

| (Dollars in Thousands)                               |                   |                    |                         |                    |                            |                      |
|--|-------------------|--------------------|-------------------------|--------------------|----------------------------|----------------------|
| PROGRAM  | FY 2000<br>ACTUAL | FY 2001<br>REQUEST | FY 2001<br>CURRENT PLAN | FY 2002<br>REQUEST | CHANGE                     |                      |
|  |                   |                    |                         |                    | FY 2002 Req/FY01<br>AMOUNT | Curr Plan<br>PERCENT |
| <b><u>BIOLOGICAL SCIENCES</u></b>                    |                   |                    |                         |                    |                            |                      |
| <i>MOLECULAR AND CELLULAR BIOSCIENCES</i>            |                   |                    |                         |                    |                            |                      |
| Molecular & Cellular Biosciences Research Projects   | \$105,738         | \$133,150          | \$124,220               | \$121,240          | -\$2,980                   | -2.4%                |
| <b>Total</b>   | 105,738           | 133,150            | 124,220                 | 121,240            | -2,980                     | -2.4%                |
| <i>INTEGRATIVE BIOLOGY AND NEUROSCIENCE</i>          |                   |                    |                         |                    |                            |                      |
| Integrative Biology & Neuroscience Research Projects | 95,140            | 119,690            | 111,660                 | 112,640            | 980                        | 0.9%                 |
| <b>Total</b>   | 95,140            | 119,690            | 111,660                 | 112,640            | 980                        | 0.9%                 |
| <i>ENVIRONMENTAL BIOLOGY</i>                         |                   |                    |                         |                    |                            |                      |
| Environmental Biology Research Projects              | 89,363            | 119,230            | 109,870                 | 111,740            | 1,870                      | 1.7%                 |
| <b>Total</b>   | 89,363            | 119,230            | 109,870                 | 111,740            | 1,870                      | 1.7%                 |
| <i>BIOLOGICAL INFRASTRUCTURE</i>                     |                   |                    |                         |                    |                            |                      |
| Research Resources                                   | 49,344            | 62,710             | 58,460                  | 56,040             | -2,420                     | -4.1%                |
| Human Resources                                      | 15,682            | 16,730             | 16,350                  | 16,450             | 100                        | 0.6%                 |
| <b>Total</b>   | 65,025            | 79,440             | 74,820                  | 72,490             | -2,330                     | -3.1%                |
| <i>PLANT GENOME RESEARCH</i>                         |                   |                    |                         |                    |                            |                      |
| Plant Genome Research Projects                       | 63,028            | 59,630             | 64,860                  | 65,000             | 140                        | 0.2%                 |
| <b>Total</b>   | 63,028            | 59,630             | 64,860                  | 65,000             | 140                        | 0.2%                 |
| <b>Total, BIO <sup>1</sup></b>                       | \$418,295         | \$511,140          | \$485,420               | \$483,110          | -\$2,310                   | -0.5%                |

<sup>1</sup> The agency routinely closes out awards that are past the expiration date. Several awards including \$4 million in BIO were terminated in FY 2000 due to incorrect award expiration dates. The expiration dates on these awards were corrected later in the year and the awards were reopened and the balances restored. The closing of the awards are reported as recoveries and the reopening of the awards are accounted for as upward adjustments to prior year obligations. This causes obligations to be technically correct but overstated by the amount of the upward adjustments. In the case of BIO, \$4 million resulting in a net funding level of \$414.33 million in FY 2000 for an increase of \$71.09 million in FY 2001.



## LEVEL OF FUNDING BY PROGRAM

(Dollars in Thousands)

