

SELECTED FCM FINANCIAL DATA AS OF
 October 31, 2006
 FROM REPORTS FILED BY
 November 30, 2006

		B/D?	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer
	Futures Commission Merchant			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount
		(a)	(b)			(c)	(d)	4d(a)(2)	Pt. 30 Required
								(e)	(f)
1	3D FOREX, LLC	N	NFA	10/31/2006	1,688,553	500,000	1,188,553	0	0
2	ABBEY NATIONAL SECURITIES INC	Y	NFA	10/31/2006	135,535,773	23,533,020	112,002,753	303,151,965	0
3	ABN AMRO INCORPORATED	Y	CBOT	10/31/2006	1,450,783,936	1,733,368	1,449,050,568	0	0
4	ADM INVESTOR SERVICES INC	N	CBOT	10/31/2006	129,532,663	61,533,362	67,999,301	1,310,534,753	32,542,805
5	ADVANCED MARKETS INC	N	NFA	10/31/2006	1,044,306	500,000	544,306	0	0
6	ADVANTAGE FUTURES LLC	N	CME	10/31/2006	13,260,945	4,021,465	9,239,480	132,159,265	7,112,444
7	AG EDWARDS & SONS INC	Y	CBOT	10/31/2006	867,228,315	37,707,242	829,521,073	276,451,155	40,469
8	AIG CLEARING CORPORATION	N	NYME	10/31/2006	292,134,328	46,237,326	245,897,002	5,737,045	0
9	ALARON TRADING CORPORATION	N	CME	10/31/2006	6,327,629	3,430,533	2,897,096	168,121,664	12,582,885
10	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC	Y	NFA	09/30/2006	27,382,777	5,863,790	21,518,987	0	0
11	ALPHA FOREIGN EXCHANGE GROUP LLC	N	NFA	10/31/2006	506,672	500,000	6,672	0	0
12	AMERICAN NATIONAL TRADING CORP	N	NFA	10/31/2006	2,736,386	1,000,000	1,736,386	28,153,018	26,388
13	BACERA CORPORATION	N	NFA	10/31/2006	737,497	1,000,000	-262,503	0	0
14	BANC OF AMERICA SECURITIES LLC	Y	CME	10/31/2006	1,757,463,940	330,617,311	1,426,846,629	1,673,709,053	12,846,118
15	BARCLAYS CAPITAL INC	Y	NYME	10/31/2006	728,762,624	282,223,653	446,538,971	3,089,636,372	1,624,067,034
16	BATTERY ASSET MANAGEMENT LLC	N	NFA	10/31/2006	623,299	500,000	123,299	0	0
17	BEAR STEARNS & CO INC	Y	NFA	10/31/2006	(g)	559,604,739	(g)	0	0
18	BEAR STEARNS SECURITIES CORP	Y	CME	10/31/2006	3,586,269,074	1,153,543,461	2,432,725,613	3,793,251,552	1,272,385,245
19	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	10/31/2006	126,191,471	81,899,394	44,292,077	762,525,135	311,169,777
20	BNP PARIBAS SECURITIES CORP	Y	CBOT	10/31/2006	493,021,421	20,768,800	472,252,621	146,091,437	0
21	BROOKSTREET SECURITIES CORPORATION	Y	NFA	10/31/2006	2,963,325	591,095	2,372,230	0	0
22	C CZARNIKOW SUGAR FUTURES INC	N	NFA	10/31/2006	1,681,735	500,000	1,181,735	0	0
23	CADENT FINANCIAL SERVICES LLC	N	CME	10/31/2006	5,924,679	3,570,989	2,353,690	156,919,126	7,189,419
24	CAL FINANCIAL CORPORATION	N	NFA	10/31/2006	1,042,314	1,000,000	42,314	0	0
