

OIG Recovery Act Plan Overview

OIG Name:	U.S. Railroad Retirement Board, Office of Inspector General
OIG Broad Recovery Act Goals:	The RRB-OIG's objective will be to provide RRB management with information that will assist them in ensuring compliance, transparency and fiscal accountability. This effort will be staffed with existing personnel and funded from our regular annual appropriation.
OIG Broad Training and Outreach Recovery Act Goals:	The limited nature of RRB responsibilities under the Recovery act do not include local, state or private entities to whom outreach or training would facilitate Recovery Act compliance or participation. The RRB-OIG has contacted agency management to discuss OIG oversight activities and other matters related to the payment of benefits under the Recovery Act. The B12RRB-OIG monitors correspondence and other documentation related to the RRB's Recovery Act implementation to keep current concerning agency activities. We contact responsible agency officials with questions about their strategy and progress on an ongoing basis.
OIG Recovery Act Risk Assessment Process:	In general, the RRB's responsibilities under the Recovery Act fall within the scope of the agency's regular benefit payment operations. We expect the expenditure of funds made available under the Recovery Act, for benefits as well as administrative activities, to be subject to the same procedures and controls that apply to normal agency operations. However, agency managers will need to meet certain challenges to achieve the timeliness and accountability requirements of the law. With respect to the benefit and financing provisions of the Recovery Act, the RRB-OIG planned its oversight program using a risk-based approach which considers the following factors: monetary exposure, complexity of the action required by the Act's provisions, the need for coordination with other Federal agencies, applicability/availability of agency expertise, and the potential for uncorrected weaknesses in internal control to adversely impact agency performance. We applied the foregoing factors to each area of the RRB's Recovery Act responsibility to determine the mix of monitoring, control evaluation, and transaction testing to use in our oversight effort.
OIG Staff Dedicated to Recovery Act Oversight:	We expect to dedicate approximately .5 FTE to Recovery Act work during fiscal year 2010.
OIG Recovery Act Funds:	\$0
Expiration Date of OIG Recovery Act Funds:	N/A
OIG Recovery Act Funds Allocated to Contracts:	No
Purpose of Recovery Act Contracts:	N/A
Types of Recovery Act Contracts Awarded to Date:	None Awarded to Date
Link to OIG Recovery Act Work Plan:	http://www.rrb.gov/pdf/oig/oig_oversight_plan.pdf

OIG FY 2010 Recovery Act Work Plan

Agency	Program Area	Recovery Act Funds Associated w/Program Area	Type of Review	Entity Performing Review	Project Title	Background	Objective	Review Included on Prior Recovery Act Plan (Y/N)	Expected Quarter Work Begins	Expected Quarter(s) Reports Issued	Expected Number of Reports
RRB	Payment of Benefits	\$155 Million (Est.)	Other	OIG Staff	Oversight Monitoring of RRB Responsibilities Under the Recovery Act	The Railroad Retirement Board paid over \$10 billion in benefits under the Railroad Unemployment Insurance Act during FY 2008. The Recovery Act appropriates funding from the general fund of the U.S. Treasury for (1) payment of \$250 to most individuals who receive benefits under the Railroad Retirement Act at an estimated cost of \$135 million, and (2) for payment of 13 additional weeks of unemployment benefits for certain railroad workers who exhaust their rights to the benefits normally provided under the RUIA not to exceed \$20 million.	To identify and advise agency management concerning risks and/or opportunities for improvement associated with the payment of benefits funded by the Recovery Act.	Yes	Q2 FY 09	Q2 FY 10	1