| PROGRAM  | FY 2000<br>ACTUAL | FY 2001<br>REQUEST | FY 2001<br>CURRENT PLAN | FY 2002<br>REQUEST | CHANGE<br>FY 2002 Req/FY01 Curr Plan<br>AMOUNT | PERCENT |
|--|-------------------|--------------------|-------------------------|--------------------|--|---------|
| <b><u>COMPUTER AND INFORMATION SCIENCE AND ENGINEERING</u></b> |                   |                    |                         |                    |  |         |
| <i>COMPUTER-COMMUNICATIONS RESEARCH</i>                        |                   |                    |                         |                    |  |         |
| Computer-Communications Research                               | \$60,241          | \$69,160           | \$65,490                | \$64,390           | -\$1,100                                       | -1.7%   |
| <b>Total</b>   | 60,241            | 69,160             | 65,490                  | 64,390             | -1,100   | -1.7%   |
| <i>INFORMATION AND INTELLIGENT SYSTEMS</i>                     |                   |                    |                         |                    |  |         |
| Information and Intelligent Systems Research                   | 41,429            | 53,700             | 48,840                  | 48,020             | -820   | -1.7%   |
| <b>Total</b>   | 41,429            | 53,700             | 48,840                  | 48,020             | -820   | -1.7%   |
| <i>EXPERIMENTAL AND INTEGRATIVE ACTIVITIES</i>                 |                   |                    |                         |                    |  |         |
| Experimental and Integrative Activities                        | 57,845            | 63,320             | 60,950                  | 57,810             | -3,140   | -5.2%   |
| <b>Total</b>   | 57,845            | 63,320             | 60,950                  | 57,810             | -3,140   | -5.2%   |
| <i>ADVANCED COMPUTATIONAL INFRASTRUCTURE AND RESEARCH</i>      |                   |                    |                         |                    |  |         |
| Advanced Computational Infrastructure                          | 70,741            | 75,830             | 73,710                  | 73,710             | 0  | 0.0%    |
| Advanced Computational Research                                | 7,266             | 8,320              | 7,890                   | 6,510              | -1,380   | -17.5%  |
| <b>Total</b>   | 78,007            | 84,150             | 81,600                  | 80,220             | -1,380   | -1.7%   |
| <i>ADVANCED NETWORKING INFRASTRUCTURE AND RESEARCH</i>         |                   |                    |                         |                    |  |         |
| Advanced Networking Infrastructure                             | 43,743            | 45,400             | 44,710                  | 43,910             | -800   | -1.8%   |
| Advanced Networking Research                                   | 16,912            | 23,370             | 20,830                  | 20,530             | -300   | -1.4%   |
| <b>Total</b>   | 60,655            | 68,770             | 65,550                  | 64,440             | -1,110   | -1.7%   |
| <i>INFORMATION TECHNOLOGY RESEARCH (ITR)</i>                   |                   |                    |                         |                    |  |         |
| Information Technology Research (ITR)                          | 90,390            | 190,000            | 155,480                 | 155,480            | 0  | 0.0%    |
| <b>Total</b>   | 90,390            | 190,000            | 155,480                 | 155,480            | 0  | 0.0%    |
| <b>Total, CISE</b>   | \$388,567         | \$529,100          | \$477,900               | \$470,360          | -\$7,540                                       | -1.6%   |



| (Dollars in Thousands)                                |                   |                    |                         |                    |                            |                      |
|---|-------------------|--------------------|-------------------------|--------------------|----------------------------|----------------------|
| PROGRAM   | FY 2000<br>ACTUAL | FY 2001<br>REQUEST | FY 2001<br>CURRENT PLAN | FY 2002<br>REQUEST | CHANGE                     |                      |
|   |                   |                    |                         |                    | FY 2002 Req/FY01<br>AMOUNT | Curr Plan<br>PERCENT |
| <b>ENGINEERING</b>                                    |                   |                    |                         |                    |                            |                      |
| <i>BIOENGINEERING AND ENVIRONMENTAL SYSTEMS</i>       |                   |                    |                         |                    |                            |                      |
| Bioengineering and Environmental Systems              | \$34,205          | \$42,050           | \$39,210                | \$38,450           | -\$760                     | -1.9%                |
| <b>Total</b>  | 34,205            | 42,050             | 39,210                  | 38,450             | -760                       | -1.9%                |
| <i>CHEMICAL AND TRANSPORT SYSTEMS</i>                 |                   |                    |                         |                    |                            |                      |
| Chemical and Transport Systems                        | 44,243            | 54,390             | 50,720                  | 50,150             | -570                       | -1.1%                |
| <b>Total</b>  | 44,243            | 54,390             | 50,720                  | 50,150             | -570                       | -1.1%                |
| <i>CIVIL AND MECHANICAL SYSTEMS</i>                   |                   |                    |                         |                    |                            |                      |
| Civil and Mechanical Systems                          | 48,255            | 56,190             | 53,260                  | 52,180             | -1,080                     | -2.0%                |
| <b>Total</b>  | 48,255            | 56,190             | 53,260                  | 52,180             | -1,080                     | -2.0%                |
| <i>DESIGN, MANUFACTURE, AND INDUSTRIAL INNOVATION</i> |                   |                    |                         |                    |                            |                      |
| Design, Manufacture, and Industrial Innovation        | 47,292            | 55,660             | 51,360                  | 50,870             | -490                       | -1.0%                |
| Small Business-Industrial Innovation                  | 62,141            | 77,700             | 74,830                  | 74,830             | 0                          | 0.0%                 |
| <b>Total</b>  | 109,434           | 133,360            | 126,190                 | 125,700            | -490                       | -0.4%                |
| <i>ELECTRICAL AND COMMUNICATIONS SYSTEMS</i>          |                   |                    |                         |                    |                            |                      |
| Electrical and Communications Systems                 | 45,283            | 58,870             | 53,970                  | 57,090             | 3,120                      | 5.8%                 |
| <b>Total</b>  | 45,283            | 58,870             | 53,970                  | 57,090             | 3,120                      | 5.8%                 |
| <i>ENGINEERING EDUCATION AND CENTERS</i>              |                   |                    |                         |                    |                            |                      |
| Engineering Education and Centers                     | 98,400            | 111,640            | 107,480                 | 107,480            | 0                          | 0.0%                 |
| <b>Total</b>  | 98,400            | 111,640            | 107,480                 | 107,480            | 0                          | 0.0%                 |
| <b>Total, ENG</b>                                     | \$379,819         | \$456,500          | \$430,840               | \$431,050          | \$210                      | 0.0%                 |