25	CALYON FINANCIAL INC	Y	CME	10/31/2006	660,280,829	474,569,525	185,711,304	6,568,515,605	767,399,129
26	CANTOR FITZGERALD & CO	Y	CBOT	10/31/2006	187,452,998	3,772,574	183,680,424	52,834,617	0
27	CAPITAL MARKET SERVICES LLC	N	NFA	10/31/2006	10,566,488	2,450,922	8,115,566	0	0
28	CIBC WORLD MARKETS CORP	Y	CME	10/31/2006	1,093,772,399	20,450,450	1,073,321,949	0	0
29	CITIGROUP GLOBAL MARKETS INC	Y	CBOT	10/31/2006	(g)	730,768,855	(g)	9,047,620,596	567,906,717
30	CLIFF LARSON COMPANY THE	N	NFA	10/31/2006	738,956	500,000	238,956	1,613,296	0
31	CMC MARKETS (US) LLC	N	NFA	10/31/2006	7,651,059	5,000,000	2,651,059	0	0
32	COMTRUST INC	N	NFA	10/31/2006	981,523	500,000	481,523	9,839,005	0
33	COUNTRY HEDGING INC	N	NFA	10/31/2006	16,332,442	6,593,025	9,739,417	104,299,504	4,531
34	CREDIT SUISSE SECURITIES (USA) LLC	Y	CBOT	10/31/2006	3,569,447,589	180,694,848	3,388,752,741	1,343,496,201	1,046,126,360
35	CROSSLAND LLC	N	CBOT	10/31/2006	3,747,564	500,000	3,247,564	9,799,135	0
36	CUNNINGHAM COMMODITIES LLC	N	CBOT	10/31/2006	1,709,560	500,000	1,209,560	14,620,350	10,417
37	DAIWA SECURITIES AMERICA INC	Y	CME	10/31/2006	210,157,629	501,151	209,656,478	5,542,395	12,406,354
38	DEUTSCHE BANK SECURITIES INC	Y	CBOT	10/31/2006	2,643,414,433	249,161,537	2,394,252,896	2,613,133,102	758,632,526
39	DIRECT FOREX LLC	N	NFA	10/31/2006	1,408,215	1,000,000	408,215	0	0
40	DORMAN TRADING LLC	N	CME	10/31/2006	7,644,021	500,000	7,144,021	36,633,631	19,331
41	DUNAVANT COMMODITY CORP	N	NYBT	10/31/2006	22,892,282	769,938	22,122,344	270,869	0
42	E FX OPTIONS LLC	N	NFA	10/31/2006	4,921,548	1,000,000	3,921,548	0	0
43	EAGLE MARKET MAKERS INC	N	CBOT	10/31/2006	2,914,866	500,000	2,414,866	7,403,898	0
44	ED & F MAN COMMODITY ADVISORS INC	N	NFA	10/31/2006	781,721	500,000	281,721	0	0
45	ELECTRONIC BROKERAGE SYSTEMS LLC	Y	NFA	10/31/2006	7,239,556	500,000	6,739,556	0	0
46	ENSKILDA FUTURES LTD	N	CME	10/31/2006	15,016,369	10,376,633	4,639,736	190,135,330	0

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47	FARR FINANCIAL INC	N	NFA	10/31/2006	2,781,656	1,000,000	1,781,656	34,806,686	172,098
48	FC STONE LLC	N	CME	10/31/2006	40,207,210	28,575,283	11,631,927	898,870,270	2,417,053
49	FCT GROUP LLC	N	CME	10/31/2006	5,650,453	507,310	5,143,143	12,774,519	0
50	FIMAT PREFERRED LLC	Y	NFA	10/31/2006	34,145,151	1,605,796	32,539,355	10,980,654	0
51	FIMAT USA LLC	Y	NYME	10/31/2006	560,363,935	413,928,534	146,435,401	5,418,678,205	2,028,792,060
52	FIRST CAPITOL GROUP LLC	N	NFA	10/31/2006	5,174,571	1,507,152	3,667,419	57,031,214	62,455
53	FOREFRONT INVESTMENTS CORPORATION	N	NFA	10/31/2006	1,565,966	1,000,000	565,966	0	0
