

# sec news digest

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Issue 79-219

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## CIVIL PROCEEDINGS

### U.S. SECURITIES AND EXCHANGE COMMISSION

#### ALFONSO SIMON, JR. ENJOINED

The New York Regional Office announced that the Honorable Morris E. Lasker, U.S. District Judge for the Southern District of New York, has signed a final judgment of permanent injunction enjoining Alfonso Simon, Jr. of New York, New York, from further violations of the beneficial ownership reporting provisions of the securities laws. Simon consented to the entry of the injunction without admitting or denying the allegations contained in the complaint. (SEC v. Gilbert, et al., S.D.N.Y., 76 Civil 366 MEL). (LR-8920)

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## CRIMINAL PROCEEDINGS

#### FORMER CENCO INCORPORATED OFFICERS CONVICTED

The Chicago Regional Office announced that on October 29 three former employees of Cenco Incorporated were found guilty as charged of conspiracy, securities fraud, mail fraud and false filings with the Commission. Ralph Read, former Cenco president, was convicted as charged of thirty-three counts of the indictment. Ronald Speigel, former executive vice president of Cenco Medical Health, was convicted as charged of thirty counts of the indictment. Howard Swiger, former treasurer of the Medical Health Group, was convicted as charged of thirty counts of the indictment. Additionally, two others named in the indictment, Bernard Magdovitz and Jack Coulson pled guilty to charges contained in the indictment. Robert Smith, former president of a Cenco subsidiary, S-P Drug, was acquitted. Sentencing of Read, Speigel and Swiger is set for December 21. (U.S. v. Ralph Read, et al., N.D. Ill., 79 CR 277). (LR-8919)

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## HOLDING COMPANY ACT RELEASES

#### MIDDLE SOUTH UTILITIES, INC.

A notice has been issued giving interested persons until December 5 to request a hearing on a post-effective amendment to a declaration filed by Middle South Utilities, Inc., a registered holding company, regarding increasing the amount at any one time outstanding of short-term borrowings from \$174,800,000 to \$226,820,000. (Rel. 35-21289 - Nov. 9)

#### THE COLUMBIA GAS SYSTEM, INC.

An order has been issued authorizing the Columbia Gas System, Inc., a registered holding company, to issue common stock pursuant to its Tax Reduction Employees Stock Ownership Plan with respect to the 1977-1983 tax years rather than the 1978-1983 tax years. (Rel. 35-21290 - Nov. 9)

#### THEO. H. DAVIES & CO., LTD.

A notice has been issued giving interested persons until December 7 to request a hearing on an application by Theo. H. Davies & Co., Ltd. for exemption from the Public Utility Holding Company Act of 1935 pursuant to Section 2(a)(3)(A). (Rel. 35-21291 - Nov. 9)

#### ALLEGHENY POWER SYSTEM, INC.

A notice has been issued giving interested persons until December 4 to request a hearing on a proposal of Allegheny Power System, Inc., a registered holding company, and its subsidiaries, Monongahela Power Company, The Potomac Edison Company and West

Penn Power Company, and Allegheny Pittsburgh Coal Company, subsidiary of the above subsidiaries, whereby Allegheny Pittsburgh will be reorganized and Allegheny will make open account advances to Allegheny Pittsburgh. (Rel. 35-21292 - Nov. 9)

#### BLACKSTONE VALLEY ELECTRIC COMPANY

A notice has been issued giving interested persons until December 4 to request a hearing on a proposal of Blackstone Valley Electric Company, Eastern Edison Company and Montaup Electric Company, subsidiaries of Eastern Utilities Associates, that they be granted short-term borrowing authorization of \$3,200,000 in the case of Blackstone, \$19,700,000 in the case of Eastern Edison and \$63,500,000 in the case of Montaup, through December 31, 1980. (Rel. 35-21293 - Nov. 9)

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## SELF-REGULATORY ORGANIZATIONS

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#### NOTICE OF PROPOSED RULE CHANGE