## LEVEL OF FUNDING BY PROGRAM

| (Dollars in Thousands)                   |                   |                    |                         |                    |  |       |
|--|-------------------|--------------------|-------------------------|--------------------|--|-------|
| PROGRAM                                  | FY 2000<br>ACTUAL | FY 2001<br>REQUEST | FY 2001<br>CURRENT PLAN | FY 2002<br>REQUEST | CHANGE<br>FY 2002 Req/FY01 Curr Plan<br>AMOUNT PERCENT |       |
| <b><u>GEOSCIENCES</u></b>                |                   |                    |                         |                    |  |       |
| <i>ATMOSPHERIC SCIENCES</i>              |                   |                    |                         |                    |  |       |
| Atmospheric Sciences Research Support    | \$95,632          | \$118,260          | \$117,070               | \$115,870          | -\$1,200   | -1.0% |
| National Center for Atmospheric Research | 68,615            | 75,750             | 71,380                  | 70,630             | -750   | -1.1% |
| <b>Total</b>                             | 164,247           | 194,010            | 188,450                 | 186,500            | -1,950   | -1.0% |
| <i>EARTH SCIENCES</i>                    |                   |                    |                         |                    |  |       |
| Earth Sciences Project Support           | 65,809            | 78,000             | 78,280                  | 79,190             | 910  | 1.2%  |
| Instrumentation and Facilities           | 27,164            | 31,000             | 28,470                  | 28,520             | 50   | 0.2%  |
| Continental Dynamics                     | 9,182             | 9,510              | 9,060                   | 9,080              | 20   | 0.2%  |
| <b>Total</b>                             | 102,155           | 118,510            | 115,810                 | 116,790            | 980  | 0.8%  |
| <i>OCEAN SCIENCES</i>                    |                   |                    |                         |                    |  |       |
| Ocean Section                            | 81,539            | 102,040            | 97,580                  | 96,100             | -1,480   | -1.5% |
| Integrative Programs Section             | 67,980            | 85,370             | 83,290                  | 82,850             | -440   | -0.5% |
| Marine Geosciences Section               | 71,720            | 83,070             | 77,060                  | 76,300             | -760   | -1.0% |
| <b>Total</b>                             | 221,239           | 270,480            | 257,930                 | 255,250            | -2,680   | -1.0% |
| <b>Total, GEO</b>                        | \$487,641         | \$583,000          | \$562,190               | \$558,540          | -\$3,650   | -0.6% |



