

sec news digest

Issue 87-129
87-130

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U.S. SECURITIES AND
EXCHANGE COMMISSION 10, 1987

ADMINISTRATIVE PROCEEDINGS

PROCEEDINGS INSTITUTED AGAINST MARY KATA

The Commission instituted public administrative proceedings under the Securities Exchange Act of 1934 against Mary Kata, former financial principal of a registered broker-dealer. The Order alleges that Kata aided and abetted violations by a registered broker-dealer of Section 17(a) of the Exchange Act and Rules 17a-3, 17a-4, 17a-5, and 17a-11 in that, from approximately August 7, 1985 to September 24, 1985 while she was financial principal, she, among other things: (a) failed to maintain and preserve accurate and current books and records for the broker-dealer; (2) failed to give timely telegraphic notice of the broker-dealer's recordkeeping deficiencies to the Commission and the NASD; and (3) filed a materially misleading and inaccurate report, on Part I of Form X-17A-5, with the NASD.

A hearing will be scheduled to determine whether the allegations against Kata are true and, if so, what, if any, remedial sanctions should be imposed. (Rel. 34-24660)

CIVIL PROCEEDINGS

COMPLAINT NAMES UNITED EDUCATORS, INC. AND JOSEPH KLEIN; MOTION FOR CONTEMPT AGAINST KLEIN

The Commission filed an injunctive action on July 9 in the U.S. District Court for the District of Columbia against United Educators, Inc. (UEI) of Framingham, Massachusetts and its Chief Executive Officer and Chairman of the Board, Joseph Klein, for violations of the reporting and books and records provisions of the securities laws.

The Commission also filed a motion to adjudge Klein in civil contempt for violation of a 1982 injunction relating to another issuer. That injunction prohibited Klein from causing any issuer to fail to file timely periodic reports. In its contempt motion, the Commission alleges that Klein caused UEI to fail to file complete Annual Reports for 1985 and 1986, three Quarterly Reports for 1986 and its quarter ended March 31, 1987.

UEI and Klein consented to the Judgments of Permanent Injunction. Klein also consented to a Finding of Civil Contempt and an Order Imposing Fines which would require Klein personally to pay any fines imposed. A hearing has been scheduled for July 30 on the Commission's motion for civil contempt. (SEC v. United Educators, Inc. and Joseph Klein, USDC DC, Civil Action No. 87-1840-HHG; and SEC v. Cavanagh Communities Corporation and Joseph Klein, USDC DC, Civil Action No. 82-2415-HHG). (LR-11484)

INVESTMENT COMPANY ACT RELEASES

RESIDENTIAL MORTGAGE INVESTMENTS, INC.

An order has been issued exempting Residential Mortgage Investments, Inc. and its subsidiary, Residential Mortgage Acceptance, Inc. (Applicants), and certain trusts created by Applicants from all provisions of the Investment Company Act in connection with the issuance of mortgage-backed securities and beneficial interests in such trusts. (Rel. IC-15854 - July 2)

HUTTON INVESTMENT SERIES INC.

An order has been issued permitting Hutton Investment Series Inc. and other present and future open-end management investment companies for which E.F. Hutton acts as the investment adviser or distributor (Applicants) to make certain offers of exchange of shares among the Applicants on a basis other than their respective net asset value per share at the time of exchange. (Rel. IC-15855 - July 2)

LINCOLN SERVICE CAPITAL, INC.

An order has been issued on an application filed by Lincoln Service Capital, Inc. on behalf of certain trusts for which it is, or will be, the depositor (collectively, Trusts) exempting the Trusts from all provisions of the Investment Company Act in connection with the issuance and sale of mortgage-backed securities and equity interests. (Rel. IC-15856 - July 2)

CITYFED FUNDING CORP.

An order has been issued conditionally exempting CityFed Funding Corp., an indirect subsidiary of City Federal Savings Bank, and certain trusts that it may form from time to time in connection with the issuance of mortgage-backed securities and the sale of beneficial interests in such trusts. (Rel. IC-15857 - July 2)

BEAR STEARNS SECURED INVESTORS INC.

An order has been issued amending an existing order (Release IC-15678, dated April 13, 1987) by Bear Stearns Secured Investors Inc. to permit issuance of variable or floating interest rate mortgage-backed bonds. (Rel. IC-15858 - July 2)

HOLDING COMPANY ACT RELEASES

SYSTEM ENERGY RESOURCES, INC.

