# sec news digest

Issue 90-207

October 25, 1990

## RULES AND RELATED MATTERS

#### DELEGATION AUTHORITY RULE AMENDMENT

The Commission has adopted an amendment to its rules governing delegation of authority. The amendment enables the Director of the Division of Market Regulation to disclose directly to the Treasury Department certain confidential information and documents regarding possible laundering of money through or by brokers or dealers, including broker-dealer compliance with the Currency and Foreign Transaction Reporting Act of 1970. (Rel. 34-28576)

## COMMISSION ANNOUNCEMENTS

## CHAIRMAN BREEDEN TO PARTICIPATE IN PRESS BRIEFING

Chairman Breeden will participate in a press briefing with the United States Attorney's Office in Newark, New Jersey, on Friday, October 26, at noon in the library, 970 Broad Street, Newark, New Jersey.

## ADMINISTRATIVE PROCEEDINGS

#### CARL LAZZELL BARRED

The Fort Worth Regional Office announced that the Commission instituted public proceedings against Carl L. Lazzell (Respondent), formerly the portfolio manager of the Prospector Fund, one of a series of United Services Funds, Inc. Simultaneously, Lazzell submitted an Offer of Settlement consenting to the entry of an Order that contains findings that Lazzell wilfully violated and wilfully aided and abetted violations of various antifraud and other provisions of the federal securities laws in connection with a scheme alleged to have operated from May 1984 through September 1985. The scheme involved Respondent and two Canadian citizens, whereby they systematically looted Prospector by causing it to purchase approximately \$20 million of over-valued securities in companies secretly controlled by the two Canadians.

Lazzell and David H. Ward, one of the Canadians involved, were convicted of criminal charges in Canada growing out of their participation in the scheme. Criminal charges are pending in Canada against Edward J. Carter, the other Canadian involved. Lazzell was permanently enjoined on August 14, 1990, by the U.S. District Court in San Antonio, from further violations of the federal securities laws.

The Commission barred Lazzell from association with any broker, dealer, municipal securities dealer, investment adviser, or investment company. (Rel. IA-1260)

## CIVIL PROCEEDINGS

#### GULF POWER COMPANY ENJOINED

The Atlanta Regional Office announced that, on September 24, Judge Winston Arnow of the U.S. District Court for the Northern District of Florida entered a Final Judgment of Permanent Injunction against Gulf Power Company (Gulf Power), a subsidiary of The Southern Company, a registered public utility holding company. In its complaint, the Commission alleged that Gulf Power directed its vendors to make indirect political contributions on its behalf in violation of the Public Utility Holding Company Act. Gulf Power concealed the reimbursements for the contributions on its books as various business expenses. The Commission alleged that Gulf Power made illegal political contributions, falsified its books and records and failed to maintain its books and records properly. Without admitting or denying the allegations in the complaint, Gulf Power consented to the entry of the injunction. [SEC v. Gulf Power Company, Civil Action No. 90-30286-WEA] (LR-12678)

#### INVESTMENT COMPANY ACT RELEASES

SELECT CASH MANAGEMENT FUND SELECT HIGH YIELD FUND

Notices have been issued giving interested persons until November 19 to request a hearing on applications filed by SELECT CASH MANAGEMENT FUND, INC. and SELECT HIGH YIELD FUND, INC. for orders under Section 8(f) of the Investment Company Act declaring that they have ceased to be investment companies. (Rels. IC-17814 and IC-17815, respectively - October 23)

RNC REGENCY FUND RNC WESTWIND FUND RNC INCOME FUND

Notices have been issued giving interested persons until November 19 to request a hearing on applications filed by RNC REGENCY FUND, INC., RNC WESTWIND FUND, INC., and RNC INCOME FUND, INC. for orders under Section 8(f) of the Investment Company Act declaring that they have ceased to be investment companies. (Rels. IC-17816, IC-17817, and IC-17818, respectively - October 24)

# SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-3 UNION LIGHT HEAT & POWER CO, 139 E FOURTH ST, C/O TREASURER DEPT, CINCINNATI, OH 45201 (513) 381-2000 15,000,000 (\$15,000,000) MORTGAGE BONDS. UNDERWRITER: LEHMAN BROTHERS, MORGAN STANLEY & CO INC. (FILE 33-37317 OCT. 18) (BR. 13)
- S-3 TEXACO CAPITAL INC, 32 LOOCKERMAN SQ STE L-100, DOVER, DE 19901 (302) 674-1221 1,000,000,000 (\$1,000,000,000) STRAIGHT BONDS. (FILE 33-37342 OCT. 17) (BR. 4)
- S-8 AEGON NV, MARIAHOEVEPLEIN 50 2591 TV, THE HAUGH THE METHERLANDS, P7 125,000 (\$8,012,500) COMMON STOCK. (FILE 33-37344 OCT. 18) (BR. 10)
- S-8 DOW CHEMICAL CO /DE/, 2030 WILLARD H DOW CTR, MIDLAND, MI 48674 (517) 636-1000 135,000 (\$5,350,050) COMMON STOCK. (FILE 33-37345 OCT. 18) (BR. 2)
- S-1 TRUMP TAJ MAHAL FUNDING INC, 1000 BOARDHALK, ATLANTIC CITY, NJ 08401 (609) 449-1000 - 47,250,000 (\$14,293,125) MORTGAGE BONDS. 164,733,212 (\$49,831,797) MORTGAGE BONDS. 675,000 (\$6,432,750) COMMON STOCK. (FILE 33-37346 - OCT. 18) (BR. 12)

# ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column - 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

MANE AND CLASS OF STOCK/OWNER	· .	FORM	EVENT SI DATE	IRS(000)/ XOUNED	CUSIP/ PRIOR%	FILING STATUS
ACADEMY INS GROUP INC	COM			40,387	0040091	0
RUBENSTEIN BARRY ET AL	•_*; · · ·	130	10/16/90	57.0	6.7	UPDATE
AVON PRODS INC	COM			2,790	0543031	0
BASS ROBERT M.ET AL		130	10/19/90	4.9	5.8	UPDATE
CACI INTL INC	CL A			796	1271903	0
PFIRMAN LARRY L ET AL		130	10/16/90	8.0	0.0	NEW
ELCO INDS INC	COM			244	2844201	0
ELCO INDS INC ESOP		130	10/11/90	5.0	0.0	NEW
ELCOTEL INC	COM			285	2844471	0
FUNDAMENTAL MGNT CORP		130	10/17/90	5.0	0.0	NEW
FI-TEK III INC	COM	,		27,053	3159901	0
NORO-MOSELEY PARTNERS		130	8/21/90	11.6		NEW

NAME AND CLASS OF STOCK/OWNER		FORM	EVENT SHI	RS(000)/ XOUNED	CUSIP/ FILING PRIOR% STATUS
GVC VENTURE CORP	COM			154	36299710
HANOVER MARC J		1 <b>3</b> D	10/19/90		0.0 NEW
INGRES CORP	COM			10,773	45718810
ASK COMPUTER SYS INC		140-1	10/23/90	95.1	0.0 UPDATE
INTREX FINL SVCS INC	COM				46119610
HEINE SECURITIES CORP		130	10/12/90	8.5	10.1 UPDATE
KANSAS GAS & ELEC CO	CON			0	48526010
KANSAS CITY PUR & LGT CO		140-1	10/22/90	0.0	0.0 UPDATE
LEGENT CORP MORINO MARIO M	COM			4,382	52499010
MORINO MARIO M		130	9/ 5/90	20.6	33.7 UPDATE
LEGENT CORP	COM		•	4,302	52499010
POLLON NEAL M		130	9/ 5/90	20.2	34.6 UPDATE
NUEVO ENERGY CO	CON	•	, ,	2,127	67050910
TORCHMARK CORP ET AL				22.5	23.7 UPDATE
NYCAL CORP	COM	1 <b>- 1</b> - 1		493	67066010
LAZARD SIDNEY EHRMAN		1 <b>3</b> 0	8/31/90	6.6	0.0 NEW
RAPITECH SYS INC	COM			206	75381010
KLAPERMAN GILBERT		130	6/21/90	4.4	3.8 UPDATE
SPRAGUE TECHNOLOGIES INC	COM			1,018	84934510
KORSANT PHILIP B ET AL		130	10/12/90		0.0 NEW
TECHNITROL INC	COM			131	87855510
TINICUM ENTERPRISES ET AL		130	10/17/90	6.6	5.7 UPDATE
TELECALC INC	COM		•	141	87926510
DAVIS J MORTON ET AL		130	10/10/90	4.5	11.0 UPDATE
UNITED ASSET HIGHT CORP	COM	-		1,626	90942010
ALLEN & CO INC ET AL		1 <b>3</b> D	10/ 9/90	10.7	9.6 UPDATE