| PROGRAM  | (Dollars in Thousands) |                    |                         |                    | CHANGE                      |                            |
|--|------------------------|--------------------|-------------------------|--------------------|-----------------------------|----------------------------|
|  | FY 2000<br>ACTUAL      | FY 2001<br>REQUEST | FY 2001<br>CURRENT PLAN | FY 2002<br>REQUEST | FY 2002 Req/FY 01<br>AMOUNT | FY 01 Curr Plan<br>PERCENT |
| <b><u>MATHEMATICAL AND PHYSICAL SCIENCES</u></b> |                        |                    |                         |                    |                             |                            |
| <i>ASTRONOMICAL SCIENCES</i>                     |                        |                    |                         |                    |                             |                            |
| Astronomy Research and Instrumentation           | \$42,961               | \$59,790           | \$53,940                | \$54,710           | \$770                       | 1.4%                       |
| Facilities                                       | 79,568                 | 79,910             | 94,700                  | 101,550            | 6,850                       | 7.2%                       |
| <b>Total</b>                                     | <b>122,529</b>         | <b>139,700</b>     | <b>148,640</b>          | <b>156,260</b>     | <b>7,620</b>                | <b>5.1%</b>                |
| <i>CHEMISTRY</i>                                 |                        |                    |                         |                    |                             |                            |
| Chemistry Research & Infrastructure              | 138,628                | 161,990            | 153,520                 | 153,460            | -60                         | 0.0%                       |
| <b>Total</b>                                     | <b>138,628</b>         | <b>161,990</b>     | <b>153,520</b>          | <b>153,460</b>     | <b>-60</b>                  | <b>0.0%</b>                |
| <i>MATERIALS RESEARCH</i>                        |                        |                    |                         |                    |                             |                            |
| Materials Research                               | 163,285                | 185,290            | 179,510                 | 170,680            | -8,830                      | -4.9%                      |
| National Facilities                              | 27,250                 | 35,320             | 30,240                  | 34,740             | 4,500                       | 14.9%                      |
| <b>Total</b>                                     | <b>190,535</b>         | <b>220,610</b>     | <b>209,750</b>          | <b>205,420</b>     | <b>-4,330</b>               | <b>-2.1%</b>               |
| <i>MATHEMATICAL SCIENCES</i>                     |                        |                    |                         |                    |                             |                            |
| Mathematical Sciences                            | 105,975                | 130,210            | 121,480                 | 141,480            | 20,000                      | 16.5%                      |
| <b>Total</b>                                     | <b>105,975</b>         | <b>130,210</b>     | <b>121,480</b>          | <b>141,480</b>     | <b>20,000</b>               | <b>16.5%</b>               |
| <i>PHYSICS</i>                                   |                        |                    |                         |                    |                             |                            |
| Physics Research                                 | 106,616                | 142,770            | 131,650                 | 124,720            | -6,930                      | -5.3%                      |
| Facilities                                       | 61,687                 | 55,880             | 55,880                  | 58,850             | 2,970                       | 5.3%                       |
| <b>Total</b>                                     | <b>168,303</b>         | <b>198,650</b>     | <b>187,530</b>          | <b>183,570</b>     | <b>-3,960</b>               | <b>-2.1%</b>               |
| <i>MULTIDISCIPLINARY ACTIVITIES</i>              |                        |                    |                         |                    |                             |                            |
| Multidisciplinary Activities                     | 29,911                 | 30,000             | 29,910                  | 23,390             | -6,520                      | -21.8%                     |
| <b>Total</b>                                     | <b>29,911</b>          | <b>30,000</b>      | <b>29,910</b>           | <b>23,390</b>      | <b>-6,520</b>               | <b>-21.8%</b>              |
| <b>Total, MPS <sup>1</sup></b>                   | <b>\$755,881</b>       | <b>\$881,160</b>   | <b>\$850,840</b>        | <b>\$863,580</b>   | <b>\$12,740</b>             | <b>1.5%</b>                |

<sup>1</sup> The National High Magnetic Field Laboratory (NHMFL) will be funded at \$20 million, an increase of \$2.5 million above the FY 2001 Request, which is in accordance with the FY 2001 Budget Request (page 176). The NHMFL underwent a comprehensive review that resulted in a five year award (FY 2001-2006) that was approved by the National Science Board in October, 2000.



