

# sec news digest

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Issue 79-247

December 26, 1979

DEC 27 1979

## CRIMINAL PROCEEDINGS

### MARTIN TOLCHIN INDICTED

### U.S. SECURITIES AND EXCHANGE COMMISSION

The New York Regional Office announced that on December 4 a federal grand jury sitting in the Southern District of New York returned a sixteen count indictment charging Martin Tolchin of Lawrence, New York with conspiracy to violate the securities, bank fraud and obstruction of justice laws. Tolchin is president and chairman of the Board of Directors of Tolchin Instruments, Inc., a New York corporation engaged in the manufacture and sale of musical instruments. The indictment charges that during the years 1969 through 1975, Tolchin caused the financial statements of Tolchin Instruments to be falsely inflated by a total of \$3,239,758. The false financial statements were allegedly used to obtain loans totalling \$1,725,000 from Chemical Bank and \$1,104,000 from Bank Leumi. Tolchin, in a related civil action brought by the Commission, consented to the entry of a permanent injunction on August 23, 1977, enjoining him from further violations of the antifraud, reporting and proxy provisions of the securities laws. (U.S. v. Martin Tolchin, 79 Crim. 858, S.D.N.Y.). (LR-8958)

### CONVICTIONS OF JAMIE MATLICK FARRIS, OTHERS AFFIRMED

The Denver Regional Office announced that on November 26 the U.S. Court of Appeals for the Ninth Circuit affirmed the convictions of Jamie Matlick Farris and Marcus Theodore Baumann, both of Phoenix, Arizona, on two counts of securities fraud. The court also affirmed the conviction of Richard Tamuty of Cave Creek, Arizona on two counts of mail fraud and reversed his convictions on seven other counts of mail fraud. The defendants had been convicted in the sale of various interests in land contracts offered for sale and sold by Cochise College Park, Inc. (U.S. v. Jamie Matlick Farris, et al., 9th Circuit, No. 78-2514). (LR-8959)

## INVESTMENT COMPANY ACT RELEASES

### INTERCAPITAL LIQUID ASSET FUND INC.

An order has been issued pursuant to Section 6(c) of the Investment Company Act of 1940 exempting InterCapital Liquid Asset Fund Inc., a registered open-end investment company, from Rules 2a-4 and 22c-1 under the Act, to the extent necessary to permit it to compute its net asset value using the amortized cost method of valuing portfolio securities. (Rel. IC-10991 - Dec. 21)

### OPPENHEIMER SPECIAL FUND, INC.

A notice has been issued giving interested persons until January 14, 1980 to request a hearing on an application of Oppenheimer Special Fund, Inc., for an order, pursuant to Sections 6(c) and 17(b) of the Act, exempting certain transactions in connection with a proposed reorganization of the Fund with Moneco, Inc., from the provisions of Sections 22(c), 22(d), and 17(b) of the Act and Rule 22c-1 thereunder. (Rel. IC-10992 - Dec. 21)

### THE OSBORNE ESTATES COMPANY

A notice has been issued giving interested persons until January 14, 1980 to request a hearing on an application of The Osborne Estates Company, for an order, pursuant to Section 6(c) of the Act, exempting Applicant from all provisions of the Act. (Rel. IC-10993 - Dec. 21)

### TRUST FOR CASH RESERVES

A notice has been issued giving interested person until January 14, 1980 to request a hearing on an application of Trust for Cash Reserves, a "money market" fund registered under the Investment Company Act of 1940 as an open-end, diversified, management investment company, for an order, pursuant to Section 6(c) of the Act, exempting

Applicant, subject to conditions, from the provisions of Section 2(a)(41) of the Act, and Rules 2a-4 and 22c-1 thereunder, to the extent necessary to enable Applicant to utilize amortized cost valuation for the purpose of pricing its shares for sale and redemption. (Rel. IC-10994 - Dec. 21)

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## **HOLDING COMPANY ACT RELEASES**

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### **INDIANA & MICHIGAN ELECTRIC COMPANY**