## RECENT &K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Item 3. Acquisition or Disposition of Assets.
- Bankruptcy or Receivership. Changes in Registrant's Certifying Accountant. Item 4.
- Item 5.
- Other Materially Important Events. Resignations of Registrant's Directors. Item 6.
- Financial Statements and Exhibits. Item 7.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

	STATE	8K ITEN NO.		
NAME OF ISSUER:	CODE	12345678	DATE	COMMENT
••••••		•••••	•••••	• • • • • • • • • • • • • • • • • • • •
FREMONT GENERAL CORP	WV	X	02/15/90	
GENINI EQUIPMENT PARTNERS INCOME FUND I	VA	X	10/15/90	
GEMINI EQUIPMENT PARTNERS INCOME FUND II GEMINI EQUIPMENT PARTNERS INCOME FUND IX	VA VA	X X	10/15/90	
GEMINI EQUIPMENT PARTNERS INCOME FUND V	· VA	x	10/15/90 10/15/90	
GENINI EQUIPMENT PARTNERS INCOME FUND VI	VA	x	10/15/90	
GENERAL ELECTRIC CO	NY	^x x	10/18/90	
GENETICS INSTITUTE INC	DE	X	10/22/90	
GLENDALE FEDERAL BANK FEDERAL SAVINGS BA		x x	08/25/90	
GMAC MORTGAGE CORP OF IONA MORT PAS THR		NO ITEMS.	09/25/90	
GNAC MORTGAGE CORP OF IONA MORT PAS THR	PA	NO ITEMS	09/25/90	
GMAC MORTGAGE CORP OF IONA MORT PAS THR	PA	NO ITEMS	09/25/90	
GHAC MORTGAGE CORP OF IOUA MORT PAS THR	PA	NO ITEMS	09/25/90	
GHAC NORTGAGE CORP OF IONA HORT PAS THR		NO ITEMS	09/25/90	
GNAC MORTGAGE CORP OF IONA MORT PAS THR	DE	NO ITEMS X X	09/25/90 09/25/90	
GRASON INDUSTRIES INC	IA	x x	10/18/90	
GREAT AMERICAN MANAGEMENT & INVESTMENT I	DE	x ^ x	10/15/90	
GREAT SOUTHERN BANCORP INC	MO	xx	10/04/90	
GREAT WESTERN BANK MORTGAGE PASS THROUGH	DE	NO ITEMS	08/31/90	
GREAT WESTERN BANK MORTGAGE PASS THROUGH	DE	NO ITEMS	08/31/90	
GREAT WESTERN BANK MORTGAGE PASS THROUGH	DE	NO ITEMS	08/31/90	