## LEVEL OF FUNDING BY PROGRAM

| (Dollars in Thousands)  |                    |                    |                         |                    |                                      |              |
|---|--------------------|--------------------|-------------------------|--------------------|--------------------------------------|--------------|
| PROGRAM   | FY 2000<br>ACTUAL  | FY 2001<br>REQUEST | FY 2001<br>CURRENT PLAN | FY 2002<br>REQUEST | CHANGE<br>FY 2002 Req/FY01 Curr Plan |              |
|   |                    |                    |                         |                    | AMOUNT                               | PERCENT      |
| <b><u>SOCIAL, BEHAVIORAL AND ECONOMIC SCIENCES</u></b>              |                    |                    |                         |                    |                                      |              |
| <i>SOCIAL AND ECONOMIC SCIENCES</i>                                 |                    |                    |                         |                    |                                      |              |
| Social and Economic Sciences  | \$61,072           | \$72,060           | \$66,100                | \$65,840           | -\$260                               | -0.4%        |
| <b>Total</b>  | <b>61,072</b>      | <b>72,060</b>      | <b>66,100</b>           | <b>65,840</b>      | <b>-260</b>                          | <b>-0.4%</b> |
| <i>BEHAVIORAL AND COGNITIVE SCIENCES</i>                            |                    |                    |                         |                    |                                      |              |
| Behavioral and Cognitive Sciences                                   | 46,058             | 59,290             | 56,810                  | 56,560             | -250                                 | -0.4%        |
| <b>Total</b>  | <b>46,058</b>      | <b>59,290</b>      | <b>56,810</b>           | <b>56,560</b>      | <b>-250</b>                          | <b>-0.4%</b> |
| <i>INTERNATIONAL COOPERATIVE SCIENTIFIC ACTIVITIES</i>              |                    |                    |                         |                    |                                      |              |
| International Cooperative Scientific Activities                     | 39,929             | 26,880             | 25,730                  | 25,120             | -610                                 | -2.4%        |
| <b>Total</b>  | <b>39,929</b>      | <b>26,880</b>      | <b>25,730</b>           | <b>25,120</b>      | <b>-610</b>                          | <b>-2.4%</b> |
| <i>SCIENCE RESOURCES STUDIES</i>                                    |                    |                    |                         |                    |                                      |              |
| Science Resource Studies  | 15,055             | 16,910             | 15,800                  | 15,640             | -160                                 | -1.0%        |
| <b>Total</b>  | <b>15,055</b>      | <b>16,910</b>      | <b>15,800</b>           | <b>15,640</b>      | <b>-160</b>                          | <b>-1.0%</b> |
| <b>Total, SBE <sup>1</sup></b>                                      | <b>\$162,114</b>   | <b>\$175,140</b>   | <b>\$164,440</b>        | <b>\$163,160</b>   | <b>-\$1,280</b>                      | <b>-0.8%</b> |
| <b><u>UNITED STATES POLAR RESEARCH PROGRAMS</u></b>                 |                    |                    |                         |                    |                                      |              |
|   | \$189,935          | \$222,810          | \$210,800               | \$213,970          | \$3,170                              | 1.5%         |
| <b><u>UNITED STATES ANTARCTIC LOGISTICAL SUPPORT ACTIVITIES</u></b> |                    |                    |                         |                    |                                      |              |
|   | \$68,400           | \$62,600           | \$62,460                | \$62,600           | \$140                                | 0.2%         |
| <b><u>INTEGRATIVE ACTIVITIES</u></b>                                |                    |                    |                         |                    |                                      |              |
|   | \$129,252          | \$119,230          | \$97,750                | \$80,610           | -\$17,140                            | -17.5%       |
| <b>Subtotal, RESEARCH AND RELATED ACTIVITIES</b>                    |                    |                    |                         |                    |                                      |              |
|   | \$2,979,904        | \$3,540,680        | \$3,342,630             | \$3,326,980        | -\$15,650                            | -0.5%        |
| Carryover   |                    |                    | 7,523                   |                    | -7,523                               | -100.0%      |
| <b>Total, RESEARCH AND RELATED ACTIVITIES</b>                       | <b>\$2,979,904</b> | <b>\$3,540,680</b> | <b>\$3,350,153</b>      | <b>\$3,326,980</b> | <b>-\$23,173</b>                     | <b>-0.7%</b> |

<sup>1</sup> For FY2000, SBE includes a transfer of \$15.4M from the U.S. International Development Cooperation Agency for an award to the U.S. Civilian Research and Development Foundation.