Notices have been issued giving interested persons until July 27 to request a hearing on proposals by the following company filed under Release 35-24420, dated July 2: System Energy Resources, Inc. (SERI) - subsidiary of Middle South Utilities, Inc., to include short-term borrowings from external sources in computing its overall 10% of secured debt plus capital and surplus limitation on short-term borrowings from the System Money Pool; and SERI, Arkansas Power & Light Company, Mississippi Power & Light Company, Louisiana Power & Light Company, New Orleans Public Service, Inc., and their parent, Middle South Utilities, Inc. SERI proposes to issue and sell, in one or more series from time-to-time through March 31, 1989, up to \$300 million aggregate principal amount of its first mortgage bonds, pursuant to an exception from competitive bidding.

LISTING, DELISTING AND UNLISTED TRADING ACTIONS

UNLISTED TRADING GRANTED

An order has been issued granting the application of the Cincinnati Stock Exchange for unlisted trading privileges in the common stock of one issue which is listed and registered on one or more other national securities exchanges and is reported in the consolidated transaction reporting system. (Rel. 34-24681)

WITHDRAWAL GRANTED

An order has been issued granting the application of WASHINGTON HOMES, INC. to withdraw its common stock, no par value, from listing and registration on the American Stock Exchange. (Rel. 34-24682)

SELF-REGULATORY ORGANIZATIONS

ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated approval to a proposed rule change filed by the Philadelphia Stock Exchange (SR-Phlx-87-21) to apply the listing requirements for warrants under Phlx Rule 803 to the listing of foreign currency warrants. (Rel. 34-24680)

TRUST INDENTURE ACT RELEASES

CITICORP

An order has been issued under the Trust Indenture Act of 1939 on an application by Citicorp that the trusteeships of United States Trust Company of New York under four existing, previously qualified indentures, and two pooling and servicing agreements dated March 1, 1987 under which certificates evidencing interests in a pool of mortgage loans have been issued, are not so likely to involve a material conflict of interest as to make it necessary to disqualify the Trust Company from acting as trustee under any of the indentures or the agreements. (Rel. TI-2103)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-18 TIRON INC, 73 NORTH 500 EAST, PROVO, UT 84601 (801) 374-0574 - 3,000,000 (\$300,000) COMMON STOCK. (FILE 33-15343-D - JUN. 25) (BR. 12 - NEW ISSUE)
- S-1 NORTHLAND CRANBERRIES INC /WI/, 251 OAK ST, WISCONSIN RAPIDS, WI 54494 (715) 424-4444 - 1,150,000 (\$12,650,000) COMMON STOCK. UNDERWRITER: BAIRD ROBERT W & CO. (FILE 33-15383 - JUN. 30) (BR. 3 - NEW ISSUE)
- S-1 ENTRONICS CORPORATION, 10488 MARKISON RD, DALLAS, TX 75238 (214) 341-1396 - 650,000 (\$7,800,000) COMMON STOCK. 845,000 (\$10,140,000) COMMON STOCK. 1 (\$1,000) WARRANTS, OPTIONS OR RIGHTS. 100,000 (\$1,440,000) COMMON STOCK. UNDERWRITER: RAUSCHER PIERCE REFSNES INC. (FILE 33-15384 - JUN. 30) (BR. 10 - NEW ISSUE)
- S-1 AMERICAN FOREST PRODUCTS COMPANY L P, 4000 KRUSE WAY PL, BLDG 2 STE 355, LAKE OSWEGO, OR 97034 (503) 635-1465 - 55,000,000 (\$55,000,000) MORTGAGE BONDS. 110,950,000 (\$58,249,000) MORTGAGE BONDS. 30,000,000 (\$30,000,000) STRAIGHT BONDS. (FILE 33-15386 - JUN. 30) (BR. 9 - NEW ISSUE)
- S-1 WINSTON FURNITURE COMPANY INC, #1 INDEPENDENCE PLZ STE 700, BIRMINGHAM, AL 35209 (205) 870-0897 - 920,000 (\$11,960,000) COMMON STOCK. UNDERWRITER: THOMSON MCKINNON SECURITIES INC, WHEAT FIRST SECURITIES INC. (FILE 33-15387 - JUN. 30) (BR. 6 - NEW ISSUE)
- S-6 NUVEEN TAX EXEMPT UNIT TRUST SERIES 432, 333 W WACKER DR, C/O JOHN NUVEEN & CO, CHICAGO, IL 60606 - INDEFINITE SHARES. (FILE 33-15395 - JUL. 01) (BR. 22 - NEW ISSUE)
- S-8 RAYTHEON CO, 141 SPRING ST, LEXINGTON, MA 02173 (617) 862-6600 - 100,000,000 (\$100,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 33-15396 - JUL. 01) (BR. 13)
- S-8 RAYTHEON CO, 141 SPRING ST, LEXINGTON, MA 02173 (617) 862-6600 - 4,000,000 (\$4,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 33-15397 - JUL. 02) (BR. 13)
- S-8 RAYTHEON CO, 141 SPRING ST, LEXINGTON, MA 02173 (617) 862-6600 - 1,500,000 (\$1,500,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 33-15398 - JUL. 02) (BR. 13)