A notice has been issued giving interested persons until January 14, 1980 to request a hearing on a proposal of Indiana & Michigan Electric Company, subsidiary of American Electric Power Company, Inc., to issue and sell at competitive bidding up to \$55 million of first mortgage bonds. (Rel. 35-21343 - Dec. 21)

### **GULF POWER COMPANY**

A notice has been issued giving interested persons until January 18, 1980 to request a hearing on a proposal of Gulf Power Company, subsidiary of The Southern Company, whereby Gulf will issue and sell at competitive bidding up to \$50 million of first mortgage bonds and up to 100,000 shares of its preferred stock, par value \$100 per share. (Rel. 35-21348 - Dec. 21)

### **PENNSYLVANIA ELECTRIC COMPANY**

A notice has been issued giving interested persons until January 15, 1980 to request a hearing on a proposal of Pennsylvania Electric Company (Penelec), subsidiary of General Public Utilities Corporation, and Nineveh Water Company, subsidiary of Penelec, that Penelec make cash capital contributions of \$983,500 to Nineveh. Of such amount, \$915,000 will represent the conversion of Nineveh's presently outstanding demand notes to capital contributions. (Rel. 35-21349 - Dec. 21)

### **NATIONAL FUEL GAS COMPANY**

A notice has been issued giving interested persons until January 17, 1980 to request a hearing on a proposal of National Fuel Gas Company, a registered holding company, and two of its subsidiaries, National Fuel Gas Distribution Corporation and National Fuel Supply Corporation, regarding the issuance and sale of commercial paper and notes to banks by the holding company and intrasystem financing. (Rel. 35-21350 - Dec. 21)

### **PUBLIC SERVICE COMPANY OF OKLAHOMA**

A supplemental order has been issued authorizing a proposal of Public Service Company of Oklahoma (PSO), subsidiary of Central and South West Corporation, and by Transok Pipe Line Company, subsidiary of PSO, regarding an increase from \$10 million to \$20 million of Transok's notes to PSO maturing December 31, 1980. (Rel. 35-21351 - Dec. 21)

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## **LISTING, DELISTING AND UNLISTED TRADING ACTIONS**

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### **UNLISTED TRADING GRANTED**

An order has been issued approving the application of the Pacific Stock Exchange for unlisted trading privileges in the common stock (\$1.00 par value) of Penn Central Corp. (Rel. 34-16445)

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## **SELF-REGULATORY ORGANIZATIONS**

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### **APPROVAL OF PROPOSED RULE CHANGE**

The Commission has approved a proposed rule change filed by the Options Clearing Corporation (SR-OCC-79-7) amending the timeframes during which members may submit instructions to OCC dealing with segregation of long positions. (Rel. 34-16444)

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## MISCELLANEOUS

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### BURLINGHAM UNDERWOOD & LORD RETIREMENT PLAN

A notice has been issued giving interested persons until January 15, 1980 to request a hearing on an application of Burlingham Underwood & Lord, a law firm organized as a partnership under the laws of New York, for an order pursuant to Section 3(a)(2) of the Securities Act of 1933 exempting from the registration requirements of the Act participations or interests issued in connection with The Burlingham Underwood & Lord Retirement Plan. (Rel. 33-6171)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC pursuant to the Securities Act of 1933. The information noted below has been taken from the cover page and the facing sheet of the prospectus and registration statement and will appear as follows: Form; Name, address and phone number (if available) of the issuer of the security; Title and the number or face amount of the securities being offered; Name of the managing underwriter (if applicable); Whether the offering is a rights offering; and File number and date filed.