54	FOREX CAPITAL MARKETS LLC	N	NFA	10/31/2006	34,216,549	13,752,269	20,464,280	0	0
55	FOREX CLUB FINANCIAL COMPANY INC	N	NFA	10/31/2006	2,202,059	1,000,000	1,202,059	0	0
56	FOREX INTERNATIONAL INVESTMENTS INC	N	NFA	10/31/2006	1,696,127	1,000,000	696,127	0	0
57	FOREX LIQUIDITY LLC	N	NFA	10/31/2006	2,582,798	1,000,000	1,582,798	0	0
58	FORTIS CLEARING AMERICAS LLC	Y	CBOT	10/31/2006	110,546,242	14,372,302	96,173,940	493,440,687	20,354,135
59	FORWARD FOREX INC	N	NFA	10/31/2006	1,204,790	1,000,000	204,790	0	0
60	FREEDOM FX LLC	N	NFA	10/31/2006	975,053	1,000,000	-24,947	0	0
61	FRIEDBERG MERCANTILE GROUP INC	N	NFA	10/31/2006	4,736,185	1,000,000	3,736,185	3,143,005	18,794
62	FRONTIER FUTURES INC	N	NFA	10/31/2006	3,175,503	1,685,046	1,490,457	33,757,001	0
63	FUTURES TECH LLC	N	NFA	10/31/2006	867,571	500,000	367,571	0	0
64	FX OPTION1 INC	N	NFA	10/31/2006	502,341	500,000	2,341	0	0
65	FX SOLUTIONS LLC	N	NFA	10/31/2006	9,709,092	4,559,789	5,149,303	0	0
66	FXCM LLC	N	NFA	10/31/2006	758,909	500,000	258,909	0	0
67	GAIN CAPITAL GROUP LLC	N	NFA	10/31/2006	18,425,886	4,306,908	14,118,978	0	0
68	GELBER GROUP LLC	N	CBOT	10/31/2006	27,016,670	844,246	26,172,424	41,520,044	49,794
69	GFS FOREX & FUTURES INC	N	NFA	10/31/2006	2,023,355	1,000,000	1,023,355	0	0
70	GILDER GAGNON HOWE & CO LLC	Y	NFA	10/31/2006	35,413,731	500,000	34,913,731	0	0
71	GLOBAL FUTURES & FOREX LIMITED	Y	CFTC	10/31/2006	38,008,401	750,000	37,258,401	0	0
72	GLOBAL FUTURES LLC	N	NFA	10/31/2006	8,067,262	500,000	7,567,262	0	0
73	GOLDENBERG HEHMEYER & CO	Y	CBOT	10/31/2006	9,699,304	3,294,265	6,405,039	108,413,773	6,437,010
74	GOLDMAN SACHS & CO	Y	CBOT	10/27/2006	(g)	1,182,773,987	(g)	12,259,425,129	7,164,661,147
75	GOLDMAN SACHS EXECUTION & CLEARING LP	Y	CME	10/27/2006	933,847,309	42,902,991	890,944,318	641,697,247	3,712,393
76	GREENWICH CAPITAL MARKETS INC	Y	CBOT	10/31/2006	1,152,323,000	63,816,000	1,088,507,000	592,717,000	23,385,000
77	H & R BLOCK FINANCIAL ADVISORS INC	Y	NFA	10/31/2006	123,888,358	8,967,165	114,921,193	0	0
78	HAGERTY GRAIN CO INC	N	CBOT	10/31/2006	1,721,469	500,000	1,221,469	5,316,534	0
79	HAMILTON WILLIAMS LLC	N	NFA	10/31/2006	1,197,826	1,000,000	197,826	0	0
80	HOTSPOT FXR LLC	N	NFA	10/31/2006	5,871,115	1,000,000	4,871,115	0	0
81	HSBC SECURITIES USA INC	Y	CME	10/31/2006	689,645,537	47,911,047	641,734,490	530,290,624	49,592,150
82	I TRADE FX LLC	N	NFA	10/31/2006	2,922,367	1,000,000	1,922,367	0	0
83	ICAP FUTURES LLC	N	CME	10/31/2006	13,104,619	2,719,476	10,385,143	55,446,000	3,572,318
84	IFSCL USA INC	N	NFA	10/31/2006	5,636,140	5,000,000	636,140	547,796	0