- S-6 NUVEEN TAX EXEMPT UNIT TRUST SERIES 433, 333 W WACKER DR, C/O JOHN NUVEEN & CO, CHICAGO, IL 60606 - INDEFINITE SHARES. (FILE 33-15399 - JUL. 02) (BR. 22 - NEW ISSUE)
- S-11 RETAIL EQUITY PARTNERS LIMITED PARTNERSHIP, 2790 CHARLOTTE PLZ, CHARLOTTE, NC 28244 - 1,000,000 (\$20,000,000) LIMITED PARTNERSHIP CERTIFICATE. (FILE 33-15427 - JUN. 30) (BR. 5 - NEW ISSUE)
- S-1 LILLIAN VERNON CORPORATION, 510 S FULTON AVE, MT VERNON, NY 10550 (914) 699-4131 - 900,000 (\$15,300,000) COMMON STOCK. 1,400,000 (\$23,800,000) COMMON STOCK. UNDERWRITER: GOLDMAN SACHS & CO. (FILE 33-15430 - JUN. 30) (BR. 2 - NEW ISSUE)
- S-1 QUEEN CITY BROADCASTING OF NEW YORK INC, 1290 AVE OF THE AMERICAS STE 3230, NEW YORK, NY 10104 (212) 397-2400 - 55,000,000 (\$55,000,000) STRAIGHT BONDS. UNDERWRITER: GOLDMAN SACHS & CO. (FILE 33-15433 - JUN. 30) (BR. 8 - NEW ISSUE)
- S-1 ADVISORS FUTURES FUND LTD, 141 W JACKSON BLVD STE 1633, CHICAGO, IL 60604 - 10,000 (\$10,000,000) LIMITED PARTNERSHIP CERTIFICATE. (FILE 33-15434 - JUN. 30) (BR. 11 - NEW ISSUE)
- S-1 ACCURIDE CORP, 2315 ADAMS LN, BOX 40, HENDERSON, KY 42420 (502) 826-5000 - 75,000 (\$75,000,000) STRAIGHT BONDS. (FILE 33-15435 - JUN. 30) (BR. 4 - NEW ISSUE)
- S-1 BOGERT DEVELOPMENT DRILLING PROGRAM, OIL CENTER STE 1000-W, 2601 NORTHWEST EXPWAY, OKLAHOMA CITY, OK 73112 (405) 848-5808 - 8,000 (\$40,000,000) LIMITED PARTNERSHIP CERTIFICATE. UNDERWRITER: BOGERT FUNDS INC. (FILE 33-15437 - JUN. 30) (BR. 4 - NEW ISSUE)
- S-3 CHASE MANHATTAN CORP, 1 CHASE MANHATTAN PLZ, NEW YORK, NY 10081 (212) 552-2222 - 5,000 (\$500,000,000) PREFERRED STOCK. (FILE 33-15438 - JUN. 30) (BR. 1)
- S-8 BAUSCH & LOMB INC, ONE LINCOLN FIRST SQ, ROCHESTER, NY 14601 (716) 338-6000 - 1,200,000 (\$52,650,000) COMMON STOCK. (FILE 33-15439 - JUN. 30) (BR. 4)
- N-1A MIDDINGS CALAMOS CONVERTIBLE FUNDS, 2001 SPRING RD, STE 750, OAK BROOK, IL 60521 (312) 571-7100 - INDEFINITE SHARES. (FILE 33-15444 - JUN. 30) (BR. 16 - NEW ISSUE)
- S-4 BORG WARNER HOLDINGS CORP, 250 VESEY ST 30TH FLR, NEW YORK, NY 10281 (212) 449-8502 - 456,000,000 (\$228,758,000) STRAIGHT BONDS. (FILE 33-15445 - JUN. 30) (BR. 4)
- S-4 SOVRAN FINANCIAL CORP, ONE COMMERCIAL PL, NORFOLK, VA 23510 (804) 441-4000 - 19,192,529 (\$577,201,058) COMMON STOCK. (FILE 33-15446 - JUN. 30) (BR. 1)
- S-2 ASSOCIATES CORPORATION OF NORTH AMERICA, ONE GULF & WESTERN PLZ, NEW YORK, NY 10023 (212) 373-8900 - 100,000,000 (\$100,000,000) STRAIGHT BONDS. 2,300,000 (\$11,500,000) WARRANTS, OPTIONS OR RIGHTS. (FILE 33-15451 - JUL. 01) (BR. 12)
- S-3 PACIFIC GAS & ELECTRIC CO, 77 BEALE ST, SAN FRANCISCO, CA 94106 (415) 972-7000 - 1,000,000,000 (\$1,000,000,000) MORTGAGE BONDS. (FILE 33-15453 - JUL. 01) (BR. 8)
- S-1 G&K SERVICES INC, 400 S COUNTY RD 18, SHELARK PLZ S-STE 475, MINNEAPOLIS, MN 55426 (612) 546-7440 - 130,000 (\$2,470,000) COMMON STOCK. 1,169,000 (\$22,220,500) COMMON STOCK. (FILE 33-15456 - JUL. 01) (BR. 5)
- S-1 BMC BANCSHARES INC, 601 MARKET ST - PO BOX 33, C/O BANK OF MT CARMEL, MT CARMEL, IL 62863 (618) 263-3833 - 66,988 (\$670,000) COMMON STOCK. (FILE 33-15459 - JUL. 01) (BR. 1 - NEW ISSUE)
- S-3 MINNESOTA POWER & LIGHT CO, 30 W SUPERIOR ST, DULUTH, MN 55802 (218) 722-2641 - 85,470 (\$2,276,066) COMMON STOCK. (FILE 33-15460 - JUL. 01) (BR. 8)
- S-6 VARIABLE ACCOUNT A, 1750 HENNEPIN AVE, MINNEAPOLIS, MN 55403 (612) 347-6583 - INDEFINITE SHARES. (FILE 33-15464 - JUL. 01) (BR. 20)
- S-1 AUTOINFJ INC, 5 DAKOTA DR STE 202, LAKE SUSSESS, NY 11042 (516) 352-4777 - 1,200,000 (\$8,100,000) COMMON STOCK. (FILE 33-15465 - JUL. 01) (BR. 9)
- S-8 CINCINNATI BELL INC /OH/, 201 E FOURTH ST, CINCINNATI, OH 45202 (513) 397-9900 - 53,042 (\$1,326,050) COMMON STOCK. (FILE 33-15467 - JUL. 01) (BR. 7)
- S-8 BINDLEY WESTERN INDUSTRIES INC, 4212 W 71ST ST, P O BOX 68450, INDIANAPOLIS, IN 46268 (317) 298-9500 - 813,754 (\$9,358,171) COMMON STOCK. (FILE 33-15471 - JUL. 01) (BR. 4)

