

## DEFENSE SECURITY COOPERATION AGENCY

WASHINGTON, DC 20301-2800

APR 2 2007

In reply refer to: I-07/003428-POL

# MEMORANDUM FOR SEE DISTRIBUTION

SUBJECT: Security Assistance Management Manual (SAMM) Administrative Changes DSCA 07-13 [SAMM E-Change 75]

Effective immediately, the SAMM is updated with the administrative changes documented in the attachment.

The changes address a variety of subjects that affect Chapters 3, 4, 5, 6, 9, 10, 11, and 12, as well as Appendices 1, 3, and 4. We have also incorporated new acronyms to the listing in the front matter of the SAMM.

These changes will be incorporated in the automated version of the SAMM found on the DSCA Web Page, www.dsca.mil, as SAMM E-Change 75. For questions regarding the SAMM, contact Ms. Kathy Robinson, DSCA/STR/POL, at 703-601-4368 or e-mail: <u>kathy.robinson@dsca.mil</u>.

Freda J. Lodg

Freda J. Lodge Principal Deputy Strategy

Attachment As stated

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#### Security Assistance Management Manual (SAMM), E-Change 75 Administrative Changes

1. Update Table of Contents to read:

## C10.11. Field Studies Program (formerly Informational Program)

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2. Update References section to read:

(cd) DoD Instruction 5410.17, "United States Field Studies Program for International Military and Civilian Students and Military-Sponsored Visitors," September 15, 2006 (formerly DoD Directive 5410.17., "Informational Program for Foreign Military Trainees in the United States," March 1, 1985.

3. Update the acronyms list by:

Adding the following:

Abbreviation	Meaning
AIG	Address Information Group
C4ISR	Command Control Communications Computers Intelligence Surveillance and Reconnaissance
COR	Contracting Officer's Representative
DASA DEC	Deputy Assistant Secretary of the Army for Defense Exports and Cooperation
DBO	DSCA Directorate of Business Operations
GPS-PPS	Global Positioning System – Precise Positioning System
DMS	Defense Message System
GEOINT	Geospatial-Intelligence
LO/CLO	Low Observable/Counter Low Observable
MDA	Missile Defense Agency
OGC	DSCA Office of General Counsel
PLAD	Plain Language Address Designator
POTUS	President of the United States
SA	Security Assistance
SC	Security Cooperation
USMC	United States Marine Corps

#### Updating the following:

Abbreviation	Meaning
DoS (NP/CBM)	Department of State, Bureau of International Security and
Change to	Nonproliferation/Office of Missile Threat Reduction
DoS (ISN/MTR)	
SAO	Update description to read:
	Security Assistance Organization/Officer
WMF	Weapons of Mass Destruction
Update to	
WMD	

- 4. Update Acronyms list to remove the line referring to "IP Informational Program" and to add "FSP Field Studies Program (formerly IP Informational Program)".
- 5. Replace Paragraph C.3.2.2.5. with the following:

C3.2.2.5. DSCA (Programs Directorate/Weapons Division) reviews and forwards the memorandum to the DoS, Deputy Director, Office of Chemical, Biological, and Missile Threat Reduction, Bureau of International Security and Nonproliferation (ISN/MTR), for review and approval.

6. Replace Paragraph C.3.2.2.6. with the following:

C3.2.2.2.6. DoS (ISN/MTR) coordinates the possible transfer of the MTCR-controlled items. This process is accomplished in advance of final LOA development to avoid delays.

- 7. Remove Paragraph C4.2.3.6. to reflect removal of EDA Grant Eligible (FY05) from Table C4.T2.
- 8. Remove the column titled "EDA Grant Eligible (FY05)" from Table C4.T2. Table C4.T2. will now show the following columns:

Table C4.T2. Country, International Organization, and Regional Codes and FMS Eligibility

Country/ Territory (Host Country)	FMS Country Code/ (NIST Code)	Combatant Command \1	Region Grouping \2	FMS AECA Eligible \3	Term of Sale – Dependable Undertaking Authorized	Accel. Case Closure Eligible

9. Update Table C4.T2., Term of Sale - Dependable Undertaking Authorized, for Albania and Macedonia:

Table C4.T2. Country, International Organization, and Regional Codes and FMS Eligibility

Country/ Territory (Host Country)	FMS Country Code/ (NIST Code)	Combatant Command \1	Region Grouping \2	FMS AECA Eligible \3	Term of Sale – Dependable Undertaking Authorized
Albania	AL	EU	EUR	Yes	No
Macedonia	МК	EU	EUR	Yes	No