The American Stock Exchange, Inc. has filed a proposed rule change under Rule 19b-4 (SR-Amex-79-19) to delete Commentary .01 of Amex Rule 959 which requires that closing sell orders at \$.01 which have been placed in the cabinet for execution and which cannot be executed immediately must be filed in time sequence with any orders to sell at 1/16 in the regular-way auction market. Publication of the proposal is expected to be made in the Federal Register during the week of November 12. (Rel. 34-16334)

#### APPROVAL OF PROPOSED RULE CHANGES

The Commission has approved, on an accelerated basis, proposed rule changes filed by the following stock exchanges: The Philadelphia Stock Exchange, Inc. (SR-PHLX-79-9) which deletes a provision contained in PHLX Rule 1014, Commentary .15 regarding the permissible bid/ask differentials for expiring options which are in-the-money. (Rel. 34-16332); and The American Stock Exchange, Inc. (SR-Amex-79-18) which amends the definitions of spread and straddle orders, defines combination orders, and accords to combination orders the same limited priority which is accorded to spread and straddle orders. (Rel. 34-16333)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC pursuant to the Securities Act of 1933. The information noted below has been taken from the cover page and the facing sheet of the prospectus and registration statement and will appear as follows: Form; Name, address and phone number (if available) of the issuer of the security; Title and the number or face amount of the securities being offered; Name of the managing underwriter (if applicable); Whether the offering is a rights offering; and File number and date filed.

- (S-14) BDM INTERNATIONAL, INC., 7915 Jones Branch Dr., McLean, Va. 22102 (703) 821-5000 - 784,210 shares of common stock. (File 2-65946 - Nov. 8)
- (S-16) SOUTHERN BELL TELEPHONE AND TELEGRAPH COMPANY, 45 Edgewood Ave., S.E., Atlanta, Ga. 30303 (404) 529-8611 - \$500 million of forty year debentures, due December 1, 2019. Underwriters: Merrill Lynch White Weld Capital Markets Group, Morgan Stanley & Co., Inc. and Salomon Brothers. The company is engaged in furnishing communication services. (File 2-65947 - Nov. 9)
- (S-16) NEW YORK STATE ELECTRIC & GAS CORPORATION, 4500 Vestal Pkwy. East, Binghamton, N.Y. 13902 (607) 729-2551 - \$50 million of first mortgage bonds, due 1996. Underwriters: Salomon Brothers, Lehman Brothers Kuhn Loeb Inc. and Merrill Lynch White Weld Capital Markets Group. The company is engaged in generating, transmitting and distributing electricity and gas. (File 2-65948 - Nov. 9)
- (S-11) BALCOR REALTY INVESTORS LTD.-80, The Balcors Bldg., 10024 Skokie Blvd., Skokie, Ill. 60077 - 17,000 limited partnership interests. (File 2-65949 - Nov. 9)

- (S-16) HOUSTON LIGHTING & POWER COMPANY, 611 Walker Ave., Houston, Tex. 77002 (713) 228-9211 - \$125 million of first mortgage bonds, due December 1, 2009. Underwriters: Kidder, Peabody & Co. Inc. and Dean Witter Reynolds Inc. The company is engaged in the generation, transmission, distribution and sale of electric energy. (File 2-65950 - Nov. 9)
- (S-1) HAWKINS EXPLORATION 1980-A PROGRAM, Suite 709, Philtower Bldg., Tulsa, Okla. 74103 - 1,000 units of preformation partnership interests. (File 2-65951 - Nov. 9)
- (S-6) THE FIRST TRUST OF INSURED MUNICIPAL BONDS, SERIES 47, 300 West Washington St., Chicago, Ill. 60606 - an indefinite number of units. Depositor: Clayton Brown & Associates, Inc. (File 2-65952 - Nov. 9)
- (S-8) INTERNATIONAL MINERALS & CHEMICAL CORPORATION, 2315 Sanders Rd., Northbrook, Ill. 60062 (312) 564-8600 - \$4,100,000 of participations and 80,000 shares of common stock. (File 2-65953 - Nov. 9)
- (S-6) NUVEEN TAX-EXEMPT BOND FUND, SERIES 140, 209 South La Salle St., Chicago, Ill. 60604 - an indefinite number of units. Depositor: John Nuveen & Co., Inc. (File 2-65954 - Nov. 9)
- (N-1) TRUSTEES' COMMINGLED EQUITY FUND, INC., 1250 Drummers Lane, P.O. Box 1100, Valley Forge, Pa. 19482 (215) 293-1100 - an indefinite number of shares of common stock. (File 2-65955 - Nov. 9)
- (S-16) PARKER-HANNIFIN CORPORATION, 17325 Euclid Ave., Cleveland, Ohio 44112 (216) 531-3000 - 430,590 common shares. (File 2-65957 - Nov. 9)
- (S-16) GAMBLE-SKOGMO, INC., 5100 Gamble Dr., Minneapolis, Minn. 55481 (612) 374-6123 - 21,500 shares of common stock. (File 2-65958 - Nov. 9)
- (S-14) AMOSKEAG COMPANY, 4500 Prudential Center, Boston, Mass. 02199 (617) 262-4000 - 93,677 shares of common stock. (File 2-65959 - Nov. 9)