- S-6 NASL VARIABLE LIFE ACCOUNT, 695 ATLANTIC AVE.
C/O NORTH AMERICAN SECURITY LIFE INS CO, BOSTON, MA 02111 - INDEFINITE SHARES.
DEPOSITOR: NORTH AMERICAN SECURITY LIFE INSURANCE CO. (FILE 33-15472 - JUL. 01)
(BR. 20 - NEW ISSUE)
- S-8 XYVISION INC, 101 EDGEWATER DR, WAKEFIELD, MA 01880 (617) 245-4100 - 200,000
(\$3,214,000) COMMON STOCK. (FILE 33-15473 - JUL. 01) (BR. 10)
- S-8 XYVISION INC, 101 EDGEWATER DR, WAKEFIELD, MA 01880 (617) 245-4100 - 150,000
(\$2,049,000) COMMON STOCK. (FILE 33-15474 - JUL. 01) (BR. 10)
- S-3 FALCONBRIDGE LTD, COMMERCE CT W, P O BOX 40, TORONTO ONTARIO CANADA M5L 1B4, 40
(416) 863-7000 - 56,764 (\$2,213,228) FOREIGN COMMON STOCK. 141,113 (\$5,501,996)
FOREIGN COMMON STOCK. 180,047 (\$2,929,365) FOREIGN COMMON STOCK. 42,373 (\$1,880,514)
FOREIGN COMMON STOCK. (FILE 33-15476 - JUN. 30) (BR. 1)
- S-11 HUTTON ADVANTAGED PROPERTIES III L P, 625 MADISON AVE,
C/O HUTTON CREDIT PROPERTIES INC, NEW YORK, NY 10022 (212) 421-5333 - 16,000
(\$80,000,000) LIMITED PARTNERSHIP CERTIFICATE. UNDERWRITER: HUTTON E F & CO INC.
(FILE 33-15479 - JUL. 01) (BR. 5 - NEW ISSUE)
- S-8 AZTEC MANUFACTURING CO, 400 N TARRANT RD, CROWLEY, TX 76036 (817) 297-4361 -
292,950 (\$2,308,446) COMMON STOCK. (FILE 33-15481 - JUN. 30) (BR. 4)
- S-18 PAPERBACK SOFTWARE INTERNATIONAL, 2830 NINTH ST, BERKELEY, CA 94710 (415) 644-2116
- 600,000 (\$96,000) WARRANTS, OPTIONS OR RIGHTS. 600,000 (\$168,000) COMMON STOCK.
600,000 (\$78,000) WARRANTS, OPTIONS OR RIGHTS. 600,000 (\$168,000) COMMON STOCK.
(FILE 33-15484 - JUL. 01) (BR. 9)
- N-1A QUEST FOR VALUE U S GOVERNMENT INCOME TRUST, OPPENHEIMER TWR, WORLD FINANCIAL CNTR,
NEW YORK, NY 10281 (212) 667-7000 - INDEFINITE SHARES. (FILE 33-15489 - JUL. 01)
(BR. 18 - NEW ISSUE)
- S-8 TELEMATICS INTERNATIONAL INC /FL/, CROWN CENTER, 1415 NORTHWEST 62ND ST,
FT LAUDERDALE, FL 33309 (305) 772-3070 - 1,600,000 (\$23,600,000) COMMON STOCK. (FILE
33-15490 - JUL. 01) (BR. 9)
- S-3 US BANCORP /OR/, 111 SW FIFTH AVE, PORTLAND, OR 97204 (503) 275-6111 - 500,000
(\$13,970,000) COMMON STOCK. (FILE 33-15492 - JUL. 01) (BR. 2)
- S-8 MINISCRIBE CORP, 1861 LEFTHAND CIRCLE, LONGMONT, CO 80501 (303) 651-6000 -
1,500,000 (\$23,062,500) COMMON STOCK. (FILE 33-15494 - JUL. 01) (BR. 10)
- S-3 AVEMCO CORP, 411 AVIATION WAY, FREDERICK, MD 21701 (301) 694-5700 - 103,800
(\$2,251,422) COMMON STOCK. (FILE 33-15495 - JUL. 01) (BR. 10)
- S-6 VARIABLE ACCOUNT D OF MONARCH LIFE INSURANCE CO, ONE MONARCH PL,
C/O MONARCH LIFE INSURANCE CO, SPRINGFIELD, MA 01133 - INDEFINITE SHARES. UNDERWRITER:
MONARCH RESOURCES INC DEPOSITOR: MONARCH LIFE INSURANCE CO. (FILE 33-15504 - JUL. 01)
(BR. 20 - NEW ISSUE)
- S-6 INSURED MUNICIPALS INCOME TR & INV QU TAX EXE TR MUT SER 59, 1001 WARRENVILLE RD,
C/O VAN KAMPEN MERRITT INC, LISLE, IL 60532 - 1,000 (\$1,050,000) UNIT INVESTMENT TRUST.
DEPOSITOR: VAN KAMPEN MERRITT INC. (FILE 33-15510 - JUL. 02) (BR. 18 - NEW ISSUE)
- S-6 INSURED MUNICIPALS INCOME TR & INV QU TAX EXE TR MUT SER 60, 1001 WARRENVILLE RD,
C/O VAN KAMPEN MERRITT INC, LISLE, IL 60532 - 1,000 (\$1,050,000) UNIT INVESTMENT TRUST.
DEPOSITOR: VAN KAMPEN MERRITT INC. (FILE 33-15511 - JUL. 02) (BR. 18 - NEW ISSUE)
- S-6 INSURED MUNICIPALS INCOME TR & INV QU TAX EXE TR MUT SER 61, 1001 WARRENVILLE RD,
C/O VAN KAMPEN MERRITT INC, LISLE, IL 60532 - 1,000 (\$1,050,000) UNIT INVESTMENT TRUST.
DEPOSITOR: VAN KAMPEN MERRITT INC. (FILE 33-15512 - JUL. 02) (BR. 18 - NEW ISSUE)
- S-6 VAN KAMPEN MERRITT INTERNATIONAL INCOME TRUST SERIES 3, 1001 WARRENVILLE RD,
C/O VAN KAMPEN MERRITT INC, LISLE, IL 60532 - 1,000 (\$1,050,000) UNIT INVESTMENT TRUST.
DEPOSITOR: VAN KAMPEN MERRITT INC. (FILE 33-15513 - JUL. 02) (BR. 18 - NEW ISSUE)
- S-6 FIRST TRUST COMBINED SERIES 23, 300 W WASHINGTON ST, CHICAGO, IL 60606 -
INDEFINITE SHARES. DEPOSITOR: BROWN CLAYTON & ASSOCIATES INC. (FILE 33-15522 -
JUN. 30) (BR. 18 - NEW ISSUE)
- S-3 GOULD INC, 10 GOULD CTR, ROLLING MEADOWS, IL 60008 (312) 640-4000 - 660,000
(\$13,282,500) COMMON STOCK. (FILE 33-15525 - JUL. 02) (BR. 9)