10. Update Paragraph C4.5.7. with language from Public Law 107-228.

C4.5.7. <u>Proper Use of Materiel and End Use Monitoring (EUM)</u>. Sales and assistance may be made to countries only for purposes of internal security, legitimate self-defense for preventing or hindering the proliferation of weapons of mass destruction and of the means of delivering such weapons, civic action, or regional or collective arrangements consistent with the United Nations (UN) Charter, or requested by the UN (AECA, section 4 (reference (c)); and FAA, section 502 (reference (b))). Proper use of U.S.-origin items is a joint responsibility of the recipient and U.S. personnel. U.S. representatives have primary responsibility until items are physically transferred to the recipient. The recipient then assumes this responsibility, based on agreements under which transfers are made, including transfer to a third party or other disposal or change in end-use. See Chapter 8 for information on EUM. 11. Update C5.1.4.5.1.4. to read as follows:

C5.1.4.5.1.4. Items and/or services of a sensitive nature. For example, NVDs, Missile Technology Control Regime Category I or II systems (air vehicles, support systems, payloads, ground stations, etc.), Man Portable Air Defense System, and items associated with missile defense are considered items and/or services of a sensitive nature.

12. Update Table C5.T9. C4ISR wording to read as follows:

Table C5.T9. Automated State Department Approval (ASDA) Eligibility

Case Documents That CAN	Case Documents That CANNOT			
Be Processed Under ASDA	Be Processed Under ASDA			
Defined Line Training LOAs and Amendments	LOA Documents associated with Command, Control, Communications, Computers, Intelligence, Surveillance and Reconnaissance (C4ISR)			

- 13. Update C6.4.5. to reflect change from Prime Vendor to Tailored Vendor Logistics.
- 14. Update AECA reference currently in C9.6.1. to read as follows:

C9.6.1. Waiver of FMS Administrative Surcharge. Costs associated with administering the FMS program must always be paid and/or collected (AECA, section 21(e)(1) (reference (c))).

15. Update Paragraph C10.6.1.1. to remove the last sentence to read as follows:

C10.6.1.1. <u>Defining Requirements - Training Requests.</u> Combatant Commanders include foreign country recommendations for U.S.-conducted training in the Theater Security Cooperation Plan (TSCP) provided to the Chairman, Joint Chiefs of Staff. U.S. Embassies provide like requirements to the DoS through the Mission Performance Plan (MPP). The requirements in the MPP and the TSCP should be consistent. Each Combatant Command programs anticipated IMET requirements for the budget year (next fiscal year) at the TPMR. See paragraph C10.4.2. for information on TPMRs. DSCA, MILDEPs, and the SAOs review proposed recommendations to ensure appropriateness of IMET funding. DSCA approves the resulting country budget year IMET training programs with the concurrence of the DoS (PM).

16. Update note in figure C10.F1., "Combined Education and Training Program Plan (continued)," to read as follows:

o. Field Studies Program Projects (formerly Informational Program (IP) Projects): (Describe any particular aspects of the Field Studies Program to be accomplished, listed in order of preference.)

17. Update Paragraph C10.10.2. to read as follows:

C10.10.2. <u>International Military Student Officer (IMSO)</u>. Commanders of U.S. installations with international students scheduled for training designate an IMSO for administrative support of international students and the DoD Field Studies Program.

18. Update paragraph C10.10.13.2. to read as follows:

C10.10.13.2. <u>IMSO Use of the SAN.</u> IMSOs must use the SAN and its components to perform the following functions: use the IMSO Web to retrieve IMS arrival information; provide IMSO point of contact information and detailed location information in the IMSO Web (for downloading by SAOs and viewing in the TMS system); input arrival, convening, and completion reports via the IMSO Web; input Foreign Identification Number (FIN) and other required data entries in the IMSO Web; and, input Field Studies Program information in the IMSO Web.28.

19. Update paragraph C10.11. to read as follows:

# C10.11. DOD FIELD STUDIES PROGRAM

In accordance with DoD Instruction 5410.17 (reference (cd)) each student attending a formal course in the United States under the Security Assistance and other related programs is given the opportunity to participate in the DoD Field Studies Program. The Field Studies Program objective is to provide a "real life" view of U.S. society, institutions, and goals, outside the classroom. The Field Studies Program should include discussion with the students about our Government structure, judicial system, the political party system, the role of a free press and other communications media, cultural issues associated with minorities, the purpose and scope of labor unions, our economic system, educational institutions, and the way in which all of these elements reflect the U.S. commitment to the basic principles of internationally recognized human rights. Implementing Agencies are responsible for Field Studies Program implementation. Cost factors for implementing the Field Studies Program are included in course tuition costs.