| (Dollars in Thousands)  |                   |                    |                         |                    |                            |                      |
|---|-------------------|--------------------|-------------------------|--------------------|----------------------------|----------------------|
| PROGRAM   | FY 2000<br>ACTUAL | FY 2001<br>REQUEST | FY 2001<br>CURRENT PLAN | FY 2002<br>REQUEST | CHANGE                     |                      |
|   |                   |                    |                         |                    | FY 2002 Req/FY01<br>AMOUNT | Curr Plan<br>PERCENT |
| <b><u>EDUCATION AND HUMAN RESOURCES</u></b>                     |                   |                    |                         |                    |                            |                      |
| <i>MATH &amp; SCIENCE PARTNERSHIPS</i>                          |                   |                    |                         |                    |                            |                      |
| Math & Science Partnerships                                     | \$0               | \$0                | \$0                     | \$200,000          | \$200,000                  | n/a                  |
| <b>Total</b>  | <b>0</b>          | <b>0</b>           | <b>0</b>                | <b>200,000</b>     | <b>200,000</b>             | <b>n/a</b>           |
| <i>EDUCATIONAL SYSTEM REFORM</i>                                |                   |                    |                         |                    |                            |                      |
| Educational System Reform                                       | 113,009           | 109,510            | 110,440                 | 45,250             | -65,190                    | -59.0%               |
| <b>Total</b>  | <b>113,009</b>    | <b>109,510</b>     | <b>110,440</b>          | <b>45,250</b>      | <b>-65,190</b>             | <b>-59.0%</b>        |
| <i>OFFICE OF INNOVATION PARTNERSHIPS</i>                        |                   |                    |                         |                    |                            |                      |
| Innovation Partnership Activities                               | 3,911             | 0                  | 9,980                   | 0                  | -9,980                     | -100.0%              |
| Experimental Program to Stimulate Competitive Research (EPSCoR) | 51,708            | 48,410             | 74,830                  | 74,810             | -20                        | 0.0%                 |
| <b>Total</b>  | <b>55,619</b>     | <b>48,410</b>      | <b>84,810</b>           | <b>74,810</b>      | <b>-10,000</b>             | <b>-11.8%</b>        |
| <i>ELEMENTARY, SECONDARY AND INFORMAL<br/>EDUCATION</i>         |                   |                    |                         |                    |                            |                      |
| Instructional and Assessment Materials Development              | 36,981            | 33,800             | 34,090                  | 28,990             | -5,100                     | -15.0%               |
| Teacher & Student Development                                   | 101,584           | 111,700            | 112,640                 | 80,620             | -32,020                    | -28.4%               |
| Informal Science Education                                      | 47,644            | 46,000             | 55,880                  | 56,000             | 120                        | 0.2%                 |
| <b>Total</b>  | <b>186,209</b>    | <b>191,500</b>     | <b>202,610</b>          | <b>165,610</b>     | <b>-37,000</b>             | <b>-18.3%</b>        |
| <i>UNDERGRADUATE EDUCATION</i>                                  |                   |                    |                         |                    |                            |                      |
| Curriculum, Laboratory & Instructional Development              | 60,541            | 75,710             | 76,090                  | 75,740             | -350                       | -0.5%                |
| Workforce Development   | 56,207            | 64,850             | 64,860                  | 56,860             | -8,000                     | -12.3%               |
| <b>Total</b>  | <b>116,748</b>    | <b>140,560</b>     | <b>140,950</b>          | <b>132,600</b>     | <b>-8,350</b>              | <b>-5.9%</b>         |
| <i>GRADUATE EDUCATION</i>                                       |                   |                    |                         |                    |                            |                      |
| Graduate Student Support  | 78,683            | 89,450             | 87,750                  | 95,500             | 7,750                      | 8.8%                 |
| <b>Total</b>  | <b>78,683</b>     | <b>89,450</b>      | <b>87,750</b>           | <b>95,500</b>      | <b>7,750</b>               | <b>8.8%</b>          |
| <i>HUMAN RESOURCE DEVELOPMENT</i>                               |                   |                    |                         |                    |                            |                      |
| Undergraduate/ Graduate Student Support                         | 34,189            | 44,850             | 51,020                  | 50,770             | -250                       | -0.5%                |
| Research & Education Infrastructure                             | 22,523            | 23,010             | 23,200                  | 23,200             | 0                          | 0.0%                 |
| Opportunities for Women and Persons with Disabilities           | 20,574            | 14,020             | 16,470                  | 16,470             | 0                          | 0.0%                 |
| <b>Total</b>  | <b>77,287</b>     | <b>81,880</b>      | <b>90,690</b>           | <b>90,440</b>      | <b>-250</b>                | <b>-0.3%</b>         |
| <i>RESEARCH, EVALUATION AND COMMUNICATION</i>                   |                   |                    |                         |                    |                            |                      |
| Research  | 43,609            | 55,160             | 55,730                  | 55,560             | -170                       | -0.3%                |
| Evaluation  | 12,420            | 12,540             | 12,640                  | 12,640             | 0                          | 0.0%                 |
| <b>Total</b>  | <b>56,028</b>     | <b>67,700</b>      | <b>68,370</b>           | <b>68,200</b>      | <b>-170</b>                | <b>-0.2%</b>         |
| <b>Subtotal, EHR</b>  | <b>683,583</b>    | <b>729,010</b>     | <b>785,620</b>          | <b>872,410</b>     | <b>86,790</b>              | <b>11.0%</b>         |
| H-1B Nonimmigrant Petitioner Receipts                           | 25,058            | 31,000             | 121,000                 | 144,000            | 23,000                     | 19.0%                |
| Carryover <sup>1</sup>  |                   |                    | 9,793                   |                    | 0                          | 0.0%                 |
| <b>Total, EHR <sup>2</sup></b>                                  | <b>\$708,641</b>  | <b>\$760,010</b>   | <b>\$916,413</b>        | <b>\$1,016,410</b> | <b>\$99,997</b>            | <b>10.9%</b>         |