#### REGISTRATIONS WITHDRAWN

Nov. 8: General Telephone Co. of the Northwest, Inc., 2-65789.

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## ACQUISITIONS OF SECURITIES

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Companies and individuals must report to the Commission within 10 days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds 5%. Persons eligible to use the short form (Form 13-G) may in lieu of filing a Schedule 13D file a Form 13-G within forty-five days after the end of the calendar year in which the person became subject to Section 13(d)(1). The following companies or individuals filed Schedule 13D's during the period September 28 - October 15, 1979. The number of shares of the security which are beneficially owned, and the number of shares concerning which there is a right to acquire are sent forth for each beneficial owner. \*Amended Acquisition Reports.

<u>REPORTING COMPANY OR INDIVIDUAL</u>	<u>ISSUER &amp; NUMBER OF SHARES</u>	<u>DATE FILED</u>
George Riegger	Adhesives & Chemicals, Inc. (Yatesville, PA) Common Stock - 52,000 shs. (11.5%)	9-28-79
* Sharon Steel Corp. Summit Systems, Inc. NVF Company Security Management Corp. Victor Posner, Parent	Burnup & Sims Inc. (Plantation, FL) Common Stock - 2,312,590 shs. (27.76%)	9-28-79
* Edwin Morgens Bruce Waterfall	CMT Investment Trust (Los Angeles, CA) Shares of Beneficial Interest - 88,200 Preferred Stock - 333,540 shs. (15.8%)	9-28-79