GREAT WESTERN BANK MORTGAGE PASS THROUGH	DE	NO ITEMS	08/31/90	
GREAT WESTERN BANK MORTGAGE PASS THROUGH	DE	NO ITEMS	08/31/90	
GREAT WESTERN BANK MORTGAGE PASS THROUGH	DE	NO ITEMS	08/31/90	
GREAT WESTERN BANK MORTGAGE PASS THROUGH	DE	NO ITEMS	08/31/90	
GREAT WESTERN BANK MORTGAGE PASS THROUTH		NO ITEMS	08/31/90	
HOLNAM INC	DE	X X X	10/05/90 09/21/90	
HOMESTEAD HOLDING CORP INCERA GROUP INC	PA Ny	x ^	10/17/90	
IMPERIAL INDUSTRIES INC	DE	x x	10/10/90	
INB FINANCIAL CORP /IN/	IN	^x ^	10/10/90	
INFOTECHNOLOGY INC	DE	X X X	10/11/90	
INTERLINE RESOURCES CORP	UT	x x x	10/22/90	
INTERNATIONAL LEASE FINANCE CORP	CA	x x	08/31/90	AMEND
IRT CORP	DE	* <b>X</b>	10/15/90	
KYSOR INDUSTRIAL CORP/MI	IM	X	09/14/90	AMEND
L S CAPITAL INC	DE	X X X	10/04/90	
LIND WALDOCK COMMODITY PARTNERS LIMITED	IL	X	10/12/90	444546
LOJACK CORP	MA		05/21/90	AMEND
HBC CORP	CA DE	х х х	08/31/90 10/18/90	AMEND
MCA INC		X X X X	10/13/90	
MCI COMMUNICATIONS CORP MIDWAY AIRLINES INC /DE/	DE DE	x x ^	10/19/90	
MOSELEY HOLDING CORPORATION	DE	^ x x	10/16/90	
NAPA VALLEY BANCORP	CA	X	10/17/90	
NEVADA POMER CO	NV	X X	10/11/90	
NEW ENGLAND BANCORP INC	MA	X	09/30/90	
NEW ENGLAND ELECTRIC SYSTEM	MA	X	10/18/90	
NEW ENGLAND POWER CO	MA	X	10/18/90	
NEW HAMPSHIRE SAVINGS BANK CORP	MH	X	10/11/90	
NEW YORK TELEPHONE CO	NY	X	10/16/90	
NORTHERN TRUST CORP	DE	x x	10/16/90	****
NOVA CORPORATION OF ALBERTA	,,,,,,	X	10/01/90	AMEND
NS GROUP INC	KY	X X	10/05/90	
NYNEX CORP	DE	X NO ITEMS	10/16/90 10/18/90	
OLD TYNE SOFT DRINKS INC	DE CA	MU ITEMS	09/20/89	AMEND
PACIFIC INLAND BANCORP PACIFICORP /OR/	OR	^ x	10/22/90	
PASCALL GROUP INC	DE	x x	10/15/90	
PENNEY J C CO INC	DE	X X	10/15/90	

