonwealth Financial Corp.) American Commonwealth Financial Corp., et al	All American Assurance Company (Dallas, TX) Common Capital Stock - 1,244,288 shs. (82.6%) (174,896 of above shares were acquired pursuant to the Tender Offer.)	1-24-80
* Goldman, Sachs & Co.	American Garden Products (Boston, MA) Common Stock - 35,100 shs.	1-24-80
* Donald L. Jonas Richard Stone Arthur Stone Morton Kahn, et al	Belscot Retailers, Inc. (Secaucus, NJ) Common Stock - 942,300 shs. (73.8%)	1-24-80

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NOTICE

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