- (S-6) THE CORPORATE INCOME FUND, NINETY-SEVENTH MONTHLY PAYMENT SERIES, One Liberty Plaza, 165 Broadway, New York, N.Y. 10080 - an indefinite number of units of beneficial interest. Depositors: Merrill Lynch, Pierce, Fenner & Smith Inc., One Liberty Plaza, 165 Broadway, New York, N.Y. 10080, Bache Halsey Stuart Shields Inc. and Dean Witter Reynolds Inc. (File 2-66277 - Dec. 20)
- (S-8) ROCKWELL INTERNATIONAL CORPORATION, 600 Grant St., Pittsburgh, Pa. 15219 (412) 565-2902 - 1,000,000 shares of common stock. The company is engaged in research and development, and the manufacture and sale of many products for commercial and government markets. (File 2-66278 - Dec. 20)
- (S-16) ONEIDA LTD., Oneida, N.Y. 13421 (315) 361-3000 - 216,911 shares of common stock. Underwriter: Merrill Lynch White Weld Capital Markets Group. (File 2-66279 - Dec. 20)
- (S-16) ATLANTIC CITY ELECTRIC COMPANY, 1600 Pacific Ave., Atlantic City, N.J. 08404 (609) 645-4100 - \$75 million of first mortgage bonds. The company is engaged in the generation, transmission, distribution and sale of electric energy. (File 2-66280 - Dec. 21)
- (S-16) THE CINCINNATI GAS & ELECTRIC COMPANY, 139 East Fourth St., Cincinnati, Ohio 45202 (513) 381-2000 - 500,000 shares of cumulative preferred stock, Series (\$100 par value). Underwriters: Morgan Stanley & Co., Inc. and E.F. Hutton & Company Inc. (File 2-66281 - Dec. 21)
- In a separate statement the company seeks registration of 3,000,000 shares of common stock. (File 2-66282 - Dec. 21)
- (S-7) PENGU INDUSTRIES, INC., 1400 Everman Rd., Fort Worth, Tex. 76140 (817) 293-7110 - 9,000 units, \$9 million of convertible subordinated debentures, due 1995 with 585,000 shares of common stock. Underwriter: Eppler, Guerin & Turner, Inc. The company is engaged in providing oil and gas well completion and workover services. (File 2-66283 - Dec. 21)
- (S-16) SOUTHWESTERN BELL TELEPHONE COMPANY, 1010 Pine St., St. Louis, Mo. 63101 (314) 247-9800 - \$450 million of forty year debentures, due January 15, 2020. Underwriters: Goldman, Sachs & Co., Bache Halsey Stuart Shields Inc., Blyth Eastman Paine Webber Inc., Dillon, Read & Co. Inc., The First Boston Corporation, Lehman Brothers Kuhn Loeb Inc., Merrill Lynch White Weld Capital Markets Group and Salomon Brothers. The company is engaged in the business of furnishing communications services. (File 2-66284 - Dec. 21)
- (S-7) CAROLINA TELEPHONE AND TELEGRAPH COMPANY, 122 East St. James St., Tarboro, N.C. 27886 (919) 823-9900 - \$40 million of thirty year debentures, due 2010. Underwriter: Kidder, Peabody & Co., Inc. The company provides communications services. (File 2-66285 - Dec. 21)

- (S-16) FIRST UNITED BANCORPORATION, INC., First United Bldg., 410 West Seventh St., Fort Worth, Tex. 76102 (817) 390-6111 - 78,500 shares of common stock. (File 2-66286 - Dec. 21)
- (S-1) CHANCELLOR FUTURES FUND II, 100 Gold St., New York, N.Y. 10038 - 5,000 units of limited partnership interest. Underwriter: Bache Halsey Stuart Shields Inc. (File 2-66287 - Dec. 21)
- (S-1) HCW DRILLING PARTNERSHIP 1980-I AND 1980-II, One Boston Pl., 31st Floor, Boston, Mass. 02108 - 2,000 limited partnership interests. (File 2-66288 - Dec. 21)
- (S-16) PACIFIC GAS AND ELECTRIC COMPANY, 77 Beale St., San Francisco, Cal. 94106 (415) 781-4211 - \$250 million of first and refunding mortgage bonds, Series 80A, due February 1, 2013. Underwriters: Salomon Brothers, Blyth Eastman Paine Webber Inc., Merrill Lynch White Weld Capital Markets Group and Dean Witter Reynolds Inc. (File 2-66290 - Dec. 21)
- (S-8) VALERO ENERGY CORPORATION, Nine Greenway Plaza, Houston, Tex. 77046 (713) 877-1400 - \$3,400,000 of interests and 282,152 shares of common stock. (File 2-66297 - Dec. 21)

#### REGISTRATIONS WITHDRAWN

Dec. 6: Aetna Business Credit, Inc., 2-61354; Leasepac Corp., 2-63349; Midland Mortgage Investors Trust, 2-62735 (all abandoned).

## RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Section (in ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

COMPANY	ITEMS NO.	DATE	
ADAMS RESOURCES & ENERGY INC	5,7	12/13/79	
AEROFLEX LABORATORIES INC	5,7	10/24/79	
ALLYN & BACON INC	5	11/28/79	
ALPINE GEOPHYSICAL ASSOCIATES INC	5	12/06/79	
AMC INVESTORS INC	2,5,7	11/28/79	
AMERICAN AGRONOMIC CORP	2,7	12/03/79	
AMERICAN HOME SHIELD CORP	2,5,7	11/29/79	
AMERICAN SCIENCE & ENGINEERING INC	2	12/04/79	
AMERICARE CORP /OH/	2	11/30/79	
ASSOCIATED MADISON COMPANIES INC	4	10/30/79	AMEND
BALCOR INCOME PROPERTIES LTD III	7	12/05/79	
BANCHEFT CORP	5,14	11/29/79	
BANK OF AMERICA NATIONAL TRUST & SAVINGS	7	11/26/79	
BANK SECURITIES INC	1	12/04/79	
BEGLEY DRUG CO	2,7	07/10/79	AMEND
BIRD FUND INC	5,7	11/27/79	
BIRD HOLDING INC	5,7	11/27/79	
CABLE TV FUND VII-A	14	10/11/79	AMEND
CENTRAL NATIONAL CHICAGO CORP	5	12/18/79	
CIRFICO HOLDINGS CORP	2,7	12/14/79	
COLEMAN CO INC	5	12/07/79	
COMMUNITY BANKS OF FLORIDA INC	5	12/14/79	

RECENT 8K FILINGS CONT.