<sup>1</sup> Carryover excludes \$49.9 million of H-1B Nonimmigrant Petitioner receipts; these funds will be obligated through the EHR activity.

<sup>2</sup> The agency routinely closes out awards that are past the expiration date. Several awards were terminated in FY 2000 due to incorrect award expiration dates. The expiration dates on these awards were corrected later in the year and the awards were reopened and the balances restored. The closing of the awards are reported as recoveries and the reopening of the awards are accounted for as upward adjustments to prior year obligations. This causes obligations to be technically correct but overstated by the amount of the upward adjustments. In the case of EHR, \$1.8 million resulting in a net funding level of \$681.74 million in FY 2000 for an increase of \$103.88 million in FY 2001.



## LEVEL OF FUNDING BY PROGRAM

(Dollars in Thousands)

| PROGRAM  | FY 2000<br>ACTUAL | FY 2001<br>REQUEST | FY 2001<br>CURRENT PLAN | FY 2002<br>REQUEST | CHANGE<br>FY 2002 Req/FY01 Curr Plan<br>AMOUNT | CHANGE<br>PERCENT |
|--|-------------------|--------------------|-------------------------|--------------------|--|-------------------|
| <b>MAJOR RESEARCH EQUIPMENT</b>                  | \$105,000         | \$138,540          | \$121,332               | \$96,300           | -\$25,032                                      | -20.6%            |
| Carryover <sup>1</sup>                           |                   |                    | 70,975                  |                    | -70,975  | 0.0%              |
| Total, MRE                                       | \$105,000         | \$138,540          | \$192,307               | \$96,300           | -\$96,007                                      | 0.0%              |
| <b>SALARIES AND EXPENSES</b>                     | \$149,280         | \$157,890          | \$160,540               | \$170,040          | \$9,500  | 5.9%              |
| <b>OFFICE OF INSPECTOR GENERAL</b>               | \$5,600           | \$6,280            | \$6,270                 | \$6,760            | \$490  | 7.8%              |
| Carryover  |                   |                    | 114                     |                    | -114   | 0.0%              |
| Total, OIG                                       | \$5,600           | \$6,280            | \$6,384                 | \$6,760            | \$376  | 5.9%              |
| <b>Subtotal, NATIONAL SCIENCE<br/>FOUNDATION</b> | \$3,948,425       | \$4,603,400        | \$4,537,392             | \$4,616,490        | \$79,098                                       | 1.7%              |
| Carryover <sup>2</sup>                           |                   |                    | 88,405                  |                    | -88,405  | 0.0%              |
| <b>TOTAL, NATIONAL SCIENCE<br/>FOUNDATION</b>    | \$3,948,425       | \$4,603,400        | \$4,625,797             | \$4,616,490        | -\$9,307                                       | -0.2%             |

<sup>1</sup> In FY 2000 \$70.97 million was carried over into FY 2001 largely in support of the South Pole Station Modernization project.

<sup>2</sup> Carryover excludes \$49.9 million of H-1B Nonimmigrant Petitioner receipts; these funds will be obligated through the EHR activity.