## ACQUISITION REPORTS CONT.

* Sharon Steel Corp. Summit Systems Inc. NVF Company Security Management Corp. Victor Posner, Parent	Howell Industries, Inc. (Southfield, MI) Common Stock - 162,300 shs. (11.3%)	9-28-79
Albert M. Zlotnick	ITI Electronics, Inc. (Clifton, NJ) Common Stock - 503,365 shs. (48.7%)	9-28-79
* MICD Holding Co. (Wholly-owned subsidiary of McDonnell Douglas Corp.)	Microdata Corp. (Irvine, CA) Common Stock - 2,150,845 shs. (95.37%)	9-28-79
* Merit Energy Corp.	Minerals Engineering Co. (Denver, CO) Common Stock - 284,525 shs. (19%)	9-28-79
First City Financial Corp. Ltd. (Canada)	Presley Companies (Newport Beach, CA) Common Stock - 305,000 shs.	9-28-79
* Esmark, Inc.	Reliance Universal Inc. (Louisville, KY) Common Stock - 173,900 shs.	9-28-79
* Altair Corp.	Harvest Industries, Inc. (Wilmette, IL) Common Stock - 689,848 shs. (57.7%)	10-9-79
Republic-Franklin Insurance Co. (Wholly-owned subsidiary of Republic Franklin Inc.)	Investors Fidelity Life Assurance Co. (Columbus, OH) Class A Common Stock - 33,334 shs.	10-9-79
* Media Investment, Inc. (Wholly-owned by John Price) Cable Investments, Inc. (Wholly-owned subsidiary of Tele Communications, Inc.)	Kansas State Network, Inc. (Wichita, KS) Common Stock - 486,773 shs. (25.9%)	10-9-79
Prescott Investors, Inc. Thomas W. Smith Alan S. Englander	Mary Kay Cosmetics, Inc. (Dallas, TX) Common Stock - 174,530 shs.	10-9-79
Dr. Gerald Stern	Kenilworth Research & Development Corp. (Plainview, NY) Common Stock - 518,165 shs.	10-9-79
Herbert Lindo	Kenilworth Research & Development Corp. (Plainview, NY) Common Stock - 1,051,989 shs. (19.06%)	10-9-79
American General Insurance Co.	McM Corp. (Raleigh, NC) Common Stock - 251,364 shs.	10-9-79
* Geneve Corp. Chaparral Insurance Co. (Wholly-owned subsidiary of Geneve Corp.)	E. F. MacDonald Co. (Dayton, OH) Common Stock - 570,810 shs.	10-9-79
CTU of Delaware (Wholly-owned subsidiary of United Technologies) United Technologies Corp.	Mostek Corp. (Carrollton, TX) Common Stock - 357,142 shs. (CTU has the right to acquire an additional 869,988 shares.)	10-9-79
National Resource Enterprises, Inc.	Standard Resources, Inc. (Carson City, NV) Common Participating Stock - 245,000 shs. (18%) Common Non-Participating - 2,191,500 shs. (88%)	10-9-79
* Hudson Bay Mining & Smelting Co. Ltd. (Canada)	Terra Chemicals International Inc. (Sioux City, IA) Common Stock - 2,741,949 shs. (51.68%)	10-9-79
GLR & DCM, Inc. (Wholly-owned subsidiary of B. F. Goodrich Co.)	Tremco Inc. (Cleveland, OH) Common Stock - 2,278,598 shs. (86%) (Above shares were acquired pursuant to the Tender Offer.)	10-9-79

ACQUISITION REPORTS CONT.

* Utilities & Industries Corp.	Unimax Group Inc. (NYC) Common Stock - 332,329 shs. Common Stock - 147,500 shs. on exercise of Options	10-9-79
* Anax Inc.	Adobe Oil & Gas Corp. (Midland, TX) Common Stock - 1,537,700 shs. (22.7%)	10-10-79
* Ben Schwartz Marvin A. Pomerantz Joe A. Walters, et al	Banks of Iowa, Inc. (Cedar Rapids, IA) Common Stock - 351,354 shs. (16.6%)	10-10-79
* J. Carroll Wood, Individually and Trustee	Bayly Corp. (Englewood, CO) Common Stock - 133,061 shs. (19.5%)	10-10-79
* Joe C. Wood, Jr.	Bayly Corp. (Englewood, CO) Common Stock - 153,816 shs. (22.5%)	10-10-79
* William Belzberg (Canada) Samuel Belzberg (Canada) Hyman Belzberg (Canada)	Far West Financial Corp. (Newport Beach, CA) Common Stock - 989,300 shs. (50.23%)	10-10-79
* Columbia Flaming Pit, Inc. Jennings Foods, Inc.	Forum Restaurants, Inc. (Kansas City, MO) Common Stock - 84,785 shs.	10-10-79
* Mario J. Gabelli	GI Export (Woodbury, NY) Common Stock - 166,050 shs.	10-10-79
Research Industries, Inc. Arch C. Scurlock	Greater Washington Investors, Inc. (Washington, DC) Common Stock - 203,800 shs. (11.5%)	10-10-79
* Viridian Investments, Ltd. TBK Partners, Ltd.	Health Insurance of Vermont, Inc. (Burlington, VT) Common Stock - 48,690 shs. (11.13%)	10-10-79
* Sierra Capital Group Clyde Wm. Engle	Libco Corp. (Chicago, IL) Common Stock - 4,500 shs. (54.4%)	10-10-79
* Soci�t� Holding Gray d'Albion S.A. (France)	Saunders Leasing Systems Inc. (Birmingham, AL) Common Stock - 380,866 shs. (12.9%)	10-10-79
* J. F. Shea Co., Inc.	Space Labs, Inc. (Chatsworth, CA) Common Stock - 58,600 shs.	10-10-79
Gifford-Hill & Company, Inc.	Ancord, Inc. (Newport Beach, CA) Common Stock - 441,176 shs. (Gifford-Hill has entered into agreements to acquire an additional 940,599 shs. (14%).	10-11-79
* Sharon Steel Corp. Summit Systems, Inc. NVF Company Security Management Corp. Victor Posner, Parent	City Investing Co. (NYC) Common Stock - 1,600,800 shs.	10-11-79
* NVF Company Security Management Corp. Victor Posner, Parent	Coachmen Industries Inc. (Middlebury, IN) Common Stock - 230,400 shs.	10-11-79
* NVF Company Security Management Corp. Victor Posner, Parent	Huyck Corp. (Lake Forrest, NC) Common Stock - 612,500 shs. (10.8%)	10-11-79
Edward J. Piszek	Orange-Co., Inc. (Columbus, OH) Common Stock - 10,500 shs. (Mrs. Paul's Kitchens, Inc., owns 392,600 shares. Mr. Piszek is President of Mrs. Paul's.)	10-11-79
* Maurice Wiener	Transco Realty Trust (Boston, MA) Shares of Beneficial Interest - 53,449	10-11-79