NAME OF TROITS	STATE	SK ITEN NO.	DATE <sup>S3'</sup>	
NAME OF ISSUER	CODE	12345678	DATE	COMMENT
PIONEER SYSTEMS INC	DE	x x	10/09/90	
PROVIDENT AMERICAN CORP	PA	X	10/12/90	
READING & BATES CORP	DE	X	10/18/90	
RESNICK WORLDWIDE INC	DE	X	08/10/90	
RIPLEY CO INC	NY	NO ITEMS	05/01/90	AMEND
ROSPATCH CORP /MI/	MI	<b>X</b>	10/03/90	AMEND
RURAL HOUSING TRUST 1987-1	DE	X	10/01/90	
SARATOGA BANCORP SCHLUMBERGER NV	CA	X	10/12/90	
SOUTHEAST BANK CREDIT CARD TRUST 1990	<b>A</b> .	X X	10/18/90 10/15/90	
SOUTHEAST BANK CREDIT CARD TRUST 1990		x	10/15/90	
SOVEREIGN BANCORP INC	PA	x	10/16/90	
SPA LADY CORP	DE	хх	10/18/90	
STANDARD LOGIC INC	CA	x	10/26/90	
TROY LEASE INCOME L P	DE	X	10/22/90	
TURNER BROADCASTING SYSTEM INC	GA	x x	10/17/90	
UNION PLANTERS CORP	TN	x x	10/04/90	
UNITED INVESTORS INCOME PROPERTIES	MO	x x	10/23/90	
UNITED INVESTORS INCOME PROPERTIES 2	MO	x x	10/23/90	
UPLAND MINERALS & CHEMICALS CORP	DE	NO ITEMS	10/05/00	
US WEST INC	CO	X X	10/19/90	
V F CORP /PA/	PA	X X	10/15/90	
VOGART CRAFTS CORP	WY	X X	10/09/90	
WASHINGTON MUTUAL SAVINGS BANK SERIES		X	08/31/90	
WINSTON FINANCIAL INC	<b>α</b>	XX X XX	01/25/90	
XETA CORP YUBA WESTGOLD INC	OK	X X	08/30/90 08/09/90	AMEND
TOBA WESTGOLD INC	DE	^	00/09/90	AFERU
ADIA SERVICES INC	DE	x x	07/25/90	
ALLECO INC	HD	X	08/31/90	
AMPAL AMERICAN ISRAEL CORP /NY/	NY	X	08/14/90	
AMMEST INSURANCE GROUP INC	DE	x x	08/27/90	
ANACOMP INC	IN	X X	08/22/90	
ARISTAR INC	DE	X X	09/05/90	
ART GUARD INC	MA	XX X X	07/15/90	
ASSIX INTERNATIONAL INC	DE	X	08/24/90	
BAKER MICHAEL CORP	PA	х х	08/22/90	
BANK OF NEW HAMPSHIRE CORP	NH	<b>X</b>	08/22/90	
BARNIELL INDUSTRIES INC	DE	X	05/11/90	
BAYOU INTERNATIONAL LTD	DE	X	08/20/90	
BOSTON DIGITAL CORP BULL RUN GOLD MINES LTD	MA WA	X X X	09/04/90 06/15/90	AMEND
BURGER KING INVESTORS MASTER LP	DE	x ^	08/08/90	AMERU
C&S SOVRAN CORP	DE	x x	09/01/90	
CALIFORNIA FINANCIAL HOLDING CO	DE	^^ x	08/31/90	
CARNEGIE INTERNATIONAL CORP	IN	NO ITEMS	07/31/90	
CAROLINA POWER & LIGHT CO	NC	X	05/21/90	
CATTLEGUARD INC	TX	X X	06/26/90	
CB&T FINANCIAL CORP	W	X	08/31/90	
CENTRAL PENNSYLVANIA FINANCIAL CORP	PA	x x	08/28/90	
CIRCUIT RESEARCH LABS INC	AZ	NO ITEMS	08/18/90	
CLARK COUNTY PROPERTIES 2	WA	x	07/31/90	
CLARK COUNTY PROPERTIES 5	WA	x	07/31/90	
CHS ENERGY CORP	MI	X	08/24/90	
COMBINED ENTERTAINMENT ORGANIZATION IN	C NV	x x x x x	08/15/90	-
COMFED BANCORP INC	DE	X	08/29/90	
CONSUMERS POWER CO	MI	X	08/28/90	
COPLEY PENSION PROPERTIES VII	MA	X	08/31/90	