S-1 ENVIRONMENTAL DIAGNOSTICS INC. 2990 ANTHONY RD. BURLINGTON, NC 27215 (919) 226-6311
 - 1,610,000 (\$8,050,000) COMMON STOCK. 8,050,000 (\$10,867,500) COMMON STOCK. 700,000
 (\$,00) WARRANTS, OPTIONS OR RIGHTS. 700,000 (\$840,000) COMMON STOCK. (FILE 33-15543 -
 JUL. 02) (BR. 4)

ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

| NAME AND CLASS OF STOCK/OWNER | FORM | EVENT DATE | SHARES (000'S) OWNED | CUSIP PREFIX | FILING STATUS |
|--|-----------------|------------|----------------------|------------------|---------------|
| ADAMS RUSSELL INC CONTINENTAL CABLEVISION ET AL | COM 13D | 7/ 6/87 | 1,342 20.1 | 00635610 9.5 | UPDATE |
| AMARCO RES CORP INTERFIRST VENTURE ET AL | COM 13D | 6/26/87 | 3,250 27.2 | 02300220 0.0 | NEW |
| AMERICAN MAIZE PRODS CO GOLDMAN SOL | CL A 13D | 6/29/87 | 0 0.0 | 02733820 7.5 | UPDATE |
| ARGOSYSTEMS INC TBC HOLDINGS CORP | COM 14D-1 | 7/ 8/87 | 7,305 100.0 | 04023910 26.6 | UPDATE |
| ARTECH INTL LTD KING BENNETT | COM 13D | 6/17/87 | 281 15.0 | 05500510 11.6 | UPDATE |
| BARNES GROUP INC HARRIS ASSOCIATES INC | COM 13D | 6/25/87 | 529 8.2 | 06780610 7.1 | UPDATE |
| BLACKGOLD ENERGY RES SNYDER OIL PARTNERS L P | COM 13D | 6/30/87 | 11,244 37.2 | 09236810 37.2 | UPDATE |
| CAPITAL SOUTHWEST CORP SERVICE CORP INTL ET AL | COM 13D | 7/ 3/87 | 140 7.4 | 14050110 5.3 | UPDATE |
| CENERGY CORP BRYSON OIL & GAS PLC | COM 13D | 7/ 7/87 | 151 1.5 | 15131710 17.5 | UPDATE |
| CHIPWICH FORMAN SAM | COM CL A 13D | 3/17/83 | 420 17.0 | 17002910 0.0 | NEW |
| CONTAINER INDS INC BREGMAN SHARON | COM 13D | 12/23/86 | 100 1.0 | 21074810 0.0 | NEW |
| CONTAINER INDS INC KATZ LAURA | COM 13D | 12/23/86 | 2,700 27.2 | 21074810 0.0 | NEW |
| FROST & SULLIVAN INC CROSS THEODORE L ET AL | COM 13D | 6/30/87 | 320 20.3 | 35922110 18.7 | UPDATE |
| GRANTREE CORP ORION CAP CORP ET AL | COM 13D | 7/ 7/87 | 496 16.9 | 38823510 15.7 | UPDATE |
| HARCOURT BRACE JOVANOVICH IN SALOMON BROTHERS INC | COM 13D | 7/ 8/87 | N/A N/A | 41163110 55.8 | UPDATE |