COMPUTER INVESTORS GROUP INC	4	12/14/79	
COMPUTER PRODUCTS INC	7	11/01/79	
COMPUTER SCIENCES CORP	5	11/01/79	
CONOCO INC	5	11/14/79	AMEND
COVINGTON BROTHERS TECHNOLOGIES	5	11/09/79	AMEND
CREDIT PETROLEUM CORP	5	12/11/79	
DAVID & DASH INC	2,7	11/27/79	
DE TOMASO INDUSTRIES INC	7	09/28/79	AMEND
DELTA NATURAL GAS CO INC	5	12/03/79	
DEROSE INDUSTRIES INC	5	12/13/79	
DOUGHERTY BROTHERS CO	5	09/13/79	
DREXEL INDUSTRIES INC	4	11/22/79	
DUN & BRADSTREET CORP	5	11/30/79	
DUNLAP & ASSOCIATES INC	5	11/12/79	
EASTERN YORK INC	2,3,7	10/31/79	
EGGS INC	5	11/28/79	
EL DORADO INTERNATIONAL INC	2	06/29/79	AMEND
ELGIN NATIONAL INDUSTRIES INC	5	12/10/79	
ENERGY CAPITAL DEVELOPMENT CORP	5,7	12/01/79	
ENVIRONMENTAL CONTROL PRODUCTS INC	12	12/07/79	
FIDELITY BANK	5	12/07/79	
FIFTH DIMENSION INC	5	12/11/79	
FIRST BANCORP INC	2	11/30/79	
FIRST WESTERN INCOME REALTY TRUST	2,7	12/04/79	
FLUID CONTROLS INC	5	12/10/79	
FRONA INDUSTRIES INC	2,7	12/13/79	
FWD CORP	5	11/01/79	
GAC CORP /DE/	5	08/15/79	
GAMBLE SKOGMO INC	5	12/11/79	
GENERAL ELECTRODYNAMICS CORP	4,6	11/28/79	
GENERAL HOST CORP	2	12/03/79	
GENERAL HYDRAULICS CORP	1,2,5	12/12/79	
GENERAL REFRACTORIES CO	2,7	11/30/79	
GEFFORD HILL & CO INC	2,7	11/29/79	
GLENDALE FEDERAL SAVINGS & LOAN ASSOCIAT	5,6	11/30/79	
GOLDBLATT BROTHERS INC	5	12/17/79	
GRANDVIEW MINES	4	11/09/79	
GRANGER ASSOCIATES	5,6	12/01/79	
GRM INDUSTRIES INC	5	11/08/79	
HANDY & HARMAN	5	12/04/79	
HIGH VOLTAGE ENGINEERING CORP	2,5,7	12/03/79	
IOB BANKHOLDING CORP LTD	7	11/01/79	
ISA MARINE CONTAINER FUND	2	12/13/79	
ILLINOIS BELL TELEPHONE CO	5	11/30/79	
ILLINOIS POWER CO	5	12/12/79	
IMPERIAL AMERICAN RESOURCES FUND INC	7	11/30/79	
INDIANA & MICHIGAN ELECTRIC CO	5,6	12/14/79	
INDIANA FINANCIAL INVESTORS INC	5	08/03/79	
INDIANA FINANCIAL INVESTORS INC	5	08/31/79	
INDIANA FINANCIAL INVESTORS INC	5	11/30/79	
IPCO CORP	5,7	11/16/79	
JEREMIAH CORP	2,6,7	05/01/79	
KATSER INDUSTRIES CORP	2,7	12/12/79	
KAPOK TREE INNS CORP	5	11/19/79	
KAYOT INC	2,7	11/30/79	
KENTLWORTH SYSTEMS CORP	5,7	11/27/79	
KETTERING INDUSTRIES INC	2	12/06/79	
KINGS DEPARTMENT STORES INC	5	12/04/79	
LANDMARK BANKING CORP OF FLORIDA	5	11/01/79	
LILLI ANN CORP	2	11/30/79	
MAJESTIC ELECTRO INDUSTRIES LTD	5,7	09/01/79	AMEND
MANCHESTER LIFE & CASUALTY MANAGEMENT CO	2,5,6	11/01/79	
MANSFIELD TIRE & RUBBER CO	4,7	11/02/79	
MAXCO INC	7	05/31/79	AMEND
MCO HOLDINGS INC	5	11/01/79	
MCKEE INCOME REALTY TRUST	7	11/14/79	AMEND
MCNEIL REAL ESTATE FUND IX LTD	2,7	09/21/79	AMEND
MCNEIL REAL ESTATE FUND IX LTD	2,7	11/07/79	
MFD PAK CORP	5	12/14/79	
METALINE MINING & LEASING CO	4	11/09/79	
METRO INTERNATIONAL INC	1,2,7	11/09/79	

RECENT 8K FILINGS CONT.