	STATE	SK ITEN NO.	
NAME OF ISSUER	CODE	12345678	DATE COMMEN'
mar or secretary 6 to 7 3 to 2 to 3 to 3 to			•••••
DANIELSON HOLDING CORP	DE	<b>x x x x x</b>	09/04/90
DEVLIEG BULLARD INC	DE	X X	08/24/90
DIAL ONE ACQUISITION INC	DE	X X	08/20/90
DIGITAL METCON INC	. NA	X X	08/30/90
DIXCOM INC	FL	X X	08/22/90
DLJ MORTGAGE ACCEPTANCE CORP	DE	X	08/15/90
ELECTRIC AVENUE INC	100	X X	08/20/90
ESARCO INTERNATIONAL INC	DE	X	08/23/90
ESARCO INTERNATIONAL INC	DE	X X	08/29/90
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	KV	NO ITEMS	07/12/90
FI TEK III INC	DE	x x x	08/21/90
FIDELITY BANCSHARES INC	TN	X X	08/31/90
FINANCIAL NEWS NETWORK INC	CA	x x	08/17/90
FIREMANS FUND MORTGAGE CORP	DE	X ,	08/27/90
FIREMANS FUND MORTGAGE CORP	DE	X X	08/31/90
FIRST BANCORP OF KANSAS	KS	X	08/10/90 AMEND
FIRST NATIONAL REALTY ASSOCIATES INC	WV	XX	08/31/90
FIRST OF AMERICA BANK CORP /MI/	MI	X X	08/15/90
FLEET AEROSPACE INC	DE	X X	08/23/90
FNS FINANCIAL CORP	NJ	X X	08/31/90
FNB CORP/PA	PA	X	08/14/90
GALACTIC RESOURCES LTD		x x	08/31/90
GALILEO ELECTRO OPTICS CORP	DE	X	06/19/90 AMEND
GARB OIL & POWER CORP	UT	NO ITEMS	08/21/90 AMEND
GBI INTERNATIONAL INDUSTRIES INC	NY	x	08/27/90
GREENMAN BROTHERS INC	NY	x x	08/17/90
GUILFORD MILLS INC	DE	x x	08/23/90
HAUSERMAN INC	OH	X	08/31/90
HCA HOSPITAL CORPORATION OF AMERICA	DE	x x	08/30/90
HEALTHCARE INTERNATIONAL INC	TX	x x	09/04/90
HOSPITAL CORP OF AMERICA /TM/	TN	x x	08/30/90
IMPERIAL CORP OF AMERICA /DE/	DE	X	08/17/90
INFOTECHNOLOGY INC	DE	x x	08/17/90
INTERVEST CORPORATION OF NEW YORK	NY	x x	08/28/90
IOMA SOUTHERN INC	IA	X	08/24/90
IOMA SOUTHERN UTILITIES CO	DE	X	08/24/90
IPM INC	DE	X	08/15/90
JPS TEXTILE GROUP INC /DE/	DE	X	07/20/90
KAISER RESEARCH INC	DE	<b>x</b>	08/28/90
KIMBERLY CLARK CORP	DE	X	08/02/90
LAKELAND FINANCIAL CORP	IN	x x	08/17/90
LAVI INVESTMENT CORP	DE	x x	08/01/90
LMD ACQUISITIONS INC	UT	X	06/11/90
MANAGEMENT TECHNOLOGIES INC	NY	X	08/29/90
MARINE MIDLAND BANK N A		X X	08/29/90
MARRIOTT CORP	DE	хх	09/04/90
MBC CORP	CA	X	08/31/90
NCA INC	DE	X X	09/05/90
NCDONNELL DOUGLAS CORP	MD	X	08/30/90
MERCHANTS BANCORP INC/CT	CT	X	08/18/90
MERRILL LYNCH & CO INC	DE	хх	09/04/90
MIDLANTIC 1990 A AUTOMOBILE GRANTOR TRUS	NY	X X	08/15/90
MIDLANTIC 1990 A HOME EQUITY LOAN TRUST		хх	08/15/90
HIR HOLDING CORP	DE	x	08/28/90
MOREHOUSE INDUSTRIES INC	CA	x x	07/31/90
MORRISON INC /DE/	DE	x	08/30/90
MURRAY UNITED DEVELOPMENT CORP	DE	x	08/20/90
HUNKA! UNITED DESERVENCE COMP	<i>V</i> E	•	