## ACQUISITION REPORTS CONT.

* ACI Holding Co., Inc. (Controlled by T. F. Leahy)	Advance Circuits, Inc. (Minnetonka, MN) Common Stock - 90,620 shs. (52.5%)	10-12-79
* Interco Incorporated	Ethan Allen, Inc. (Danbury, CT) Class A Common Stock - 351,536 shs. (17.1%)	10-12-79
United Management, Inc.	Bradley Real Estate Trust (Boston, MA) Shares of Beneficial Interest - 73,675	10-12-79
Zach K. Brinkerhoff, Jr.	Dietrich Exploration Co. Inc. (Denver, CO) Common Stock - 3,004,826 shs. (18%)	10-12-79
Henry C. Lynch, Jr.	Energetics Marketing & Management Associates Ltd. (San Francisco, CA) Common Stock - 9,986,000 shs. (50.4%) Common Stock - 4,540,000 shs. on exercise of Warrants (Mr. Lynch will acquire above shares pursuant to an Agreement.)	10-12-79
* Abbey J. Butler Neil S. Leist	Friendly Frost Inc. (Westbury, NY) Common Stock - 445,398 shs. (39.2%) (389,200 of above shares will be acquired pursuant to agreements.)	10-12-79
* Harry F. Weyher	Habanero Corp. (NYC) Common Stock - 467,117 shs. Common Stock - 256,038 shs. on conversion of Note	10-12-79
* Rudolf Israel (West Germany)	Heinicke Instruments Co. (Hollywood, FL) Common Stock - 449,000 shs. (15.9%)	10-12-79
* Hudson Bay Mining & Smelting Co. Ltd. (Canada) Minerals & Resources Corp. Ltd. Bermuda)	Inspiration Consolidated Copper Co. (Phoenix, AZ) Common Stock - 1,635,821 shs.	10-12-79
* Southeastern Public Service Co. DWG Corp. Security Management Corp. Victor Posner, Parent	Interlake, Inc. (Oak Brook, IL) Common Stock - 584,200 shs.	10-12-79
* Bangor Punta Corp. James E. Stewart	Lone Star Industries, Inc. (Greenwich, CT) Common Stock - 1,188,000 shs.	10-12-79
* Charter Oak Partners Jerrold N. Fine, Individually and Trustee	Perini Corporation (Framingham, MA) Common Stock - 290,000 shs.	10-12-79
Hudson Bay Mining and Smelting Co. Ltd. (Canada)	Rosario Resources Corp. (NYC) Common Stock - 597,600 shs.	10-12-79
* Kohlberg, Kravis, Roberts & Co. ORICO Associates One ORICO Associates Two Jerome Kohlberg Henry Kravis George R. Roberts	Sargent Industries Inc. (Los Angeles, CA) Common Stock - 689,000 shs. Common Stock - 150,000 shs. on exercise of Warrants Common Stock - 21,000 shs. on conversion of Preferred Stock	10-12-79
Southeastern Public Service Co. DWG Corporation Security Management Corp. Victor Posner, Parent	Simplicity Pattern Co. Inc. (NYC) Common Stock - 724,100 shs.	10-12-79
Eastmet Corp.	U. I. P. Corp. (Elk Grove Village, IL) Common Stock - 1,000,000 shs. (30%) (Above shares were acquired pursuant to the Tender Offer.)	10-12-79