20. Update paragraph C10.11.1. to read as follows:

C10.11.1. <u>Field Studies Program.</u> The Field Studies Program applies to students and militarysponsored visitors in the United States under the Security Assistance training program. As appropriate to the surrounding environment, the Field Studies Program also applies to DoD training installations overseas performing Security Assistance military training functions. While students are given the opportunity to participate in the Field Studies Program, it is not mandatory unless it is part of a course Program of Instruction.

21. Update paragraph C10.11.2. to read as follows:

C10.11.2. <u>Scope of Funded Field Studies Program Activities.</u> Implementing Agencies are responsible for reviewing all proposed Field Studies Program to ensure they are valid and meet the objectives of the Field Studies Program. Any questionable activities should be referred to DSCA (Programs and Strategy Directorates) for approval prior to implementation. Field Studies Program activities include transportation, meals, lodging, admissions, programs, and related incidental expenses. The student is required to pay for personal shopping and services such as laundry and telephone and other costs not directly associated with the programmed tour. Field Studies Program funds may be used to finance the cost of distinctive medallions, plaques, ornaments, or mementos, which serve to commemorate the student's experience in participating in a particular Field Studies Program activity.

22. Update paragraph C10.11.2.1. to read as follows:

C10.11.2.1. <u>Use of Local Civic Groups</u>. Field Studies Program activities should involve local civic groups, organizations, agencies, facilities, and historical sites. The majority of Field Studies Program activities should take place in the civilian community so that students gain an understanding of the United States outside of their classroom experience.

23. Update paragraph C10.11.2.2. to read as follows:

C10.11.2.2. <u>Visits to Washington, DC.</u> Under the Field Studies Program, officer students, DSCA-approved senior enlisted students, and DSCA-approved students enrolled in other specific courses are encouraged to visit Washington, DC. A maximum of four days plus travel time is authorized for this event. Washington, D.C. trips are limited to one per student. If the student changes training locations, IMSOs should verify the student has not previously attended the Washington, D.C. trip.

24. Update paragraph C10.11.3. to read as follows:

C10.11.3. <u>Duty Status of Students.</u> Students participating in Field Studies Program tours are considered to be in a duty status. The living allowances authorized for IMET students at their training centers (see Table C10.T3) are continued throughout the tour.

25. Update paragraph C10.11.4. to read as follows:

C10.11.4. <u>Dependent Participation</u>. Dependents who accompany students in the United States are allowed to participate in Field Studies Program activities, but at no additional cost to the program.

26. Update paragraph C10.11.5. to read as follows:

C10.11.5. <u>Extraordinary Expenses.</u> Extraordinary expenses are used to finance costs of commandant's welcome, receptions, banquets for civilian and military sponsors, class or seminar dine-ins, faculty-student luncheons, graduations, and similar activities which bridge cultural differences and enhance the relationship between school officials, local community supporting participants, and the international students. These are budget project N60 expenses incident to representational activities for students under the IMET program and are limited by FAA, section 636(g) (reference (b)) and related appropriation acts. N60 funds may be used only for IMET-funded students. However, joint activities with FMS or non-IMET funded students are often cost effective. In those cases, IMET N60 (including IMET Field Studies Program) costs are pro-rated on the basis of the respective numbers of IMET and FMS or non-IMET funded students. N60 funds may also be used in connection with Field Studies Program orientation tours.

27. Update paragraph C11.5.2.2. to remove the reference to the "EDA Grant Eligible (FY05)" column to read as follows:

C11.5.2.2. <u>Eligibility for EDA Grants.</u> To receive grant EDA, a country must be justified to Congress. Grant EDA eligibility is justified to Congress via the annual Congressional Budget Justification (CBJ) for Foreign Operations or by a separate DSCA letter to Congress for the fiscal year in which the transfer is proposed. The DSCA letter is used only in exceptional circumstances with DoS concurrence. Eligibility does not guarantee that all EDA offers are made on a grant basis - each EDA transfer is considered on a case-by-case basis.