85	IFX MARKETS INC	N	NFA	10/31/2006	7,869,974	2,889,094	4,980,880	0	0
86	IG FINANCIAL MARKETS INC.	N	NFA	10/31/2006	987,264	500,000	487,264	0	0
87	INTEGRATED BROKERAGE SERVICES LLC	N	NFA	10/31/2006	1,686,957	1,000,000	686,957	768,253	0
88	INTERACTIVE BROKERS LLC	Y	EUXUS	10/31/2006	257,280,447	21,594,535	235,685,912	202,667,384	76,999,067
89	INTERBANK FX LLC	N	NFA	10/31/2006	5,327,027	1,016,644	4,310,383	0	0
90	IOWA GRAIN CO	N	CBOT	10/31/2006	10,367,484	6,455,818	3,911,666	222,752,912	1,212,614
91	ITAU SECURITIES INC	Y	NFA	10/31/2006	26,248,792	500,000	25,748,792	0	0
92	IXIS SECURITIES NORTH AMERICA INC	Y	NFA	10/31/2006	166,001,276	500,000	165,501,276	0	0
93	JP MORGAN FUTURES INC	N	NYME	10/31/2006	1,077,873,959	647,176,277	430,697,682	7,192,561,522	1,753,137,366

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94	KOTTKE ASSOCIATES LLC	N	CBOT	10/31/2006	6,527,425	500,000	6,027,425	31,056,098	1,055
95	LADENBURG THALMANN & CO INC	Y	NFA	10/31/2006	15,985,790	500,000	15,485,790	0	0
96	LBS LIMITED PARTNERSHIP	N	CBOT	10/31/2006	685,020	500,000	185,020	0	0
97	LEHMAN BROTHERS INC	Y	CBOT	10/31/2006	(g)	540,699,000	(g)	4,275,696,000	187,497,000
98	LINN GROUP (THE)	N	NFA	10/31/2006	763,123	500,000	263,123	0	0
99	LINSKO PRIVATE LEDGER CORP	Y	NFA	10/31/2006	82,060,856	6,558,029	75,502,827	0	0
100	LOEB PARTNERS CORPORATION	Y	NFA	10/31/2006	26,098,244	500,000	25,598,244	0	0
101	MACQUARIE FUTURES USA INC	N	NFA	10/31/2006	10,015,717	500,000	9,515,717	13,356,774	79,044
102	MAN FINANCIAL INC	N	CME	10/31/2006	550,233,295	387,398,328	162,834,967	8,036,067,366	865,505,311
103	MANCHESTER FINANCIAL GROUP INC	N	NFA	10/31/2006	519,012	500,000	19,012	0	0
104	MARQUETTE ELECTRONIC BROKERAGE LLC	N	NFA	10/31/2006	1,368,986	500,000	868,986	0	0
105	MB TRADING FUTURES INC.	N	NFA	10/31/2006	3,017,143	1,000,000	2,017,143	0	0
106	MBF CLEARING CORP	N	NYME	10/31/2006	26,744,370	4,902,640	21,841,730	132,481,869	16,534,516
107	MCVEAN TRADING AND INVESTMENTS LLC	N	NFA	10/31/2006	6,500,367	2,530,376	3,969,991	491,034,907	0
108	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	10/31/2006	(g)	509,035,883	(g)	6,196,805,519	621,017,086
109	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	10/31/2006	952,593,871	40,051,339	912,542,532	578,724,511	54,172,345
110	MID-CO COMMODITIES INC	N	NFA	10/31/2006	4,174,364	2,381,783	1,792,581	40,017,169	0
111	MITSUI BUSSAN COMMODITIES USA INC	N	NYME	10/31/2006	5,935,849	516,927	5,418,922	0	0
112	MIZUHO SECURITIES USA INC	Y	CME	10/31/2006	176,062,314	23,974,393	152,087,921	252,246,152	91,641,051