MISSION INVESTMENT TRUST	5	12/01/79	
MOMS DEVELOPMENT CORP	2	11/01/78	AMEND
MORRISON KNJOSEN CO INC	2,4,7	12/14/79	
MORSE SHOE INC	5	11/01/79	
MOTTS SUPER MARKETS INC	5	11/20/79	
NALCO CHEMICAL CO	5,6	12/07/79	
NATIONAL CITY CORP	5	11/01/79	
NATIONAL COLOR LABORATORIES INC	5	12/11/79	
NATIONAL MEDICAL ENTERPRISES INC/NV/	7	07/31/79	AMEND
NELSON RESOURCE CORP	3	12/12/79	
NORTH AMERICAN LIFE & CASUALTY CO	1	11/27/79	
NORTH AMERICAN PUBLISHING CO	2,7	11/27/79	
OCEANFRONT INTERNATIONAL INC	5	12/13/79	
OCEANIC RESOURCES INC	13,14	12/01/79	
OLYMPIC GAS & OIL INC	1,5	12/10/79	
OSR CORP	5,7	11/23/79	
PACIFIC INVESTORS FUND NO 2	5	12/04/79	
PANELFAB INTERNATIONAL CORP	5,9	11/30/79	
PATRICK PETROLEUM CO 1979 COMBINATION PR	5	10/31/79	
PATRICK PETROLEUM CO 1979 COMBINATION PR	5	11/30/79	
PATRICK PETROLEUM CO 1979 DRILLING PROG	5	10/30/79	
PATRICK PETROLEUM CO 1979 DRILLING PROG	5	12/12/79	
PENCO INDUSTRIES INC	7	09/28/79	AMEND
PENRIL CORP	2,7	08/01/79	AMEND
PENRIL CORP	2,7	12/03/79	
PHM GROUP INC	5	12/01/79	
PK MANAGEMENT CORP	5	11/01/79	
PLACER DEVELOPMENT LTD /NEW/	5	11/16/79	
PLY GEM INDUSTRIES INC	5	11/01/79	
POPULAR BANCSHARES CORP	2,7	11/29/79	
PORTEC INC	2,7	11/13/79	
PRECISION POLYMERS INC	2	10/01/79	
PRECISION POLYMERS INC	2,5	11/01/79	
PRESLEY COMPANIES	5	12/10/79	
PROVIDERS BENEFIT CO	2,3,5	11/01/79	
QUANTA SYSTEMS CORP	1	12/12/79	
QI CORP	2,7	11/08/79	
RANDAL DATA SYSTEMS INC	5,7	12/12/79	
RAYMOND INTERNATIONAL INC	5	12/18/79	
REALTY INDUSTRIES INC	1	11/13/79	AMEND
REICHOLD CHEMICALS INC	5	12/01/79	
RESEARCH COTTRELL INC	2,7	11/29/79	
ROANWELL CORP	1	12/03/79	
ROBINSON MURGENT INC	5	11/09/79	
RUSCO INDUSTRIES INC /DE/	5	12/14/79	
SCOTCO DATA COM INC	4	06/30/79	
SECOND NATIONAL CORP	5	11/01/79	
SERVICEMASTER INDUSTRIES INC	5	12/01/79	
SHEARSON HAYDEN STONE INC	2	12/03/79	
SIXTY EAST FORTY SECOND STREET ASSOCIATE	5	11/13/79	
SONICS INTERNATIONAL INC	4,7	12/05/79	
SOUTHWESTERN INVESTMENT CO	2	11/30/79	
SOUTHWESTERN LIFE CORP	1	12/17/79	
SOVEREIGN COAL GROUP INC	5	12/05/79	
STARRETT HOJISNG CORP	5	12/10/79	
STEAK N SHAKE INC	1,2,7	12/05/79	
STIRLING HOMEX CORP	5	11/01/79	
TAPPAN CO	1	12/03/79	
TELETRANS INDUSTRIES INC	7	11/02/78	AMEND
TRANS GRAPHICS INC	2,6	12/03/79	
TRANSCON BUILDERS INC	3,8,12	11/01/79	
TRANSDATA CORP	5	12/12/79	
UIP CORP	5	12/17/79	
UNITED BANCORP OF ARIZONA	5	12/03/79	
UNIVERSITY REAL ESTATE TRUST	2	11/21/79	AMEND
UV INDUSTRIES INC	2,7	11/26/79	
VERNITRON CORP	2,7	11/02/79	AMEND
WACKENHUT CORP	5	12/13/79	
WASHINGTON MUTUAL SAVINGS BANK	6	11/25/79	
WENTWORTH MANUFACTURING CO	5	11/26/79	
WESTGATE CALIFORNIA CORP	2,7	12/05/79	

RECENT 8K FILINGS CONT.

WILSHIRE OIL CO OF TEXAS  
WSC GROUP INC  
MYLATN INC  
ZENITH RADIO CORP

2.5  
7  
5.7  
7

12/01/79  
04/01/79 AMEND  
11/28/79  
10/12/79 AMEND

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