| NAME AND CLASS OF STOCK/OWNER | FORM | EVENT DATE | SHARES (000) ADDED | CULIF PERIOD | STATUS |
|--|---------------------|------------|--------------------|------------------|--------|
| INERTIA DYNAMICS CORP PYCEI LIMITED | COM 13D | 7/1/87 | 1,732 33.7 | 45621510 33.7 | UPDATE |
| INTERTAN INC HARRIS ASSOCIATES INC | COM 13D | 6/23/87 | 1,324 14.7 | 46112010 13.7 | UPDATE |
| MACMILLAN INC MACMILLAN INC ESCOP ET AL | COM 13D | 6/24/87 | 1,662 7.4 | 55472010 0.0 | NEW |
| MARKET GUIDE PENNSYLVANIA GRP ET AL | COM 13D | 6/15/87 | 937 13.9 | 57056510 0.0 | NEW |
| MYERS L E GROUP CO CHAVIN LEONARD | UNPAIRED CTF 13D | 7/6/87 | 458 20.3 | 62845610 13.7 | UPDATE |
| NUMERICOM INC HOFFMAN EDGAR PETER JR | COM NEW 13D | 6/19/87 | 1,299 7.9 | 66991440 7.8 | UPDATE |
| ONCOGENE SCIENCE INC PILGRIM GROWTH CO | COM 13D | 6/10/87 | 436 4.9 | 68230510 0.0 | NEW |
| REALTY SOUTH INVS INC ONEILL TIMOTHY J | COM 13D | 5/20/87 | 185 11.1 | 75612710 10.0 | UPDATE |
| SEIS PROS INC ERVIN R HUGH ET AL | COM 13D | 6/27/87 | 0 0.0 | 81606410 79.4 | UPDATE |
| SEIS PROS INC PGI HOUTEX ET AL | COM 13D | 6/27/87 | 3,100 79.4 | 81606410 0.0 | NEW |
| SECURA CORPORATION SPEAR LEEDS & KELLOGG | CL B 13D | 6/29/87 | 231 5.9 | 81732020 4.7 | UPDATE |
| SHAW'S SUPERMARKETS INC CHEYNE INVMNT ET AL | COM 14D-1 | 7/8/87 | 6,003 49.5 | 82085810 49.5 | UPDATE |
| TEXACO INC BELL RESOURCES ET AL | COM 13D | 7/2/87 | 18,040 7.4 | 88169410 6.4 | UPDATE |
| MITCO CORP HARRIS ASSOCIATES INC | COM 13D | 6/23/87 | 1,325 6.0 | 90733510 6.9 | UPDATE |

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | DATE | COMMENT | |
|--|------------|-------------|---|---|---|---|---|---|----------|----------|--|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | | | |
| ADAMS RUSSELL CO INC | MA | | | | X | | X | | 07/06/87 | | |
| ADVANCED MANUFACTURING SYSTEMS INC | CA | NO ITEMS | | | | | | | | 07/01/87 | |
| ALLIED SIGNAL INC | DE | | | | X | | X | | 07/02/87 | | |
| ALLIS CHALMERS CORP | DE | | | X | | | | | 06/29/87 | | |
| AMERICA FIRST PARTICIPATING PREFERRED EQ | | | | X | | | | | 06/25/87 | | |

RECENT 8K FILINGS CONT.