## ACQUISITION REPORTS CONT.

* Roth Corporation	Baker Bros., Inc. (Jacksonville, FL) Common Stock - 265,500 shs. (23.4%)	10-15-79
HBC, Inc.	Blount, Inc. (Montgomery, AL) Common Stock - 1,300,000 shs. (47.3%)	10-15-79
* Ram & CO. Robert A. G. Monks Millicent C. S. Monks Ellen E. M. Higgins, et al	Boston Co., Inc. (Boston, MA) Class B Common Stock - 184,595 shs. (19.4%)	10-15-79
Interdec (U.S.A.), Inc. GRP Investments N.V. (Netherlands) Ghaith R. Pharaon (Saudi Arabia), Parent	CRS Group, Inc. (Houston, TX) Common Stock - 279,748 shs. (19.3%)	10-15-79
* Peregrine Investment Co. Ralph Strangis Samuel L. Kaplan Bruce G. Nimmer	Central Mortgage & Realty Trust (Minneapolis, MN) Shares of Beneficial Interest - 114,200 (14.73%)	10-15-79
* Thrall Car Manufacturing Co.	Chamberlain Manufacturing Co. (Elmhurst, IL) Common Stock - 1,540,610 shs. (97.1%)	10-15-79
John F. Baker	Chefs International, Inc. (Point Pleasant Beach, NJ) Common Stock - 500,000 shs. (Mr. Baker also has a proxy to vote 1,900,000 shares of Common Stock.)	10-15-79
* Lyon Realty Co. (Wholly-owned subsidiary of William Lyon Co.)	Continental Illinois Realty (Santa Monica, CA) Shares of Beneficial Interest - 2,665,079 (95.3%)	10-15-79
* Clift C. Lane Dorothy P. Lane	First S & L Shares, Inc. (Denver, CO) Common Stock - 279,380 shs.	10-15-79
* LDB Corporation	Frozen Food Express Industries Inc. (Dallas, TX) Common Stock - 230,288 shs. (21.28%)	10-15-79
* Deltec Panamerica, S.A. (Panama)	GMR Properties (Boston, MA) Shares of Beneficial Interest - 211,000	10-15-79
* American National Insurance Co.	General Recreation, Inc. (Boulder, CO) Common Stock - 201,639 shs. on exercise of Warrants	10-15-79
W. R. Grace & Co.	Hanover Square Realty Investors (NYC) Shares of Beneficial Interest - 140,119 (14.3%)	10-15-79
Alan W. Steinberg, L.P. Wertheim & Co., L.P.	Midland Capital Corp. (NYC) Common Stock - 267,614 shs. (Limited Partners have the right to acquire 225,000 of above shares pursuant to agreements.)	10-15-79
* Louis C. Fieland	Plaza Realty Investors (NYC) Shares of Beneficial Interest - 84,000	10-15-79
* Lehman Brothers Kuhn Loeb Inc. Banque-Privée S.A. (Switzerland) Emmet J. Blot, et al	Pneumo Corp. (Boston, MA) Common Stock - 312,200 shs. (10.89%)	10-15-79

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