

OIG Recovery Act Plan Overview

OIG Name:	U.S Railroad Retirement Board, Office of Inspector General (RRB-OIG)
OIG Broad Recovery Act Goals:	The RRB-OIG's objective will be to provide RRB management with information that will assist them in ensuring compliance, transparency and fiscal accountability.
OIG Broad Outreach Recovery Act Goals:	The RRB-OIG has contacted agency management to discuss OIG oversight activities and other matters related to the payment of benefits under the Recovery Act. RRB-OIG monitors correspondence and other documentation related to the RRB's Recovery Act implementation to keep current concerning agency activities. We contact responsible agency officials with questions about their strategy and progress.
OIG Recovery Act Risk Assessment Process:	In general, the RRB's responsibilities under the Recovery Act fall within the scope of the agency's regular benefit payment operations. We expect the expenditure of funds made available under the Recovery Act, for benefits as well as administrative activities, to be subject to the same procedures and controls that apply to normal agency operations. However, agency managers will need to meet certain challenges to achieve the timeliness and accountability requirements of the law. With respect to the benefit and financing provisions of the Recovery Act, the RRB-OIG planned its oversight program using a risk-based approach which considers the following factors: monetary exposure, complexity of the action required by the Recovery Act provisions, the need for coordination with other Federal agencies, applicability/availability of agency expertise, and the potential for uncorrected weaknesses in internal control to adversely impact agency performance. We applied the foregoing factors to each area of the RRB's Recovery Act responsibility: \$250 economic recovery payments, extended unemployment benefits, and funding for administrative activities.
OIG Recovery Act Funds:	None
Expiration Date of OIG Recovery Act Funds:	Not Applicable
FY 2009 OIG Non-Recovery Act Funds:	\$7,806,000
Number of OIG Planned Recovery Act Hires:	None
OIG Recovery Act Funds to be Allocated to Contracts:	Not Applicable
Purpose of Recovery Act Contracts:	Not Applicable
Types of Recovery Act Contracts Awarded to Date:	Not Applicable
Link to OIG FY 2009 Recovery Act Work Plan:	http://www.rrb.gov/pdf/oig/oig_oversight_plan.pdf

OIG FY 2009 Recovery Act Work Plan

Agency	Program Area	Agency Recovery Act Funds Associated w/Program Area	Type of Review (Administrative / Financial, Eligibility, Performance, Other)	Entity Performing Review (OIG Staff, Contractor, Other)	Project Title	Background	Objective	Expected Quarter in Which Work will Start	Expected Quarter in which Final Report will be Issued	Expected Number of Reports
RRB	Payment of Benefits	\$155 Million (Est.)	Other	OIG Staff	Oversight Monitoring	The Railroad Retirement Board paid over \$10 billion in benefits under the Railroad Unemployment Insurance Act during FY 2008. The Recovery Act appropriates funding from the general fund of the U.S. Treasury for (1) payment of \$250 to most individuals who receive benefits under the Railroad Retirement Act at an estimated cost of \$135 million, and (2) for payment of 13 additional weeks of unemployment benefits for certain railroad workers who exhaust their rights to the benefits normally provided under the RUIA not to exceed \$20 million.	To identify and advise agency management concerning risks and/or opportunities for improvement associated with the payment of benefits funded by the Recovery Act.	Q2 FY 09	Q1 FY 10	1

Type of Reviews
Administrative/Financial Reviews - reviews of management administrative issues, management internal controls, or financial systems/processes
Eligibility Reviews - reviews to determine if eligibility requirements were met for a given program
Performance Reviews - reviews to determine the effectiveness of a given program
Other Reviews - reviews that do not fit within the above categories