28. Update AECA, Section 4 reference in Table C11.T7. with language from Public Law 107-228.

Legislation	Subject
AECA, Section 4 (reference (c)) and FAA, Section 502 (reference (b))	Use of Defense Articles and Services. Defense articles and defense services are furnished solely for the following purposes: - for internal security (including antiterrorism & nonproliferation) - for legitimate self-defense for preventing or hindering the proliferation of weapons of mass destruction and of the means of delivering such weapons - to permit participation in arrangements consistent with the Charter of the United Nations (UN) - to permit participation in collective measures requested by the UN to maintain/restore international peace and security - to assist foreign military forces in less developed friendly countries (or the voluntary efforts of personnel of the Armed Forces of the United States in such countries) to construct public works or engage in other activities helpful to the economic and social development of such friendly countries

29. Update C11.10.5.5.2 to reflect the waiver authority delegation from Secretary of Defense to Director, DSCA as follows:

C11.10.5.5.2. The President may waive reimbursement of depreciation for any defense article(s) that has passed three-quarters of its normal service life if he or she determines that to do so is important to the national security interests of the United States. This waiver authority has been delegated to the Director, DSCA in accordance with the provisions of DoD Directive 5105.65 (reference (a)). When requesting this waiver, the Implementing Agency must include the following information: a certification letter that the items are beyond three-quarters (75 percent) of their service life, a spreadsheet showing how replacement costs were calculated, a spreadsheet showing what the depreciation charges would normally be; if applicable, a spreadsheet showing how partial depreciation charges were calculated, and a copy of any prior or related waivers previously granted.

30. Update Figure C11.F12., provision 16, to further clarify this lease provision -- The Defense Security Assistance Management System has already incorporated this change.

Figure C11.F12. Sample Lease (continued)

16. Reports. (Note: Any testing of articles and/or services provided under this lease must be specifically authorized by the lease. Lessee testing is subject to limitations stated in the lease. Authority to test does not excuse the Lessee from compliance with all terms and conditions of the lease.) When the Lessee Government performs tests and evaluations on the leased Defense Articles and prepares a final report of the resulting data to be released to a third party, the Lessee Government will allow the Lessor Government to observe the test and evaluation and to review the report. The Lessee Government will obtain Lessor Government approval of any release to a third party.

31. Update paragraph C12.3.2.1. to read as follows:

C12.3.2.1. <u>HAO Program Description.</u> 10 U.S.C. 2561 (reference (bw)) provides authority to carry out humanitarian projects, other than HA-EP projects, that support the national security and foreign policy goals of the United States. These projects typically include construction or refurbishment of local infrastructure facilities, disaster preparedness or refugee repatriation training, exercises or seminars, assessment visits, and technical and logistics assistance for foreign recipients. Humanitarian construction projects are generally limited to \$500,000. Proposed projects costing more than \$500,000 require special justification and review by DSCA and DoD Policy. OHDACA funds may occasionally be used to deploy U.S. military teams or DoD-related personnel to carry out diverse humanitarian projects worldwide.

32. Update Table AP1.T4., "Category IV Launch Vehicles, Guided Missiles, Ballistic Missiles, Rockets Torpedoes, Bombs And Mines," to update the description tag (N) to (A) for the Hellfire Missile System.

Item Description	Approved Nonrecurring Cost (NC) Charge	Effective Date
Missile System, Hellfire (A)		

33. Update Table AP1.T8. Category VIII Aircraft, Spacecraft and Associated Equipment Aircraft, to contain the correct description for the NATO AWACS Radar System NC Charge.

Item Description	Approved Nonrecurring Cost (NC) Charge	Effective Date
NATO AWACS Radar System Improvement Program (Special)	\$3,052,778	February 4, 2005

34. Update Table AP4.T2., section B to read as follows:

	Generic Code		Category/Description	Federal Supply Classification/Item Identification	Major Item	Dollar Line	Remarks
В							
	2						
		Μ	AGM-184				

35. Correct Table AP4.T7., description from 15mm to 155mm (Section G-1-M).

0	Generic Code		Category/Description	Federal Supply Classification/Item Identification	Major Item	Dollar Line	Remarks
G			Ammunition				
	1						
	2						
		М	155mm	FSC 1320	Х		

Table AP4.T7.	G - Ammunition
140101111111	

36. Expand the title of Table AP4.T8., Section H to read as follows:

	Generic Code		Category/Description	Federal Supply Classification/Item Identification	Major Item	Dollar Line	Remarks
Η			Communication, Detection, and Coherent Radiation Equipment				

37. Update Figures C5.F2., C5.F4., C5.F6., C5.F9., C6.F2., C6.F3., AP3.F4., AP3.F6., AP3.F9., and Table C5.T5. to reflect changes in DFAS office symbols from DFAS-ADY/DE to DFAS-JY/DE and DFAS-ADCTA/DE to DFAS-JDT/DE.