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | DATE | COMMENT |
|--|------------|-------------|---|---|---|---|----------|-------|---------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | | |
| AMERICAN MEDICAL ELECTRONICS INC | TX | | | | X | X | 06/29/87 | | |
| AMERICAN RECREATION CENTERS INC | CA | | | | X | | 07/01/87 | | |
| AMERICAN SOUTHWEST FINANCIAL CORP | AZ | | | | X | X | 06/25/87 | | |
| AST RESEARCH INC /DE/ | DE | | | | X | | 06/18/87 | | |
| AUGUST DEVELOPMENT FUND 80 | CA | X | | | | X | 06/01/87 | AMEND | |
| AVANTI ASSOCIATES FIRST MORTGAGE FUND 84 | AZ | | | X | | | 03/17/87 | AMEND | |
| BANK OF AMERICA NATIONAL TRUST & SAVINGS | | | | | | X | 06/25/87 | | |
| BANK OF SAN FRANCISCO CO HOLDING CO | CA | | | | X | | 06/24/87 | | |
| BANKEAST CORP | NH | | | | X | X | 06/25/87 | | |
| BAYLY CORP | DE | | | | | X | 04/28/87 | AMEND | |
| CABLEVISION INVESTMENT OF DETROIT INC | MI | X | | | | | 06/26/87 | | |
| CALIFORNIA AMPLIFIER INC | CA | | | | X | | 07/01/87 | | |
| CALIFORNIA MICRO DEVICES CORP | CA | | | X | | X | 06/22/87 | | |
| CANTERBURY EDUCATIONAL SERVICES INC /PA/ | PA | | | | X | X | 07/07/87 | | |
| CARE ENTERPRISES INC /DE/ | DE | | | | X | X | 06/29/87 | | |
| CARLYLE REAL ESTATE LTD PARTNERSHIP IX | IL | X | | | | X | 06/22/87 | | |
| CENTENNIAL CAPITAL FUND | CA | X | | | | | 07/06/87 | | |
| CENTENNIAL DEVELOPMENT FUND II | CA | X | | | | | 07/06/87 | | |
| CENTENNIAL DEVELOPMENT FUND III | CA | X | | | | | 07/06/87 | | |
| CENTENNIAL DEVELOPMENT FUND IV | CA | X | | | | | 07/06/87 | | |
| CENTENNIAL DEVELOPMENT FUND V | CA | X | | | | | 07/06/87 | | |
| CENTENNIAL DEVELOPMENT FUND VI | CA | X | | | | | 07/06/87 | | |
| CENTENNIAL GROUP INC /DE/ | DE | X | | | | | 06/25/87 | | |
| CENTRAL BANKING SYSTEM INC | DE | | | | X | | 06/01/87 | | |
| CENTRAL HOLDING CO | MI | X | | | | X | 06/15/87 | | |
| CENTURY PROPERTIES FUND XII | CA | X | | | | X | 06/22/87 | | |
| CENTURY PROPERTIES FUND XVI | CA | X | | | | X | 06/19/87 | | |
| CENTURY PROPERTIES FUND XVI | CA | | | | | X | 06/19/87 | AMEND | |
| CHEUNG LABORATORIES INC | MD | NO ITEMS | | | | | 06/25/87 | | |
| CHEUNG LABORATORIES INC | MD | NO ITEMS | | | | | 06/26/87 | | |
| CITICORP MORTGAGE SECURITIES INC | DE | X | | | | | 07/01/87 | | |