113	MONEY GARDEN CORP	N	NFA	10/31/2006	2,683,296	1,000,000	1,683,296	0	0
114	MORGAN KEEGAN & COMPANY INC	Y	NFA	10/31/2006	379,331,618	11,674,650	367,656,968	0	0
115	MORGAN STANLEY & CO INCORPORATED	Y	CME	10/31/2006	(g)	1,215,219,217	(g)	2,648,608,320	1,938,771,490
116	MORGAN STANLEY DW INC	Y	CBOT	10/31/2006	1,316,703,381	68,924,545	1,247,778,836	992,253,583	2,356,885,572
117	NATIONS INVESTMENTS LLC	N	NFA	10/31/2006	1,508,657	1,000,000	508,657	3,646,147	0
118	NEUBERGER BERMAN LLC	Y	NFA	10/31/2006	360,684,888	15,072,165	345,612,723	25,037,025	0
119	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	10/31/2006	946,761,611	5,642,013	941,119,598	44,969,616	5,270,579
120	OANDA CORPORATION	N	NFA	10/31/2006	32,812,412	5,024,673	27,787,739	0	0
121	ODL SECURITIES INC	N	NFA	10/31/2006	1,622,129	1,000,000	622,129	0	0
122	ONE WORLD CAPITAL GROUP	N	NFA	10/31/2006	1,233,507	1,000,000	233,507	1,558	0
123	OPEN E CRY LLC	N	NFA	10/31/2006	1,035,896	543,347	492,549	21,972,770	1,002,278
124	OPPENHEIMER & CO INC	Y	NFA	10/31/2006	164,712,442	24,036,733	140,675,709	20,164,121	0
125	OPTIONSXPRESS INC	Y	NFA	10/31/2006	89,301,702	3,391,678	85,910,024	1,946,826	0
126	PENSON FINANCIAL FUTURES INC	N	NFA	10/31/2006	1,503,831	500,000	1,003,831	20,540,740	1,639
127	PEREGRINE FINANCIAL GROUP INC	N	NFA	10/31/2006	15,369,928	5,843,471	9,526,457	207,955,551	12,948,734
128	PIONEER FUTURES INC	N	NYME	10/31/2006	2,345,678	500,000	1,845,678	0	0
129	PIPER JAFFRAY & CO	Y	NFA	10/31/2006	366,900,278	2,499,824	364,400,454	0	0
130	PRUDENTIAL FINANCIAL DERIVATIVES LLC	N	CBOT	10/31/2006	129,793,000	103,410,840	26,382,160	1,760,738,000	182,275,000
131	RAND FINANCIAL SERVICES INC	N	CME	10/31/2006	27,046,437	11,593,815	15,452,622	179,787,700	21,277,800
132	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	10/31/2006	338,418,838	27,664,912	310,753,926	0	0
133	RBC CAPITAL MARKETS CORPORATION	Y	CME	10/31/2006	321,741,804	57,937,400	263,804,404	141,609,602	2,397,836
134	RBC DAIN RAUSCHER INC	Y	NFA	10/31/2006	350,468,131	34,235,873	316,232,258	0	0
135	REDSKY FINANCIAL LLC	Y	NFA	10/31/2006	553,276	500,000	53,276	0	0
136	RJ OBRIEN ASSOCIATES INC	N	CME	10/31/2006	113,546,627	84,011,845	29,534,782	2,059,484,074	46,106,358
137	ROBBINS FUTURES INC	N	NFA	10/31/2006	585,551	500,000	85,551	4,619,815	0
138	ROSENTHAL COLLINS GROUP LLC	N	CME	10/31/2006	37,397,093	20,985,329	16,411,764	655,087,970	8,772,353
139	ROSENTHAL GLOBAL SECURITIES LLC	Y	CBOT	10/31/2006	6,291,062	500,000	5,791,062	0	0
140	SANFORD C BERNSTEIN & CO LLC	Y	NFA	10/31/2006	158,911,024	41,632,317	117,278,707	0	0