NAME OF LOCATES		8K ITEM NO.		
NAME OF ISSUER	CODE	12345678		COMMENT
***************************************				******
MUSTANG COMPANIES INC	KS	x x	08/30/90	
NEW HAMPSHIRE THRIFT BANCSHARES INC	DE	^ x ^	07/09/90	
NORTHEAST BANCORP INC /CT/	CT	хх	08/29/90	
NYCAL CORP	DE	x	08/23/90	
ORBIS INC	RI	x	08/20/90	
PACIFICORP /OR/	OR	X X	08/31/90	
PANHANDLE EASTERN CORP /DE/	DE	X X	07/05/90	
PANTHEON INDUSTRIES INC	CO	x x x x x x	07/17/90	
PIEZO ELECTRIC PRODUCTS INC	DE	X	07/16/90	
PLANTS FOR TOMORROW INC	CO	X	08/01/90	AMEND
PLM EQUIPMENT GROWTH FUND	CA	NO ITEMS	09/04/90	
PLM EQUIPMENT GROWTH FUND II	CA	NO ITEMS	09/04/90	
PLM EQUIPMENT GROWTH FUND III	CA	NO ITEMS	09/04/90	
PLM EQUIPMENT GROWTH FUND IV	CA	NO ITEMS	09/04/90	
PLM TRANSPORTATION EQUIPMENT PARTNERS IX	CA	NO ITEMS	09/04/90	•
PLM TRANSPORTATION EQUIPMENT PARTNERS IX	CA	NO ITEMS	09/04/90	
PLM TRANSPORTATION EQUIPMENT PARTNERS IX	CA	NO ITEMS	09/04/90	
PLM TRANSPORTATION EQUIPMENT PARTNERS IX	CA	NO ITEMS	09/04/90	
PLM TRANSPORTATION EQUIPMENT PARTNERS VI	CA	NO ITEMS	09/04/90	
PLM TRANSPORTATION EQUIPMENT PARTNERS VI	CA	NO ITEMS	09/04/90	
PROJECTAVISION INC	DE	x x	08/14/90	
PROJECTAVISION INC	DE	ХX	08/27/90	
PROSPECT GROUP INC	DE	X X X	08/20/90	
PURIDYNE INC	DE	X	03/30/90	
REPUBLIC WASTE INDUSTRIES INC	OK	X	07/06/90	AMEND
RMI COVERED HOPPER RAILCAR MANAGEMENT PR	CA	NO ITEMS	09/04/90	
SCIENTIFIC RADIO SYSTEMS INC	NY	X	08/29/90	
SELFIX INC	DE	X	08/31/90	,
SIERRA TAHOE BANCORP	CA	X	08/09/90	
SIERRA TAHOE BANCORP	CA	X ·	08/09/90	AMEND
SMITH CORONA CORP	DE	X	08/21/90	
STANDARD OIL & EXPLORATION OF DELAWARE I	DE	X	08/27/90	
THI GROWTH PROPERTIES 87	CA	X	07/27/90	
TRAVELERS MORTGAGE SERVICES INC	NJ	NO ITEMS	07/25/90	AMEND
TRIANGLE HOME PRODUCTS INC/DE	DE	X	08/21/90	
TRVLSYS INC	NH	X X	08/20/90	
UNITED FINANCIAL CORPORATION OF SOUTH CA	DE	X X	08/24/90	
US WATS INC	NY	X X X	08/10/90	
VALHI INC /DE/	DE	X X	08/30/90	
VINLAND PROPERTY TRUST	CA	X	08/09/90	*
VMS INVESTORS FIRST STAGED EQUITY LP II	DE	X	08/29/90	
WALL STREET FINANCIAL CORP /DE/	DE	X	08/14/90	
WASSERTECH INTERNATIONAL CORP	UT	X X	08/10/90	
WATSCO INC	FL	X X	08/22/90	
WELCOM CAPITAL INC	œ	X X	08/23/90	
WELLHAN INC	DE	X X	08/23/90	
WESTERN STAR INC	OR	X	08/01/90	AMEND
WINDPOWER PARTNERS 1983-1	CA	X	08/17/90	AMEND
WINDPOWER PARTNERS 1984	CA	X	08/16/90	AMEND
MORLD CONTAINER CORP	MN	X X	08/21/90	
ZILA INC	DE	X	08/31/90	