| CNB BANCSHARES INC | IN | X | | | | X | 06/30/87 | | |
| COGENIC ENERGY SYSTEMS INC | DE | | | | X | X | 07/01/87 | | |
| COLLATERALIZED MORTGAGE OBLIGATION TRUST | | | | | X | X | 06/30/87 | | |
| COMPUTER NETWORK TECHNOLOGY CORP | MN | | | | X | | 05/15/87 | | |
| CONSOLIDATED CAPITAL INSTITUTIONAL PROPE | CA | | | | X | X | 02/27/87 | | |
| CONSOLIDATED ENERGY PARTNERS L P | DE | | | | X | X | 07/01/87 | | |
| CONTEL CORP | DE | | | | X | X | 06/29/87 | | |
| COOPER COMPANIES INC | DE | | | | X | | 07/01/87 | | |
| COOPER DEVELOPMENT CO | DE | | | | X | | 07/01/87 | | |
| COSMOPOLITAN CARE CORP | NY | | | | X | | 06/30/87 | | |
| CPI CORP | DE | | | | X | | 07/01/87 | | |
| DALLAS CORP | IN | | | | X | | 06/19/87 | | |
| DATUM INC | CA | | | | X | | 05/27/87 | | |
| DERMA LOCK MEDICAL CORP | CO | X | | | | X | 12/18/86 | AMEND | |
| DERMA LOCK MEDICAL CORP | CO | | | | X | X | 06/20/87 | | |
| DETECTOR ELECTRONICS CORP | MN | X | | | | X | 06/30/87 | | |
| DISCOVERY ASSOCIATES INC | NV | | | | X | X | 06/23/87 | | |
| DREXEL BURNHAM LAMBERT CMO TRUST SERIES | | X | | | X | X | 06/30/87 | | |
| ENERGY CONVERSION DEVICES INC | DE | | | | X | | 07/07/87 | | |
| ENTERTAINMENT TONIGHT VIDEO EXPRESS LTD | DE | NO ITEMS | | | | | 06/17/87 | | |
| EQUITEC 78 REAL ESTATE INVESTORS | CA | X | | | | | 06/18/87 | | |
| FALLS CITY INDUSTRIES INC | KY | | | | X | X | 06/22/87 | | |
| FALSTAFF BREWING CORP | DE | | | | X | | 07/02/87 | | |
| FEDERAL RESOURCES CORP | NV | X | | | | X | 06/24/87 | | |
| FINGERMATRIX INC | NY | NO ITEMS | | | | | 06/26/87 | | |
| FIRST ATLANTA CORP | GA | | | | X | | 06/18/87 | | |
| FIRST BANCORPORATION OF OHIO | OH | | | | X | X | 07/07/87 | | |
| FIRST CAROLINA INVESTORS INC | DE | | | | X | X | 07/01/87 | | |
| FIRST OF LONG ISLAND CORP | NY | | | | X | | 06/29/87 | | |
| FIRST WACHOVIA CORP | NC | | | | X | X | 06/18/87 | | |
| FOLIAGE PLUS INC | CO | | | | X | | 06/20/87 | | |
| FROST & SULLIVAN INC | | | | | X | X | 06/17/87 | | |
| FUN FOODS INC | DE | | | | X | X | 07/02/87 | | |
| GENETIC ENGINEERING INC | CO | | | | X | | 07/08/87 | | |
| GIANT YELLOWKNIFE MINES LTD | | NO ITEMS | | | | | 03/31/87 | AMEND | |
| HAMILTON GROUP HOLDINGS INC | AZ | | | | X | X | 06/23/87 | | |
| HARPER INTERNATIONAL INC | DE | | | | X | X | 05/18/87 | AMEND | |